



CITY OF GRAND JUNCTION, COLORADO

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**CONTRACT**

This CONTRACT dated the 22<sup>nd</sup> day of December, 2022 by and between the **City of Grand Junction, Colorado**, a government entity in the County of Mesa, State of Colorado, hereinafter in the Contract Documents referred to as the "Owner" and **Haynie & Company**, hereinafter in the Contract Documents referred to as the "Contractor."

WITNESSETH:

WHEREAS, the Owner advertised that sealed Proposals would be received for furnishing all labor, tools, supplies, equipment, materials, and everything necessary and required for the Project described by the Contract Documents and known as **Financial Audit Services RFP-5110-22-SH**;

WHEREAS, the Contract has been awarded to the above named Contractor by the Owner, and said Contractor is now ready, willing and able to perform the Work specified in the Notice of Award, in accordance with the Contract Documents;

NOW, THEREFORE, in consideration of the compensation to be paid the Contractor, the mutual covenants hereinafter set forth and subject to the terms hereinafter stated, it is mutually covenanted and agreed as follows:

ARTICLE 1

Contract Documents: It is agreed by the parties hereto that the following list of instruments, drawings, and documents which are attached hereto, bound herewith, or incorporated herein by reference constitute and shall be referred to either as the "Contract Documents" or the "Contract", and all of said instruments, drawings, and documents taken together as a whole constitute the Contract between the parties hereto, and they are fully a part of this agreement as if they were set out verbatim and in full herein:

- Solicitation Documents for the Project including all Addenda; **RFP-5110-22-SH**;
- Contractor's Response to the Solicitation;
- Work Change Requests (directing that changed work be performed);
- Change Orders.

ARTICLE 2

Definitions: The clauses provided in the Solicitation apply to the terms used in the Contract and all the Contract Documents.

ARTICLE 3

Contract Work: The Contractor agrees to furnish all labor, tools, supplies, equipment, materials, and all that is necessary and required to complete the tasks associated with the Work described, set forth, shown, and included in the Contract Documents as indicated in the Solicitation Document. This contract shall be for one year with no options for renewal.

ARTICLE 4

Contract Price and Payment Procedures: The Contractor shall accept as full and complete compensation for the performance and completion of all the Work specified in the Contract Documents, the sum of **Thirty Six Thousand dollars (\$36,000.00)** for an audit of the Comprehensive Annual Financial Report (CAFR). If the Finance Director determines a single audit is necessary the Contractor will accept a the **sum of Five Thousand Five Hundred dollars (\$5,500.00) per single audit.** The amount of the Contract Price is and has heretofore been appropriated by the Grand Junction City Council for the use and benefit of this Project. The Contract Price shall not be modified except by Change Order or other written directive of the Owner. The Owner shall not issue a Change Order or other written directive which requires additional work to be performed, which work causes the aggregate amount payable under this Contract to exceed the amount appropriated for this Project, unless and until the Owner provides Contractor written assurance that lawful appropriations to cover the costs of the additional work have been made.

ARTICLE 5


Contract Binding: The Owner and the Contractor each binds itself, its partners, successors, assigns and legal representatives to the other party hereto in respect to all covenants, agreements and obligations contained in the Contract Documents. The Contract Documents constitute the entire agreement between the Owner and Contractor and may only be altered, amended or repealed by a duly executed written instrument. Neither the Owner nor the Contractor shall, without the prior written consent of the other, assign or sublet in whole or in part its interest under any of the Contract Documents and specifically, the Contractor shall not assign any moneys due or to become due without the prior written consent of the Owner.

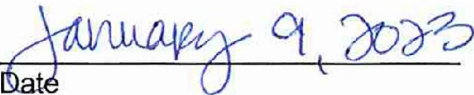
ARTICLE 6

Severability: If any part, portion or provision of the Contract shall be found or declared null, void or unenforceable for any reason whatsoever by any court of competent jurisdiction or any governmental agency having the authority thereover, only such part, portion or provision shall be affected thereby and all other parts, portions and provisions of the Contract shall remain in full force and effect.

IN WITNESS WHEREOF, City of Grand Junction, Colorado, has caused this Contract to be subscribed; and the Contractor has signed this Contract the day and the year first mentioned herein.

CITY OF GRAND JUNCTION, COLORADO

By:   
Audit Committee

  
Date

**Haynie & Company**

By:   
Ty Holman, Audit Partner

1/13/2023  
Date



**Request for Proposal  
RFP-5110-22-SH  
Financial Audit Services**

**RESPONSES DUE:**

October 13, 2022 prior to 2:30 P.M.

**Accepting Electronic Responses Only Submitted Through the Rocky Mountain E-Purchasing System (RMEPS)**  
**[www.bidnetdirect.com/colorado](http://www.bidnetdirect.com/colorado)**

**(Purchasing Representative does not have access or control of the vendor side of RMEPS. If website or other problems arise during response submission, vendor MUST contact RMEPS to resolve issue prior to the response deadline. 800-835-4603)**

**NOTE: All City solicitation openings will continue to be held virtually.**

**PURCHASING REPRESENTATIVE:**

Susan Hyatt, Senior Buyer

**[susanh@gjcity.org](mailto:susanh@gjcity.org)**

970-244-1513

This solicitation has been developed specifically for a Request for Proposal intended to solicit competitive responses for this solicitation, and may not be the same as previous City of Grand Junction solicitations. All offerors are urged to thoroughly review this solicitation prior to submitting. Submittal by **FAX, EMAIL or HARD COPY IS NOT ACCEPTABLE** for this solicitation.

# **REQUEST FOR PROPOSAL**

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## REQUEST FOR PROPOSAL

### **SECTION 1.0: ADMINISTRATIVE INFORMATION & CONDITIONS FOR SUBMITTAL**

**NOTE:** It is the Firm's responsibility to read and review all solicitation documentation in its entirety, and to ensure that they have a clear and complete understanding of not only the scope, specifications, project requirements, etc., but also all other requirements, instructions, rules, regulations, laws, conditions, statements, procurement policies, etc. that are associated with the solicitation process and project/services being solicited.

**Issuing Office:** Issuing Office: This Request for Proposal (RFP) is issued for the City of Grand Junction (Owner) on behalf of the City Council Audit Committee (Audit Committee). The City Council appointed C.B McDaniel and Anna Stout (Mayor) to serve as the Audit Committee for 2022 and 2023 and approved the Audit Committee Charter through adoption of resolution No. 34-22 (attached). Subsequent to 2023 the City Council President (Mayor) and City Council President pro tem (Mayor pro tem) will serve as the Audit Committee.

- 1.1 All contact regarding this RFP is directed to:

**RFP QUESTIONS:**

Susan Hyatt, Senior Buyer

[susanh@gjcity.org](mailto:susanh@gjcity.org)

The City would like to remind all Firms, Sub-Firms, Vendors, Suppliers, Manufacturers, Service Providers, etc. that (with the exception of Pre-Bid or Site Visit Meetings) all questions, inquiries, comments, or communication pertaining to any formal solicitation (whether process, specifications, scope, etc.) must be directed (in writing) to the Purchasing Agent assigned to the project or Purchasing Division. Direct communication with the City assigned Project Managers/Engineers is not appropriate for public procurement and may result in disqualification.

- 1.2 **Purpose:** The purpose of this RFP is to obtain proposals from qualified and professional firms to provide Financial Audit Services.
- 1.3 **The Owner:** The Owner is the City of Grand Junction, Colorado, represented by the Audit Committee of the City Council and is referred to throughout this Solicitation. The term Owner means the Owner or his authorized representative.
- 1.4 **Compliance:** All participating Offerors, by their signature hereunder, shall agree to comply with all conditions, requirements, and instructions of this RFP as stated or implied herein. Should the Owner omit anything from this packet which is necessary to the clear understanding of the requirements, or should it appear that various instructions are in conflict, the Offeror(s) shall secure instructions from the Purchasing Division prior to the date and time of the submittal deadline shown in this RFP.
- 1.5 **Procurement Process:** Procurement processes shall be governed by the most current version of the City of Grand Junction [Purchasing Policy and Procedure Manual](#).

- 1.6 **Submission:** Each proposal shall be submitted online in electronic format only through the Rocky Mountain E-Purchasing (BidNet Colorado) website, [www.bidnetdirect.com/colorado](http://www.bidnetdirect.com/colorado). The uploaded response shall be a single PDF document with all required information included. This site offers both "free" and "paying" registration options that allow for full access of the Owner's documents and for electronic submission of proposals. (Note: "free" registration may take up to 24 hours to process. Please Plan accordingly.) Please view our "Electronic Vendor Registration Guide" at <http://www.gjcity.org/501/Purchasing-Bids> for details. (Purchasing Representative does not have access or control of the vendor side of RMEPS. If website or other problems arise during response submission, vendor **MUST** contact RMEPS to resolve issue prior to the response deadline. **800-835-4603**).

**Please join the virtual opening for Financial Audit Services for RFP-5110-22-SH on Oct 13, 2022 at 2:30 P.M.**

Please join my meeting from your computer, tablet or smartphone.

<https://meet.goto.com/353069349>

You can also dial in using your phone.

Access Code:

353-069-349

United States:

[+1 \(224\) 501-3412](tel:+12245013412)

Join from a video-conferencing room or system.

Meeting ID:

353-069-349

Dial in or type:

67.217.95.2 or [inroomlink.goto.com](http://inroomlink.goto.com)

Or dial directly:

[353069349@67.217.95.2](mailto:353069349@67.217.95.2) or [67.217.95.2###353069349](tel:67.217.95.2###353069349)

**Get the app now and be ready when your first meeting starts:**

<https://meet.goto.com/install>

- 1.7 **Altering Proposals:** Any alterations made prior to opening date and time must be initialed by the signer of the proposal, guaranteeing authenticity. Proposals cannot be altered or amended after submission deadline.
- 1.8 **Withdrawal of Proposal:** A proposal must be firm and valid for award and may not be withdrawn or canceled by the Offeror for sixty (60) days following the submittal deadline date, and only prior to award. The Offeror so agrees upon submittal of their proposal. After award this statement is not applicable.
- 1.9 **Acceptance of Proposal Content:** The contents of the proposal of the successful Offeror shall become contractual obligations if acquisition action ensues. Failure of the successful Offeror to accept these obligations in a contract shall result in cancellation of the award and such vendor shall be removed from future solicitations.
- 1.10 **Addenda:** All questions shall be submitted in writing to the appropriate person as shown in Section 1.1. Any interpretations, corrections and changes to this RFP or extensions to the opening/receipt date shall be made by a written Addendum to the RFP by the City.

Sole authority to authorize addenda shall be vested in the City of Grand Junction Purchasing Representative. Addenda will be issued electronically through the Rocky Mountain E-Purchasing website at [www.bidnetdirect.com/colorado](http://www.bidnetdirect.com/colorado) and on the City's website at [www.gjcity.org/501/Purchasing/Bids](http://www.gjcity.org/501/Purchasing/Bids). Offerors shall acknowledge receipt of all addenda in their proposal.

- 1.11 Exceptions and Substitutions:** All proposals meeting the intent of this RFP shall be considered for award. Offerors taking exception to the specifications shall do so at their own risk. The Owner reserves the right to accept or reject any or all substitutions or alternatives. When offering substitutions and/or alternatives, Offeror must state these exceptions in the section pertaining to that area. Exception/substitution, if accepted, must meet or exceed the stated intent and/or specifications. The absence of such a list shall indicate that the Offeror has not taken exceptions, and if awarded a contract, shall hold the Offeror responsible to perform in strict accordance with the specifications or scope of services contained herein.
- 1.12 Confidential Material:** All materials submitted in response to this RFP shall ultimately become public record and shall be subject to inspection after contract award. **"Proprietary or Confidential Information"** is defined as any information that is not generally known to competitors and which provides a competitive advantage. Unrestricted disclosure of proprietary information places it in the public domain. Only submittal information clearly identified with the words **"Confidential Disclosure"** and uploaded as a separate document shall establish a confidential, proprietary relationship. Any material to be treated as confidential or proprietary in nature must include a justification for the request. The request shall be reviewed and either approved or denied by the Owner. If denied, the proposer shall have the opportunity to withdraw its entire proposal, or to remove the confidential or proprietary restrictions. Neither cost nor pricing information nor the total proposal shall be considered confidential or proprietary.
- 1.13 Response Material Ownership:** All proposals become the property of the Owner upon receipt and shall only be returned to the proposer at the Owner's option. Selection or rejection of the proposal shall not affect this right. The Owner shall have the right to use all ideas or adaptations of the ideas contained in any proposal received in response to this RFP, subject to limitations outlined in the entitled "Confidential Material". Disqualification of a proposal does not eliminate this right.
- 1.14 Minimal Standards for Responsible Prospective Offerors:** A prospective Offeror must affirmably demonstrate their responsibility. A prospective Offeror must meet the following requirements.
- Have adequate financial resources, or the ability to obtain such resources as required.
  - Be able to comply with the required or proposed completion schedule.
  - Have a satisfactory record of performance.
  - Have a satisfactory record of integrity and ethics.
  - Be otherwise qualified and eligible to receive an award and enter into a contract with the Owner.
- 1.15 Open Records:** Proposals shall be received and publicly acknowledged at the location, date, and time stated herein. Offerors, their representatives and interested persons may

be present. Proposals shall be received and acknowledged only so as to avoid disclosure of process. However, all proposals shall be open for public inspection after the contract is awarded. Trade secrets and confidential information contained in the proposal so identified by offer as such shall be treated as confidential by the Owner to the extent allowable in the Open Records Act.

**1.16 Sales Tax:** The Owner is, by statute, exempt from the State Sales Tax and Federal Excise Tax; therefore, all fees shall not include taxes.

**1.17 Public Opening:** Proposals shall be opened virtually at the time and date noted on the Cover Page. Offerors, their representatives and interested persons may attend virtually. See Section 1.6 for details. Only the names and locations on the proposing firms will be disclosed.



## SECTION 2.0: GENERAL CONTRACT TERMS AND CONDITIONS

- 2.1. Acceptance of RFP Terms:** A proposal submitted in response to this RFP shall constitute a binding offer. Acknowledgment of this condition shall be indicated on the Letter of Interest or Cover Letter by the autographic signature of the Offeror or an officer of the Offeror legally authorized to execute contractual obligations. A submission in response to the RFP acknowledges acceptance by the Offeror of all terms and conditions including compensation, as set forth herein. An Offeror shall identify clearly and thoroughly any variations between its proposal and the Owner's RFP requirements. Failure to do so shall be deemed a waiver of any rights to subsequently modify the terms of performance, except as outlined or specified in the RFP.
- 2.2. Execution, Correlation, Intent, and Interpretations:** The Contract Documents shall be signed by the Owner and Firm. By executing the contract, the Firm represents that they have familiarized themselves with the local conditions under which the Services is to be performed and correlated their observations with the requirements of the Contract Documents. The Contract Documents are complementary, and what is required by anyone, shall be as binding as if required by all. The intention of the documents is to include all labor, materials, equipment, services and other items necessary for the proper execution and completion of the scope of services as defined in the technical specifications and drawings contained herein. All drawings, specifications and copies furnished by the Owner are, and shall remain, Owner property. They are not to be used on any other project.
- 2.3. Permits, Fees, & Notices:** The Firm shall secure and pay for all permits, governmental fees and licenses necessary for the proper execution and completion of the services. The Firm shall give all notices and comply with all laws, ordinances, rules, regulations and orders of any public authority bearing on the performance of the services. If the Firm observes that any of the Contract Documents are at variance in any respect, he shall promptly notify the Owner in writing, and any necessary changes shall be adjusted by approximate modification. If the Firm performs any services knowing it to be contrary to such laws, ordinances, rules and regulations, and without such notice to the Owner, he shall assume full responsibility and shall bear all costs attributable.
- 2.4. Responsibility for those Performing the Services:** The Firm shall be responsible to the Owner for the acts and omissions of all his employees and all other persons performing any of the services under a contract with the Firm.
- 2.5. Payment & Completion:** The Contract Sum is stated in the Contract and is the total amount payable by the Owner to the Firm for the performance of the services under the Contract Documents. Upon receipt of written notice that the services is ready for final inspection and acceptance and upon receipt of application for payment, the Owner's Project Manager will promptly make such inspection and, when they find the services acceptable under the Contract Documents and the Contract fully performed, the Owner shall make payment in the manner provided in the Contract Documents. Partial payments will be based upon estimates, prepared by the Firm, of the value of services performed and materials placed in accordance with the Contract Documents. The services performed by Firm shall be in accordance with generally accepted professional practices and the level of competency presently maintained by other practicing professional firms in the same or similar

type of services in the applicable community. The services and services to be performed by Firm hereunder shall be done in compliance with applicable laws, ordinances, rules and regulations.

- 2.6. Protection of Persons & Property:** The Firm shall comply with all applicable laws, ordinances, rules, regulations and orders of any public authority having jurisdiction for the safety of persons or property or to protect them from damage, injury or loss. Firm shall erect and maintain, as required by existing safeguards for safety and protection, and all reasonable precautions, including posting danger signs or other warnings against hazards promulgating safety regulations and notifying owners and users of adjacent utilities. When or where any direct or indirect damage or injury is done to public or private property by or on account of any act, omission, neglect, or misconduct by the Firm in the execution of the services, or in consequence of the non-execution thereof by the Firm, they shall restore, at their own expense, such property to a condition similar or equal to that existing before such damage or injury was done, by repairing, rebuilding, or otherwise restoring as may be directed, or it shall make good such damage or injury in an acceptable manner.
- 2.7. Changes in the Services:** The Owner, without invalidating the contract, may order changes in the services within the general scope of the contract consisting of additions, deletions or other revisions. All such changes in the services shall be authorized by Change Order/Amendment and shall be executed under the applicable conditions of the contract documents. A Change Order/Amendment is a written order to the Firm signed by the Owner issued after the execution of the contract, authorizing a change in the services or an adjustment in the contract sum or the contract time.
- 2.8. Minor Changes in the Services:** The Owner shall have authority to order minor changes in the services not involving an adjustment in the contract sum or an extension of the contract time and not inconsistent with the intent of the contract documents.
- 2.9. Uncovering & Correction of Services:** The Firm shall promptly correct all services found by the Owner as defective or as failing to conform to the contract documents. The Firm shall bear all costs of correcting such rejected services, including the cost of the Owner's additional services thereby made necessary. The Owner shall give such notice promptly after discover of condition. All such defective or non-conforming services under the above paragraphs shall be removed from the site where necessary and the services shall be corrected to comply with the contract documents without cost to the Owner.
- 2.10. Acceptance Not Waiver:** The Owner's acceptance or approval of any services furnished hereunder shall not in any way relieve the proposer of their present responsibility to maintain the high quality, integrity and timeliness of his services. The Owner's approval or acceptance of, or payment for, any services shall not be construed as a future waiver of any rights under this Contract, or of any cause of action arising out of performance under this Contract.
- 2.11. Change Order/Amendment:** No oral statement of any person shall modify or otherwise change, or affect the terms, conditions or specifications stated in the resulting contract. All amendments to the contract shall be made in writing by the Owner.

- 2.12. Assignment:** The Offeror shall not sell, assign, transfer or convey any contract resulting from this RFP, in whole or in part, without the prior written approval from the Owner.
- 2.13. Compliance with Laws:** Proposals must comply with all Federal, State, County and local laws governing or covering this type of service and the fulfillment of all ADA (Americans with Disabilities Act) requirements. Firm hereby warrants that it is qualified to assume the responsibilities and render the services described herein and has all requisite corporate authority and professional licenses in good standing, required by law.
- 2.14. Debarment/Suspension:** The Firm hereby certifies that the Firm is not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Governmental department or agency.
- 2.15. Confidentiality:** All information disclosed by the Owner to the Offeror for the purpose of the services to be done or information that comes to the attention of the Offeror during the course of performing such services is to be kept strictly confidential.
- 2.16. Conflict of Interest:** No public official and/or Owner employee shall have interest in any contract resulting from this RFP.
- 2.17. Contract:** This Request for Proposal, submitted documents, and any negotiations, when properly accepted by the Owner, shall constitute a contract equally binding between the Owner and Offeror. The contract represents the entire and integrated agreement between the parties hereto and supersedes all prior negotiations, representations, or agreements, either written or oral, including the Proposal documents. The contract may be amended or modified with Change Orders, Field Orders, or Amendment.
- 2.18. Project Manager/Administrator:** The Project Manager, on behalf of the Owner, shall render decisions in a timely manner pertaining to the services proposed or performed by the Offeror. The Project Manager shall be responsible for approval and/or acceptance of any related performance of the Scope of Services.
- 2.19. Contract Termination:** This contract shall remain in effect until any of the following occurs: (1) contract expires; (2) completion of services; (3) acceptance of services or, (4) for convenience terminated by either party with a written *Notice of Cancellation* stating therein the reasons for such cancellation and the effective date of cancellation at least thirty days past notification.
- 2.20. Employment Discrimination:** During the performance of any services per agreement with the Owner, the Offeror, by submitting a Proposal, agrees to the following conditions:
- 2.20.1.** The Offeror shall not discriminate against any employee or applicant for employment because of race, religion, color, sex, age, disability, citizenship status, marital status, veteran status, sexual orientation, national origin, or any legally protected status except when such condition is a legitimate occupational qualification reasonably necessary for the normal operations of the Offeror. The Offeror agrees to post in conspicuous places, visible to employees and applicants for employment, notices setting forth the provisions of this nondiscrimination clause.

- 2.20.2.** The Offeror, in all solicitations or advertisements for employees placed by or on behalf of the Offeror, shall state that such Offeror is an Equal Opportunity Employer.
- 2.20.3.** Notices, advertisements, and solicitations placed in accordance with federal law, rule, or regulation shall be deemed sufficient for the purpose of meeting the requirements of this section.
- 2.21. Immigration Reform and Control Act of 1986 and Immigration Compliance:** The Offeror certifies that it does not and will not during the performance of the contract employ illegal alien services or otherwise violate the provisions of the Federal Immigration Reform and Control Act of 1986 and/or the immigration compliance requirements of State of Colorado C.R.S. § 8-17.5-101, *et seq.* (House Bill 06-1343).
- 2.22. Ethics:** The Offeror shall not accept or offer gifts or anything of value nor enter into any business arrangement with any employee, official, or agent of the Owner.
- 2.23. Failure to Deliver:** In the event of failure of the Offeror to deliver services in accordance with the contract terms and conditions, the Owner, after due oral or written notice, may procure the services from other sources and hold the Offeror responsible for any costs resulting in additional purchase and administrative services. This remedy shall be in addition to any other remedies that the Owner may have.
- 2.24. Failure to Enforce:** Failure by the Owner at any time to enforce the provisions of the contract shall not be construed as a waiver of any such provisions. Such failure to enforce shall not affect the validity of the contract or any part thereof or the right of the Owner to enforce any provision at any time in accordance with its terms.
- 2.25. Force Majeure:** The Offeror shall not be held responsible for failure to perform the duties and responsibilities imposed by the contract due to legal strikes, fires, riots, rebellions, and acts of God beyond the control of the Offeror, unless otherwise specified in the contract.
- 2.26. Indemnification:** Offeror shall defend, indemnify and save harmless the Owner and all its officers, employees, insurers, and self-insurance pool, from and against all liability, suits, actions, or other claims of any character, name and description brought for or on account of any injuries or damages received or sustained by any person, persons, or property on account of any negligent act or fault of the Offeror, or of any Offeror's agent, employee, sub-Firm or supplier in the execution of, or performance under, any contract which may result from proposal award. Offeror shall pay any judgment with cost which may be obtained against the Owner growing out of such injury or damages.
- 2.27. Independent Firm:** The Offeror shall be legally considered an Independent Firm and neither the Firm nor its employees shall, under any circumstances, be considered servants or agents of the Owner. The Owner shall be at no time legally responsible for any negligence or other wrongdoing by the Firm, its servants, or agents. The Owner shall not withhold from the contract payments to the Firm any federal or state unemployment taxes, federal or state income taxes, Social Security Tax or any other amounts for benefits to the Firm. Further, the Owner shall not provide to the Firm any insurance coverage or other benefits, including Workers' Compensation, normally provided by the Owner for its employees.

- 2.28. Nonconforming Terms and Conditions:** A proposal that includes terms and conditions that do not conform to the terms and conditions of this Request for Proposal is subject to rejection as non-responsive. The Owner reserves the right to permit the Offeror to withdraw nonconforming terms and conditions from its proposal prior to a determination by the Owner of non-responsiveness based on the submission of nonconforming terms and conditions.
- 2.29. Ownership:** All plans, prints, designs, concepts, etc., shall become the property of the Owner.
- 2.30. Oral Statements:** No oral statement of any person shall modify or otherwise affect the terms, conditions, or specifications stated in this document and/or resulting agreement. All modifications to this request and any agreement must be made in writing by the Owner.
- 2.31. Patents/Copyrights:** The Offeror agrees to protect the Owner from any claims involving infringements of patents and/or copyrights. In no event shall the Owner be liable to the Offeror for any/all suits arising on the grounds of patent(s)/copyright(s) infringement. Patent/copyright infringement shall null and void any agreement resulting from response to this RFP.
- 2.32. Venue:** Any agreement as a result of responding to this RFP shall be deemed to have been made in, and shall be construed and interpreted in accordance with, the laws of the City of Grand Junction, Mesa County, Colorado.
- 2.33. Expenses:** Expenses incurred in preparation, submission and presentation of this RFP are the responsibility of the company and cannot be charged to the Owner.
- 2.34. Sovereign Immunity:** The Owner specifically reserves its right to sovereign immunity pursuant to Colorado State Law as a defense to any action arising in conjunction to this agreement.
- 2.35. Public Funds/Non-Appropriation of Funds:** Funds for payment have been provided through the Owner's budget approved by the City Council/Board of County Commissioners for the stated fiscal year only. State of Colorado statutes prohibit the obligation and expenditure of public funds beyond the fiscal year for which a budget has been approved. Therefore, anticipated orders or other obligations that may arise past the end of the stated Owner's fiscal year shall be subject to budget approval. Any contract will be subject to and must contain a governmental non-appropriation of funds clause.
- 2.36. Collusion Clause:** Each Offeror by submitting a proposal certifies that it is not party to any collusive action or any action that may be in violation of the Sherman Antitrust Act. Any and all proposals shall be rejected if there is evidence or reason for believing that collusion exists among the proposers. The Owner may or may not, at the discretion of the Owner Purchasing Representative, accept future proposals for the same service or commodities for participants in such collusion.
- 2.37. Gratuities:** The Firm certifies and agrees that no gratuities or kickbacks were paid in connection with this contract, nor were any fees, commissions, gifts or other considerations

made contingent upon the award of this contract. If the Firm breaches or violates this warranty, the Owner may, at their discretion, terminate this contract without liability to the Owner.

- 2.38. Performance of the Contract:** The Owner reserves the right to enforce the performance of the contract in any manner prescribed by law or deemed to be in the best interest of the Owner in the event of breach or default of resulting contract award.
- 2.39. Benefit Claims:** The Owner shall not provide to the Offeror any insurance coverage or other benefits, including Worker's Compensation, normally provided by the Owner for its employees.
- 2.40. Default:** The Owner reserves the right to terminate the contract in the event the Firm fails to meet delivery or completion schedules, or otherwise perform in accordance with the accepted proposal. Breach of contract or default authorizes the Owner to purchase like services elsewhere and charge the full increase in cost to the defaulting Offeror.
- 2.41. Multiple Offers:** If said proposer chooses to submit more than one offer, THE ALTERNATE OFFER must be clearly marked "Alternate Proposal". The Owner reserves the right to make award in the best interest of the Owner.
- 2.42. Cooperative Purchasing:** Purchases as a result of this solicitation are primarily for the Owner. Other governmental entities may be extended the opportunity to utilize the resultant contract award with the agreement of the successful provider and the participating agencies. All participating entities will be required to abide by the specifications, terms, conditions and pricings established in this Proposal. The quantities furnished in this proposal document are for only the Owner. It does not include quantities for any other jurisdiction. The Owner will be responsible only for the award for our jurisdiction. Other participating entities will place their own awards on their respective Purchase Orders through their purchasing office or use their purchasing card for purchase/payment as authorized or agreed upon between the provider and the individual entity. The Owner accepts no liability for payment of orders placed by other participating jurisdictions that choose to piggy-back on our solicitation. Orders placed by participating jurisdictions under the terms of this solicitation will indicate their specific delivery and invoicing instructions.
- 2.43. Definitions:**
- 2.43.1.** "Offeror" and/or "Proposer" refers to the person or persons legally authorized by the Consultant to make an offer and/or submit a response (fee) proposal in response to the Owner's RFP.
- 2.43.2.** The term "Services" includes all labor, materials, equipment, and/or services necessary to produce the requirements of the Contract Documents.
- 2.43.3.** "Firm" is the person, organization, firm or consultant identified as such in the Agreement and is referred to throughout the Contract Documents. The term Firm means the Firm or his authorized representative. The Firm shall carefully study and compare the Scope of Services, Addenda and Modifications and shall at once report to the Owner any error, inconsistency or omission he may discover. Firm shall not be liable to the Owner for any damage resulting from such errors, inconsistencies or omissions. The Firm shall not commence services without clarifying Drawings, Specifications, or Interpretations.

**2.43.4.** "Sub-Contractor is a person or organization who has a direct contract with the Firm to perform any of the services at the site. The term Sub-Firm is referred to throughout the contract documents and means a Sub-Contractor or his authorized representative.

**2.44. Public Disclosure Record:** If the Proposer has knowledge of their employee(s) or sub-proposers having an immediate family relationship with an Owner employee or elected official, the proposer must provide the Purchasing Representative with the name(s) of these individuals. These individuals are required to file an acceptable "Public Disclosure Record", a statement of financial interest, before conducting business with the Owner.

## SECTION 3.0: INSURANCE REQUIREMENTS

**3.1 Insurance Requirements:** The selected Firm agrees to procure and maintain, at its own cost, policy(s) of insurance sufficient to insure against all liability, claims, demands, and other obligations assumed by the Firm pursuant to this Section. Such insurance shall be in addition to any other insurance requirements imposed by this Contract or by law. The Firm shall not be relieved of any liability, claims, demands, or other obligations assumed pursuant to this Section by reason of its failure to procure or maintain insurance in sufficient amounts, durations, or types.

Firm shall procure and maintain and, if applicable, shall cause any Sub-Firm of the Firm to procure and maintain insurance coverage listed below. Such coverage shall be procured and maintained with forms and insurers acceptable to The Owner. All coverage shall be continuously maintained to cover all liability, claims, demands, and other obligations assumed by the Firm pursuant to this Section. In the case of any claims-made policy, the necessary retroactive dates and extended reporting periods shall be procured to maintain such continuous coverage. Minimum coverage limits shall be as indicated below unless specified otherwise in the Special Conditions:

(a) **Worker Compensation:** Firm shall comply with all State of Colorado Regulations concerning Workers' Compensation insurance coverage.

(b) **General Liability insurance with minimum combined single limits of:**

**ONE MILLION DOLLARS (\$1,000,000) each occurrence and  
ONE MILLION DOLLARS (\$1,000,000) per job aggregate.**

The policy shall be applicable to all premises, products and completed operations. The policy shall include coverage for bodily injury, broad form property damage (including completed operations), personal injury (including coverage for contractual and employee acts), blanket contractual, products, and completed operations. The policy shall include coverage for explosion, collapse, and underground (XCU) hazards. The policy shall contain a severability of interests provision.

(c) **Comprehensive Automobile Liability insurance with minimum combined single limits for bodily injury and property damage of not less than:**

**ONE MILLION DOLLARS (\$1,000,000) each occurrence and  
ONE MILLION DOLLARS (\$1,000,000) aggregate**

(d) **Professional Liability & Errors and Omissions Insurance policy with a minimum of:**

**ONE MILLION DOLLARS (\$1,000,000) per claim**

This policy shall provide coverage to protect the Firm against liability incurred as a result of the professional services performed as a result of responding to this Solicitation.



With respect to each of Consultant's owned, hired, or non-owned vehicles assigned to be used in performance of the Services. The policy shall contain a severability of interests provision.

- 3.2 Additional Insured Endorsement:** The policies required by paragraphs (b), and (c) above shall be endorsed to include the Owner and the Owner's officers and employees as additional insureds. Every policy required above shall be primary insurance, and any insurance carried by the Owner, its officers, or its employees, or carried by or provided through any insurance pool of the Owner, shall be excess and not contributory insurance to that provided by Firm. The Firm shall be solely responsible for any deductible losses under any policy required above.

## SECTION 4.0: SPECIFICATIONS/SCOPE OF SERVICES

- 4.1. General/Background:** The City of Grand Junction, established in 1885, is a home rule municipal corporation located in Mesa County, Colorado on the western slope of the Rocky Mountains, midway between Denver, Colorado and Salt Lake City, Utah. The policies of the City are established through ordinance and resolution by a seven person elected council. The City Council appoints a City Manager who functions as the chief executive officer of the City. Grand Junction serves Western Colorado and Eastern Utah as a regional hub for commercial and retail business, higher education, medical services, and recreational opportunities. It is the County Seat and is the largest city on the western slope with a population of approximately 62,000 within city limits and 153,000 county-wide. The city has approximately 700 Full Time Equivalent (FTE) positions.

More detailed information on the government and its finances can be found in prior years' Annual Comprehensive Financial Reports (ACFR). Electronic copies of the financial reports for prior fiscal years can be found on the City's website at [Annual Comprehensive Financial Report | Grand Junction, CO \(gjc.org\)](http://gjc.org/Annual-Comprehensive-Financial-Report).

The City has received the Governmental Finance Officers Association Certificate of Achievement for Excellence in Financial Reporting for thirty-seven years and expects to receive the award for its 2021 ACFR and for the entire term of this arrangement.

- 4.2. Financial Software:** The City utilizes Tyler Technology's New World ERP Financial, Payroll and Human Resources software packages.
- 4.3. Term of Contract:** This contract shall be for an audit of the City's financial statements for fiscal year January 1 through December 31, 2022. The City reserves the right to renew this contract for three (3) additional one-year periods annually upon review and recommendation of the Audit Committee, the satisfactory negotiation of terms, and the annual availability of budget appropriation.
- 4.4. Scope of Work:** The City of Grand Junction is soliciting a firm to audit the City's financial statements and express an opinion as to the compliance of those statements with generally accepted accounting principles that apply to government entities. The financial statements to be subjected to audit are those contained in the City's Annual Comprehensive Financial Report (ACFR).

The examination shall include a compliance audit of federal funds in accordance with the Office of Management and Budget's (OMB's) Circular A-133 and the Single Audit Act of 1984 for year one and Part 200 – Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in subsequent years, as applicable. The City typically has between one and three A-133 audits per year.

The examination shall also cover all City funds and any component or related entities which may exist at the time of the audit. At present the City's funds include, although the audit may not be limited to, the following:

**Governmental Funds**

General

**Special Revenue Funds**

Enhanced 911  
Visit Grand Junction  
Community Development Block Grant  
Parkland Expansion  
Lodgers Tax Increase  
First Responder Tax  
Conservation Trust  
Cannabis Sales Tax  
American Rescue Plan  
Public Safety Impact Fee

**Capital Projects Funds**

Sales Tax Capital Improvement  
Storm Drainage Improvement  
Transportation Capacity

**Debt Service Funds**

General Debt Service  
Ridges Debt Service  
GJ Public Finance Corp Debt Service  
Parkway Debt Retirement Debt Service

**Permanent Fund**

Cemetery Perpetual Care

**Downtown Development Authority (DDA) Funds**

DDA Operations Special Revenue  
DDA Capital Improvements  
DDA TIF Debt Service

**Grand Junction Dos Rios General Improvement District (GJDRGID) Funds**

GJDRGID Special Revenue  
GJDRGID Capital Improvements  
GJDRGID Debt Service

**Enterprise Funds**

Water  
Solid Waste  
Grand Junction Convention Center  
Golf  
Parking  
Irrigation

**Internal Service Funds**

Information Technology  
Fleet

Insurance  
Communication Center  
Facilities

**Fiduciary Funds**

School District Private Purpose Trust  
Parks Improvement Advisory Board  
General Trust  
DDA BID Agency Fund  
Police Old Hire Pension Trust  
Fire Old Hire Pension Trust

**City of Grand Junction/Mesa County Joint Sewer System**

- 4.5. Nature of Services:** The audit shall be performed in accordance with generally accepted auditing standards as promulgated by the American Institute of Certified Public Accountants and include financial and appropriate compliance matters as contained in the Charter, Code, Ordinances and Resolutions of the City of Grand Junction, and any applicable state and federal regulations.

The auditor shall be responsible for ensuring the City's financial statements conform to the requirements for the Certificate of Achievement for Excellence in Financial Reporting from the Government Finance Officers Association (GFOA).

As part of performing the audit, it is expected that the audit manager, partner or other qualified staff will be available as necessary during the year at no additional charge for advice and discussion on accounting, standards interpretation, and internal control matters concerning the City. This interaction usually takes place by telephonic/electronic communication.

The current auditor has been contracted for the past 6 years, and has been invited to submit a response to this RFP. The City last issued a competitive proposal in 2015. The audit fees in 2021 were \$36,900.

- 4.6. Reporting Requirements:** In connection with the audit engagement, the auditors shall provide the following separate reports. The respective reports will be addressed to the City of Grand Junction City Council Audit Committee:

**4.6.1** A report of examination of the financial statements stating the scope of the examination and that the audit was performed in accordance with generally accepted auditing standards will be provided. This report must include an opinion as to whether the statements conform to generally accepted accounting principles. A signed copy of the opinion plus a signed electronic copy will be required by the City.

**4.6.2** A report on internal control and compliance in accordance with the standards for financial and compliance audits contained in the Standards of Audits of Government Organizations, Programs, Activities, and Functions issued by the U.S. General Accounting Office. Findings of ineligible expenditures must be represented in enough detail for City management to be able to clearly understand them.

**4.6.3** A letter to management containing comments on compliance, recommendations for improvements, and any other comments deemed pertinent by the auditors and recommendations affecting the financial statements and internal control.

**4.7 Reports to be Issued:** Following completion of the audit of the year's financial statements, the auditor shall submit to the City of Grand Junction the following reports:

**4.7.1** Independent Auditor's Report on financial statements of the governmental activities, the business-type activities, the aggregate discretely presented components, each major fund and the aggregate remaining fund information of the City of Grand Junction and the City of Grand Junction/Mesa County Joint Sewer System in conformity with generally accepted accounting principles.

**4.7.2** Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with Government Auditing Standards.

**4.7.3** A report on compliance with applicable laws and regulations.

**4.7.4** Report on Schedule of Expenditures of Federal Awards Required by OMB Circular A-133.

**4.7.5** Independent Auditor's Report on Compliance for Each Major Program and on Internal Control Over Compliance Required by OMB Circular A-133.

**4.7.6** Schedule of Findings and Questioned Costs.

**4.7.7** Summary Schedule of Prior Audit Findings.

**4.7.8** A management letter, with appropriate recommendations, commenting on material weaknesses in internal accounting control, reportable conditions, and identifying possible noncompliance with finance related legal provisions.

**4.7.9** Independent Auditor's Report on Other Supplemental Information regarding receipts and expenditures for roads, bridges and streets.

**4.7.10** Form SF-FAC, Data Collection Form for Report on Audits of States, Local Governments and Non-Profit Organizations.

**4.7.11** Irregularities and illegal acts. Auditors are required to make an immediate, written report of all irregularities and illegal acts or indications of illegal acts of which they become aware to the following parties:

**4.7.11.1** City of Grand Junction City Council Audit Committee

**4.7.11.2** City Attorney

**4.7.11.3** City Manager

**4.7.11.4** Finance Director

**4.7.11.5** Any required oversight body or grantor

**4.8 Reportable Conditions:** In the required report(s) on internal controls, the auditor shall communicate any reportable conditions found during the audit. A reportable condition shall be defined as a significant deficiency in the design or operation of the internal control structure, which could adversely affect the organization's ability to record, process, summarize and report financial data consistently with the assertions of management in the financial statements. In addition, the following conditions shall be considered reportable.

**4.8.1.1** Reportable conditions that are also material weaknesses shall be identified as such in the report.

**4.8.1.2** Non-reportable conditions by the auditors shall be reported in a separate letter to City Management and shall be referred to in the report(s) on internal controls.

**4.8.1.3** The report on compliance shall include all material instances of noncompliance. All nonmaterial instances on noncompliance shall be reported in a separate management letter, which shall be referred to in the report on compliance.

**4.9 Special Considerations:** The City of Grand Junction will electronically send its annual comprehensive financial report to the Government Finance Officers Association (GFOA) of the United States and Canada for review in its Certificate of Achievement for Excellence in Financial Reporting (COA) program. It is anticipated that the auditor will be required to provide minimal assistance to the City of Grand Junction to meet the requirements of that program.

The City of Grand Junction currently anticipates that it will prepare one or more official statements in connection with the sale of debt securities which will contain the general purpose financial statements and the auditor's report. The auditor shall be required, if requested by the fiscal advisor and/or underwriter, to issue a "consent and citation of expertise" as the auditor and any necessary "comfort letters".

The schedule of federal financial assistance and related auditor's report, as well as the reports on internal control structure and compliance, are to be issued as part of the annual comprehensive financial report.

The statement of receipts and expenditures for roads, bridges and streets and related auditor's reports are to be issued as part of the annual comprehensive financial report.

**4.10 Working Paper Retention and Access to Working Papers:** All working papers and reports must be retained, at the auditor's expense, for a minimum of five (5) years unless the firm is notified in writing by the City of Grand Junction of the need to extend the retention period. The auditor shall be required to make working papers available, upon request, to the City of Grand Junction as part of an audit quality review process.

In addition, the firm shall respond to reasonable inquiries of successor auditors and allow successor auditors to review working papers relating to matters of continuing accounting significance.

**4.11 Timing, Location and Conduct of Audit Work:** The City expects to receive, prior to January 15 of each year, a list of schedules to be prepared and other items required for the audit.

Pre-closing, interim, tests and procedures shall be conducted at a mutually agreeable time.

The audit fieldwork will be scheduled for April and May remotely, on City premises, or a combination of remotely and on City premises as mutually agreed to by the City and Auditor. If on premises, the City will provide space deemed adequate to efficiently conduct the audit. Historically, one (1) lead auditor and 2-3 additional auditors are needed for 1-2 weeks.

Adjusted Trial balance figures are required by May 15. Prior to completion of the onsite audit, the auditor will meet with the Finance staff as necessary to review adjusting journal entries and with the Audit Committee to review any adjustments or concerns that might affect the completion of the financial statements.

Report completion and an exit conference are required by no later than June 15 of each year.

The Finance Department staff and responsible management personnel will be available during the audit to assist the audit firm by providing information, documentation, explanations and agreed upon schedules. The creation of confirmations will be the responsibility of the audit firm.

The report format will be similar to reports issued for the year ended December 31, 2021. The City will draft the annual comprehensive financial report and financial statements. The auditor will be responsible for proofing the typed reports. The City will be responsible for printing and binding the reports. The auditor will be responsible for providing sufficient sheets of firm letterhead for printing report and opinion pages.

**4.12 Federal and State Financial Assistance:** As part of the Single Audit Act, all Federal and State Financial Assistance received by the City must be audited. During the year(s) to be audited the City expects to receive financial assistance in the form of federal and state grants. An increase in federal programs is likely in the future.

**4.13 Pension Plans:** The City participates in five (5) defined contribution benefit plans covering various groups of employees. The City also participates in two (2) defined benefit agent multiple-employer pension plans.

**4.14 Other Post Employment Benefit Plans:** The City offers a retirement health benefit plan to its retirees as a component of the City's overall health insurance plan.

**4.15 Component Units:** The City is defined, for financial reporting purposes, in conformity with the Governmental Accounting Boards Codification of Government Accounting and Reporting Standards, Section 2100. Using these criteria, management of the City has identified the Downtown Development Authority and the City of Grand Junction Dos Rios General Improvement District for inclusion in the City's financial statements.

**4.16 Joint Ventures:** The City participates in a joint venture with other governments, the City of Grand Junction/Mesa County Joint Sewer System.

**4.17 RFP Tentative Time Schedule:**

- |  |                       |
|--|-----------------------|
| • Request for Proposal available on or about     | September 22, 2022    |
| • Inquiry deadline, no questions after this date | October 4, 2022       |
| • Addendum posted, if needed                     | October 6, 2022       |
| • Submittal deadline for proposals               | October 13, 2022      |
| • Owner evaluation of proposals                  | October 17 – 21, 2022 |
| • Final selection                                | October 28, 2022      |
| • City Council Approval:                         | November 16, 2022     |
| • Contract execution:                            | November 17, 2022     |
| • Work begins no later than                      | January 1, 2023       |

**4.18 All Questions Regarding this RFP:**  
Susan Hyatt., Senior Buyer  
[susanh@gjcity.org](mailto:susanh@gjcity.org)

## SECTION 5.0: PREPARATION AND SUBMITTAL OF PROPOSALS

**Submission:** Each proposal shall be submitted in electronic format only through the BidNet website, [www.bidnetdirect.com/colorado](http://www.bidnetdirect.com/colorado). This site offers both “free” and “paying” registration options that allow for full access of the City’s documents and for electronic submission of proposals. (Note: “free” registration may take up to 24 hours to process. Please Plan accordingly.) (Purchasing Representative does not have access or control of the vendor side of RMEPS. If website or other problems arise during response submission, vendor **MUST** contact RMEPS to resolve issue prior to the response deadline; **800-835-4603**). For proper comparison and evaluation, the City requests that proposals be formatted as directed. The uploaded response to this RFP shall be a single PDF document with all required information included. Offerors are required to indicate their interest in this Project, show their specific experience and address their capability to perform the Scope of Services in the Time Schedule as set forth herein. For proper comparison and evaluation, the Owner requires that proposals be formatted **A to F**:

- A. Cover Letter:** Cover letter shall be provided which explains the Firm’s interest in the project. The letter shall contain the name/address/phone number/email of the person who will serve as the firm’s principal contact person with Owner’s Contract Administrator and shall identify individual(s) who will be authorized to make presentations on behalf of the firm. The statement shall bear the signature of the person having proper authority to make formal commitments on behalf of the firm. By submitting a response to this solicitation, the Firm agrees to all requirements herein.
- B. Qualifications/Experience/Credentials:** Proposers shall provide statement of qualifications indicating the firm’s qualifications, experience with similar work, technical expertise, capability to perform the work, familiarity with the project area, and resumes.
- C. Strategy and Implementation Plan:** Describe your (the firm’s) interpretation of the Owner’s objectives with regard to this RFP. Describe the proposed strategy and/or plan for achieving the objectives of this RFP. The Firm may utilize a written narrative or any other printed technique to demonstrate their ability to satisfy the Scope of Services. The narrative should describe a logical progression of tasks and efforts starting with the initial steps or tasks to be accomplished and continuing until all proposed tasks are fully described and the RFP objectives are accomplished. Include a **time schedule** for completion of your firm’s implementation plan and an estimate of time commitments from Owner staff.
- D. References:** A minimum of three (3) **references** that can attest to your experience in projects of similar scope and size. **Please also summarize the projects completed with these references including:** Client Name, Address, Contact Person, Telephone, Email Address, Project Dates, Project Description, etc.
- E. Fee Proposal:** Provide an all-inclusive, not to exceed cost using Solicitation Response Form found in Section 7.0, accompanied by a complete list of costs breakdown including direct and indirect costs and all out of pocket expenses.
- F. Additional Data (optional):** Provide any additional information that will aid in evaluation of your qualifications with respect to this project.



## SECTION 6.0: EVALUATION CRITERIA AND FACTORS

- 6.1 Evaluation:** The Audit Committee and an evaluation team if appointed by the Audit Committee shall review all responses and select the proposal or proposals that best demonstrate the capability in all aspects to perform the scope of services and possess the integrity and reliability that will ensure good faith performance.
- 6.2 Intent:** Only respondents who meet the qualification criteria will be considered. Therefore, it is imperative that the submitted proposal clearly indicate the firm's ability to provide the services described herein.

Submittal evaluations will be done in accordance with the criteria and procedure defined herein. The Owner reserves the right to reject any and all portions of proposals and take into consideration past performance. The following parameters will be used to evaluate the submittals (**with weighted values**):

The following collective criteria shall be worth 90%
<ul style="list-style-type: none"><li>• <b>Responsiveness of Submittal to the RFP (10)</b> (Firm has submitted a proposal that is fully comprehensive, inclusive, and conforms in all respects to the Request for Proposals (RFP) and all of its requirements, including all forms and substance.)</li><li>• <b>Understanding of the Project and Objectives (30)</b> (Firm's ability to demonstrate a thorough understanding of the City's goals pertaining to this specific project.)</li><li>• <b>Experience (30)</b> (Firm's proven proficiency in the successful completion of similar projects.)</li><li>• <b>Strategy &amp; Implementation Plan (20)</b> (Firm has provided a clear interpretation of the City's objectives in regard to the project, and a fully comprehensive plan to achieve successful completion. See Section 5.0 Item C. – Strategy and Implementation Plan for details.)</li></ul>

The following criteria shall be worth 10%
* <b>Fees (10)</b>

Owner also reserves the right to take into consideration past performance of previous awards/contracts with the Owner of any vendor, Firm, supplier, or service provider in determining final award(s).

The Owner will undertake negotiations with the top-rated firm and will not negotiate with lower rated firms unless negotiations with higher rated firms have been unsuccessful and terminated.

- 6.3 Oral Interviews:** The Owner reserves the right to invite the most qualified rated proposer(s) to participate in oral interviews, if needed.
- 6.4 Award:** Firms shall be ranked or disqualified based on the criteria listed in Section 6.2. The Owner reserves the right to consider all of the information submitted and/or oral presentations, if required, in selecting the project Firm.

**SECTION 7.0: SOLICITATION RESPONSE FORM  
RFP-5110-22-SH Financial Audit Services**

*Offeror must submit entire Form completed, dated and signed.*

Year	All Inclusive Maximum Price
<b>2022</b>	
<b>2023</b>	
<b>2024</b>	
<b>2025</b>	
<b>Grand Total for 4 years</b>	

**Attach additional pages as needed to show direct and indirect costs and out of pocket expenses.**

**Total Amount Written:** \_\_\_\_\_ dollars

-----  
*The Owner reserves the right to accept any portion of the services to be performed at its discretion*  
 -----

The undersigned has thoroughly examined the entire Request for Proposals and therefore submits the proposal and schedule of fees and services attached hereto.

This offer is firm and irrevocable for sixty (60) days after the time and date set for receipt of proposals.

The undersigned Offeror agrees to provide services and products in accordance with the terms and conditions contained in this Request for Proposal and as described in the Offeror's proposal attached hereto; as accepted by the Owner.

Prices in the proposal have not knowingly been disclosed with another provider and will not be prior to award.

- Prices in this proposal have been arrived at independently, without consultation, communication or agreement for the purpose of restricting competition.
- No attempt has been made nor will be to induce any other person or firm to submit a proposal for the purpose of restricting competition.
- The individual signing this proposal certifies they are a legal agent of the offeror, authorized to represent the offeror and is legally responsible for the offer with regard to supporting documentation and prices provided.
- Direct purchases by the City of Grand Junction are tax exempt from Colorado Sales or Use Tax. Tax exempt No. 98-903544. The undersigned certifies that no Federal, State, County or Municipal tax will be added to the above quoted prices.
- City of Grand Junction payment terms shall be Net 30 days.
- Prompt payment discount of \_\_\_\_\_ percent of the net dollar will be offered to the Owner if the invoice is paid within \_\_\_\_\_ days after the receipt of the invoice. The Owner reserves the right to consider any such discounts when determining the bid award that are no less than Net 10 days

**RECEIPT OF ADDENDA:** The undersigned Firm acknowledges receipt of Addenda to the Solicitation, Specifications, and other Contract Documents. **State number of Addenda received:** \_\_\_\_\_

It is the responsibility of the Proposer to ensure all Addenda have been received and acknowledged.

\_\_\_\_\_  
Company Name – (Typed or Printed)

\_\_\_\_\_  
Authorized Agent Signature

\_\_\_\_\_  
Address of Offeror

\_\_\_\_\_  
City, State, and Zip Code

\_\_\_\_\_  
Authorized Agent – (Typed or Printed)

\_\_\_\_\_  
Phone Number

\_\_\_\_\_  
E-mail Address of Agent

\_\_\_\_\_  
Date



## **Professional Audit Services**

**For the Years Ending December 31, 2022 – 2025**

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1221 W. Mineral Avenue, Suite 202  
Littleton, CO 80120

 303-734-4800

 303-795-3356

 [www.HaynieCPAs.com](http://www.HaynieCPAs.com)

October 13, 2022

City of Grand Junction  
Mayor and City Council  
c/o: Susan Hyatt, Senior Buyer  
via Rocky Mountain E-Purchasing (BidNet Colorado)

Thank you for the opportunity to submit this proposal to the City of Grand Junction, hereafter referred to as the "City," for the years ending December 31, 2022 – 2025.

The objective and scope of the prospective engagement includes an audit, in accordance with Generally Accepted Auditing Standards (GAAS), of the comprehensive annual financial report (CAFR) for the year ended of December 31, 2022. We will also perform a Single Audit in relation to annual Federal Awards, if necessary. You will receive a letter to management containing comments on compliance, recommendations for improvements, and any other comments deemed pertinent relating to the financial statements, internal control and accounting related matters.

We commit to the City that our audit will be completed in a timely manner and meet all applicable deadlines. We believe that effective and timely communication is a very important part of this process and have a commitment to provide that high level of service.

As a local leader in providing audit services to local governments in Colorado, we believe that our proposal will demonstrate our unique qualifications to be your auditors and advisors. We offer the highest level of professionals with many years of experience in audit and advisory services who will meet the needs of the City efficiently.

If you have any questions or concerns about this proposal, please contact Ty Holman at 303-734-4800 or email him at [TyH@HaynieCPAs.com](mailto:TyH@HaynieCPAs.com). Should you desire, we would be happy to meet with you in the near future to discuss our proposal in greater detail.

Sincerely,



Ty Holman, CPA  
Audit Partner

# Qualifications/Experience/Credentials

## WHAT WE CAN DO FOR YOU

- We provide a full range of external auditing, accounting, income tax, consulting, internal auditing, litigation support, and management advisory services.
- Haynie & Company was recently recognized as both a Top 100 Firm for 2022 by *Accounting Today* and a 2022 Top Workplaces Culture Excellence by *Energage*.
- Our clients include a wide variety of small and mid-sized governments, public and private businesses and not-for-profit entities.
- We have well-trained staff with excellent credentials. They have proven their ability to effectively manage and control large audit and review engagements. Our work will always be efficient and to the highest professional standards. Each of our service teams, from supervisory senior to partner, have many years of combined experience serving clients.
- We currently represent and perform audits, consulting, accounting and reporting assistance for over 100 governmental entities in Colorado. We have more than 50 years of experience in auditing governmental entities which are comparable in complexity to the City.
- We have approximately twenty staff members in our Firm qualified and experienced in financial statement audit, Single Audit and other areas related to governmental entities.
- We strive for a culture of service. Our team focuses on building long-term relationships based on mutual trust and communication. We are committed to meet your needs in a timely manner and make our key people accessible to you.
- The Littleton office will be the primary office to perform the work on this audit with support from our other locations, as needed. During the 2020 fiscal year, the Littleton office generated approximately 50% of its total revenues from audit services with 20% of total revenue coming from governmental audits.
- Currently, the Littleton office is staffed by 5 partners, 5 Audit Managers, 18 audit staff, 4 Tax Managers, 7 tax staff and 3 support personnel, approximately 20 of whom have experience with governmental audits.

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## FIRM PROFILE

Haynie & Company is a unique, full-service CPA firm. We specialize in: audit, tax, accounting, consulting and management advisory services.



Haynie & Company began in 1960 as a small CPA firm in Salt Lake City. We've since grown into a thriving, regional firm with offices across Colorado, Utah, Texas, Arizona and Nevada. We continue to add professionals to our network through mergers of reputable, experienced CPA firms. With approximately 400 professionals and support staff firm-wide, we offer the experience and expertise of a leading regional firm, combined with the attention and focus of a dedicated local firm.

## WHAT YOU CAN EXPECT

Our firm vision is simple: Exceeding Expectations. We understand you need an advisor who can think ahead and offer custom solutions. Providing excellent service is our highest priority. Our focus will always be on building long-term relationships based on growth, trust, communication and service.

We have developed a strong network of professional affiliations, including our memberships in PrimeGlobal and RSM US Alliance. These affiliations greatly expand our experience and knowledge. No matter how large or complex your financial situation, we have the expertise you need.

PrimeGlobal is the third largest association of independent accounting firms in the world, providing a wide range of tools and resources to help member firms furnish superior accounting, auditing and management services to clients around the globe.

RSM US Alliance provides member firms with access to the resources, tools and expertise of RSM US LLP, which is the fifth largest provider of audit, tax and consulting services in the United States.

## INDEPENDENCE

Haynie & Company and its personnel are independent of the City of Grand Junction, as defined by AICPA Rule 101 and the U.S. General Accounting Office's *Government Auditing Standards*. Haynie & Company has performed the City's annual financial statement audits for the past six years but maintains its independence with regards to this proposed engagement.



## **QUALITY CONTROL**

Haynie & Company meets the external quality control review requirements contained in the current U.S. General Accounting Office's *Government Auditing Standards*. A copy of our firm's most recent Peer Review Report, issued on December 18, 2020, is included as Attachment A (page 16). That quality control review included a review of specific government engagements.

There have been no federal or state desk or field reviews of our audits during the past three years, nor have we been subjected to such actions in the history of our firm.

Additionally, our firm is a member of the AICPA Government Audit Quality Center.

## **LICENSING**

Haynie & Company and all key engagement personnel are properly licensed in Colorado and there are no disciplinary actions against the firm or its personnel currently, nor in the past three years. All professional staff are current with their continuing professional education according to the requirements contained in the *Government Auditing Standards*. Haynie & Company is not involved in any formal court proceedings.

## **NON-DISCRIMINATION**

Our firm does not discriminate against any individual due to race, religion, color, sex, age, disability, citizenship status, marital status, veteran status, sexual orientation, national origin or any legally-protected status and these are not factors in consideration for employment, selection of training, promotion, transfers, recruitment, rates of pay, or other forms of compensation, demotion, or separation.

## **INSURANCE INFORMATION**

Haynie & Company carries professional liability insurance through Navigators Insurance Company with a current limit of \$2,500,000 per claim. Our Workers Compensation and Employers Liability Policy is through The Hartford and has a limit of \$1,000,000 per occurrence. Our Commercial Liability policy also has a limit of \$1,000,000 per occurrence and the City of Grand Junction can be added as an additional insured, if necessary.

Please see Attachment B (page 17) for a detailed Firm Affiliations.

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## OUR TEAM

Haynie & Company will not require the use of any other firm's personnel during this audit. Due to our regional size, we have audit staff from our other offices whose services we can call upon, if required. Our experienced staff is committed to fulfilling your needs.

Haynie & Company has traditionally experienced below average turnover in our industry. We are committed to maintaining the continuity of the audit team on the City's engagement for the duration of the audit. We feel strongly regarding the importance of staff continuity, especially at the partner and manager level, and commit to your organization our goal to maintain the same personnel on the audit.

All personnel receive regular continuing professional education through internal and external training. This training includes updates on new and significant accounting changes and industry-focused training, including training for all staff in the areas of governmental accounting and Single Audits. All staff is current with their continuing professional education requirements, including relevant training on significant recent GASB pronouncements. Additionally, all key personnel receive the required Yellow Book training hours annually.

All staff will be adequately supervised and no personnel at a level below senior auditor will be on-site without supervision. In fact, most or all of the time on-site will include the direct supervision of a manager or partner. Should circumstance require our fieldwork to be performed off-site, all staff will continue to be directly supervised and coordinated by a manager or partner.

All individuals that would be assigned to your audit have extensive experience in government audit and accounting. Key members of the audit team and their qualifications are as follows:



### **Ty Holman, CPA – Audit Partner**

Ty is a graduate of Brigham Young University and Mesa State College. He is a member of the American Institute of Certified Public Accountants (AICPA), and the Colorado Society of Certified Public Accountants (CSCPA). Ty worked with Ernst & Young and Great West Life and Annuity in Denver, Colorado prior to joining Haynie & Company in 2008. He has experience in a variety of areas, including: governmental, not-for-profit, HUD, SEC and private clients in a number of industries. Ty's clients come from a variety of industries including, real estate, financial services, technology, professional services, hospitality, mining, special districts, cities, and not-for-profit entities. Ty has extensive experience in governmental audit and accounting. Ty is also Yellow Book compliant with respect to continuing professional education.



### **Nick Warnick, CPA – Concurring Audit Partner**

Nick Warnick is a graduate of Brigham Young University with a Master's degree in accounting. Nick is a member of the American Institute of Certified Public Accountants (AICPA) and Colorado Society of Certified Public Accountants (CSCPA). Nick joined Haynie & Company in 2007 and works in the Littleton, Colorado office with various types of clients including governmental, not-for-profit, HUD and private. These clients operate in a variety of practice areas including cities, special districts, mining, manufacturing, broker/dealer, recreation and real estate. Before joining Haynie, Nick was a manager with Ernst and Young in Denver, Colorado, where he worked for five years in a variety of areas including healthcare, financial services, insurance, technology, manufacturing, and professional services, including experience in consolidations, mergers, acquisitions and SEC reporting. Nick also worked with Western Union, a public company in the financial services industry, as a senior manager with the internal audit team. Nick is Yellow Book compliant with respect to continuing professional education.



### **Shannon Fuller – Audit Manager**

Shannon joined Haynie & Company in 2010 after she graduated from Colorado State University. She is a member of the Colorado Society of Certified Public Accountants (CSCPA) and has experience in a variety of areas, including governmental, not-for-profit, HUD and private clients in a number of industries. Shannon has completed her Yellow Book continuing education requirements and works on many governmental audits throughout Colorado.

Other audit associates will be selected from our well-trained group of staff and will have all of the necessary competencies to perform your audit.

In the event a member of the audit team is unable to perform auditing services for the City, we will replace that team member with someone of similar qualifications. Any changes to the personnel assigned to your audit would be properly licensed and have experience comparable to those staff members they are replacing. The staff member's credentials and experience would be provided to the City for your approval after any investigation deemed appropriate.

Our firm recognizes that we are in a personal service industry and accordingly, are committed to the development of appropriate relationships with our clients. We understand that proper client service is based upon mutual trust and confidence. We maintain private lines to our key people so that our clients can always gain access to them. You will always have priority regarding access to our professional staff. We will communicate via telephone and email whenever an issue arises that requires discussion.

# Strategy and Implementation Plan

**Communication is essential for an efficient and effective audit.** We believe that consistent and reliable communication is vital for us to provide the City with outstanding services, and is an area that we feel differentiates us from the rest. You will always have access to our audit team, from staff to partner, and our internal policy of returning correspondence within one business day will ensure that communication is timely.

**The audit should not interfere with managing your business.** Haynie & Company has developed an approach for maximum audit effectiveness and efficiency that focuses on understanding the entity's operations and the risks it faces. In addition to understanding the accounting system and records of the entity, we focus on the objectives and the techniques used by management to evaluate operations and design our audits.

## HAYNIE & COMPANY AUDIT BENEFITS:

- A **well-planned, well-controlled** audit that employs communication and cooperation with your staff to produce a high quality, efficient audit.
- An **insightful** presentation to the audit committee/board of directors that focuses on significant issues with reasonable recommendations. This presentation will include any significant compliance or controls issues, along with other matters such as suggestions for improved efficiencies.
- An **innovative** style in which our partners, managers and staff are involved year-round, actively seeking and communicating ways to help enhance the audit process and deepen our understanding of your company.

Our audit approach is designed to proactively address risk associated with the audit process and provide value to our clients. Our audit approach is typically divided into three phases: planning, fieldwork and review.

## PLANNING

Our audit approach relies heavily on a detailed assessment of the control environment and operating strengths and weaknesses of your company.

## INTERIM FIELDWORK

If it is determined to add to the audit efficiency, we will perform interim testing prior to year-end. Generally during this phase, we perform most of our Single Audit compliance testing (if necessary), document and test the accounting system and internal controls. We will at times perform some substantive testing of accounts where appropriate.

## **YEAR-END FIELDWORK**

This phase entails the bulk of our testing of financial statement account balances. Also, any open items from the interim fieldwork are addressed. Major federal programs are determined in accordance with the risk-based approach outlined in the Single Audit Act, and compliance testing wrapped up (if necessary).

## **REPORTING**

The auditor's reports and City Council presentation are drafted and reviewed with management. Upon approval by management, final versions of the reports are issued, and the Data Collection Form will be reviewed and approved for submission to the Federal Audit Clearing House, if necessary.

## **REVIEW**

In order to assure the work and final report are of the highest quality, the files and reports must pass through a minimum of two reviews. Each review focuses on specific areas of the audit with intentional overlap so every part of the audit is reviewed in detail. Depending on the size and nature of an engagement, additional reviews are added to further ensure audit quality. We will also provide comments on preliminary drafts of your financial reports. We will work closely with you as you complete the final document, which will include our report.

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## OUR AUDIT PROCESS:



- Client acceptance
- Identify risks
- Identify key processes and transactions
- Establish engagement dates
- Determine materiality



- Review policies and procedures
- Review internal control documentation
- Perform walkthroughs of key processes
- Interview client personnel
- Review prior-year and interim financial data
- Review preliminary financial data



- Develop targeted audit procedures
- Determine sampling plan for testing
- Send third party confirmations
- Coordinate audit fieldwork with management



- Fieldwork
- Substantive testing
- Inquiries
- Analytical testing
- Review of audit results with management



- Review draft financial statements
- Review schedule of expenditures of federal awards
- Issue final opinions
- Meet with audit committee or board of directors

Haynie & Company's approach to audits of governmental entities is a highly-tailored adaptation of our basic risk-based audit approach. We modify our audit programs toward the financial statement assertions with respect to each account and business cycle. These adjustments serve two purposes: they streamline the audit procedures to make us more efficient; and, more importantly, they focus the audit procedures to make us more effective. We utilize on-line audit programs and customize the sample sizes and audit approach utilizing our audit program tools for each client.

## TECHNOLOGY

Haynie & Company focuses on cutting edge technology as a differentiator that allows us to streamline the audit process, be responsive to client needs, and back up all work papers. We utilize two robust systems to accomplish this:

- *ProSystem fx Engagement* is our engagement document management software that is an electronic binder to house and organize our audit documentation. This software allows the engagement team to easily collaborate with team members and clients.
- *Suralink* is a web-based audit management application that maintains all paper and electronic files in a secure, off-site data center, allowing for convenient, 24/7 access from any location. Haynie & Company professionals and client personnel can access all documents quickly and easily, which results in increased efficiency and quick response times for client requests. Suralink also functions as an open items list that can be accessed and maintained by the City and the audit team.

## FINANCIAL STATEMENT REVIEW

Haynie & Company views the financial statements and our reports as the finished product of the audit process, and as such reflects the culmination of client and our work. With this view in mind, we have established a proven process for ensuring the highest quality financial statements are produced. The key to this is a robust set of procedures that utilize our experience and knowledge.

- *Training* – The basis for our technical review is the expertise of our engagement team. All of our key reviewers are CPA's that receive not only required continuing education but also targeted training in areas specific to governmental accounting and audit.
- *Tie-Out Procedures* – One of our initial steps in the review process is to complete a thorough tie-out of all financial and other information included in the financial statements to account balances and other supporting documentation. This step ensures that all data is properly presented and agrees to supporting records. Additionally, we utilize the most

up-to-date non-profit reporting checklists to make sure the financial statements are properly presented.

- *Supervisory Review* – All drafts throughout the reporting process are initially reviewed by managers and partners that were directly involved with the audit. This allows for the knowledge gained during the audit process to be utilized to ensure proper presentation and accuracy. The financial statements are reviewed for technical accuracy and overall readability.
- *Concurring Partner Review* – In addition to the manager and partner review mentioned above, in some cases, an audit partner that is not part of the engagement team reviews the financial statements and other key audit areas. The partner selected for this review is someone with extensive non-profit accounting and auditing experience, and the primary objective is to use an objective eye to determine that the financial statements contain all required disclosures and appear to be fairly presented.

## **YEAR-ROUND CONTACT**

An audit should not be treated as a once-a-year event. We will stay in touch with your team on an ongoing basis to learn about events that may have significant audit impact — enabling us to help you deal with them as they occur.

## **WORKING WITH US GOING FORWARD**

We are excited for the opportunity to continue working with you on your annual audit services. This would be a smooth transition, as we would still have the benefit of our past understanding of your organization and its processes.

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## PROJECT SCHEDULE

We are happy to coordinate our work to best fit the City's needs. We are committed to providing the highest level of independent auditing services.

We generally provide an audit request list at least one month in advance of our anticipated interim and year-end fieldwork. This will allow you sufficient time to prepare the required schedules and to discuss any questions or concerns with the audit team.

We require the requisite data and information in support of the general ledger account balances including general ledgers and trial balances, detailed general ledger reports, lead schedules and work paper retrieval and refile of documents based on pre-submitted lists, preparation of confirmations and other required letters. We rely heavily on the subsidiary schedules and information that you produce in the normal course of business to the extent possible, rather than require that you reconfigure and reformat data to our preferred format.

The estimated timeline below depends on the availability of records and the cooperation of management. Haynie & Company will complete the audit by the required deadline.

Task	Tentative Timing	Estimated Time Commitments from City Staff
Planning meeting with management	October/ November 2022	~1 hour
Update our understanding of processes and internal controls	November- December 2022	~2 hours
Develop audit plan	November- December 2022	none
Fieldwork	April - May 2023	~80 hours
Wrap-up and discussion of draft financial statements and report to management and the board	May - June 2023	~10 hours
Final audit report and report issued to management and the board	June - July 2023	none

## References

Below is a list of references for some of governmental clients that are similar in scope and size to the City of Grand Junction that have been audited by the staff members from our local office who would be assigned to your audit:

Client Name	Mailing Address	Contact Person	Phone	Email	Project Dates	Project Description	Total Hours
Town of Estes Park	170 MacGregor Ave. P.O. Box 1200 Estes Park, CO 80517	Duane Hudson	970-577-3560	dhudson@estes.org	2020-2021	Audit, Single Audit and FS Prep	~250
City of Fort Morgan	710 E. Railroad Ave. Fort Morgan, CO 80701	Christina Bostron	970-542-3964	Chris.bostron@cityoffortmorgan.com	2020-2021	Audit, Single Audit and FS Prep	~210
City of Evans	1100 37 <sup>th</sup> Street Evans, CO 80620	Jacque Troutd	970-475-1170	jtroutd@evanscolorado.gov	2020-2021	Audit, Single Audit and FS Prep	~200
City of Lone Tree	9220 Kimmer Drive Lone Tree, CO 80124	Ulli Nierling	720-509-1301	Ulli.nierling@cityoflonetree.com	2020-2021	Audit, Single Audit	~150

We currently audit the following governmental agencies who received the GFOA Certificate of Excellence in Financial Reporting during the most recent fiscal year:

- City of Grand Junction
- City of Brighton
- City of Fountain
- City of Lone Tree
- Town of Estes Park
- Durango Fire Protection District
- Plum Creek Water Reclamation Authority

Please see Attachment C (page 18) for a list of our current Colorado governmental audit clients.

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## **Fee Proposal**

We believe our fees are competitive and reflect our desire to be of service to you. We continually strive to keep our fees at the lowest level consistent with the highest professional standards and your requirements for timely services. We will work closely with your personnel and anticipate their active participation in the areas where they can contribute to the audit effort and minimize our fees.

Our fees include all out-of-pocket expenses such as travel, report printing, postage, etc. We do not charge additional fees for general accounting and audit inquiries throughout the year. The fees quoted are with the understanding of cooperation from your staff in completing the information requested in our client organizer.

If additional accounting services are needed or desired, such work would be performed at our standard hourly rates and approval would be obtained before proceeding with the work.

The Solicitation Response Form outlining our proposed fees for 2022 – 2025 is included as Attachment D (page 22.)

## **Additional Data/Conclusion**

Thank you for the opportunity to present Haynie & Company and the services that we can provide. We understand that you have many options and hope that through this proposal you can see those areas that distinguish us from others within the industry. We pride ourselves on good communication, highly-skilled professionals and providing exceptional service. Please feel free to call Ty Holman at 303-734-4800 or e-mail him at [TyH@HaynieCPAs.com](mailto:TyH@HaynieCPAs.com) with any questions you may have.

## **Attachment A** **FIRM AFFILIATIONS**

### **PROUD MEMBERS OF:**

- PrimeGlobal
- RSM US Alliance
- American Institute of Certified Public Accountants (AICPA)
- AICPA Center for Audit Quality
- AICPA Employee Benefit Plan Audit Quality Center
- AICPA Government Audit Quality Center
- Public Company Accounting Oversight Board
- Utah Association of CPAs
- Colorado Society of CPAs
- Texas Society of CPAs
- Nevada Society of CPAs
- Arizona Society of CPAs
- California Society of CPAs
- Utah Non-Profits Association
- Utah Mechanical Contractors Association
- Associated Building Contractors
- National Association of Certified Valuation Analysts (NACVA)
- Association of Certified Fraud Examiners (ACFE)
- Construction Financial Management Association (CFMA)
- Governmental Finance Officers Association (GFOA)
- Affordable Housing Association of Certified Public Accountants (AHACPA)

An independently owned member  
**RSM US Alliance**



*The Association of Advisory  
and Accounting Firms*

# **Attachment B**

## **PEER REVIEW REPORT**

This quality control review included a review of specific government engagements.



### **Report on the Firm's System of Quality Control**

December 18, 2020

To the Partners of Haynie & Company and the  
National Peer Review Committee

We have reviewed the system of quality control for the accounting and auditing practice of Haynie & Company (the firm) applicable to engagements not subject to PCAOB permanent inspection in effect for the year ended October 31, 2019. Our peer review was conducted in accordance with the Standards for Performing and Reporting on Peer Reviews established by the Peer Review Board of the American Institute of Certified Public Accountants (Standards).

A summary of the nature, objectives, scope, limitations of, and the procedures performed in a System Review as described in the Standards may be found at [www.aicpa.org/prsummary](http://www.aicpa.org/prsummary). The summary also includes an explanation of how engagements identified as not performed or reported in conformity with applicable professional standards, if any, are evaluated by a peer reviewer to determine a peer review rating.

#### **Firm's Responsibility**

The firm is responsible for designing a system of quality control and complying with it to provide the firm with reasonable assurance of performing and reporting in conformity with applicable professional standards in all material respects. The firm is also responsible for evaluating actions to promptly remediate engagements deemed as not performed or reported in conformity with professional standards, when appropriate, and for remediating weaknesses in its system of quality control, if any.

#### **Peer Reviewer's Responsibility**

Our responsibility is to express an opinion on the design of the system of quality control and the firm's compliance therewith based on our review.

#### **Required Selections and Considerations**

Engagements selected for review included engagements performed under *Government Auditing Standards*, including compliance audits under the Single Audit Act; audits of employee benefit plans, and audits of broker-dealers.

As a part of our peer review, we considered reviews by regulatory entities as communicated by the firm, if applicable, in determining the nature and extent of our procedures.

#### **Opinion**

In our opinion, the system of quality control for the accounting and auditing practice of Haynie & Company applicable to engagements not subject to PCAOB permanent inspection in effect for the year ended October 31, 2019, has been suitably designed and complied with to provide the firm with reasonable assurance of performing and reporting in conformity with applicable professional standards in all material respects. Firms can receive a rating of *pass*, *pass with deficiency(ies)* or *fail*. Haynie & Company has received a peer review rating of *pass*.

***KerberRose SC***  
KerberRose SC

115 E. Fifth Street | Shawano, WI 54166  
P: (715) 526-9400 Toll Free: (800) 729-2065 F: (715) 524-2599  
Website: <https://kerberrose.com>



## Attachment C

### CURRENT COLORADO GOVERNMENTAL AUDIT CLIENTS

Client Name	Dates of the Audit
9th Avenue Metropolitan District No. 2	2018 - 2021
Alpensee Water District	2021
Animas La-Plata Water Conservancy District	2012 - 2021
Arista Metropolitan District	2009 - 2021
Aspen Hills Metropolitan District	2021
Aspen Park Metropolitan District	2010 - 2020
Bancroft-Clover Water and Sanitation District	2011 - 2020
Base Village Metropolitan District No. 2	2021
Bella Mesa Metropolitan District	2020 - 2021
Berthoud-Heritage Metropolitan District No. 1	2021
Berthoud-Heritage Metropolitan District No. 9	2021
Blue Lake Metropolitan District No. 2	2016 - 2021
Blue Lake Metropolitan District No. 3	2018 - 2021
Blue Mountain Water District	2015 - 2021
BNC Metropolitan District No. 3	2006 - 2021
Boxelder Sanitation District	2019 - 2021
Brighton Fire Rescue District	2021
Buffalo Highlands Metropolitan District	2018 - 2021
Castleview Metropolitan District No. 1	2021
Castleview Metropolitan District No. 2	2021
CCP Metropolitan District No. 3	2018 - 2021
Centennial Water & Sanitation District	2021
Centerra Metropolitan District No. 1	2021
Centerra Public Improvement Collection Corp	2021
Central Clear Creek Sanitation District	2021
Chatfield Corners Metropolitan District	2021
Chatfield South Water District	2002 - 2021
Cherry Creek Metropolitan District No. 5	2021
Cherry Creek Valley Water and Sanitation District	2005 - 2021
City Center West Residential Metropolitan District No. 2	2019 - 2021
City of Brighton*	2018 - 2021
City of Castle Pines	2014 - 2021
City of Evans*	2020 - 2021
City of Fort Morgan	2020 - 2021
City of Fountain*	2019 - 2021
City of Grand Junction*	2015 - 2021
City of Lone Tree*	2020 - 2021
City of Victor	2021
CitySet Metropolitan District No. 2	2012 - 2021
Clear Creek Valley Water & Sanitation District	2019 - 2021
Colorado River Fire Rescue Protection District	2017 - 2021
Conestoga Metropolitan District No. 1	2021
Conestoga Metropolitan District No. 2	2021
Conifer Metropolitan District	2019 - 2021
Cottonwood Hollow Commercial Metrooplitan District	2017 - 2021
Cottonwood Hollow Residential Metropolitan District	2017 - 2021
Cross Creek Metropolitan District	2012 - 2021
Cumberland Green Metropolitan District	2007 - 2021
Cundall Farms Metropolitan District	2021
Dancing Willows	2015 - 2021
Deer Creek Water District	2021
Dominion Water & Sanitation District	2015 - 2021
Durango Fire Protection District*	2008 - 2021
Eagle Meadow Metropolitan District	2021

\* Colorado clients who received the GFOA Certificate of Excellence in Financial Reporting during the most recent fiscal year

## Attachment C

### CURRENT COLORADO GOVERNMENTAL AUDIT CLIENTS (continued)

Client Name	Dates of the Audit
Encore on 34 Metropolitan District No. 1	2018 - 2021
Erie Farm Metropolitan District	2015 - 2021
Evergreen Fire Protection District	2020 - 2021
Forest Hills Metropolitan District	2011 - 2021
Fossil Ridge Metropolitan District #1	2019 - 2021
Fossil Ridge Metropolitan District #2	2019 - 2021
Fossil Ridge Metropolitan District #3	2019 - 2021
Fountain Mutual Metropolitan District	2018 - 2021
Foxhill Metropolitan District No. 1	2021
Foxhill Metropolitan District No. 2	2021
Front Range Fire Rescue Fire Protection District	2016 - 2021
Fruitdale Sanitation District	2015 - 2021
Genesee Fire Protection District	2016 - 2021
Greater Brighton Fire Protection District	2021
Green Valley Ranch Metropolitan District	2002 - 2021
Hartsel Fire Protection District	2016 - 2021
Haskins Station Metropolitan District	2019 - 2021
Hermosa Sanitation District	2021
Highland Estates Metropolitan District	2018 - 2021
Highland Rescue Team Ambulance District	2017 - 2021
Highlands Ranch Metropolitan District	2021
Hillcrest Water & Sanitation District	2021
Hoover Hill Water & Sanitation District	2021
Hunter's Overlook Metropolitan District No. 1	2021
Hunter's Overlook Metropolitan District No. 2	2021
Hunter's Overlook Metropolitan District No. 3	2021
Hunter's Overlook Metropolitan District No. 4	2021
Hunter's Overlook Metropolitan District No. 5	2021
Hunter's Overlook Metropolitan District No. 6	2021
Inspiration Metropolitan District	2017 - 2021
Iron Mountain Metropolitan District No. 2	2021
Jefferson County Communications Authority	2019 - 2021
Ken Caryl Ranch Water and Sanitation District	2000 - 2021
Kittredge Sanitation District	2021
La Plata West Water Authority	2021
Lake Durango Water Authority	2019 - 2021
Lambertson Lakes Metropolitan District	2007 - 2021
Lanterns Metropolitan District No. 1	2019 - 2021
Lewis Pointe Metropolitan District	2015 - 2021
Lochbuie Station Residential Metropolitan District	2020 - 2021
Lookout Mountain Metropolitan District	2019 - 2021
Lupton Village Commercial Metropolitan District	2021
Lupton Village Residential Metropolitan District	2021
Mansfield Heights Water & Sanitation District	2014 - 2021
McKay Landing Metropolitan District No. 2	2002 - 2021
Medical School Campus Public Infrastructure District	2021
Miller's Landing Business Improvement District	2021
Mount Vernon Country Club Metropolitan District	2019 - 2021
Mountain Shadows Metropolitan District	2015 - 2021
Muegge Farms Metropolitan District No. 1	2021
Muegge Farms Metropolitan District No. 3	2021
Nexus North Metropolitan District	2021
North Range Metropolitan District No. 1	2012 - 2021
North Range Metropolitan District No. 3	2012 - 2021

\* Colorado clients who received the GFOA Certificate of Excellence in Financial Reporting during the most recent fiscal year

## Attachment C

### CURRENT COLORADO GOVERNMENTAL AUDIT CLIENTS (continued)

Client Name	Dates of the Audit
North Table Mountain Water & Sanitation District	2015 - 2021
North Washington Street Water & Sanitation District	2013 - 2021
Northern Commerce Metropolitan District	2015 - 2021
Olathe Fire Protection District	2016 - 2021
Paint Brush Hills Metropolitan District	2020 - 2021
Park Meadows Metropolitan District	2002 - 2021
Parkdale Community Authority Board	2021
Plum Creek Water Reclamation Authority*	2012 - 2021
Poudre Tech Metropolitan District	2014 - 2021
Prosper Coordinating Metropolitan District	2017 - 2021
Prosper Coordinating Metropolitan District No. 4	2017 - 2021
Raindance Metropolitan District No. 1	2017 - 2021
Raindance Metropolitan District No. 2	2017 - 2021
Raindance Metropolitan District No. 3	2021
Rangeview Metropolitan District	2021
Reunion Metropolitan District	2012 - 2021
Ridgeline Vista Metropolitan District	2021
ROAM Public Infrastructure District No. 1	2021
ROAM Public Infrastructure District No. 2	2021
Rose Farm Acres Metropolitan District	2020 - 2021
Saddler Ridge Metropolitan District	2017 - 2021
Severance Shores Metropolitan District No. 1	2020 - 2021
Severance Shores Metropolitan District No. 4	2020 - 2021
Sky Ranch Community Authority Board	2018 - 2021
Sky Ranch Metropolitan District No. 1	2021
Skyview Meadows Metropolitan District	2021
Smoky Hill Metropolitan District	2002 - 2021
South Maryland Creek Metropolitan District	2019 - 2021
South Sheridan Water & Sanitation District	2014 - 2021
South Sloan's Lake Metropolitan District No. 1	2016 - 2021
South Sloan's Lake Metropolitan District No. 2	2016 - 2021
Southeast Metropolitan Stormwater Authority	2021
Southgate Sanitation District	2014 - 2021
Southgate Water District	2014 - 2021
Southwestern Water Conservation District	2011 - 2021
Sterling Ranch Community Authority Board	2015 - 2021
Sterling Ranch Colorado Metropolitan District No. 2	2019 - 2021
Sterling Ranch Colorado Metropolitan District No. 3	2017 - 2021
Tallman Gulch Metropolitan District	2018 - 2021
Tamarron Metropolitan District	2009 - 2021
The Brands Metropolitan District No. 1	2017 - 2021
The Brands Metropolitan District No. 4	2017 - 2021
Timbers Metropolitan District	2018 - 2021
Town of Deer Trail	2014 - 2021
Town of Estes Park*	2020 - 2021
Town of Limon	2009 - 2021
Town of Milliken	2021
Trails at Crowfood Metropolitan District #3	2019 - 2021
Trailside Metropolitan District No. 4	2019 - 2021
Triview Metropolitan District	2019 - 2021
Turion Metropolitan District No. 1	2021
Turion Metropolitan District No. 2	2021
Twin Peaks Metropolitan District	2016 - 2021
Valley Club Pointe Metropolitan District	2021

\* Colorado clients who received the GFOA Certificate of Excellence in Financial Reporting during the most recent fiscal year



## Attachment C

### CURRENT COLORADO GOVERNMENTAL AUDIT CLIENTS (continued)

Client Name	Dates of the Audit
Visit Estes Park	2021
Ward TOD Metropolitan District No. 1	2019 - 2021
Water Valley Metropolitan District No. 1	2014 - 2021
Water Valley Metropolitan District No. 2	2014 - 2021
Waterstone Metropolitan District No. 1	2021
Wellington Fire Protection District	2021
West Frisco Gateway Center Master Association	2021
Westgate Metropolitan District No. 2	2021
Westview Metropolitan District	2019 - 2021
Wildwing Metropolitan District No. 1	2018 - 2021
Wildwing Metropolitan District No. 5	2018 - 2021
Willow Brook Metropolitan District	2019 - 2021
Will-O-Wisp Metropolitan District	2021
York Street Metropolitan District	2017 - 2021

\* Colorado clients who received the GFOA Certificate of Excellence in Financial Reporting during the most recent fiscal year

# Attachment D

## SECTION 7.0: SOLICITATION RESPONSE FORM

**SECTION 7.0: SOLICITATION RESPONSE FORM**  
**RFP-5110-22-SH Financial Audit Services**

*Offeror must submit entire Form completed, dated and signed.*

Year	All Inclusive Maximum Price
2022	\$36,000 (audit); \$5,500 (single audit)
2023	\$37,800 (audit); \$5,700 (single audit)
2024	\$39,700 (audit); \$6,000 (single audit)
2025	\$41,600 (audit); \$6,300 (single audit)
<b>Grand Total for 4 years</b>	\$155,100 (audit); \$23,500 (single audit)

**Attach additional pages as needed to show direct and indirect costs and out of pocket expenses.**

**Total Amount Written:** See above dollars

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*The Owner reserves the right to accept any portion of the services to be performed at its discretion*  
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The undersigned has thoroughly examined the entire Request for Proposals and therefore submits the proposal and schedule of fees and services attached hereto.

This offer is firm and irrevocable for sixty (60) days after the time and date set for receipt of proposals.

The undersigned Offeror agrees to provide services and products in accordance with the terms and conditions contained in this Request for Proposal and as described in the Offeror's proposal attached hereto; as accepted by the Owner.

Prices in the proposal have not knowingly been disclosed with another provider and will not be prior to award.

- Prices in this proposal have been arrived at independently, without consultation, communication or agreement for the purpose of restricting competition.
- No attempt has been made nor will be to induce any other person or firm to submit a proposal for the purpose of restricting competition.
- The individual signing this proposal certifies they are a legal agent of the offeror, authorized to represent the offeror and is legally responsible for the offer with regard to supporting documentation and prices provided.
- Direct purchases by the City of Grand Junction are tax exempt from Colorado Sales or Use Tax. Tax exempt No. 98-903544. The undersigned certifies that no Federal, State, County or Municipal tax will be added to the above quoted prices.
- City of Grand Junction payment terms shall be Net 30 days.
- Prompt payment discount of 0 percent of the net dollar will be offered to the Owner if the invoice is paid within N/A days after the receipt of the invoice. The Owner reserves the right to consider any such discounts when determining the bid award that are no less than Net 10 days

**RECEIPT OF ADDENDA:** The undersigned Firm acknowledges receipt of Addenda to the Solicitation, Specifications, and other Contract Documents. **State number of Addenda received:** 0

It is the responsibility of the Proposer to ensure all Addenda have been received and acknowledged.



**Attachment D**  
**SECTION 7.0: SOLICITATION RESPONSE FORM (continued)**

**Haynie & Company**  
\_\_\_\_\_  
Company Name – (Typed or Printed)  
  
\_\_\_\_\_  
Authorized Agent Signature  
**1221 W. Mineral Ave., Ste. 202**  
\_\_\_\_\_  
Address of Offeror  
**Littleton, CO 80120**  
\_\_\_\_\_  
City, State, and Zip Code

**Ty Holman, CPA**  
\_\_\_\_\_  
Authorized Agent – (Typed or Printed)  
**303-734-4800**  
\_\_\_\_\_  
Phone Number  
**TyH@HaynieCPAs.com**  
\_\_\_\_\_  
E-mail Address of Agent  
**October 13, 2022**  
\_\_\_\_\_  
Date