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**GRAND JUNCTION CITY COUNCIL  
MONDAY, APRIL 30, 2018**

**PRE-MEETING (DINNER) 5:00 P.M. ADMINISTRATION CONFERENCE ROOM  
WORKSHOP, 5:30 P.M.  
CITY HALL AUDITORIUM  
250 N. 5<sup>TH</sup> STREET**

*To become the most livable community west of the Rockies by 2025*

**1. Discussion Topics**

- a. Lodging Tax Discussion
- b. 2018 Program Year Community Development Block Grant (CDBG) Funding Requests

**2. Next Workshop Topics**

**3. Other Business**

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*What is the purpose of a Workshop?*

The purpose of a Workshop is for the presenter to provide information to City Council about an item or topic that they may be discussing at a future meeting. The less formal setting of a Workshop is intended to facilitate an interactive discussion among Councilmembers.

*How can I provide my input about a topic on tonight's Workshop agenda?*

Individuals wishing to provide input about Workshop topics can:

1. Send an email (addresses found here [www.gjcity.org/city-government/](http://www.gjcity.org/city-government/)) or call one or more members of City Council (970-244-1504);
  2. Provide information to the City Manager ([citymanager@gjcity.org](mailto:citymanager@gjcity.org)) for dissemination to the City Council. If your information is submitted prior to 3 p.m. on the date of the Workshop, copies will be provided to Council that evening. Information provided after 3 p.m. will be disseminated the next business day.
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3. Attend a Regular Council Meeting (generally held the 1<sup>st</sup> and 3<sup>rd</sup> Wednesdays of each month at 6 p.m. at City Hall) and provide comments during "Citizen Comments."

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## Grand Junction City Council

### Workshop Session

Item #1.a.

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**Meeting Date:** April 30, 2018

**Presented By:** Greg Caton, City Manager

**Department:** City Manager

**Submitted By:** Gregory LeBlanc, Assistant to the City Manager

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### **Information**

#### **SUBJECT:**

Lodging Tax Discussion

#### **EXECUTIVE SUMMARY:**

Lodging tax is a way for local governments and destination marketing organizations to fund the promotion and marketing of tourism and tourism related activities. The current lodging tax rate of 3% was set nearly 30 years ago and has not increased since then. At the City Council workshop on March 5, City Council provided direction to draft a question and resolution regarding an increase to the lodging tax by 3%, which would result in a total of 6%. The attached resolution describes the language of the ballot question and allocation of new revenues.

This item is intended for City Council discussion and direction to staff, if any.

#### **BACKGROUND OR DETAILED INFORMATION:**

In 1988, the City Council of the City of Grand Junction adopted Ordinance 2401 creating the Grand Junction Visitors and Convention Bureau (VCB). Since January 1, 1989 the VCB (now known as Visit Grand Junction) has capably served the City and the region by providing destination marketing, promotion and visitor services. With this ordinance, the City imposed a 3% tax on the price paid for "lodging" which is defined as the providing of the right to use or possess, for consideration, any room or rooms for temporary occupancy, such as, but not limited to: a room in a hotel, guesthouse, hotel apartment and lodging houses, motel, ranch, resort, mobile home, mobile home park, bed and breakfast establishment, movable structure, auto camp, trailer court, inn, hostel or park under any concession, permit, right of access, lease, contract, license to use or other arrangement or otherwise, but would not include rentals under a written



agreement for occupancy for a period of 30 consecutive days or more.

The revenues derived from such lodging tax are used exclusively for promoting and marketing tourism and tourism related activities.

The purpose of this resolution is to authorize the submission of a ballot question to enact, levy and impose an increase in the Lodgers Tax at a rate of 3% on the price paid for the leasing or rental of any occupied hotel room, motel room, lodging house, bed and breakfast, and other accommodation. Using lodging tax revenues to fund destination marketing and visitor services also creates a positive nexus between the cost of providing those services and the users of those services, while providing a substantial economic benefit to the City by the infusion of funds from people who live outside the community. At the March 5th meeting, City Council provided direction to move towards placing the question before eligible voters during the election on the first Tuesday of November 2018. In 2017, tourism generated approximately \$1.46 million in lodging taxes and \$1.39 million in local sales tax revenues. The travel industry provides Grand Junction more than 5,500 jobs and \$139.9 million in wages. Grand Junction also experienced \$282.3 million in direct travel spending in 2017. Because the lodging tax is almost universally paid by business and leisure travelers, it imposes little burden on City residents.

The 1989 Lodgers Tax was imposed prior to the 1992 Taxpayers Bill of Rights (TABOR) and is therefore exempt from the provisions thereof; however, the 3% Lodgers Tax Increase must be approved by voters. The ballot question will allow voters to decide these important tax and spending questions.

This item is intended for City Council discussion and direction to staff, if any. Representatives from the working group will be present during the discussion to help with the presentation of information and to provide clarification for City Council.

**FISCAL IMPACT:**

If Council authorizes a question and the voters approve a lodgers tax increase, the revenues generated by the additional 3% lodging tax, which are estimated at \$1.75 million in 2019, will be allocated through the annual budget process for marketing for tourism and tourism related activities.

**SUGGESTED ACTION:**

This item is for City Council discussion and direction to staff, if any.

**Attachments**

1. Res-LTIncreaseV5



## RESOLUTION NO. \_\_-18

### A RESOLUTION SETTING A TITLE AND SUBMITTING TO THE ELECTORATE ON NOVEMBER 6, 2018 A MEASURE TO INCREASE AND RETAIN AND SPEND ALL LODGING TAX REVENUES AS DEFINED BY ARTICLE X, SECTION 20 OF THE COLORADO CONSTITUTION

#### RECITALS.

In 1988 the City Council of the City of Grand Junction adopted Ordinance 2401 creating the Grand Junction Visitors and Convention Bureau (VCB). Since January 1, 1989 the VCB (now known as *Visit Grand Junction*) has capably served the City and the region by providing destination marketing, promotion and visitor services. With Ordinance 2401/People's Ordinance 34, the City imposed a 3% tax on the price paid for "lodging" which is defined as the providing of the right to use or possess, for consideration, any room or rooms for temporary occupancy, such as, but not limited to: a room in a hotel, guesthouse, hotel apartment and lodging houses, motel, ranch, resort, mobile home, mobile home park, bed and breakfast establishment, movable structure, auto camp, trailer court, inn, hostel or park under any concession, permit, right of access, lease, contract, license to use or other arrangement or otherwise, but shall not include rentals under a written agreement for occupancy for a period of 30 consecutive days or more.

The revenues derived from such lodging tax are used exclusively for promoting and marketing tourism and tourism related activities.

In 2017 tourism generated approximately \$1.46 million in lodging taxes and \$1.39 million in local sales tax revenues. The travel industry provides Grand Junction more than 5,500 jobs and \$139.9 million in wages. Grand Junction experienced \$282.3 million in direct travel spending in 2017. Because the lodging tax is almost universally paid by business and leisure travelers, it imposes little if any burden on City residents. Using lodging tax revenues to fund destination marketing and visitor services also creates a positive nexus between the cost of providing those services and the users of those services, while providing a substantial economic benefit to the City by the infusion of funds from people who live outside the community.

Because the lodging tax rate was set nearly 30 years ago and has not increased since then, and because of a demonstrated need for new and additional services, including sports tourism marketing and support for direct air service to and from Grand Junction, the City Council has determined that the lodging tax should increase by 3%.

The purpose of this resolution is therefore to set a ballot question to increase the lodging tax and to seek voter authorization for the City of Grand Junction to retain and spend this important tax revenue for the use and benefit of *Visit Grand Junction*, of the Greater Grand Junction Sports Commission (GGJSC) and the Grand Junction Regional Air Service Alliance (GJRASA), so long as such funds are expended, as determined by the City Council, for promotion and marketing for tourism, tourism related activities including but not limited to sports-related tourism and support for direct air service in Grand Junction.



The 1989 Lodgers Tax was imposed prior to the 1992 Taxpayers Bill of Rights (TABOR) and is therefore exempt from the provisions thereof; however, the 3% Lodgers Tax Increase must be approved by voters. The ballot question will allow voters to decide these important tax and spending questions.

NOW THEREFORE BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF GRAND JUNCTION THAT:

1. The purpose of this resolution (Resolution) is to authorize the submission to the eligible electors voting at the election to be held on the first Tuesday of November 2018, (the Election), a ballot question to enact, levy and impose an increase in the Lodgers Tax at a rate of 3% (for a total Lodgers Tax of 6%) on the price paid for the leasing or rental of any occupied hotel room, motel room, lodging house, bed and breakfast, and other accommodation with the revenues derived from such lodging tax being used exclusively for promoting and marketing tourism and tourism related special events and activities all in accordance with the ordinances of the City of Grand Junction and this resolution and ballot question. The 3% increase shall be referred to herein as the "Lodgers Tax Increase."
2. If approved by the eligible electors voting thereon, the Lodgers Tax Increase shall be a voter-approved revenue change or an exception to limits on revenues and spending, without limiting the collection or spending of any other revenues or funds by the City under Article X, Section 20 of the Constitution of the State of Colorado (TABOR) or any other law.
3. If approved by the eligible electors voting thereon at the Election, the Lodgers Tax Increase shall become effective on January 1, 2019.
4. If not approved, the Lodgers Tax of 3% will remain in effect and remain an exception to the limits on revenues and spending under Article X, Section 20 of the Colorado Constitution (TABOR) having been imposed prior to the enactment of TABOR.
5. The definitions of the words contained in this Resolution, if not specifically defined herein, shall be as set forth in the Grand Junction Municipal Code (GJMC), which definitions are incorporated by reference into this Resolution as if fully set forth. The term "City" includes all lands within the corporate limits of the City of Grand Junction and all lands duly and lawfully annexed to the City.
6. At the time of making a tax return of the Lodgers Tax every owner of every lodging property vendor shall continue to be entitled to withhold a processing fee to cover the expenses for the collection and remittance of the Lodgers Tax in accordance with GJMC 3.08.010 *et seq*; however, because the cost of processing is not expected to increase, no such processing fee shall apply to the 3% Lodgers Tax Increase amount.
7. Except for the foregoing change regarding the Vendors Fee, for the purposes of applicability, exemptions, collection, administration and enforcement of this Resolution and the Lodgers Tax, the provisions of GJMC 3.08.010 *et. seq.*, as



amended from time to time, shall be deemed applicable and incorporated into this Resolution.

8. Effective January 1, 2019, the City of Grand Junction shall budget and expend the revenue generated from the Lodging Tax Increase for the following purposes:
  - a) Marketing, promoting, soliciting and sponsoring, in whole or in part, tourism related activities, including but not limited to tourism-generating sporting activities, events, tournaments and competitions; and
  - b) Marketing, promoting, purchasing and/or contracting for direct airline route(s) and service to and from Grand Junction; and,
  - c) Destination marketing and destination management services such as branding, marketing and support of the products, services and amenities that draw people to the area.
  
9. To promote, market and obtain tourism and tourism related activities, all as generally described above, the City may annually budget and allocate no more than .75% of the 3% Lodgers Tax Increase to the GGJSC and no more than 1.0% of the 3% Lodgers Tax Increase to the GJRASA. However, allocated within these limits, all revenue derived from the Lodgers Tax Increase shall be used solely for the purpose of promoting and marketing tourism and tourism related activities, as determined by the City Council.

At the general election, to occur on November 6, 2018, the official ballot, including absentee and mail ballots, shall state the substance of the question to be voted upon and so stated shall constitute the ballot title, designation and submission clause, and each registered elector voting at the election shall indicate his or her choice on the question submitted, which shall be in the following form:

SHALL CITY OF JUNCTION LODGING TAX BE INCREASED BY ONE MILLION SEVEN HUNDRED FIFTY THOUSAND DOLLARS (\$1,750,000) IN THE FIRST YEAR (2019), AND BY WHATEVER ADDITIONAL AMOUNTS ARE RAISED ANNUALLY THEREAFTER BY THE IMPOSITION OF AN ADDITIONAL THREE PERCENT (3%) TAX ON THE PRICE PAID FOR LODGING AND BE COLLECTED IN THE SAME MANNER AS THE CITY'S LODGING TAX, EXCEPT THAT NO VENDOR PROCESSING FEE SHALL APPLY TO THE INCREASE; SUBJECT TO ALL OR ANY PORTION OF THE NET PROCEEDS OF THE ADDITIONAL 3% LODGING TAX, AS DETERMINED BY THE CITY COUNCIL, BEING COLLECTED, RETAINED AND SPENT TO FUND PROMOTION AND MARKETING FOR TOURISM AND TOURISM RELATED ACTIVITIES, AND SHALL THE REVENUES GENERATED BY SUCH TAX INCREASE AND PROCEEDS BE COLLECTED AND SPENT BY THE CITY AS A VOTER APPROVED REVENUE CHANGE, WITHOUT REGARD TO ANY SPENDING, REVENUE-RAISING, OR OTHER LIMITATION CONTAINED WITHIN ARTICLE X, SECTION 20 OF THE COLORADO CONSTITUTION OR ANY OTHER LAW?

YES \_\_\_\_

NO \_\_\_\_

Adopted this \_\_\_ day of \_\_\_ 2018.

\_\_\_\_\_

President of the Council

ATTEST:

\_\_\_\_\_  
Wanda Winkelmann  
City Clerk

DRAFT





**Grand Junction City Council**

**Workshop Session**

**Item #1.b.**

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**Meeting Date:** April 30, 2018

**Presented By:** Kristen Ashbeck, Senior Planner/ CDBG Admin

**Department:** Community Development

**Submitted By:** Kristen Ashbeck

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**Information**

**SUBJECT:**

2018 Program Year Community Development Block Grant (CDBG) Funding Requests

**EXECUTIVE SUMMARY:**

Consider requests to fund qualified activities and programs as part of the Community Development Block Grant 2018 Program Year. The City has not yet received its 2018 allocation, but estimates it will receive \$425,000 for the 2018 Program Year beginning on September 1, 2018. In addition, unexpended funds from prior years in the amount of \$6,516 will be allocated with the 2018 funds.

**BACKGROUND OR DETAILED INFORMATION:**

**BACKGROUND**

Community Development Block Grant (CDBG) funds are an entitlement grant to the City of Grand Junction which became eligible for the funding in 1996. The 2018 Program Year, which will begin September 1, 2018, marks the City's 23rd year of eligibility. Applications for funding were solicited and received by the City on March 26th after a workshop was held with potential applicants. The purpose of the City Council workshop is to establish a work plan for the 2018 CDBG Program Year by recommending which projects should be funded. The final funding decision is scheduled to be made by the City Council at its meeting on May 16, 2018 with adoption of the Annual Action Plan occurring at the June 18, 2018 meeting.

**2018 CDBG PROJECT FUNDING**

The City has received grant requests of \$730,147 from outside agencies and has identified six City capital improvements projects totaling \$218,500 that would be eligible for CDBG funding for a total of \$948,647 in grant requests (excluding \$25,000

administration funding requested). Though the City is not expected to receive final confirmation of its 2018 CDBG funding amount until May 2018, it is estimated that the City will receive \$425,000 for the 2018 Program Year, which is a 6 percent increase from last year. In addition, there are funds remaining from prior years in the amount of \$6,516 that will be allocated with the 2018 funds, for a total of \$431,516 available for allocation. The purpose of the April 30, 2018 workshop is to establish a work plan for the 2018 CDBG Program Year by recommending which projects should be funded. The applications for 2018 funding are summarized in Attachment 1 and tabulated in the Attachment 2 worksheet. The complete applications for each project have been attached and are included as Attachment 5.

### **HUD CDBG GUIDELINES AND EVALUATION CRITERIA**

The CDBG program has several funding criteria that are important to consider when evaluating which projects the City can fund with its 2018 allocation, as follows:

- 1) Administration activities may not exceed 20% of Program Year allocation
- 2) Human Services activities may not exceed 15% of Program Year less the amount of outstanding obligated funds
- 3) Applications for CDBG funding will be judged by the criteria below:
  - A) Proposed project meets National Objectives:
    - Benefits low and moderate income persons;
    - Eliminates or prevents slum or blight; or
    - Addresses an urgent community need (usually a natural disaster)
  - B) Proposed project is eligible and meets the City's Five Year Consolidated Plan Goals:
    - Need for non-housing community development infrastructure
    - Need for affordable housing
    - Needs of the homeless
    - Needs of special needs populations and other human services
  - C) Ability of the applicant to complete the project: Agency capacity, history of performance, staff level and experience, financial stability
  - D) Amount requested is consistent with agency needs



**FISCAL IMPACT:**

The estimated 2018 CDBG appropriation is \$425,000 and unexpended funds from prior years is \$6,516 for a total allocation amount of \$431,516. These funds are received from HUD and for the sole purpose of expenditure on CDBG qualified projects.

**SUGGESTED ACTION:**

Consider the applications for grant funding and forward activities and program funding recommendations to be incorporated into the City's 2018 Annual Action Plan.

**Attachments**

1. Attachment 1 - 2018 CDBG Applications Summary
2. Attachment 2 - Worksheet of 2018 Funding Requests
3. Attachment 3 - 2018 Program Year Schedule
4. Attachment 4 - History of CDBG Projects 2008-2017
5. Attachment 5 - 2018 CDBG Applications

## **SUMMARY OF 2018 FUNDING REQUESTS**

### **Program Administration – Cannot Exceed 20% of Allocation (\$85,000)**

#### **1: City CDBG Administrator**

The City allocated \$25,000 2017 CDBG funds for general administration of the program and a portion of staff salary. The funds will be expended by September 2018. Council can consider what level of CDBG funding they would like to use for 2018 Program Administration.

**Funds Requested: \$25,000**  
**Funds Leveraged: \$0**

#### **2: Grand Junction Housing Authority Pre-Development Design and Engineering for Bookcliff Squire Project**

In conjunction with local stakeholders, the Grand Junction Housing Authority (GJHA) has developed a conceptual design for a 20-unit housing and supportive services facility with a preference for serving those that have experienced domestic violence. The project will be located at 1262 and 1282 Bookcliff Avenue which are owned by GJHA. Redevelopment of the .87 acres into housing will require asbestos abatement and demolition of the existing site infrastructure for which funding sources have been secured or are pending. CDBG funding will be utilized to begin creation of the design development deliverables including schematic plans and specifications. GJHA has received multiple grants, most recently a 2016 grant (\$75,000) towards the rehabilitation of the Nellie Bechtel Apartments. All funds have been expended and projects closed out.

**Funds Requested: \$50,000**  
**Minimum Request: \$50,000**  
**Total Project Cost: \$443,682**  
**Funds Leveraged: \$393,682**

### **SERVICES PROJECTS – Cannot Exceed \$34,776**

#### **3: Karis, Inc. Integrated Mental Health Services**

Karis, Inc. provides housing and support services for homeless, unaccompanied teens and youth in the community. CDBG funds would be used to provide mental health and substance abuse services to Karis clients at its various housing facilities in the City limits. With 2017 CDBG funds, Karis, Inc. was able to increase direct service hours per week for two clinicians to a total of 40 hours per week. Additional 2018 funds will provide another increase in services. Karis, Inc. received \$10,400 for the same services in 2017 and 50% of the funds have been expended.

**Funds Requested: \$10,400**  
**Minimum Request: Any Amount**  
**Total Project Cost: \$39,260**



**Funds Leveraged: \$28,860**

**FUNDING CONCERNS:** None

**4: Grand Valley Peace and Justice – Home Street Home Project**

Grand Valley Peace and Justice is in the process of creating an outreach team and a survey of the chronically homeless community. Participants in the next phase of the program will be selected based on the survey results. CDBG funds will then be used to further community outreach, including life skills training and preparation for the next phase of the project which is securing interim housing for the individuals. Grand Valley Peace and Justice has not been a previous applicant for CDBG funds.

**Funds Requested: \$10,000**  
**Minimum Request: \$4,000**  
**Total Project Cost: \$10,000**  
**Funds Leveraged: \$0**

**FUNDING CONCERNS:** None

**5: Housing Resources of Western Colorado (HRWC) Foreclosure Prevention Program**

HRWC is starting a program to promote, assist, and support homeownership for low and moderate income workforce households through education, training and counseling services. CDBG funds will be used for start-up costs associated with the program. HRWC expects to serve 80 households within the City limits in the coming year. HRWC has received multiple CDBG grants, the most recent being 2017 funds for its Critical Home Repair Program which is 30 percent expended.

**Funds Requested: \$13,500**  
**Minimum Request: \$13,500**  
**Total Project Cost: \$163,425**  
**Funds Leveraged: \$150,925**

**FUNDING CONCERNS:** None

**6: HopeWest PACE Center Accessible Exam Tables**

HopeWest will open a Program of All-Inclusive Care for the Elderly (PACE) Center in 2018 to provide care to the frail elderly. The program goal is to meet the healthcare needs of this population so they can stay in their own homes. The PACE Center will include a senior primary care medical clinic. This grant would be used to purchase specialized medical exam room tables that facilitate transfer from a wheelchair for frail elderly. The Center expects to serve 300 persons, with 70 percent residing in the City limits. HopeWest received 2016 CDBG funds (\$38,000) for therapy equipment and the kitchen facility at the Center. All funds have been expended and the projects closed out.

**Funds Requested: \$10,518**  
**Minimum Request: \$5,259**  
**Total Project Cost: \$26,295**



**Funds Leveraged: \$15,777**

**FUNDING CONCERNS:** None

**7: Mesa Youth Services, Inc. dba Mesa County Partners (Partners) Van Purchase**

Partners supervises up to 1,000 juvenile offenders annually. The youth perform court-ordered community service projects. CDBG funds will be used to purchase a new van to provide safe transportation to job sites. The Program expects to serve 700 youth in the coming year, with 70 percent residing in the City limits, so CDBG can fund up to 70 percent of the van purchase. Partners has received several grants in the past, most recently a 2015 grant (\$27,500) to make safety improvements to its main program office. All funds have been expended and the project closed out.

**Funds Requested: \$19,000**  
**Minimum Request: \$10,000**  
**Total Project Cost: \$29,000**  
**Funds Leveraged: \$10,000**

**FUNDING CONCERNS:** None

**8: St. Mary's Hospital Gray Gourmet Program Food Purchase**

Gray Gourmet prepares and serves a nutritious lunchtime meal for Mesa County seniors age 60 and older. CDBG funds would be used to help off-set the cost of food purchases for meals to be prepared and served for an estimated 3 percent increase in persons served and the number of meals provided. Gray Gourmet has received multiple grants in the past, most recently a 2016 grant (\$16,000) for the same purpose. All funds have been expended and the project closed out.

**Funds Requested: \$16,000**  
**Minimum Request: \$10,000**  
**Total Project Cost: \$281,800**  
**Funds Leveraged: \$265,800**

**FUNDING CONCERNS:** None

**9: Counseling and Education Center (CEC) - Low Income Counseling Services**

This program provides counseling services for low income citizens. Funds are requested to help pay for 84 more hours of counseling sessions for an estimated 17 more clients seeking care. The number of persons served is directly related to the amount of funding received. CEC has received multiple grants for the same purpose with the most recent being 2017 funds (\$6,000) which have been expended and the project closed out.

**Funds Requested: \$6,000**  
**Minimum Request: \$5,000**



**Total Project Cost: \$419,182**  
**Funds Leveraged: \$413,182**

**FUNDING CONCERNS:** None

**10: STRiVE Audyssey Autism Clinic**

STRiVE offers the only diagnostic clinic on the western slope for children facing challenges of autism, neurological conditions or developmental disabilities who can benefit from individualized intervention and support services. The diagnostic process involves a team of specialists and can be very costly for families. CDBG funds would be used to provide this service to 4 additional clients. STRiVE has received multiple grants in the past, most recently two 2015 grants (\$31,210) to rehabilitate 4 group homes and for the Audyssey Clinic for the same purpose. All funds have been expended and the grants closed out.

**Funds Requested: \$6,000**  
**Minimum Request: Not Given**  
**Total Project Cost: \$86,064**  
**Funds Leveraged: \$80,064**

**FUNDING CONCERNS:** Applicant will need to determine income and residency eligibility.

**CAPITAL IMPROVEMENTS PROJECTS**

**11: Hilltop Community Resources, Inc. Bacon Campus Fire Safety Project**

The Bacon Campus houses two residential programs 1) the Life Adjustment Program for adults with traumatic brain injuries and 2) Youth Services for persons ages 12 to 18 who have encountered difficulty at home, school or in the community. The State of Colorado is requiring Hilltop to add fire sprinklers and fire separation walls for licensure requirements. CDBG funds would be used for alarm upgrades, linking the alarm and new sprinkler systems. Hilltop has received grants in the past, most recently a 2014 grant (\$10,320) for services at the Latimer House. All funds have been expended and the project closed out.

**Funds Requested: \$43,500**  
**Minimum Request: \$20,000**  
**Total Project Cost: \$1.8 million +**  
**Funds Leveraged: \$1.8 million**

**FUNDING CONCERNS:** None

**12: Grand Valley Catholic Outreach (GVCO) – Pave Alley Behind St. Martin Housing Development**

The St. Martin Place housing that provides housing for 40 homeless veterans in



the 200 block of Pitkin Avenue was completed in April 2015. The alley behind the apartments is dirt and rocks. When it rains or snows, the alley is treacherous, mud is tracked onto the surrounding paved streets and the apartments are being damaged by rocky sludge being tracked in. CDBG funds would be used to pave this block of the alley. GVCO has received grants in the past, most recently a 2017 grant (\$55,788) for the rehabilitation of the Day Center which has not yet been expended.

**Funds Requested: \$120,000**  
**Minimum Request: \$80,000**  
**Total Project Cost: \$200,000**  
**Funds Leveraged: \$80,000**

**FUNDING CONCERNS:** If awarded funds, it would be most cost-effective for the City to design and build the project.

**13: Hilltop on behalf of the Grand Valley Crisis Nursery**

The Crisis Nursery will provide free, short-term, emergency childcare to families in crisis and connect families with local resources to help them get out and stay out of crisis. CDBG funds will be used toward the purchase of a residential structure to be used as the Nursery.

**Funds Requested: \$60,000**  
**Minimum Request: \$40,000**  
**Total Project Cost: \$300,000**  
**Funds Leveraged: \$240,000**

**FUNDING CONCERNS:** Since the Crisis Nursery is not yet operating and has not yet received non-profit status, the application seems premature. The application states that CDBG funds would be used as a down payment, with an indefinite date on funding the remainder of the acquisition. Thus, this grant alone would not meet a CDBG national objective. If funded, the applicant will need to determine how many clients live in the City limits as well as document their family's income, data which is not yet being collected.

**14: The Arc Mesa County Inc. Accessibility Improvements to Program Office**

Arc Mesa County provides no cost individual advocacy, community outreach, advocacy education and legislative and systematic advocacy for children, adults and parents with disabilities. CDBG funds would be used to create an accessible second floor including an elevator lift, widening doors and removing walls to provide an accessible training/meeting room for clients with disabilities in its existing facility at 845 Grand Avenue. Arc Mesa County has not been a previous applicant for CDBG funds.

**Funds Requested: \$61,722**  
**Minimum Request: \$41,148**



**Total Project Cost: \$82,296**  
**Funds Leveraged: \$20,574**

**FUNDING CONCERNS:** If funded, project will need to use a competitive bid process and construction work is subject to Federal wage rates.

**15: Karis, Inc. Purchase the Fourth House/Drop-in Day Center**

CDBG funds would be used to acquire the Fourth House (a basement unit in 362 Main Street) which Karis, Inc. currently uses as a drop-in center for homeless unaccompanied youth that provides showers, meals, clothing, laundry, addiction counseling, art groups, access to computers and crisis and employment services. Karis has served 142 youth since last fall at the facility. Karis Inc. has received several grants, most recently a 2017 grant (\$10,400) for mental health counseling for the youth in its facilities. 50 percent of the funds have been expended.

**Funds Requested: \$80,000**  
**Minimum Request: Any Amount**  
**Total Project Cost: \$229,500**  
**Funds Leveraged: \$149,500**

**FUNDING CONCERNS:** The condominium building would need to be subdivided to create the basement unit (currently not a separate condo unit) in order to purchase just the basement. In addition, all building and fire code requirements for the remodel and subdivision would need to be met. The Applicant states that building remodel for fire and building codes has been accomplished and a condominium plat application will be submitted for planning review in the near future.

**16: HomewardBound of the Grand Valley Homeless Shelter Roof Replacement**

HomewardBound provides overnight emergency shelter for adults and families experiencing homelessness. The roof in the single adult dormitory began leaking during this past winter. CDBG funds will be used to replace the roof. The budget includes a 15-year warranty on the membrane material. HomewardBound has received multiple grants in the past, most recently a grant (\$15,000) for the purchase of food for the meals served at the shelter. 3 percent of the funds have been expended.

**Funds Requested: \$43,107**  
**Minimum Request: \$43,107**  
**Total Project Cost: \$43,107**  
**Funds Leveraged: \$0**



**FUNDING CONCERNS:** Project would need to be completed through a competitive bid process and compliance with federal wage rates for the work would be required.

**17: Partners Western CO Conservation Corps (WCCC) Building New Door**  
WCCC operated through Partners employs and trains youth and young adults working on public land improvement projects (101 youth in 2017). WCCC operates from a shop located at 2818-1/2 North Avenue which currently has one large garage door to enter and exit the building. This creates a safety issue without having a place to quickly exit the rear of the building and energy efficiency drops when the large door must be opened to just load work crews and/or bring in supplies. CDBG funds would be used to install a second door out of the shop area. 77 percent of the WCCC participants live in the City limits, so CDBG could fund 77 percent of the project. Partners has received several grants in the past, most recently a 2015 grant (\$27,500) to make safety improvements to its program facility. All funds have been expended and the project closed out.

**Funds Requested: \$3,800**  
**Minimum Request: \$2,500**  
**Total Project Cost: \$8,800**  
**Funds Leveraged: \$5,000**

**FUNDING CONCERNS:** Project would need to be completed through a competitive bid process and compliance with federal wage rates for the work would be required.

**18: STRiVE Roof for New Building**

STRiVE provides care and support for disabled individuals of all ages and their families. The agency has outgrown its current location at 950 Grand Avenue and has purchased a new building at 790 Wellington Avenue. STRiVE is in the process of remodeling it for their use which will increase access to services for clients. The newly purchased building, having been constructed 40 years ago, is in need of new roofing which is proposed to be funded with CDBG. STRiVE has received multiple grants in the past, most recently two 2015 grants (\$31,210) to rehabilitate 4 group homes and for the Audyssey Clinic. All funds have been expended and the grants closed out.

**Funds Requested: \$151,600**  
**Minimum Request: Any Amount**  
**Total Project Cost: \$6.85 million +**  
**Funds Leveraged: \$6.85 million**

**FUNDING CONCERNS:** Project would need to be completed through a competitive bid process for just the roof work and compliance with federal wage rates for the work would be required.



**19: Center for Independence (CFI) Taylor Ability Garden**

Utilizing the existing front yard landscape area at the facility at 740 Gunnison Avenue, CDBG funds would be used to construct a 1,220-square foot demonstration garden to involve and support the disabled community. The publically visible garden would feature handicap-accessible raised beds, elevated wheelchair stations, and recycled-carpeting pathways. CFI has received several grants in the past, most recently a 2016 grant (\$18,750) for an accessible lift at its program office that is currently underway.

**Funds Requested: \$25,000**  
**Minimum Request: \$25,000**  
**Total Project Cost: \$34,575**  
**Funds Leveraged: \$9,575**

**FUNDING CONCERNS:** HUD may require the project be completed through a competitive bid process with compliance with federal wage rates rather than completion of the project through Job Corps personnel.

**20: City of Grand Junction – Riverside Park Improvements**

CDBG funds would be used for initial park improvements including: 1) Close Riverside Park Dr/Convert to Trail; 2) Concrete Path around Park; 3) Split Rail Fence Along Alley East Side of Park; and 4) Concrete barriers at Fairview Ave (if street closed). The Riverside neighborhood is an eligible low and moderate income area. In order to apply for GOCO Local Parks and Outdoor Recreation (LPOR) grants, an additional \$276,000 is needed in matching funds. Thus, an additional \$25,000 in 2018 CDBG funds is requested to be applied to the needed match to be added to the \$73,686 2017 CDBG funds awarded to the project.

**Funds Requested: \$25,000**  
**Minimum Request: \$25,000**  
**Total Project Cost: \$626,314**  
**Funds Leveraged: \$527,628**

**FUNDING CONCERNS:** Timeliness of expenditure could be a concern, depending on outcome of LPOR grant application.

**21: City of Grand Junction – Bookcliff MS/Community Center Pedestrian Improvements Phase 2**

Construct 680 feet of feet of missing curb, gutter and sidewalk on the west side of 29-1/4 Road between Formay Avenue and Elm Avenue. The project is the highest priority as recommended by the Urban Trails Committee. Phase 1 is to be completed Summer 2018 with a 2017 grant in the amount of \$42,000 matched with \$18,000 from Mesa County.

**Funds Requested: \$70,000**  
**Minimum Request: \$70,000**  
**Total Project Cost: \$100,000**



**Funds Leveraged: \$30,000**

**FUNDING CONCERNS:** CDBG can only fund 70 percent of project due to the number of persons in service area not in City limits.

**22: City of Grand Junction – Grand Avenue at 9<sup>th</sup> and 10<sup>th</sup> Streets Safe Routes to School/Neighborhood Pedestrian Improvements**

Heavily utilized on-street parking along Grand Avenue as well as the width of the roadway and vehicular speed causes visibility and safety challenges and an increased crossing distance for pedestrians and bicyclists. 9th Street is the preferred school walking corridor for East Middle and Chipeta Elementary Schools. 10th Street is the preferred bicycle corridor connecting CMU and downtown. CDBG funds would be used to create curb extensions (bulb-outs) on all four corners of the intersections of 9th Street and Grand Avenue and 10th Street and Grand Avenue. This project was second in priority as recommended by the Urban Trails Committee.

**Funds Requested: \$60,000**  
**Minimum Request: \$60,000**  
**Total Project Cost: \$60,000**  
**Funds Leveraged: \$0**

**FUNDING CONCERNS:** None

**23: City of Grand Junction – Pinyon Avenue Safe Routes to School/Neighborhood Pedestrian Improvements**

No sidewalk exists on either the north or south side of Pinyon Avenue between 13th and 15th Streets which is a walking route to Orchard Avenue Elementary School. CDBG funds would be used to construct 640 feet of curb, gutter and sidewalk and 2 accessible ramps per side of the street. This project was the recommended third priority as reviewed by the Urban Trails Committee.

**Funds Requested (per side): \$60,000**  
**Minimum Request (per side): \$60,000**  
**Total Project Cost (per side): \$60,000**  
**Funds Leveraged: \$0**

**FUNDING CONCERNS:** None

**24: City of Grand Junction – Replace Lead Water Lines**

1985 amendments to the Safe Drinking Water Act banned the use of lead pipes and leaded solders for drinking water lines. Remaining lead pipe sections represent the greatest potential source of lead in drinking water. The City estimates about 200 lead service lines remain in the City's water district and has developed a proactive replacement program for them. Currently, the property owner is responsible for replacement of private water lines from the water main



to their home. CDBG funds would be used for a pilot program for up to 50 properties within a downtown CDBG-eligible neighborhood, providing an incentive of \$1,000 per home for the replacement of the customer-owned portion of a lead service line.

**Funds Requested: \$50,000**  
**Minimum Request: \$50,000**  
**Total Project Cost: \$50,000**  
**Funds Leveraged: \$0**

**FUNDING CONCERNS:** The income level of each household would need to be verified as low to moderate income prior to completing the work. The work completed on private lines would need to be done through a competitive bid process and compliance with federal wage rates for the work would be required.

**25: City of Grand Junction – 24-1/2 Road Pedestrian Improvements**

Grand Valley Transit's (GVT) West Transfer Station has increased pedestrian activity in the area. Recent commercial development (O'Reilly Auto Parts) to the west included a trail connection between Patterson Road and 24-1/2 Road across from the GVT Station on the east side of 24-1/2. An improved pedestrian crossing at this location with a median refuge that reduces the unprotected crossing distance as well as signs and markings would improve pedestrian safety along the corridor.

**Funds Requested: \$13,560**  
**Minimum Request: \$13,560**  
**Total Project Cost: \$13,560**  
**Funds Leveraged: \$0**

**FUNDING CONCERNS:** None



2018 COMMUNITY DEVELOPMENT BLOCK GRANT FUNDS							Total	437,304
		2018 FUNDING (estimate only)		\$425,000			Maximum Admin Allocation (20%) - \$85,000	
		Funds Not Expended Prior Years		\$6,516			Maximum Services Allocation (15% less outstanding obligated funds = \$34,776)	
		TOTAL FUNDS FOR ALLOCATION		\$431,516			Capital	
	AGENCY	PROJECT TITLE	GRANT REQUEST	MIN GRANT REQUEST	Funding Leverage	2017 Funding	PROJECT INFORMATION/COMMENTS	COUNCIL RECOMMENDATION
1 2018 Admin	City of Grand Junction Administration	Program Administration	\$25,000	\$25,000	\$0	\$25,000	General program administration, fair housing activities, annual reports to HUD and a portion of staff salary.	
2 2018 Admin	PreDevelopment Costs	Grand Junction Housing Authority	\$50,000	\$50,000	\$393,682	\$50,000 for Las Colonias PreDevelop Costs 70% expended	Consultant services for pre-development planning and engineering for Bookcliff Squire Redevelopment at 1262/1282 Bookcliff Avenue. Project is to develop a 20-unit housing complex with supportive services with a preference for those that have experienced domestic violence.	
		<b>SUBTOTAL ADMINISTRATION REQUESTS</b>	<b>\$75,000</b>	<b>\$75,000</b>				
		<b>Maximum Administration Allocation</b>	<b>\$85,000</b>					
		<b>2018 Funds Remaining for Allocation</b>	<b>\$356,516</b>					
3 Services	Karis, Inc.	Integrated Mental Health Services	\$10,400	\$1	\$28,860	\$10,400 for mental health services 50% expended	Funds to provide mental health and substance abuse services to homeless, unaccompanied youth at its various housing facilities in the City limits. With 2017 CDBG funds were able to increase direct service hours per week for two clinicians to a total of 40 hours per week. Additional 2018 funds will provide another increase in services.	
4 Services	Grand Valley Peace and Justice	Home Street Home Project	\$10,000	\$4,000	\$0	\$0	Funds to begin outreach to chronically homeless persons, including life skills training and preparations for interim housing project.	
5 Services	Housing Resources of Western Colorado	Foreclosure Prevention Program	\$13,500	\$13,500	\$150,925	\$22,500 for Critical Home Repair Program 30% Expended	Program to promote, assist, retain and support opportunities for homeownership for low and moderate workforce households through education, training and counseling services. Funds will be used for start-up costs associated with this program including counseling fees, center relocation, and class development materials. Expect to serve 80 households within the City limits.	
6 Services	HopeWest	Accessible Exam Tables for PACE Senior Medical Clinic	\$10,518	\$5,259	\$15,777	\$0	The PACE center includes a senior primary care medical clinic. CDBG funds used to purchase specialized medical exam room tables that facility transfer from a wheelchair for frail elderly. The center is expected to open in 2018 and expect to serve 300 persons, with 70% residing in the City limits.	
7 Services	Mesa County Partners	Purchase Van for Restitution/Work Program	\$19,000	\$10,000	\$10,000	\$0	Partners supervises up to 1,000 juvenile offenders annually. The youth perform court-ordered community service projects. CDBG funds will be used to purchase a new van to provide safe transportation to job sites. 70% of clients live in the City limits, so CDBG can fund up to 70% of the van purchase.	
	AGENCY	PROJECT TITLE	GRANT REQUEST	MIN GRANT REQUEST	Funding Leverage	2017 Funding	PROJECT INFORMATION/COMMENTS	COUNCIL RECOMMENDATION



<b>8 Services</b>	St. Mary's Foundation	Gray Gourmet - Purchase Food	\$16,000	\$10,000	\$265,800	\$16,000 for food purchase 100% expended	Gray Gourmet prepares and serves a nutritious lunchtime meal for Mesa County seniors age 60 and older. CDBG funds would be used to help off-set the cost of food purchases for meals to be prepared and served for an estimated 3% increase in persons served and number of meals provided.	
<b>9 Services</b>	Counseling and Education Center	Low Income Counseling Program	\$6,000	\$5,000	\$413,182	\$6,000 for counseling services 100% expended	CEC provides counseling to individuals in crisis or those dealing with difficult emotional issues and ensures access to professional counseling, regardless of income or ability to pay. CDBG funds would provide 84 more hours of counseling for an estimated 17 more clients seeking care.	
<b>10 Services</b>	STRIVE	Audyssey Autism Clinic	\$6,000	Not Given	\$80,064	\$0	STRIVE offers the only diagnostic clinic on the western slope for children facing challenges of autism, neurological conditions or developmental disabilities who can benefit from individualized intervention and support services. The diagnostic process involves a team of specialists and is costly. CDBG funds would be used to provide this service to 4 additional clients.	
<b>TOTAL SERVICES REQUESTS</b>			<b>\$91,418</b>	<b>\$47,760</b>				
<b>Services Project Cap Based on Estimated 2018 Funds</b>			<b>\$34,776</b>					
<b>11 Facility Rehab</b>	Hilltop Community Resources, Inc.	Bacon Campus Fire Safety Project	\$43,500	\$20,000	\$1.8 Million	\$0	The Bacon Campus houses 2 residential programs - the Life Adjustment Program for adults with traumatic brain injuries and Youth Services for persons ages 12 to 18 who have encountered difficulty at home, school or in the community. The State of Colorado is requiring Hilltop to add fire sprinklers and fire separation walls for licensure requirements. CDBG funds would be used for alarm upgrades, linking the alarm and new sprinkler systems.	
<b>12 Improve Alley</b>	Grand Valley Catholic Outreach	Pave Alley 200 Block between Pitkin and South Avenues	\$120,000	\$80,000	\$0	\$55,788 for day center rehabilitation Not Expended	The St. Martin Place housing in the 200 block of Pitkin Avenue was completed in April 2015. The alley behind the apartments is dirt and rocks. When it rains or snows, the alley is treacherous, mud is tracked onto the surrounding paved streets and the apartments are being damaged by rocky sludge being tracked in. CDBG funds would be used to pave this block of the alley.	
<b>13 Facility Purchase</b>	Hilltop Community Resources, Inc. on behalf of the Grand Valley Crisis Nursery	Crisis Nursery Property Acquisition	\$60,000	\$40,000	\$240,000	\$0	The Crisis Nursery will provide free, short-term, emergency childcare to families in crisis and connect families with local resources to help them get out and stay out of crisis. CDBG funds will be used toward the purchase of a residential structure to be used as the Nursery.	
<b>14 Facility Rehab</b>	The Arc Mesa County Inc.	Accessibility Project for People with Disabilities	\$61,722.00	\$41,148	\$20,574	\$0	Arc Mesa County provides no cost individual advocacy, community outreach, advocacy education and legislative and systematic advocacy for children, adults and parents with disabilities. CDBG funds would be used to create an accessible second floor including an elevator lift, widening doors and removing walls to provide an accessible training/meeting room for clients with disabilities in its existing facility at 845 Grand Avenue.	
	<b>AGENCY</b>	<b>PROJECT TITLE</b>	<b>GRANT REQUEST</b>	<b>MIN GRANT REQUEST</b>	<b>Funding Leverage</b>	<b>2017 Funding</b>	<b>PROJECT INFORMATION/COMMENTS</b>	<b>COUNCIL RECOMMENDATION</b>



<b>15 Facility Purchase</b>	Karis Inc.	Purchase the Fourth House - Drop-In Day Center for Youth	\$80,000	\$1	\$149,500	\$10,400 for counseling services 50% expended	CDBG funds would be used to acquire the Fourth House (a basement unit in 362 Main Street) which Karis, Inc. currently uses as a drop-in center for homeless unaccompanied youth that provides showers, meals, clothing, laundry, addiction counseling, art groups, access to computers and crisis and employment services. Karis has served 142 youth since last fall at the facility. The condominium building would need to be subdivided to create the basement unit (currently not a separate condo unit) in order to purchase just the basement. In addition all building and fire code requirements for the remodel and subdivision would need to be met. The Applicant states that building remodel for fire and building codes has been accomplished and a condominium plat application will be submitted for planning review in the near future.	
<b>16 Facility Rehab</b>	HomewardBound of the Grand Valley	Community Homeless Shelter Roof Replacement	\$43,107	\$43,107	\$0	\$15,000 for food purchase 3% expended	HomewardBound provides overnight emergency shelter for adults and families experiencing homelessness. The roof in the single adult dormitory began leaking during this past winter. Funds will be used to replace the roof. The budget includes a 15-year warranty on the membrane material.	
<b>17 Facility Rehab</b>	Mesa County Partners	Western Colorado Conservation Corps Building - Additional Door	\$3,800	\$2,500	\$5,000	\$0	Western Colorado Conservation Corps (WCCC) operated through Partners employs and trains youth and young adults working on public land improvement projects (101 youth in 2017). WCCC operates from a shop located at 2818-1/2 North Avenue which currently has one large garage door to enter and exit the building. This creates a safety issue without having a place to quickly exit the rear of the building and energy efficiency drops when the large door must be opened to just load work crews and/or bring in supplies. CDBG funds would be used to install a second door out of the shop area. 77% program participants live in City limits so CDBG can fund 77% of project costs.	
<b>18 Facility Rehab</b>	STRIVE	Roof for Newly Acquired Building	\$151,600	Any	\$6.85 Million	\$0	STRIVE provides care and support for disabled individuals of all ages and their families. Its current location at 950 Grand Avenue has been outgrown and STRIVE has purchased a new building at 790 Wellington Avenue and is in the process of remodeling it for their use which will increase access to services for clients. Having been constructed 40 years ago, the building is in need of new roofing which is to be funded with CDBG.	
<b>19 Facility</b>	Center for Independence	Taylor Ability Garden	\$25,000	\$25,000	\$9,575	\$0	Utilizing the existing front yard landscape area at the facility at 740 Gunnison Avenue, CDBG funds would be used to construct a 1,220-square foot demonstration garden to involve and support the disabled community. The publically visible garden would feature handicap-accessible raised beds, elevated wheelchair stations, and recycled-carpeting pathways. HUD will need to approve of use of Job Corps personnel rather than a contractor to accomplish the construction.	
<b>SUBTOTAL NON-CITY CAPITAL REQUESTS</b>			<b>\$588,729</b>	<b>\$226,756</b>				
	<b>AGENCY</b>	<b>PROJECT TITLE</b>	<b>GRANT REQUEST</b>	<b>MIN GRANT REQUEST</b>	<b>Funding Leverage</b>	<b>2017 Funding</b>	<b>PROJECT INFORMATION/COMMENTS</b>	<b>COUNCIL RECOMMENDATION</b>





25 Public	City of Grand Junction Public Works	24-1/2 Road Pedestrian Improvements	\$13,500	\$13,500	\$0	\$0	Grand Valley Transit's (GVT) West Transfer Station has increased pedestrian activity in the area. Recent commercial development (O'Reilly Auto Parts) to the west included a trail connection between Patterson Road and 24-1/2 Road across from the GVT Station on the east side of 24-1/2. An improved pedestrian crossing at this location with a median refuge that reduces the unprotected crossing distance as well as signs and markings would improve pedestrian safety along the corridor.	
<b>SUBTOTAL CITY CAPITAL REQUESTS</b>			<b>\$218,500</b>	<b>\$218,500</b>				<b>\$-</b>
<b>TOTAL CAPITAL REQUESTS</b>			<b>\$807,229</b>	<b>\$445,256</b>				
<b>TOTAL REQUESTS</b>			<b>\$973,647</b>	<b>\$568,016</b>				



**CITY OF GRAND JUNCTION  
2018 CDBG PROGRAM YEAR SCHEDULE**

Thursday February 15	2018 APPLICATION WORKSHOP FOR APPLICANTS Applications for 2018 CDBG Funding Requests Available
March 26 4:00 pm	DEADLINE FOR 2018 CDBG APPLICATIONS
April 30	Council Workshop – Review applications and prioritize/make funding recommendations.
May 16	CITY COUNCIL PUBLIC HEARING City Council reviews recommendations and makes decision on project funding for Annual Action Plan
June 4 – July 6	30-DAY PUBLIC REVIEW PERIOD (required) for 2018 ANNUAL ACTION PLAN
June 18	CITY COUNCIL PUBLIC HEARING Final Acceptance of 2018 Annual Action Plan recommended by Council at May meeting
By July 13	SUBMIT 2018 Annual Action Plan to HUD (45 day review required)
Summer	ENVIRONMENTAL REVIEW for 2018 Activities
July	Send Out AWARD LETTERS to 2018 Recipients
August 31	RECEIVE HUD APPROVAL
September 1	BEGIN 2018 PROGRAM YEAR Begin Contracts with Subrecipients

## **CDBG PROJECTS BY PROGRAM YEAR 2008-2017**

### **2008 Program Year – All Projects Completed**

- Senior Multiuse Campus Study - \$80,000
- Riverside Educational Center – Americorps Personnel - \$5,000
- Gray Gourmet Program - \$20,500
- Riverside Task Force Acquisition - \$220,900
- Partners W CO Conservation Corps Acquisition - \$100,000
- Center for Independence Vocational Center Remodel - \$9,500
- Melrose Park Restroom Replacement - \$108,201

### **2009 Program Year – All Projects Completed**

- CDBG Program Administration - \$30,000
- HomewardBound Van Purchase - \$21,071
- Senior Companion Program - \$12,000
- GJHA Walnut Park Apartments - \$100,000
- Riverside Task Force Acquisition/Clearance - \$105,574
- MDS Group Home Remodel - \$40,000
- HRWC Garden Village Learning Center - \$120,000
- W Slope Center for Children Main Program Building Remodel - \$65,000
- Dual Immersion Academy Slope Stabilization/Landscaping - \$56,714

### **2010 Program Year – All Projects Completed**

- CDBG Program Administration - \$60,000
- Gray Gourmet Program - \$20,500
- Foster Grandparent Program - \$12,000
- Partners Western CO Conservation Corps Van Purchase - \$17,000
- Counseling and Education Center - \$6,682
- Hawthorne Park Restroom Replacement - \$140,000
- HomewardBound Shelter Repairs and Improvements - \$6,000
- Center for Independence Energy Improvements - \$34,100
- Grand Valley Catholic Outreach Soup Kitchen Remodel - \$88,725

### **2011 Program Year – All Projects Completed**

- CDBG Program Administration - \$30,000
- Grand Valley Catholic Outreach St. Martin Place - \$50,000
- BIC Revolving Loan Fund - \$50,000
- GJHA Courtyard Apartments Remodel - \$101,205
- MDS Group Home Remodel - \$9,924
- Homeless Shelter Bathroom Remodel - \$30,000
- Center for Independence Kitchen Remodel - \$30,475
- Strong Families, Safe Kids Parenting Place Remodel - \$9,371
- St. Mary's Senior Companion Program - \$8,000
- St. Mary's Foster Grandparent Program - \$10,000



### **2012 Program Year – All Projects Completed**

- CDBG Program Administration - \$5,000
- St. Mary's Foster Grandparent Program - \$10,000
- St. Mary's Senior Companion Program - \$8,000
- St. Mary's Gray Gourmet Program - \$11,125
- CEC Low Income Counseling Services - \$7,000
- Karis The House Acquisition - \$85,000
- Homeless Shelter Acquisition - \$109,971
- GVCO T-House Rehabilitation - \$12,638
- MDS Program Office Remodel - \$25,000
- Strong Families, Safe Kids Parenting Place Rehabilitation - \$14,080
- Gray Gourmet Kitchen Remodel - \$5,500
- 6<sup>th</sup> Street Sewer Realignment - \$27,500
- 6<sup>th</sup> Street Pedestrian Safety/Parking Improvements - \$60,536
- North Avenue Accessibility Improvements - \$25,000

### **2013 Program Year – All Projects Completed**

- CDBG Program Administration - \$43,000
- St. Mary's Foster Grandparent Program - \$10,000
- St. Mary's Senior Companion Program - \$8,000
- Marillac Clinic Homeless Services - \$10,000
- CEC Low Income Counseling Services - \$7,000
- GANG Afterschool Tutoring/Enrichment - \$4,700
- Hospice Teen Grief Program - \$9,242
- Marillac Clinic Dental Equipment - \$23,190
- STRIVE Parenting Place Rehabilitation - \$20,000
- Head Start Facilities Security Upgrade - \$20,000
- Hilltop Opportunity Center Rehabilitation - \$86,840
- Partners Van Purchase - \$15,000
- Nisley Neighborhood Sidewalks - \$68,707

### **2014 Program Year- All Projects Completed**

- CDBG Program Administration - \$43,000
- Senior Companion Program - \$10,000
- Counseling and Education Center - \$3,000
- Hilltop Latimer House - \$10,320
- Mind Springs Health Hospital Improvements - \$31,164
- Salvation Army Kitchen Rehabilitation - \$25,000
- GJHA Walnut Park Apartments Rehabilitation - \$50,000
- Homeless Shelter Improvements - \$1,500
- B-1/2 Road Sidewalk - \$129,512

### **2015 Program Year – All Projects Completed**

- CDBG Program Administration - \$43,000
- STRiVE Diagnostic Clinic - \$4,500
- Western Colorado Suicide Prevention Bridges Program - \$8,860
- Gray Gourmet Program - \$9,950
- Foster Grandparent Program - \$8,998
- Karis Asset House Improvements - \$10,200
- Housing Resources of Western Colorado Emergency Repair Program - \$22,500
- HomewardBound Homeless Shelter HVAC Energy Improvements - \$28,293
- Grand Valley Catholic Outreach Transitional Housing Rehabilitation - \$4,000
- STRiVE Group Home HVAC Replacement - \$27,210
- Partners Program Office Safety Improvements - \$27,500
- Orchard Avenue Elementary Safe Routes to School - \$55,551
- Westlake Park Neighborhood Pedestrian Safety Improvements - \$103,778

### **2016 Program Year – All Projects Completed Except as Noted**

- CDBG Program Administration - \$43,000
- HopeWest PACE Center Therapy Equipment - \$10,000
- Marillac Clinic Replace Two Dental Operatories - \$19,832
- Western Colorado Suicide Prevention Public Outreach - \$5,874 (underway)
- Senior Companion Program - \$8,000
- Foster Grandparent Program - \$8,000
- Counseling and Education Center Low Income Counseling - \$6,000
- Center for Independence Accessible Riser - \$18,750 (underway)
- Phoenix Project – Rehabilitate Two Housing Units - \$7,750
- HopeWest PACE Center – Kitchen Equipment - \$28,000
- GJHA Nellie Bechtel Housing Rehabilitation - \$75,000
- Karis, Inc. Zoe House Acquisition - \$50,000
- Nisley Elementary School Safe Routes to School - \$90,000
- El Poso Neighborhood Pedestrian Improvements - \$45,000
- Downtown Senior Recreation Center Rehabilitation - \$87,373

### **2017 Program Year – All Projects Underway Except as Noted**

- CDBG Program Administration - \$25,000
- Predevelopment Engineering Costs for Economic Development - \$50,000
- Karis, Inc. Integrated Mental Health Services - \$10,400
- HomewardBound of the Grand Valley Food Purchase - \$15,000
- St. Mary's Gray Gourmet Program Food Purchase - \$16,000 (completed)
- Counseling and Education Center Low Income Counseling - \$6,000 (completed)
- Marillac Clinic Purchase Dental Diagnostic Equipment – \$10,685 (completed)
- Grand Valley Catholic Outreach Day Center Renovation - \$55,788 (withdrawn)
- Housing Resources Critical Home Repair Program - \$22,500
- Riverside Park Improvements - \$73,686 (not started)
- Bookcliff MS/Community Center Pedestrian Improvements - \$42,000 (not started)
- Nisley Elementary School Safe Routes to School - \$80,000 (not started)





## GRANT APPLICATION Community Development Block Grant (CDBG) Program

*The City of Grand Junction does not discriminate on the basis of race, color, religion, sex, national origin, age or disability in the admission, access or appointment to, or treatment or employment in, its programs or activities.*

Applications and all attachments must be submitted to the City of Grand Junction electronically no later than 4:00 pm on Monday, March 26, 2018 to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org)

### APPLICANT BACKGROUND

1. Organization Name:

Grand Junction Housing Authority

2. Organization Address:

8 Foresight Circle

Grand Junction, CO 81505

3. Organization DUNS Number (Data Universal Number System) (required):

*For more information visit [www.whitehouse.gov/omb/grants/duns\\_num\\_guide.pdf](http://www.whitehouse.gov/omb/grants/duns_num_guide.pdf):*

177305612

4. Organization or Parent Organization EIN/TIN Number (required):

84-0733257

5. Primary Contact Person:

Tim Spach

Phone: 970-986-5669

FAX: \_\_\_\_\_

Email: [Tspach@gjha.org](mailto:Tspach@gjha.org)

6. Do you or your parent organization file OMB A-133 (Single Audit)?

Yes  No

If yes, please attach a copy of the most recent A-133 and Form SF-SAC to your CDBG application.

7. **System for Award Management (SAM):** Your entity must be registered in the System for Award Management (SAM) prior to application. Provide a copy of the registration confirmation with your application. See attached example. *For more information visit: [www.sam.gov/portal](http://www.sam.gov/portal)*

8. Is this agency an equal employment opportunity employer?  Yes  No

Please attach a copy of the agency's affirmative action plan.

9. Provide a brief description of your organization - what do you do?

Grand Junction Housing Authority (GJHA) provides safe, affordable housing and related supportive services to the residents of Grand Junction and neighboring communities. GJHA is a governmental entity established by State statute and adopted by the Grand Junction City Council in 1974. GJHA administers over 1200 HUD Housing Choice Vouchers and manages 700 units of affordable or subsidized housing within the city limits of Grand Junction, primarily serving households whose incomes are at or below 60% of the area median income level. GJHA also provides supportive services through a 501 (c) 3 organization, Doors to Success.

10. Which describes your organization (check all that apply)?

A non-profit with 501(c)3 status

Housing Authority

Governmental Agency

Quasi-Governmental Agency

Faith based

Public Service /Human Services Provider

Public Organization

Other \_\_\_\_\_



11. Has the applicant received past CDBG funding from the City of Grand Junction? If so, please indicate the year received, the amount received and the amount of CDBG and/or funds remaining to be spent at this time.

2006 - \$178,000 - None  
2009 - \$100,000 - None  
2011 - \$101,205 - None  
2014 - \$50,000 - None  
2016 - \$75,000 - None

12. Does any employee, board member or client have any past or present ownership or financial investment in the agency, organization or proposed project? If so, please describe.

No

### FUNDING REQUEST SUMMARY

1. Project Name: Bookcliff Squire Redevelopment
2. Project Address/Location: 1262 / 1282 Bookcliff Ave
3. Amount of CDBG funds requested for the project: \$50,000
4. Funding leveraged from other sources for this project: \$393,682
5. Total Project Budget: \$
6. Minimum amount of CDBG funding to benefit your project: \$50,000

### PROJECT ELIGIBILITY

1. Which CDBG national objective does this project meet?
- Benefits low/moderate income individuals/households
- Addresses the prevention or elimination of slums or blight
- Meets an urgent community need (usually a natural disaster)

2. Which City of Grand Junction CDBG Program Priority does this project meet?

- Need for Non-Housing Community Development Infrastructure
- Need for Affordable Housing
- Needs of the Homeless or Special Needs Populations
- Other Human Service Needs
- Economic Opportunities

## SPECIFIC PROJECT INFORMATION

1. **Project Description.** Give a brief description of the project for which you are requesting funds. How do you plan to spend the funds?

In conjunction with local stakeholders, GJHA has developed a preliminary conceptual design for a 20 unit housing and supportive services facility with a preference for those that have experienced domestic violence (DV).

Redevelopment of the .87 acres into housing for victims of DV will require asbestos abatement and demolition of the existing site infrastructure. Funding sources for this phase have been secured or are pending. The CDBG funding will be utilized to begin creation of the design development deliverables including schematic plans and specifications.

2. **Project Type.** Which of the following categories best describes your project? (select only one)

- Public or human service
- Capital construction, building rehabilitation or public facility improvement
- Home ownership activities
- Housing rehabilitation
- Economic development assistance
- Planning or predevelopment costs
- Acquisition or demolition of property

3. If this is a Public or Human Service Project, is  New or  On-going?  
the service:



4. If funding an existing service, describe how the CDBG funds will support a measurable increase in that service (e.g. more families served or longer service period as compared to current operations)

NA

5. **Demonstrated Need for Project.** Provide a brief summary of current statistical data documenting the need for the project. Include sources of information.

Emergency safe house services provided to 298 homeless individuals in 2016 - Hilltop Domestic Violence Services

6. **Project Budget.** Provide a line item budget for this project and the total budget cost, including the request for City of Grand Junction CDBG funds, other secured funding and other pending applications for funding. If this is a rehabilitation, remodeling or construction project, be sure to include Davis-Bacon wage regulations and architectural, engineering and/or environmental review fees in determining your project budget.

Budget Item	Amount	Funding Source	Award Date
Land	\$227,382	GJHA Soft Debt	10/01/2017
Project Management	\$10,800	GJHA In Kind	10/01/2017
Demolition	\$75,000	City of GJ	FY 2018
Abatement	\$80,500	EPA Grant	Pending
Predevelopment	\$50,000	CDBG Grant	Pending
Total	\$443,682		
<b>Total Budget</b>			

7. **Project Schedule.** What is the proposed project schedule if awarded the CDBG funds? Keep in mind funding will not be available until September.

Start Date: 9-01-2018 Completion Date: 9-01-2019

If the project includes construction, are there plans/permits needed before the project can begin construction or has a construction schedule been identified?

Yes, plans/permits completed, project is ready to begin.

No, plans/permits still need to be completed.

8. **In-Kind Contributions.** What other resources (e.g. volunteers, in-kind donations, etc) will you be utilizing for this project? List all in-kind services, volunteer hours and goods you will bring to this project and determine a dollar value for all items listed.

GJHA Project Management hours \$10,800

## BENEFICIARY INFORMATION

1. **Total Persons Served.** How many total persons did this organization serve during the past year and how many total people does your organization expect to serve in the coming year?

4253 total persons served within the last year. This is a 22% increase over a two year period. GJHA anticipates the average growth rate of individuals served will increase approximately 10% per year based on the historic average and new developments in progress.

2. **Persons Served by Project.** What percentage of total persons served by your organization live within the City limits? Provide an estimate of the number of persons who will benefit from the specific project that live within the City limits of Grand Junction.

85%, or 3,530 of the persons served by the organization during the past year lived within the city limits of Grand Junction. It is anticipated that 100% of the potential clients served by this project will reside within the city limits.

3. **Client Eligibility.** Will those served by this project be primarily (51% or more) of low and/or moderate income?  Yes  No



If you checked yes above, specify by checking all that apply to your project:

The income of each household/person receiving assistance will be individually verified for eligibility.

The types of households or persons served are of special need (presumed benefit) such as elderly, disabled, homeless, illiterate, or involve an abused spouse or child.

4. If the income will be verified, describe the organization's procedure for verification and how you will determine that a person is eligible for services.

GJHA requires 3rd party verification of all income sources. Acceptable 3rd party verification sources include Social Security award letters, VA benefit letters, bank asset verification statements, and employer income verification statements.

## COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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### REQUIRED ATTACHMENTS FOR CDBG APPLICATIONS

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Applications and all attachments must be submitted to the **City of Grand Junction electronically no later than 4:00 p.m. on Wednesday, March 26, 2018** to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org). The following attachments are required:

- A signed copy of the CDBG Application and Preliminary Agreement form (attached).
- A copy of your organization's original IRS determination letter indicating [501(c)(3) or tax-exempt status (if applicable)].
- Your agency's most recent audit, financial statement or, if applicable, A-133 (Single Audit). Please state your annual and cumulative fund balance. All agencies who receive \$750,000 or more, total for all Federal grants received this year, must submit an audit in compliance with the 1984 Single Audit Act.
- A copy of your organization's or parent organization's most recent Form SF-SAC pertaining to the A-133 audit, if applicable as above.
- A copy of System for Award Management (SAM) entity registration. See attached example.
- A list of current board members, including their employer and/or other pertinent affiliations.
- A copy of the project's client intake form, application form or other paperwork used to collect and certify income and racial data for each client.
- Copy of agency's Affirmative Action Plan.



**COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)**

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**CDBG APPLICATION AND PRELIMINARY AGREEMENT**

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By signing below I certify that all of the information above and included with this application is true, and I understand that application for funds does not in any way guarantee funding. Additionally, the applicant understands and agrees that in the event the applicant receives approval from the City Council for a block grant fund allocation:

1. The applicant will not receive the block grant funds until:
  - a. HUD has approved the project and the City receives a letter of credit from HUD.
  - b. An Environmental Review has been completed and approved.
  - c. If applicable, a Request for Release of Funds and a Finding of No Significant Impact have been published for community comment and HUD has released funds for the project.
  - d. A contract between the City of Grand Junction and the sub-recipient is fully executed.
  - e. All labor standards have been met.
2. The applicant will submit a sub-recipient report with each request for reimbursement. The report will describe the progress of the project, the project beneficiaries, and other information required by HUD or the City. Invoices will accompany the report when indicated. Funds will not be released without this report.
3. The applicant will provide the City any other information required by HUD or by the City.
4. The applicant will comply with all other requirements set forth by the City and the U.S. Department of Housing and Urban Development.

Applicant: Grand Junction Housing Authority

Title: Director of Facilities & Development

Signature (typed acceptable): Tim Spach

Date: 3/26/18

**SAM Search Results**  
**List of records matching your search for :**  
**Record Status: Active**  
**DUNS Number: 069715217**  
**Functional Area: Entity Management, Performance Information**

<b>ENTITY</b>	GRAND JUNCTION, CITY OF	Status:Active
DUNS: 069715217	+4:	CAGE Code: 4SK15 DoDAAC:
Expiration Date: Jun 7, 2017	Has Active Exclusion?: No	Delinquent Federal Debt?: No
Address: 250 N 5TH ST		
City: GRAND JUNCTION	State/Province: COLORADO	
ZIP Code: 81501-2628	Country: UNITED STATES	





## GRANT APPLICATION Community Development Block Grant (CDBG) Program

*The City of Grand Junction does not discriminate on the basis of race, color, religion, sex, national origin, age or disability in the admission, access or appointment to, or treatment or employment in, its programs or activities.*

Applications and all attachments must be submitted to the City of Grand Junction electronically no later than 4:00 pm on Wednesday, March 26, 2018 to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org)

### APPLICANT BACKGROUND

1. Organization Name:

Karis Inc.

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2. Organization Address:

P.O. Box 2837

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Grand Junction, CO. 81502

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3. Organization DUNS Number (Data Universal Number System) (required):

*For more information visit [www.whitehouse.gov/omb/grants/duns\\_num\\_guide.pdf](http://www.whitehouse.gov/omb/grants/duns_num_guide.pdf):*

078505107

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4. Organization or Parent Organization EIN/TIN Number (required):

26-4600743

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5. Primary Contact Person:

John Mok-Lamme

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Phone: 970.234.1810

FAX: \_\_\_\_\_

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Email: [jmoklamme@karisinc.org](mailto:jmoklamme@karisinc.org)

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6. Do you or your parent organization file OMB A-133 (Single Audit)?

Yes  No

If yes, please attach a copy of the most recent A-133 and Form SF-SAC to your CDBG application.

7. **System for Award Management (SAM):** Your entity must be registered in the System for Award Management (SAM) prior to application. Provide a copy of the registration confirmation with your application. See attached example. *For more information visit: [www.sam.gov/portal](http://www.sam.gov/portal)*

8. Is this agency an equal employment opportunity employer?  Yes  No

Please attach a copy of the agency's affirmative action plan.

9. Provide a brief description of your organization - what do you do?

There are well over 200 homeless, unaccompanied teens and youth in our community. Helping these youth transition to futures of hope is the mission of Karis, Inc. dba The House. Karis' four youth advocates provide street outreach to these teens at schools and on the street. In addition, Karis provides emergency shelter at The House, and transitional shelter at five other homes (Zoe House, Bonnie's House, Matthew's House and Bennie's House). Services available across programs include counseling, mentoring, and shelter, as well as housing and employment assistance. We have a proven track record of effective work with our youth. Since inception, 80% of our youth were housed for one year after program exit and we have seen statistically significant reductions in depression and anxiety consistently over this same time period.

10. Which describes your organization (check all that apply)?

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> A non-profit with 501(c)3 status | <input type="checkbox"/> Housing Authority                       |
| <input type="checkbox"/> Governmental Agency                         | <input type="checkbox"/> Quasi-Governmental Agency               |
| <input type="checkbox"/> Faith based                                 | <input type="checkbox"/> Public Service /Human Services Provider |
| <input type="checkbox"/> Public Organization                         | <input type="checkbox"/> Other _____                             |



11. Has the applicant received past CDBG funding from the City of Grand Junction? If so, please indicate the year received, the amount received and the amount of CDBG and/or funds remaining to be spent at this time.

2013 The House 83,000	All funds expended
2015 Asset House 10,400	All funds expended
2016 Zoe House 50,000	All funds expended
2017 Services: Mental Health	1/2 funds expended. The rest will be drawn down June of 2018.

12. Does any employee, board member or client have any past or present ownership or financial investment in the agency, organization or proposed project? If so, please describe.

No

### FUNDING REQUEST SUMMARY

- Project Name: Wildly Integrated Mental Health Services
- Project Address/Location: Various locations
- Amount of CDBG funds requested for the project: \$10,400.00
- Funding leveraged from other sources for this project: \$28,860.00
- Total Project Budget: \$~~0.00~~ 39,260.00
- Minimum amount of CDBG funding to benefit your project: \$1.00

### PROJECT ELIGIBILITY

- Which CDBG national objective does this project meet?
  - Benefits low/moderate income individuals/households
  - Addresses the prevention or elimination of slums or blight
  - Meets an urgent community need (usually a natural disaster)

2. Which City of Grand Junction CDBG Program Priority does this project meet?

- Need for Non-Housing Community Development Infrastructure
- Need for Affordable Housing
- Needs of the Homeless or Special Needs Populations
- Other Human Service Needs
- Economic Opportunities

### SPECIFIC PROJECT INFORMATION

1. **Project Description.** Give a brief description of the project for which you are requesting funds. How do you plan to spend the funds?

Karis is seeking funds to provide mental health (MH) and substance abuse (SA) services to homeless, unaccompanied youth. The funding will support Karis' effort to provide culturally appropriate and youth-centered MH and SA services, meeting youth where they are at (psychologically) and where they feel comfortable. The funds will allow providers to meet youth and make connection before establishing a therapeutic relationship. They will also help pay for additional consultation and supervision. Together these services will work to improve teen/youth mental health and to reduce the risk of harm and suicide.

2. **Project Type.** Which of the following categories best describes your project? (select only one)

- Public or human service
- Capital construction, building rehabilitation or public facility improvement
- Home ownership activities
- Housing rehabilitation
- Economic development assistance
- Planning or predevelopment costs
- Acquisition or demolition of property

3. If this is a Public or Human Service Project, is  New or  On-going?  
the service:



4. If funding an existing service, describe how the CDBG funds will support a measurable increase in that service (e.g. more families served or longer service period as compared to current operations)

Before receiving this funding, Karis was supporting two clinicians for about 20 service hours a week. CDBG funds allowed Karis to double the mental health direct service hours provided to youth. Keeping this funding will allow us to continue to provide expanded services.

5. **Demonstrated Need for Project.** Provide a brief summary of current statistical data documenting the need for the project. Include sources of information.

The 200+ homeless youth in our community have remarkably high rates of depression, anxiety and suicidal ideation. In addition, over 80% of our homeless youth report being sexually assaulted. There is, therefore, an acute need for mental health services for these youth. Note that beyond these community wide numbers, national surveys clearly demonstrate that homeless youth have far reaching, acute mental health needs.

6. **Project Budget.** Provide a line item budget for this project and the total budget cost, including the request for City of Grand Junction CDBG funds, other secured funding and other pending applications for funding. If this is a rehabilitation, remodeling or construction project, be sure to include Davis-Bacon wage regulations and architectural, engineering and/or environmental review fees in determining your project budget.

Budget Item	Amount	Funding Source	Award Date
Recovery Group	\$ 2,080.00	In-kind	secured
Consultants & Supervisors	\$ 4,160.00	In-kind	secured
Face to Face supervision	\$ 4,160.00	Federal Grant	secured 10/1/15
Case management	\$ 1,820.00	Federal Grant	secured 10/1/15
Staff meetings	\$ 2,080.00	Federal Grant	secured 10/1/15
Counseling Sessions	\$ 24,960.00	Various/CDBG	
<b>Total Budget</b>	<del>\$ 0.00</del> 39,260		

7. **Project Schedule.** What is the proposed project schedule if awarded the CDBG funds? Keep in mind funding will not be available until September.

Start Date: on-going Completion Date: on-going

If the project includes construction, are there plans/permits needed before the project can begin construction or has a construction schedule been identified?

Yes, plans/permits completed, project is ready to begin.

No, plans/permits still need to be completed.

8. **In-Kind Contributions.** What other resources (e.g. volunteers, in-kind donations, etc) will you be utilizing for this project? List all in-kind services, volunteer hours and goods you will bring to this project and determine a dollar value for all items listed.

A number of psychologist donate hours to The House. This contribution has been between 15,000 to 40,000 annually.

## BENEFICIARY INFORMATION

1. **Total Persons Served.** How many total persons did this organization serve during the past year and how many total people does your organization expect to serve in the coming year?

In the past year, Karis provided services to 228 un-duplicated homeless, unaccompanied youth. We expect to serve nearly 250 this year.

2. **Persons Served by Project.** What percentage of total persons served by your organization live within the City limits? Provide an estimate of the number of persons who will benefit from the specific project that live within the City limits of Grand Junction.

Karis estimates that 90% or more of the youth its serves will be residents of Grand Junction.

3. **Client Eligibility.** Will those served by this project be primarily (51% or more) of low and/or moderate income?  Yes  No



If you checked yes above, specify by checking all that apply to your project:

The income of each household/person receiving assistance will be individually verified for eligibility.

The types of households or persons served are of special need (presumed benefit) such as elderly, disabled, homeless, illiterate, or involve an abused spouse or child.

4. If the income will be verified, describe the organization's procedure for verification and how you will determine that a person is eligible for services.

Every youth who enters any Karis program completes an intake packet with a youth advocate. Intake forms, which are attached, are used to verify income. In the history of the Karis and the The House there has never been a youth who did not qualify for services based on income.

## COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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### REQUIRED ATTACHMENTS FOR CDBG APPLICATIONS

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Applications and all attachments must be submitted to the **City of Grand Junction electronically no later than 4:00 p.m. on Wednesday, March 26, 2018** to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org). The following attachments are required:

- A signed copy of the CDBG Application and Preliminary Agreement form (attached).
- A copy of your organization's original IRS determination letter indicating [501(c)(3) or tax-exempt status (if applicable)].
- Your agency's most recent audit, financial statement or, if applicable, A-133 (Single Audit). Please state your annual and cumulative fund balance. All agencies who receive \$750,000 or more, total for all Federal grants received this year, must submit an audit in compliance with the 1984 Single Audit Act.
- A copy of your organization's or parent organization's most recent Form SF-SAC pertaining to the A-133 audit, if applicable as above.
- A copy of System for Award Management (SAM) entity registration. See attached example.
- A list of current board members, including their employer and/or other pertinent affiliations.
- A copy of the project's client intake form, application form or other paperwork used to collect and certify income and racial data for each client.
- Copy of agency's Affirmative Action Plan.



**COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)**

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**CDBG APPLICATION AND PRELIMINARY AGREEMENT**

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By signing below I certify that all of the information above and included with this application is true, and I understand that application for funds does not in any way guarantee funding. Additionally, the applicant understands and agrees that in the event the applicant receives approval from the City Council for a block grant fund allocation:

1. The applicant will not receive the block grant funds until:
  - a. HUD has approved the project and the City receives a letter of credit from HUD.
  - b. An Environmental Review has been completed and approved.
  - c. If applicable, a Request for Release of Funds and a Finding of No Significant Impact have been published for community comment and HUD has released funds for the project.
  - d. A contract between the City of Grand Junction and the sub-recipient is fully executed.
  - e. All labor standards have been met.
2. The applicant will submit a sub-recipient report with each request for reimbursement. The report will describe the progress of the project, the project beneficiaries, and other information required by HUD or the City. Invoices will accompany the report when indicated. Funds will not be released without this report.
3. The applicant will provide the City any other information required by HUD or by the City.
4. The applicant will comply with all other requirements set forth by the City and the U.S. Department of Housing and Urban Development.

Applicant: Karis Inc. John Mok-Lamme

Title: E.D.

Signature (typed acceptable): John Mok-Lamme

Date: 3/26/2018

**SAM Search Results**  
**List of records matching your search for :**  
**Record Status: Active**  
**DUNS Number: 069715217**  
**Functional Area: Entity Management, Performance Information**

<b>ENTITY</b>	<b>GRAND JUNCTION, CITY OF</b>	<b>Status:Active</b>
<b>DUNS: 069715217</b>	<b>+4:</b>	<b>CAGE Code: 4SK15 DoDAAC:</b>
<b>Expiration Date: Jun 7, 2017</b>	<b>Has Active Exclusion?: No</b>	<b>Delinquent Federal Debt?: No</b>
<b>Address: 250 N 5TH ST</b>	<b>State/Province: COLORADO</b>	
<b>City: GRAND JUNCTION</b>	<b>Country: UNITED STATES</b>	
<b>ZIP Code: 81501-2628</b>		





## GRANT APPLICATION Community Development Block Grant (CDBG) Program

*The City of Grand Junction does not discriminate on the basis of race, color, religion, sex, national origin, age or disability in the admission, access or appointment to, or treatment or employment in, its programs or activities.*

Applications and all attachments must be submitted to the City of Grand Junction electronically no later than 4:00 pm on Monday, March 26, 2018 to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org)

### APPLICANT BACKGROUND

1. Organization Name:

Grand Valley Peace & Justice

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2. Organization Address:

740 Gunnison Avenue

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Grand Junction

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3. Organization DUNS Number (Data Universal Number System) (required):

*For more information visit [www.whitehouse.gov/omb/grants/duns\\_num\\_guide.pdf](http://www.whitehouse.gov/omb/grants/duns_num_guide.pdf):*

960714814

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4. Organization or Parent Organization EIN/TIN Number (required):

GVPJ 46-3768799 / Diocese of Pueblo 84-6012862

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5. **Primary** Contact Person:

Julie Mamo

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Phone: (970) 260-4301 FAX: (970) 314-9692

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Email: [director@gvpeacejustice.org](mailto:director@gvpeacejustice.org)

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6. Do you or your parent organization file OMB A-133 (Single Audit)?

Yes  No

If yes, please attach a copy of the most recent A-133 and Form SF-SAC to your CDBG application.

7. **System for Award Management (SAM):** Your entity must be registered in the System for Award Management (SAM) prior to application. Provide a copy of the registration confirmation with your application. See attached example. *For more information visit: [www.sam.gov/portal](http://www.sam.gov/portal)*

8. Is this agency an equal employment opportunity employer?  Yes  No

Please attach a copy of the agency's affirmative action plan.

9. Provide a brief description of your organization - what do you do?

Both our advocacy and direct service projects are designed to:

1. improve the quality of life for Mesa County residents
2. educate and build empathy for the vulnerable & disenfranchised
3. help those who are the most challenging cases of chronic homelessness move toward self-sufficiency

The one thing all our clients have in common is that they are at or below poverty level. We build trusting relationships with those we serve instead of case managing them. Our services are aimed at giving them the tools that need to move into a real state of independence with the skills to manage life's challenges and to become an active member of our community.

10. Which describes your organization (check all that apply)?

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> A non-profit with 501(c)3 status | <input type="checkbox"/> Housing Authority                       |
| <input type="checkbox"/> Governmental Agency                         | <input type="checkbox"/> Quasi-Governmental Agency               |
| <input type="checkbox"/> Faith based                                 | <input type="checkbox"/> Public Service /Human Services Provider |
| <input type="checkbox"/> Public Organization                         | <input type="checkbox"/> Other _____                             |



11. Has the applicant received past CDBG funding from the City of Grand Junction? If so, please indicate the year received, the amount received and the amount of CDBG and/or funds remaining to be spent at this time.

No

12. Does any employee, board member or client have any past or present ownership or financial investment in the agency, organization or proposed project? If so, please describe.

No

### FUNDING REQUEST SUMMARY

1. Project Name: Home Street Home Project
2. Project Address/Location: 740 Gunnison Avenue, Grand Junction, Co 81501
3. Amount of CDBG funds requested for the project: \$10,000.00
4. Funding leveraged from other sources for this project: \$
5. Total Project Budget: \$0.00
6. Minimum amount of CDBG funding to benefit your project: \$4,000.00

### PROJECT ELIGIBILITY

1. Which CDBG national objective does this project meet?

- Benefits low/moderate income individuals/households
- Addresses the prevention or elimination of slums or blight
- Meets an urgent community need (usually a natural disaster)

2. Which City of Grand Junction CDBG Program Priority does this project meet?

- Need for Non-Housing Community Development Infrastructure
- Need for Affordable Housing
- Needs of the Homeless or Special Needs Populations
- Other Human Service Needs
- Economic Opportunities

### SPECIFIC PROJECT INFORMATION

1. **Project Description.** Give a brief description of the project for which you are requesting funds. How do you plan to spend the funds?

Phase 1 - Create an Outreach Team and survey the Chronically Homeless community (in process).  
Phase 2 - Select program participants from surveyed individuals. Begin life skills training piece of our program and begin preparation for interim housing project. This is the piece of the Home Street Home project for which we will use CDBG funding.  
Phase 3 - Partner with other agencies to create interim housing component.

2. **Project Type.** Which of the following categories best describes your project? (select only one)

- Public or human service
- Capital construction, building rehabilitation or public facility improvement
- Home ownership activities
- Housing rehabilitation
- Economic development assistance
- Planning or predevelopment costs
- Acquisition or demolition of property

3. If this is a Public or Human Service Project, is  New or  On-going?  
the service:



4. If funding an existing service, describe how the CDBG funds will support a measurable increase in that service (e.g. more families served or longer service period as compared to current operations)

It means we will be able to move on to Phase 2, specifically, having the staff time available to implement the life skills training piece of our project. Those not selected for our project will be referred to other agency programs including substance abuse treatment. Typically we fund travel to treatment because most do not have the ability to pay. This takes them off the streets of our community and others as well.

5. **Demonstrated Need for Project.** Provide a brief summary of current statistical data documenting the need for the project. Include sources of information.

Attempts at collecting accurate data pertaining to the unsheltered in our community has proven difficult. The unsheltered that we serve have told us that they do not like to be counted during the annual Point in Time and VI-SPDAT counts because they have never benefited from doing so. At GVPJ, where we serve the lower economic rung of our community, often those many do not want to serve, our numbers are rising dramatically, as you can see in the attachment we provided. Client referrals from other agencies to GVPJ are up because we are willing to take on the most challenging cases.

6. **Project Budget.** Provide a line item budget for this project and the total budget cost, including the request for City of Grand Junction CDBG funds, other secured funding and other pending applications for funding. If this is a rehabilitation, remodeling or construction project, be sure to include Davis-Bacon wage regulations and architectural, engineering and/or environmental review fees in determining your project budget.

Budget Item	Amount	Funding Source	Award Date
Program Coordinator	\$ 8,580.00		
Director/ Mentoring	\$ 12,260.00		
Substance Abuse Treatment	\$ 2,500.00	RMH Foundation	
Food Assistance	\$ 500.00	Rice Bowl	
Life Skills Training Expenses	\$ 700.00		
<b>Total Budget</b>	\$ 0.00		

7. **Project Schedule.** What is the proposed project schedule if awarded the CDBG funds? Keep in mind funding will not be available until September.

Start Date: Sept 2018 Completion Date: Sept 2019

If the project includes construction, are there plans/permits needed before the project can begin construction or has a construction schedule been identified?

Yes, plans/permits completed, project is ready to begin.

No, plans/permits still need to be completed.

8. **In-Kind Contributions.** What other resources (e.g. volunteers, in-kind donations, etc) will you be utilizing for this project? List all in-kind services, volunteer hours and goods you will bring to this project and determine a dollar value for all items listed.

Our volunteers will be providing much of the life skills training supports (4 to 6 hours/wk). Faith communities & agencies have offered space in kitchens and community rooms for trainings to take place (\$25/hr for room rental). (Phase 1 is being executed by a CMU practicum student (20 hours/wk) and a SER/SCEP Volunteer (\$10.20 hourly - 22 hours/wk)).

## BENEFICIARY INFORMATION

1. **Total Persons Served.** How many total persons did this organization serve during the past year and how many total people does your organization expect to serve in the coming year?

We served 3680 persons in 2017. We expect that we will serve a comparable amount in 2018.

2. **Persons Served by Project. What percentage of total persons served by your organization live within the City limits?** Provide an estimate of the number of persons who will benefit from the specific project that live within the City limits of Grand Junction.

95% of the individuals we serve live within the city of Grand Junction. Only a small percentage live in Clifton or other surrounding communities.

3. **Client Eligibility.** Will those served by this project be primarily (51% or more) of low and/or moderate income?  Yes  No



If you checked yes above, specify by checking all that apply to your project:

The income of each household/person receiving assistance will be individually verified for eligibility.

The types of households or persons served are of special need (presumed benefit) such as elderly, disabled, homeless, illiterate, or involve an abused spouse or child.

4. If the income will be verified, describe the organization's procedure for verification and how you will determine that a person is eligible for services.

N/A

**SAM Search Results**  
**List of records matching your search for :**  
**Record Status: Active**  
**DUNS Number: 069715217**  
**Functional Area: Entity Management, Performance Information**

<b>ENTITY</b>	GRAND JUNCTION, CITY OF	Status:Active
DUNS: 069715217	+4:	CAGE Code: 4SK15 DoDAAC:
Expiration Date: Jun 7, 2017	Has Active Exclusion?: No	Delinquent Federal Debt?: No
Address: 250 N 5TH ST		
City: GRAND JUNCTION	State/Province: COLORADO	
ZIP Code: 81501-2628	Country: UNITED STATES	





# GRANT APPLICATION Community Development Block Grant (CDBG) Program

*The City of Grand Junction does not discriminate on the basis of race, color, religion, sex, national origin, age or disability in the admission, access or appointment to, or treatment or employment in, its programs or activities.*

Applications and all attachments must be submitted to the City of Grand Junction electronically no later than 4:00 pm on Wednesday, March 26, 2018 to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org)

## APPLICANT BACKGROUND

1. Organization Name:  
Housing Resources of Western Colorado  
\_\_\_\_\_
2. Organization Address:  
524 30 Road, Suite 3  
\_\_\_\_\_  
Grand Junction, CO 81504  
\_\_\_\_\_
3. Organization DUNS Number (Data Universal Number System) (required):  
*For more information visit [www.whitehouse.gov/omb/grants/duns\\_num\\_guide.pdf](http://www.whitehouse.gov/omb/grants/duns_num_guide.pdf):*  
149429300  
\_\_\_\_\_
4. Organization or Parent Organization EIN/TIN Number (required):  
84-0879892  
\_\_\_\_\_
5. **Primary** Contact Person:  
Katie Bowman  
\_\_\_\_\_  
Phone: 970-241-2671                      FAX: 970-245-4853  
\_\_\_\_\_  
Email: [katieb@hrwco.org](mailto:katieb@hrwco.org)  
\_\_\_\_\_

6. Do you or your parent organization file OMB A-133 (Single Audit)?

Yes  No

If yes, please attach a copy of the most recent A-133 and Form SF-SAC to your CDBG application.

7. **System for Award Management (SAM):** Your entity must be registered in the System for Award Management (SAM) prior to application. Provide a copy of the registration confirmation with your application. See attached example. *For more information visit: [www.sam.gov/portal](http://www.sam.gov/portal)*

8. Is this agency an equal employment opportunity employer?  Yes  No

Please attach a copy of the agency's affirmative action plan.

9. Provide a brief description of your organization - what do you do?

The mission of Housing Resources of Western Colorado (HRWC), a private non-profit corporation, is to provide affordable housing and promote the wise and sustainable use of resources. HRWC also serves the development needs of the communities in non-entitlement areas. Housing Resources of Western Colorado offers home ownership education and awareness programs, opportunities for community revitalization, and the provision of decent, safe, and affordable housing for those with low and moderate incomes. There are four core programs: Weatherization, Self-Help, Home Rehabilitation, and Property Management. Other programs include Critical Repair, Homeowner Education, and CARE. HRWC has been a charter member of NeighborWorks America since 1999 and a Certified Housing Development Organization for the State of Colorado, Division of Housing, since 1995.

10. Which describes your organization (check all that apply)?

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> A non-profit with 501(c)3 status | <input type="checkbox"/> Housing Authority                       |
| <input type="checkbox"/> Governmental Agency                         | <input type="checkbox"/> Quasi-Governmental Agency               |
| <input type="checkbox"/> Faith based                                 | <input type="checkbox"/> Public Service /Human Services Provider |
| <input type="checkbox"/> Public Organization                         | <input type="checkbox"/> Other _____                             |



11. Has the applicant received past CDBG funding from the City of Grand Junction? If so, please indicate the year received, the amount received and the amount of CDBG and/or funds remaining to be spent at this time.

Yes. In 2017, HRWC received a CDBG grant for \$22,500. As of November 1, 2017 through March 31, 2018, 11 jobs completed, \$6,542.92 expended, leaving a balance of \$15,957.08.

12. Does any employee, board member or client have any past or present ownership or financial investment in the agency, organization or proposed project? If so, please describe.

No employee, board member or client have any past or present ownership or financial investment in the agency, organization or proposed project.

### FUNDING REQUEST SUMMARY

1. Project Name: Foreclosure Prevention Program/Relocation
2. Project Address/Location: 524 30 Road, Ste 3, Grand Junction, CO 81504
3. Amount of CDBG funds requested for the project: \$26,000.00
4. Funding leveraged from other sources for this project: \$150,925.00
5. Total Project Budget: \$0.00
6. Minimum amount of CDBG funding to benefit your project: \$26,000.00

### PROJECT ELIGIBILITY

1. Which CDBG national objective does this project meet?

- Benefits low/moderate income individuals/households
- Addresses the prevention or elimination of slums or blight
- Meets an urgent community need (usually a natural disaster)

2. Which City of Grand Junction CDBG Program Priority does this project meet?

- Need for Non-Housing Community Development Infrastructure
- Need for Affordable Housing
- Needs of the Homeless or Special Needs Populations
- Other Human Service Needs
- Economic Opportunities

### SPECIFIC PROJECT INFORMATION

1. **Project Description.** Give a brief description of the project for which you are requesting funds. How do you plan to spend the funds?

A program to promote, assist, retain and support opportunities for homeownership for low and moderate workforce employees through education, training, and counseling services. Program includes administration of down payment assistance grants and loans, provision of CHFA-Certified Home Buyer Educ. classes, HUD-approved Foreclosure Prevention Counseling. Regardless of the ultimate outcome of the homeownership counseling and educ. experience, we seek to improve client financial literacy skills. The funds will be a portion of the start-up costs associated for this program including but not limited to counseling fees (\$50/visit typ 3 visitsx80clients=(\$12k) center relocation, class devpt matls, and eqpt (\$14k).

2. **Project Type.** Which of the following categories best describes your project? (select only one)

- Public or human service
- Capital construction, building rehabilitation or public facility improvement
- Home ownership activities
- Housing rehabilitation
- Economic development assistance
- Planning or predevelopment costs
- Acquisition or demolition of property

3. If this is a Public or Human Service Project, is  New or  On-going?  
the service:



4. If funding an existing service, describe how the CDBG funds will support a measurable increase in that service (e.g. more families served or longer service period as compared to current operations)

At this time there is not a HUD Certified Housing Counselor on the Western Slope at all. Previously, the HUD Counselor not only serviced the City of Grand Junction, but 15 other surrounding counties.

5. **Demonstrated Need for Project.** Provide a brief summary of current statistical data documenting the need for the project. Include sources of information.

Per Mike Moran Public Trustee there were 257 Foreclosures with-in the city limits of Grand Junction. The total for our county alone was 396. The City of Grand Junction suffered 65% of all of the foreclosures for Mesa County.

6. **Project Budget.** Provide a line item budget for this project and the total budget cost, including the request for City of Grand Junction CDBG funds, other secured funding and other pending applications for funding. If this is a rehabilitation, remodeling or construction project, be sure to include Davis-Bacon wage regulations and architectural, engineering and/or environmental review fees in determining your project budget.

Budget Item	Amount	Funding Source	Award Date
Salaries	\$ 103,174.00	Neighborworks, Wj	TBD
Benefits/Payroll Taxes	\$ 30,951.00	Neighborworks, Ct	TBD
Advertising/Marketing	\$ 5,000.00	Bank of San Juans	TBD
Audit Fees/Acct Fees	\$ 8,000.00	HUD Grant	TBD
Center Upgrade Costs	\$ 25,000.00	CDBG, Educ Loan	TBD
Postage/Mileage/Misc	\$ 4,800.00	HUD	TBD
<b>Total Budget</b>	\$ 0.00		

7. **Project Schedule.** What is the proposed project schedule if awarded the CDBG funds? Keep in mind funding will not be available until September.

Start Date: 06/01/2018 Completion Date: 12/31/2019

If the project includes construction, are there plans/permits needed before the project can begin construction or has a construction schedule been identified?

Yes, plans/permits completed, project is ready to begin.

No, plans/permits still need to be completed.

8. **In-Kind Contributions.** What other resources (e.g. volunteers, in-kind donations, etc) will you be utilizing for this project? List all in-kind services, volunteer hours and goods you will bring to this project and determine a dollar value for all items listed.

Because of the highly sensitive information necessary to work with families facing foreclosure, it is difficult to use volunteers for help.

## BENEFICIARY INFORMATION

1. **Total Persons Served.** How many total persons did this organization serve during the past year and how many total people does your organization expect to serve in the coming year?

Since this is a new program to our organization, there is no data for the number served in the past year. This year, with the funds requested from this grant we expect to serve 80 City of Grand Junction families.

2. **Persons Served by Project.** What percentage of total persons served by your organization live within the City limits? Provide an estimate of the number of persons who will benefit from the specific project that live within the City limits of Grand Junction.

The CDBG grant will be dedicated to serving 100% Grand Junction families only.

3. **Client Eligibility.** Will those served by this project be primarily (51% or more) of low and/or moderate income?  Yes  No

If you checked yes above, specify by checking all that apply to your project:

The income of each household/person receiving assistance will be individually verified for eligibility.

The types of households or persons served are of special need (presumed benefit) such as elderly, disabled, homeless, illiterate, or involve an abused spouse or child.

4. If the income will be verified, describe the organization's procedure for verification and how you will determine that a person is eligible for services.

Under foreclosure prevention, this service is not income driven; however, we obtain copies of income verification at the request of servicers. These types of income verification that is requested by servicers include copies of paystubs, tax returns, social security benefits and other forms of income.



## COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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### REQUIRED ATTACHMENTS FOR CDBG APPLICATIONS

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Applications and all attachments must be submitted to the **City of Grand Junction electronically no later than 4:00 p.m. on Wednesday, March 26, 2018** to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org). The following attachments are required:

- A signed copy of the CDBG Application and Preliminary Agreement form (attached).
- A copy of your organization's original IRS determination letter indicating [501(c)(3) or tax-exempt status (if applicable).
- Your agency's most recent audit, financial statement or, if applicable, A-133 (Single Audit). Please state your annual and cumulative fund balance. All agencies who receive \$750,000 or more, total for all Federal grants received this year, must submit an audit in compliance with the 1984 Single Audit Act.
- A copy of your organization's or parent organization's most recent Form SF-SAC pertaining to the A-133 audit, if applicable as above.
- A copy of System for Award Management (SAM) entity registration. See attached example.
- A list of current board members, including their employer and/or other pertinent affiliations.
- A copy of the project's client intake form, application form or other paperwork used to collect and certify income and racial data for each client.
- Copy of agency's Affirmative Action Plan.

COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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**CDBG APPLICATION AND PRELIMINARY AGREEMENT**


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By signing below I certify that all of the information above and included with this application is true, and I understand that application for funds does not in any way guarantee funding. Additionally, the applicant understands and agrees that in the event the applicant receives approval from the City Council for a block grant fund allocation:

1. The applicant will not receive the block grant funds until:
  - a. HUD has approved the project and the City receives a letter of credit from HUD.
  - b. An Environmental Review has been completed and approved.
  - c. If applicable, a Request for Release of Funds and a Finding of No Significant Impact have been published for community comment and HUD has released funds for the project.
  - d. A contract between the City of Grand Junction and the sub-recipient is fully executed.
  - e. All labor standards have been met.
2. The applicant will submit a sub-recipient report with each request for reimbursement. The report will describe the progress of the project, the project beneficiaries, and other information required by HUD or the City. Invoices will accompany the report when indicated. Funds will not be released without this report.
3. The applicant will provide the City any other information required by HUD or by the City.
4. The applicant will comply with all other requirements set forth by the City and the U.S. Department of Housing and Urban Development.

Applicant: Housing Resources of Western Colorado - Anna K. Bowman

Title: Executive Director

Signature (typed acceptable): 

Date: 3/26/2018

**SAM Search Results**  
**List of records matching your search for :**  
**Record Status: Active**  
**DUNS Number: 069715217**  
**Functional Area: Entity Management, Performance Information**

<b>ENTITY</b>	GRAND JUNCTION, CITY OF	Status:Active
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DUNS: 069715217	+4:	CAGE Code: 4SK15	DoDAAC:
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Expiration Date: Jun 7, 2017	Has Active Exclusion?: No	Delinquent Federal Debt?: No
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Address: 250 N 5TH ST	State/Province: COLORADO
City: GRAND JUNCTION	Country: UNITED STATES
ZIP Code: 81501-2628	



**Foreclosure/Default Intake Form**

**Date:** \_\_\_\_\_

Counselor: \_\_\_\_\_ Sale No.: \_\_\_\_\_ Sale Date: \_\_\_\_\_

**Property Information:**

**Address:** \_\_\_\_\_  
\_\_\_\_\_

County: \_\_\_\_\_ Primary Residence: \_\_\_ Yes \_\_\_ No Do you want to keep this home? Yes No  
How can we help? \_\_\_\_\_

**Client Borrower Information:**

**Borrower 1:**

Name: \_\_\_\_\_ Phone #: \_\_\_\_\_ Email: \_\_\_\_\_ @ \_\_\_\_\_

Age: \_\_\_\_\_ Birthdate: \_\_\_\_\_ Gender: \_\_\_\_\_ SS#: \_\_\_\_\_

Employer Name: \_\_\_\_\_ Employment Start Date: \_\_\_\_\_

**Borrower 2:**

Name: \_\_\_\_\_ Phone #: \_\_\_\_\_ Email: \_\_\_\_\_ @ \_\_\_\_\_

Age: \_\_\_\_\_ Birthdate: \_\_\_\_\_ Gender: \_\_\_\_\_ SS#: \_\_\_\_\_

Employer Name: \_\_\_\_\_ Employment Start Date: \_\_\_\_\_

Marital Status:    Single    Married    Divorced    Separated    Widowed

Is anyone in your household disabled:            Yes    No

Household Size:                                    Military:    Yes    No

Household: Gross Annual Income: \$ Net Annual Income: \$ \_\_\_\_\_

**Loan Information:** Previous Modification: Yes No (If yes, when: \_\_\_\_\_)

2<sup>nd</sup> Mortgage:            Yes    No (If yes, see other side)

**First Mortgage:**

Mortgage Company Name: \_\_\_\_\_ Servicer Contact Info: \_\_\_\_\_

Loan Number: \_\_\_\_\_

Original Loan Amount: \$ \_\_\_\_\_ Current Balance: \$ \_\_\_\_\_

Original Purchase Price: \$ \_\_\_\_\_ Current Property Value (estimate): \$ \_\_\_\_\_

Monthly Payment: \$ \_\_\_\_\_ Does this include your Taxes & Interest: \_\_\_\_\_

Property Condition: \_\_\_\_\_ Interest Rate \_\_\_\_\_ %

HOA Dues: \$ \_\_\_\_\_ When did you get Loan? \_\_\_\_\_

Type of Loan:

HELOC	FHA	Interest Only	VA
Hybrid ARM	Conventional	Option ARM	Privately Held

Loan Status: Current 30-60 days 61-90 days 91-120 days 121+ days (amount\$ \_\_\_\_\_)

**Reason for Default:** (Please Circle All That Apply)

Reduction In Income	Medical Issues	Poor Budget/Management Skills	Other
Increase in Expenses	Divorce/Separation	Business Venture Failed	
Loss of Income	Death of Family Member	Increase in Loan Payment	

Are you in foreclosure, behind, or struggling? Yes No If behind, how many months? \_\_\_\_\_

**Loan Information:**

**Second Mortgage:**

Mortgage Company Name: \_\_\_\_\_ Phone #: \_\_\_\_\_

Loan Number \_\_\_\_\_

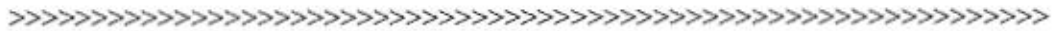
Original Loan Amount \_\_\_\_\_ Current Balance \_\_\_\_\_

Monthly Payment \$ \_\_\_\_\_ Does this include your Taxes & Interest \_\_\_\_\_

Type of Loan: Interest Rate \_\_\_\_\_  
(Check all that apply)

HELOC	FHA	Interest Only	VA
Hybrid ARM	Conventional	Option ARM	Privately Held

Loan Status: Current 30-60 days 61-90 days 91-120 days 121+ days (amount\$ \_\_\_\_\_)



Appointment Date and Time: \_\_\_\_\_ Counselor: \_\_\_\_\_  
Done: \_\_\_\_\_ Calendar \_\_\_\_\_ Packet sent via Log \_\_\_\_\_

Referred by: \_\_\_\_\_



**GRANT APPLICATION**  
**Community Development Block Grant**  
**(CDBG) Program**

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Applications and all attachments must be submitted to the City of Grand Junction electronically no later than 4:00 pm on Wednesday, March 26, 2018 to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org)

**APPLICANT BACKGROUND**

1. Organization Name:

HopeWest

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2. Organization Address:

3090 N. 12th St. Unit B.

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Grand Junction, CO 81506

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3. Organization DUNS Number (Data Universal Number System) (required):

*For more information visit [www.whitehouse.gov/omb/grants/duns\\_num\\_guide.pdf](http://www.whitehouse.gov/omb/grants/duns_num_guide.pdf):*

836635169

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4. Organization or Parent Organization EIN/TIN Number (required):

84-1207388

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5. Primary Contact Person:

Christy Whitney Borchard

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Phone: 970-257-2360

FAX: 970-257-2400

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Email: [cwhitney@hopewestco.org](mailto:cwhitney@hopewestco.org)

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6. Do you or your parent organization file OMB A-133 (Single Audit)?

Yes  No

If yes, please attach a copy of the most recent A-133 and Form SF-SAC to your CDBG application.

7. **System for Award Management (SAM):** Your entity must be registered in the System for Award Management (SAM) prior to application. Provide a copy of the registration confirmation with your application. See attached example. *For more information visit: [www.sam.gov/portal](http://www.sam.gov/portal)*

8. Is this agency an equal employment opportunity employer?  Yes  No

Please attach a copy of the agency's affirmative action plan.

9. Provide a brief description of your organization - what do you do?

HopeWest focuses on profoundly changing the way our communities experience serious illness, aging and grief – one family at a time. For 25 years, we have provided expert palliative care & hospice to over 25,000 patients and their families dealing with grief, pain and symptom management during serious illness and death. We are expanding our services, and launching a Program of All-Inclusive Care for the Elderly (PACE) this year. PACE is designed to provide care to the frail elderly (over age 55) who qualify for Medicare and Medicaid. The goal of PACE is to meet the healthcare needs of the frail elderly so they can stay in their own homes. PACE is a team-centered approach providing coordinated care for patients in the home, the community and at a PACE center. HopeWest has acquired the Compass Building to remodel to serve as its PACE Center with a Senior Primary Care Medical Clinic and meals, therapies and socialization are provided.

10. Which describes your organization (check all that apply)?

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> A non-profit with 501(c)3 status | <input type="checkbox"/> Housing Authority                       |
| <input type="checkbox"/> Governmental Agency                         | <input type="checkbox"/> Quasi-Governmental Agency               |
| <input type="checkbox"/> Faith based                                 | <input type="checkbox"/> Public Service /Human Services Provider |
| <input type="checkbox"/> Public Organization                         | <input type="checkbox"/> Other _____                             |

11. Has the applicant received past CDBG funding from the City of Grand Junction? If so, please indicate the year received, the amount received and the amount of CDBG and/or funds remaining to be spent at this time.

2016 – HopeWest PACE Center Therapy Equipment - \$10,000. All funds expended.  
2016 – HopeWest PACE Center – Kitchen Equipment - \$28,000. All funds expended.  
2013 – Hospice Teen Grief Program - \$9,242.00. All funds expended.

12. Does any employee, board member or client have any past or present ownership or financial investment in the agency, organization or proposed project? If so, please describe.

No

### FUNDING REQUEST SUMMARY

1. Project Name: Accessible Exam Tables for PACE Senior Medical Clinic
2. Project Address/Location: 2754 Compass Dr. Grand Junction, CO 81506
3. Amount of CDBG funds requested for the project: \$10,518.00
4. Funding leveraged from other sources for this project: \$15,777.00
5. Total Project Budget: \$0.00
6. Minimum amount of CDBG funding to benefit your project: \$5,259.00

### PROJECT ELIGIBILITY

1. Which CDBG national objective does this project meet?

- Benefits low/moderate income individuals/households
- Addresses the prevention or elimination of slums or blight
- Meets an urgent community need (usually a natural disaster)

2. Which City of Grand Junction CDBG Program Priority does this project meet?

- Need for Non-Housing Community Development Infrastructure
- Need for Affordable Housing
- Needs of the Homeless or Special Needs Populations
- Other Human Service Needs
- Economic Opportunities

### SPECIFIC PROJECT INFORMATION

1. **Project Description.** Give a brief description of the project for which you are requesting funds. How do you plan to spend the funds?

The Center includes a PACE senior primary care medical clinic & its goal is to provide access to safe care, prevention & early diagnosis. Patients with limited mobility that are physically unable to stand, climb onto an exam table, or in a wheelchair will skip routine medical visits & miss the opportunity for a thorough physical exam or procedures. We will spend funds on two, accessible medical exam room tables that look like a chair, & can be powered to raise the feet & lower the back so the patient can be examined in a prone position. Power assist table lower to 20 inches allow transfer from a wheelchair. Traditional exam tables are dangerous & humiliating for frail, aging adults which will be our PACE primary medical care clinic clientele.

2. **Project Type.** Which of the following categories best describes your project? (select only one)

- Public or human service
- Capital construction, building rehabilitation or public facility improvement
- Home ownership activities
- Housing rehabilitation
- Economic development assistance
- Planning or predevelopment costs
- Acquisition or demolition of property

3. If this is a Public or Human Service Project, is  New or  On-going?  
the service:



4. If funding an existing service, describe how the CDBG funds will support a measurable increase in that service (e.g. more families served or longer service period as compared to current operations)

N/A

5. **Demonstrated Need for Project.** Provide a brief summary of current statistical data documenting the need for the project. Include sources of information.

900 clients in the City of Grand Junction are clinically & financially eligible for PACE. A goal of PACE is to help increase physical & emotional health for our frail elderly population, allowing them to remain in their homes. Our accessible PACE senior primary medical clinic & other services (nutrition, transportation, adult day care, PT, personal care, social work support and home care) will provide support to help seniors live at home. This is less expensive, & more desirable. Going forward, Grand Junction simply will not have enough nursing home beds for aging adults as their health deteriorates.

6. **Project Budget.** Provide a line item budget for this project and the total budget cost, including the request for City of Grand Junction CDBG funds, other secured funding and other pending applications for funding. If this is a rehabilitation, remodeling or construction project, be sure to include Davis-Bacon wage regulations and architectural, engineering and/or environmental review fees in determining your project budget.

Budget Item	Amount	Funding Source	Award Date
Power Exam Table	\$ 5,259.00	City CDBG	
Power Exam Table	\$ 5,259.00	City CDBG	
Power Exam Table	\$ 5,259.00	Col. Health Found	2017
Power Exam Table	\$ 5,259.00	Col. Health Found	2017
Power Exam Table	\$ 5,259.00	Col. Health Found	2017
Total Budget	\$ 26,295.00		
<b>Total Budget</b>	\$ 0.00		

7. **Project Schedule.** What is the proposed project schedule if awarded the CDBG funds? Keep in mind funding will not be available until September.

Start Date: 10/31/18 Completion Date: 10/31/19

If the project includes construction, are there plans/permits needed before the project can begin construction or has a construction schedule been identified?

Yes, plans/permits completed, project is ready to begin.

No, plans/permits still need to be completed.

8. **In-Kind Contributions.** What other resources (e.g. volunteers, in-kind donations, etc) will you be utilizing for this project? List all in-kind services, volunteer hours and goods you will bring to this project and determine a dollar value for all items listed.

We do not anticipate in-kind donations for equipment.

## BENEFICIARY INFORMATION

1. **Total Persons Served.** How many total persons did this organization serve during the past year and how many total people does your organization expect to serve in the coming year?

Hospice care was provided for 1,764 patients. Palliative Care was given to another 398 patients. Community grief support and groups provided to 589 adults, and 625 children received grief support. We anticipate serving over 4000 people in 2018.

2. **Persons Served by Project.** What percentage of total persons served by your organization live within the City limits? Provide an estimate of the number of persons who will benefit from the specific project that live within the City limits of Grand Junction.

Based upon a study completed by AEC Consulting we estimate there are almost 900 clients in the City of Grand Junction that are clinically & financially eligible for PACE. We anticipate serving 300 people the first year in PACE & that 210 or 70% would reside within the city limits of GJ.

3. **Client Eligibility.** Will those served by this project be primarily (51% or more) of low and/or moderate income?  Yes  No

If you checked yes above, specify by checking all that apply to your project:

The income of each household/person receiving assistance will be individually verified for eligibility.

The types of households or persons served are of special need (presumed benefit) such as elderly, disabled, homeless, illiterate, or involve an abused spouse or child.

4. If the income will be verified, describe the organization's procedure for verification and how you will determine that a person is eligible for services.

The majority of patients served by PACE & the Senior Primary Care Medical Clinic will be dually eligible for Medicare and Medicaid, and thus will inherently be low income. Patients' eligibility will be verified at the time of admission by querying Medicare and Medicaid databases. Based upon other PACE programs operating in similar sized service areas having comparable socio-economic status to Mesa County, we anticipate that 95% of the patients will have 1) Medicaid only, or 2) Medicare and Medicaid. The few individuals who have Medicare only will be screened to determine if they could qualify for Medicaid or if they have resources to privately pay for PACE services.



**COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)**

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**REQUIRED ATTACHMENTS FOR CDBG APPLICATIONS**

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- A copy of your organization's original IRS determination letter indicating [501(c)(3) or tax-exempt status (if applicable)].
- Your agency's most recent audit, financial statement or, if applicable, A-133 (Single Audit). Please state your annual and cumulative fund balance. All agencies who receive \$750,000 or more, total for all Federal grants received this year, must submit an audit in compliance with the 1984 Single Audit Act.
- A copy of your organization's or parent organization's most recent Form SF-SAC pertaining to the A-133 audit, if applicable as above.
- A copy of System for Award Management (SAM) entity registration. See attached example.
- A list of current board members, including their employer and/or other pertinent affiliations.
- A copy of the project's client intake form, application form or other paperwork used to collect and certify income and racial data for each client.
- Copy of agency's Affirmative Action Plan.

COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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**CDBG APPLICATION AND PRELIMINARY AGREEMENT**

---

By signing below I certify that all of the information above and included with this application is true, and I understand that application for funds does not in any way guarantee funding. Additionally, the applicant understands and agrees that in the event the applicant receives approval from the City Council for a block grant fund allocation:

1. The applicant will not receive the block grant funds until:
  - a. HUD has approved the project and the City receives a letter of credit from HUD.
  - b. An Environmental Review has been completed and approved.
  - c. If applicable, a Request for Release of Funds and a Finding of No Significant Impact have been published for community comment and HUD has released funds for the project.
  - d. A contract between the City of Grand Junction and the sub-recipient is fully executed.
  - e. All labor standards have been met.
2. The applicant will submit a sub-recipient report with each request for reimbursement. The report will describe the progress of the project, the project beneficiaries, and other information required by HUD or the City. Invoices will accompany the report when indicated. Funds will not be released without this report.
3. The applicant will provide the City any other information required by HUD or by the City.
4. The applicant will comply with all other requirements set forth by the City and the U.S. Department of Housing and Urban Development.

Applicant: Christy Whitney Borchard, HopeWest

Title: President & CEO

Signature (typed acceptable): Christy Whitney Borchard

Date: 3/26/2018



Department of the Treasury  
Internal Revenue Service

P.O. Box 2508  
Cincinnati OH 45201

In reply refer to: 0752856967  
July 10, 2017 LTR 4168C 0  
84-1207388 000000 00  
Input Op: 0752856967 00183913  
BODC: TE

HOPEWEST  
3090 N 12TH ST UNIT B  
GRAND JCT CO 81506-2804



063992

Employer ID Number: 84-1207388  
Form 990 required: Y

Dear HOPEWEST:

This is in response to your request dated June 28, 2017, regarding your tax-exempt status.

We issued you a determination letter in AUGUST 1993, recognizing you as tax-exempt under Internal Revenue Code (IRC) Section 501(c)(03).

Our records also indicate you're not a private foundation as defined under IRC Section 509(a) because you're described in IRC Section 509(a)(2).

Donors can deduct contributions they make to you as provided in IRC Section 170. You're also qualified to receive tax deductible bequests, legacies, devises, transfers, or gifts under IRC Sections 2055, 2106, and 2522.

In the heading of this letter, we indicated whether you must file an annual information return. If a return is required, you must file Form 990, 990-EZ, 990-N, or 990-PF by the 15th day of the fifth month after the end of your annual accounting period. IRC Section 6033(j) provides that, if you don't file a required annual information return or notice for three consecutive years, your exempt status will be automatically revoked on the filing due date of the third required return or notice.

For tax forms, instructions, and publications, visit [www.irs.gov](http://www.irs.gov) or call 1-800-TAX-FORM (1-800-829-3676).

If you have questions, call 1-877-829-5500 between 8 a.m. and 5 p.m., local time, Monday through Friday (Alaska and Hawaii follow Pacific Time).



0752856967  
July 10, 2017 LTR 4168C 0  
84-1207388 000000 00  
Input Op: 0752856967 00183914

HOPEWEST  
3090 N 12TH ST UNIT B  
GRAND JCT CO 81506-2804

Sincerely yours,

A handwritten signature in black ink, appearing to read "Teri M. Johnson". The signature is fluid and cursive, with a long horizontal stroke at the end.

Teri M. Johnson  
Operations Manager, AM Ops. 3

**HOPEWEST**

**FINANCIAL STATEMENTS  
AND  
INDEPENDENT AUDITOR'S REPORT**

**December 31, 2016 and 2015**



DALBY, WENDLAND & CO., P.C.

Grand Junction

CPAs and Business Advisors

464 Main Street • P.O. Box 430 • Grand Junction, CO 81502  
Phone: (970) 243-1921 • Fax: (970) 243-9214

Board of Directors  
HopeWest  
Grand Junction, Colorado

## INDEPENDENT AUDITOR'S REPORT

We have audited the accompanying financial statements of HopeWest (a non-profit organization), which comprise the statements of financial position as of December 31, 2016 and 2015, and the related statements of activities, functional expenses, and cash flows for the years then ended, and the related notes to the financial statements.

### Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

### Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of HopeWest as of December 31, 2016 and 2015, and the changes in its net assets and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

*Dalby, Wendland & Co., P.C.*

DALBY, WENDLAND & CO., P.C.  
Grand Junction, Colorado

March 20, 2017 *Aspen • Glenwood Springs • Rifle • Grand Junction • Montrose • Telluride*

[www.DalbyCPA.com](http://www.DalbyCPA.com)

*Since 1948*



**HOPEWEST**  
**STATEMENTS OF FINANCIAL POSITION**  
December 31, 2016 and 2015

ASSETS	2016	2015
<b>Current Assets</b>		
Cash and cash equivalents	\$ 3,225,466	\$ 3,398,078
Investments - other	450,166	574,228
Accounts receivable, net of allowance for contractual adjustments of \$526,644 (2016) and \$435,434 (2015)	3,448,885	3,548,823
Pledges receivable, net of allowance	22,440	151,815
Other receivables	117,367	71,383
Inventories	56,815	58,568
Prepaid and other current assets	328,023	284,591
<i>Total Current Assets</i>	<u>7,649,162</u>	<u>8,087,486</u>
<b>Assets Limited as to Use</b>		
WCCF and MCF investment	67,766	62,384
<i>Total Assets Limited as to Use</i>	<u>67,766</u>	<u>62,384</u>
<b>Non-Current Assets</b>		
Property and equipment, net	16,245,109	16,258,313
Pledges receivable, net of allowance and current portion	9,020	2,897
Other assets	84,367	74,452
<i>Total Non-Current Assets</i>	<u>16,338,496</u>	<u>16,335,662</u>
<i>Total Assets</i>	<u>\$ 24,055,424</u>	<u>\$ 24,485,532</u>
<b>LIABILITIES AND NET ASSETS</b>		
<b>Current Liabilities</b>		
Accounts payable	\$ 1,820,884	\$ 1,389,329
Accrued compensation and related taxes	1,597,631	2,171,055
Deferred revenue	61,025	139,627
Current portion of bonds payable	392,552	380,048
Current portion of annuity payable	6,000	6,000
<i>Total Current Liabilities</i>	<u>3,878,092</u>	<u>4,086,059</u>
<b>Long-Term Liabilities</b>		
Bonds payable, net of current portion	2,531,223	2,979,013
Annuity payable, net of current portion	72,719	74,891
<i>Total Long-Term Liabilities</i>	<u>2,603,942</u>	<u>3,053,904</u>
<i>Total Liabilities</i>	<u>6,482,034</u>	<u>7,139,963</u>
<b>Net Assets</b>		
Unrestricted	7,622,200	6,934,882
Temporarily restricted	9,927,553	10,387,050
Permanently restricted	23,637	23,637
<i>Total Net Assets</i>	<u>17,573,390</u>	<u>17,345,569</u>
<i>Total Liabilities and Net Assets</i>	<u>\$ 24,055,424</u>	<u>\$ 24,485,532</u>

See accompanying notes.

**HOPEWEST**  
**STATEMENT OF ACTIVITIES**  
For the year ended December 31, 2016

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
<b>Revenue and Support</b>				
Net patient service revenue	\$ 32,725,028	\$ -	\$ -	\$ 32,725,028
Grants and contributions	1,373,679	271,744	-	1,645,423
Special event revenue, net	853,027	-	-	853,027
Capital campaign grants and contributions	-	17,880	-	17,880
Heirlooms for Hospice	1,200,433	-	-	1,200,433
Food services	989,993	-	-	989,993
Investment income	22,317	-	-	22,317
Other revenue	38,915	-	-	38,915
Amortization of pledge discount	-	-	-	-
Net present value discount on new pledges	-	-	-	-
Net assets released from restrictions:				
Restrictions satisfied by payments	193,953	(193,953)	-	-
Restrictions released by depreciation	555,168	(555,168)	-	-
<i>Total Revenue and Support</i>	<u>37,952,513</u>	<u>(459,497)</u>	<u>-</u>	<u>37,493,016</u>
<b>Expenses</b>				
Hospice patient care	25,399,431	-	-	25,399,431
Community programs	1,472,119	-	-	1,472,119
Hospice bereavement	197,042	-	-	197,042
Volunteer program	528,687	-	-	528,687
Heirlooms for Hospice	913,124	-	-	913,124
Food services	1,375,975	-	-	1,375,975
Administrative and general	6,688,154	-	-	6,688,154
Capital campaign and fund raising	690,663	-	-	690,663
<i>Total Expenses</i>	<u>37,265,195</u>	<u>-</u>	<u>-</u>	<u>37,265,195</u>
<i>Change in Net Assets</i>	687,318	(459,497)	-	227,821
Net Assets - beginning of the year	<u>6,934,882</u>	<u>10,387,050</u>	<u>23,637</u>	<u>17,345,569</u>
Net Assets - end of the year	<u>\$ 7,622,200</u>	<u>\$ 9,927,553</u>	<u>\$ 23,637</u>	<u>\$ 17,573,390</u>

See accompanying notes.

**HOPEWEST**  
**STATEMENT OF ACTIVITIES**  
For the year ended December 31, 2015

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
<b>Revenue and Support</b>				
Net patient service revenue	\$ 31,835,747	\$ -	\$ -	\$ 31,835,747
Grants and contributions	1,423,808	74,958	11,000	1,509,766
Special event revenue, net	731,322	-	-	731,322
Capital campaign grants and contributions	-	260,922	-	260,922
Heirlooms for Hospice	1,141,364	-	-	1,141,364
Food services	954,504	-	-	954,504
Investment income	14,753	-	-	14,753
Other revenue	42,456	-	-	42,456
Amortization of pledge discount	-	5,533	-	5,533
Net present value discount on new pledges	-	(733)	-	(733)
Net assets released from restrictions:				
Restrictions satisfied by payments	191,855	(191,855)	-	-
Restrictions released by depreciation	507,926	(507,926)	-	-
<i>Total Revenue and Support</i>	<u>36,843,735</u>	<u>(359,101)</u>	<u>11,000</u>	<u>36,495,634</u>
<b>Expenses</b>				
Hospice patient care	24,625,095	-	-	24,625,095
Community programs	1,466,039	-	-	1,466,039
Hospice bereavement	141,888	-	-	141,888
Volunteer program	462,759	-	-	462,759
Heirlooms for Hospice	948,028	-	-	948,028
Food services	1,301,191	-	-	1,301,191
Administrative and general	7,089,415	-	-	7,089,415
Capital campaign and fund raising	616,378	-	-	616,378
<i>Total Expenses</i>	<u>36,650,793</u>	<u>-</u>	<u>-</u>	<u>36,650,793</u>
<i>Change in Net Assets</i>	192,942	(359,101)	11,000	(155,159)
Net Assets - beginning of the year	6,741,940	10,746,151	12,637	17,500,728
Net Assets - end of the year	<u>\$ 6,934,882</u>	<u>\$ 10,387,050</u>	<u>\$ 23,637</u>	<u>\$ 17,345,569</u>

See accompanying notes.



**HOPE WEST**  
**STATEMENTS OF FUNCTIONAL EXPENSES**  
For the years ended December 31, 2016 and 2015

	Hospice Patient Care	Community Programs	Hospice Bereavement	Volunteer Program	Heirlooms for Hospice	Food Services	Administrative and General	Capital Campaign and Fund Raising	Total Expenses
<b>2016</b>									
Salaries	\$ 12,135,826	\$ 873,395	\$ 115,399	\$ 357,525	\$ 438,247	\$ 699,216	\$ 3,312,983	\$ 441,209	\$ 18,373,800
Employee benefits	2,643,125	303,647	27,068	95,178	88,981	12,508	534,357	101,230	3,806,094
Mileage reimbursement and lease vehicles	352,296	17,590	2,664	8,350	2,069	34	25,205	7,286	415,494
<i>Total Personnel</i>	<u>15,131,247</u>	<u>1,194,632</u>	<u>145,131</u>	<u>461,053</u>	<u>529,297</u>	<u>711,758</u>	<u>3,872,545</u>	<u>549,725</u>	<u>22,595,388</u>
Purchased services	5,720,180	102,801	-	8,865	50,906	33,066	586,405	4,691	6,506,914
Medical supplies and drugs	3,362,728	-	-	-	-	-	-	-	3,362,728
Food service cost of goods	-	-	-	-	-	387,767	-	-	387,767
Other supplies	199,915	13,472	7,467	17,414	31,811	77,818	214,813	-	562,710
Occupancy	363,098	31,740	288	-	158,679	7,858	131,840	1,217	694,720
Repairs, maintenance, and equipment rental	61,446	31,606	-	-	25,925	43,792	131,652	-	294,421
Community relations	857	4	-	241	5,330	-	64,002	22,846	93,280
Travel	46,449	21,815	-	-	2,242	-	62,612	13,898	147,016
Interest	44,970	-	-	-	-	-	44,970	-	89,940
Pledge write off	-	-	-	-	-	-	13,936	-	13,936
Other expenses	313,350	34,622	44,156	41,114	86,127	77,470	878,047	93,288	1,568,174
<i>Total Expenses Before Depreciation</i>	<u>25,244,240</u>	<u>1,430,692</u>	<u>197,042</u>	<u>528,687</u>	<u>890,317</u>	<u>1,339,529</u>	<u>6,000,822</u>	<u>685,665</u>	<u>36,316,994</u>
Depreciation	155,191	41,427	-	-	22,807	36,446	687,332	4,998	948,201
<b>Total</b>	<b>\$ 25,399,431</b>	<b>\$ 1,472,119</b>	<b>\$ 197,042</b>	<b>\$ 528,687</b>	<b>\$ 913,124</b>	<b>\$ 1,375,975</b>	<b>\$ 6,688,154</b>	<b>\$ 690,663</b>	<b>\$ 37,265,195</b>
<b>2015</b>									
Salaries	\$ 12,050,571	\$ 908,428	\$ 81,886	\$ 324,465	\$ 440,731	\$ 569,515	\$ 3,332,697	\$ 375,488	\$ 18,083,781
Employee benefits	2,619,937	300,886	19,175	77,325	94,133	10,798	705,804	86,582	3,914,640
Mileage reimbursement and lease vehicles	364,836	16,500	5,297	6,542	2,835	52	27,972	8,595	432,629
<i>Total Personnel</i>	<u>15,035,344</u>	<u>1,225,814</u>	<u>106,358</u>	<u>408,332</u>	<u>537,699</u>	<u>580,365</u>	<u>4,066,473</u>	<u>470,665</u>	<u>22,431,050</u>
Purchased services	4,751,736	96,939	-	648	38,443	33,587	723,809	686	5,645,848
Medical supplies and drugs	3,600,504	-	-	-	-	-	-	-	3,600,504
Food service cost of goods	-	-	-	-	-	421,190	-	-	421,190
Other supplies	204,367	26,697	1,483	7,699	36,737	97,981	300,878	-	675,842
Occupancy	358,292	20,807	-	-	165,670	8,874	118,324	175	672,142
Repairs, maintenance, and equipment rental	129,438	9,601	-	-	25,642	29,458	118,859	-	312,998
Community relations	1,082	208	-	479	4,752	-	69,626	16,738	92,885
Travel	44,521	26,210	-	2,105	1,616	-	54,627	10,610	139,689
Interest	56,169	-	-	-	-	-	56,169	-	112,338
Pledge recovery	-	-	-	-	-	-	(68,152)	-	(68,152)
Other expenses	287,648	24,807	34,047	43,496	113,619	92,690	942,714	116,584	1,655,605
<i>Total Expenses Before Depreciation</i>	<u>24,469,101</u>	<u>1,431,083</u>	<u>141,888</u>	<u>462,759</u>	<u>924,178</u>	<u>1,264,145</u>	<u>6,383,327</u>	<u>615,458</u>	<u>35,691,939</u>
Depreciation	155,994	34,956	-	-	23,850	37,046	706,088	920	958,854
<b>Total</b>	<b>\$ 24,625,095</b>	<b>\$ 1,466,039</b>	<b>\$ 141,888</b>	<b>\$ 462,759</b>	<b>\$ 948,028</b>	<b>\$ 1,301,191</b>	<b>\$ 7,089,415</b>	<b>\$ 616,378</b>	<b>\$ 36,650,793</b>

See accompanying notes.

**HOPEWEST**  
**STATEMENTS OF CASH FLOWS**  
For the years ended December 31, 2016 and 2015

	<u>2016</u>	<u>2015</u>
<b>Cash Flows From Operating Activities</b>		
Change in net assets	\$ 227,821	\$ (155,159)
Adjustments to reconcile change in net assets to net cash provided by operating activities:		
Depreciation	948,201	958,854
Pledge write off (recovery)	13,936	(68,152)
Unrealized gain on WCCF and MCF investment	(5,382)	(8,873)
Cash received from capital campaign contributions	-	(714,285)
Non-cash gifts in kind	(7,180)	(250,368)
Prior construction project costs expensed	17,065	157,730
Loss on disposal of property and equipment	17,771	56,361
Changes in operating assets and liabilities:		
Accounts receivable	99,938	281,364
Pledges receivable	109,316	599,666
Other receivables	(45,984)	(30,028)
Inventories	1,753	(42,027)
Prepaid and other current assets	(43,432)	74,427
Other assets	(9,915)	(28,846)
Accounts payable	431,555	(628,902)
Accrued compensation and related taxes	(573,424)	690,635
Deferred revenue	(78,602)	10,234
<i>Net Cash Provided by Operating Activities</i>	<u>1,103,437</u>	<u>902,631</u>
<b>Cash Flows From Investing Activities</b>		
Proceeds from sale of investments	125,000	214,343
Purchases of investments	(938)	(76,629)
Purchase of property and equipment	(962,653)	(6,833)
Purchase of property and equipment - capital campaign	-	(1,083,361)
Proceeds from sale of equipment	-	193,027
<i>Net Cash Used by Investing Activities</i>	<u>(838,591)</u>	<u>(759,453)</u>
<b>Cash Flows From Financing Activities</b>		
Cash received from capital campaign contributions	-	714,285
Payments on bonds payable	(435,286)	(506,804)
Net change in charitable gift annuity	(2,172)	(3,254)
<i>Net Cash Provided (Used) by Financing Activities</i>	<u>(437,458)</u>	<u>204,227</u>
<i>Net Increase (Decrease) in Cash and Cash Equivalents</i>	(172,612)	347,405
Cash and Cash Equivalents - beginning of the year	3,398,078	3,050,673
Cash and Cash Equivalents - end of the year	<u>\$ 3,225,466</u>	<u>\$ 3,398,078</u>
Cash paid for interest	<u>\$ 90,314</u>	<u>\$ 114,181</u>

See accompanying notes.



# HOPEWEST

## NOTES TO FINANCIAL STATEMENTS

December 31, 2016 and 2015

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### NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

#### **Organization and Nature of Activities**

HopeWest was founded in 1993 through a community-wide vision. Its founders recognized the need for comprehensive, expert and collaborative care for those facing serious illness and the need for effective grief support for their loved ones. Through its whole-family approach, HopeWest's mission is to profoundly change the way our community experiences serious illness, aging and grief – one family at a time. HopeWest serves more than 7,000 square miles across western Colorado with 5 offices and a state-of-the-art HopeWest Hospice Care Center.

HopeWest is so much more than a hospice or health care organization. It is a hospice, palliative care organization, counseling program and social program providing support to people in their homes, hospitals and assisted living residences, regardless of age or illness. With a staff of more than 350 employees and 1,500 volunteers, HopeWest provides care to more than 2,500 patients and 1,200 individuals coping with grief every year.

HopeWest is woven into the communities it serves through its Board of Directors, Foundation Board and Advisory Boards in every community where there is an office. Philanthropy help support the mission and is raised through grants, individual and corporate gifts, events and support from its retail businesses – a restaurant, coffee shop and four upscale retail shops.

#### **Basis of Presentation**

The accompanying financial statements have been prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America. Net assets of HopeWest and changes therein are classified and reported as follows:

Unrestricted Net Assets - Unrestricted net assets are not subject to donor-imposed stipulations. Revenue is reported as increases in unrestricted net assets unless use of the related assets is limited by donor-imposed restrictions. Expenses are reported as decreases in unrestricted net assets. Expirations of temporary restrictions on net assets, that is, the donor-imposed stipulated purpose having been accomplished and/or the stipulated time period having elapsed, are reported as transfers between the applicable classes of net assets.

Temporarily Restricted Net Assets - Net assets subject to donor-imposed stipulations that may or will be met, by actions of HopeWest and/or the passage of time. Temporarily restricted net assets are reclassified to unrestricted as their time and/or purpose requirements are met.

Permanently Restricted Net Assets - Net assets subject to donor-imposed restrictions that will be maintained permanently by HopeWest. Generally, the donors of these assets permit HopeWest to use all or part of the income earned on any related investments for general or specific purposes.

#### **Estimates**

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.



**Cash and Cash Equivalents**

Cash equivalents consist of short-term, highly liquid investments which are readily convertible into cash within ninety (90) days of purchase. At December 31, HopeWest had \$1,019,794 (2016) and \$893,551 (2015) in cash equivalents. At times, such balances may be in excess of Federal Deposit Insurance Corporation insurance limits.

**Investments - Other**

Investments are reported at their fair values in the statements of financial position. Unrealized gains and losses are included in the change in net assets. At December 31, 2016 and 2015, investments consisted of long-term certificates of deposit whose fair value approximates cost.

**Allowance for Contractual Adjustments**

The allowance for contractual adjustments is established through a provision which reduces accounts receivable and patient service revenue resulting in net patient service revenue. Accounts receivable are charged against the allowance when management believes an adjustment of prior billings is likely.

The allowance for contractual adjustments includes a specific reserve on accounts denied and/or placed on hold for Additional Documentation Requests (ADR) from Medicare. The ADR includes claims awaiting resolution with an Administrative Law Judge. HopeWest claims that were resolved by Administrative Law Judge decision in 2016 had been held in excess of 60 months. The amounts that are in the appeal process that have not gone to the Administrative Law Judge are allowed for based on HopeWest's current recovery rate of claims from ADR.

**Pledges Receivable**

Pledges receivable are recorded at the original pledge less the net present value discount and allowance for uncollectible pledges. The pledges are temporarily restricted as stipulated by donors at the time the pledges are made. Restrictions are released through the passage of time or fulfillment of the donors' intent.

The Fair Value Measurements and Disclosures topic of the Financial Accounting Standards Board Accounting Standards Codification (ASC) 820-10, defines fair values, establishes a consistent framework for measuring fair value and expands disclosure requirements for fair value measurements.

The Fair Value Measurements and Disclosures topic of ASC 825-10, permits entities to choose to measure many financial instruments and certain other items at fair value. Management has elected not to measure pledges receivable using the fair value method.

**Other Receivables**

Other receivables consist primarily of pharmacy discounts receivable and receivables from special event tickets and accommodations.

**Inventories**

Inventories consist of food service and retail sales inventory which are stated at the lower of cost (using specific identification) or market.

**Property and Equipment**

Property and equipment are valued at cost, except for donated assets, which are valued at fair value at the time of donation. Depreciation is computed using the straight-line method over the estimated useful lives of the assets. Computers with an original cost less than \$750 and minor assets with an original cost less than \$1,000 are charged to expense when acquired. Donated assets are classified as temporarily restricted net assets at the time of donation. The restriction expires over the useful life of the donated asset equal to its depreciation expense.



### Reclassifications

Certain reclassifications have been made to the 2015 financial statements to conform to 2016 classifications. Net assets and changes to net assets are unchanged due to these reclassifications.

### Net Patient Service Revenue

Net patient service revenue is reported at the estimated net realizable amounts from patients, third-party payors, and others for services rendered, including estimated prospective adjustments under reimbursement agreements with third-party payors. Prospective adjustments are accrued on an estimated basis in the period the related services are rendered and adjusted in future periods as final settlements are determined.

HopeWest has agreements with third-party payors that provide for payments to HopeWest at amounts different from its established rates. A summary of the arrangements with major third-party payors follows:

Medicare/Medicaid - HopeWest's services rendered to Medicare/Medicaid program beneficiaries are reimbursed at prospectively determined daily rates. The classification of patients under the Medicare or Medicaid programs and the appropriateness of their admission are subject to an independent review by a fiscal intermediary assigned by Medicare or Medicaid.

Other Third-Party Payors - HopeWest has payment agreements with certain commercial insurance carriers and health maintenance organizations. The basis of payment to HopeWest under these arrangements includes prospectively determined daily rates.

### Contributions

All contributions are considered to be available for unrestricted use unless specifically restricted by the donor. Amounts received that are designated for future periods or restricted by the donor for specific purposes are reported as temporarily restricted or permanently restricted support that increases those net asset classes. When a temporary restriction expires, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statements of activities as net assets released from restrictions. Contributions received with temporary restrictions that are met in the same reporting period are reported as unrestricted support and increase unrestricted net assets.

### Contributed Services and In-Kind Contributions

Contributions of services are recognized at the fair value of the services received if the services received (a) create or enhance non-financial assets or (b) require specialized skills that are provided by individuals possessing those skills and would typically need to be purchased if not provided by donation. Many individuals volunteer their time and perform a variety of tasks that assist HopeWest, but these services do not meet the criteria for recognition as contributed services.

Donated materials and supplies are reflected as contributions at their estimated values at date of receipt. For the years ended December 31, donated supplies for HopeWest's fundraising and program activities of \$19,038 (2016) and \$141,604 (2015), were received and are included in contributions and expenses in the statements of activities.

### Special Event Revenue

Special event revenue is presented net of direct costs on the statements of activities. Special event revenue at December 31 was:

	2016	2015
Special event revenue	\$ 1,235,875	\$ 1,057,766
Less direct costs	(382,848)	(326,444)
<i>Special Event Revenue, net</i>	<u>\$ 853,027</u>	<u>\$ 731,322</u>

### Advertising

HopeWest charges advertising costs to operations when incurred. Total advertising expense for the years ended December 31 was \$154,451 (2016) and \$163,419 (2015). Additional advertising consisting of in-kind contributions for the years ended December 31 was approximately \$1,500 (2016) and \$14,420 (2015).

### Income Taxes

HopeWest is exempt from income taxes under Internal Revenue Code Section 501(c)(3) and, therefore, has made no provision for income tax expense.

### Functional Allocation of Expenses

The costs of providing HopeWest's various programs and supporting services have been summarized on a functional basis in the statements of activities. Accordingly, certain costs have been allocated among the programs and supporting services benefited.

## NOTE 2 - PLEDGES RECEIVABLE

Pledges receivable at December 31 were as follows:

	2016	2015
Receivable in less than one year	\$ 52,383	\$ 198,719
Receivable in one to five years	10,390	40,688
Receivable in more than five years	-	5,000
<i>Total Pledges Receivable</i>	62,773	244,407
Less discounts to net present value	(1,370)	(41,243)
Less allowance for doubtful pledges – current portion	(29,943)	(46,904)
Less allowance for doubtful pledges – non-current portion	-	(1,548)
<i>Pledges Receivable, net</i>	<u>\$ 31,460</u>	<u>\$ 154,712</u>

Long-term pledges receivable received in 2016 and 2015 are discounted at a rate of 2.74%.

## NOTE 3 - PROPERTY AND EQUIPMENT

Property and equipment consisted of the following at December 31:

	2016	2015
Land improvements	\$ 2,385,574	\$ 2,090,731
Buildings	12,492,363	12,490,943
Furniture, fixtures and leasehold improvements	2,416,744	2,390,943
Office equipment and software licenses	2,189,130	2,268,096
	19,483,811	19,240,713
Accumulated depreciation	(7,129,649)	(6,363,618)
	12,354,162	12,877,095
Land	3,117,314	3,117,314
Projects in progress	773,633	263,904
<i>Property and Equipment, net</i>	<u>\$ 16,245,109</u>	<u>\$ 16,258,313</u>

Depreciation expense for the years ended December 31 was \$948,201 (2016) and \$958,854 (2015).



#### NOTE 4 - BONDS PAYABLE

During 2007, Mesa County issued \$7,000,000 of tax-exempt revenue bonds on behalf of HopeWest for the construction of HopeWest's inpatient facility, payable at a fixed rate of 4.95% maturing in 2023. In September 2015, the remaining balance on these revenue bonds were refinanced at a fixed interest rate of 2.74%. The bonds are reported as a liability of HopeWest and are secured by the related assets with a net book value of \$9,678,164. Interest expense for the years ended December 31 was \$89,940 (2016) and \$112,338 (2015).

HopeWest's bonds payable will mature as follows:

	Principal	Interest	Total
2017	\$ 392,552	\$ 76,243	\$ 468,795
2018	403,597	65,198	468,795
2019	414,953	53,842	468,795
2020	426,501	42,294	468,795
2021	438,629	30,166	468,795
2022 - 2023	847,543	22,959	870,502
	<u>\$ 2,923,775</u>	<u>\$ 290,702</u>	<u>\$ 3,214,477</u>

#### NOTE 5 - ANNUITY OBLIGATIONS

HopeWest has established a program under which donors may set up charitable gift annuities. Under this program, donors can contribute assets to HopeWest and in return receive a guaranteed fixed income for life. HopeWest recognizes contribution revenue for the difference between the fair value of the assets received and the annuity liability.

Annuity liabilities are recorded for the required life annuity payments at the present value of expected future cash payments discounted using current interest rates and actuarial assumptions for those annuities that have not been reinsured. The annuity obligations are adjusted each year for changes in the life expectancy of the beneficiaries and are reduced either as payments are made to the donor or as annuities are reinsured. The present value of the future payment liability of the charitable gift annuity at December 31 was \$78,719 (2016) and \$80,891 (2015).

#### NOTE 6 - LINE OF CREDIT

HopeWest has a line of credit in the amount of \$1,500,000, limited to 50% of HopeWest's eligible accounts receivable, generally receivables aged 90 days or less. This line of credit provides short-term borrowing at an interest rate equal to the lender's index plus .50%. This line of credit was replaced subsequent to year end with a new line of credit, see Note 13.

Collateral for the line of credit consists of all chattel paper, accounts receivable, and general intangibles, if any. As of December 31, 2016 and 2015, there were no outstanding draws on the line.

#### NOTE 7 - OPERATING LEASES

HopeWest has non-cancellable operating leases for rental of its facilities, various office equipment, and an automobile. These leases expire on various dates through 2020. Rent expense for the years ended December 31 was \$544,886 (2016) and \$531,146 (2015). Minimum rentals on an annual basis for the years ending December 31 are as follows:

2017	\$ 228,402
2018	89,513
2019	43,025
2020	3,518
	<u>\$ 364,458</u>

**NOTE 8 - TEMPORARILY AND PERMANENTLY RESTRICTED NET ASSETS**

Temporarily restricted net assets are available for the following purposes:

	2016	2015
Depreciable property and equipment	\$ 9,657,887	\$ 9,895,063
Projects in progress – capital campaign	63,056	296,000
Net pledges – capital campaign	31,460	18,350
Temporary endowment	30,000	30,000
Other	145,150	147,637
	<u>\$ 9,927,553</u>	<u>\$ 10,387,050</u>

Permanently restricted net assets of \$23,637 in 2016 and 2015 are investments to be held in perpetuity, the income from which is expendable to support program services.

**NOTE 9 - BOARD SUPPORT**

Officers and members of HopeWest’s board of directors and their affiliated entities (the Board) contribute revenue to HopeWest to help support its mission. For the years ended December 31, the Board made contributions of \$118,102 (2016) and \$126,724 (2015). At December 31, HopeWest had unconditional pledges receivable from the Board of \$5,308 (2016) and \$25,123 (2015).

**NOTE 10 - CONCENTRATION OF CREDIT RISK**

HopeWest grants credit without collateral to its patients, most of whom are local residents and are insured under third-party payor arrangements. Receivables by type at December 31 were as follows:

	2016	2015
Medicare	74.5%	82.6%
Medicaid	16.0%	11.6%
Other third-party payors	8.9%	5.4%
Private pay	0.6%	0.4%
	<u>100.0%</u>	<u>100.0%</u>

**NOTE 11 - RETIREMENT PLAN**

HopeWest has a defined contribution plan (the Plan) covering substantially all employees. An employee can defer up to 100% of his or her compensation, providing their contribution does not exceed the annual deferral limitation permitted under the Internal Revenue Code, and HopeWest will make a discretionary matching contribution equal to a uniform percentage of each participant’s elective deferrals or a discretionary amount. The exact percentage or amount, if any, is determined annually by HopeWest and is considered an employer non-elective contribution. Employees with one year of service, and who have attained the age of 19, are eligible to participate. HopeWest’s contributions to the Plan for the years ended December 31 were \$211,351 (2016) and \$170,787 (2015).



## **NOTE 12 - CHARITY CARE**

HopeWest provides charity care to patients who are uninsured or underinsured and financially unable to pay for the hospice or palliative care services they receive. Qualified patients are identified during the admission process and offered a sliding scale based on income. Scholarships for care are also available for those with extenuating circumstances. HopeWest's policy is to not pursue collection of amounts determined to qualify as charity care. Accordingly, HopeWest does not report these amounts in net operating revenues or in the allowance for doubtful accounts.

HopeWest determines the costs associated with providing charity care by aggregating the applicable direct and indirect costs, including salaries, wages and benefits, medical equipment and supplies, pharmacy, and other operating expenses, based on data from its costing system. The costs of caring for charity care patients for the years ended December 31 were approximately \$703,000 (2016) and \$735,000 (2015). Funds received from gifts and grants to subsidize charity care services provided for the years ended December 31 were \$5,000 (2016 and 2015).

## **NOTE 13 - SUBSEQUENT EVENTS**

HopeWest has evaluated subsequent events through March 20, 2017, the date at which the financial statements were available to be issued, and the following subsequent events were identified.

HopeWest entered into three new debt agreements in 2017, all collateralized by buildings, inventory, chattel paper, accounts receivable, and general intangibles:

On January 11, 2017, HopeWest established a business loan agreement for the purpose of purchasing a new building. The loan has an available principle of \$8,000,000 at an initial rate of 3.99% and will mature January 2037.

On February 23, 2017, HopeWest established a business loan agreement for the purpose of re-financing its current property. The loan has a principle balance of \$2,815,548 at 4.65% and will mature March 2024.

On February 23, 2017, HopeWest established a revolving line of credit with an available principle balance of \$1,500,000 at a variable interest rate of prime plus 1%. The line will mature March 2018.



**HopeWest**  
**BALANCE SHEET**  
**January 31, 2018**

	Current Month		Current Month
<b>ASSETS</b>		<b>LIABILITIES AND NET ASSETS</b>	
<b>CURRENT ASSETS</b>		<b>CURRENT LIABILITIES</b>	
Cash	3,926,677	Accounts Payable	2,393,585
Accounts Receivable	3,431,575	Accrued Payroll	1,872,793
Allowance for doubtful accounts	(489,036)	Notes Payable	0
	<hr/>	Deferred Revenue	182,956
Net Accounts Receivable	2,942,539		<hr/>
			4,449,334
Accounts Receivable - other	34,677		
Inventory	66,054		
Prepaid Expenses	258,739		
Deposits	53,385		
	<hr/>		
<b>TOTAL CURRENT ASSETS</b>	<b>7,282,071</b>		
		<b>LONG-TERM LIABILITIES</b>	
Assets Limited as to Use	2,485,140	Long Term Note	5,711,615
		Annuity Payable	71,258
<b>PROPERTY, PLANT and EQUIPMENT</b>		<b>NET ASSETS</b>	
Land	4,022,129	Unrestricted	7,656,080
Land Improvements	3,203,068	Temporarily Restricted	13,540,451
Buildings	16,901,754	Endowed Funds	23,637
Construction-In-Progress	597,784		<hr/>
Furniture and Equipment	5,019,204		
Less: Allowance for Depreciation	(8,116,294)		
	<hr/>		
	21,627,645		
		<b>TOTAL LIABILITIES AND NET ASSETS</b>	<b>31,452,374</b>
Debt Issuance Costs (Net)	16,120		
Investment in Joint Venture	41,398		
<b>TOTAL ASSETS</b>	<b>31,452,374</b>		
	<hr/> <hr/>		<hr/> <hr/>

HopeWest  
STATEMENT OF INCOME  
January, 2018

	Current Month Actual		Current Month Budget	
Average Daily Census	414.0		440.3	
Patient Days	12,835		13,649	
Net Program Revenue	2,282,476	ppd \$178	2,456,563	ppd \$180
<b>EXPENSES</b>				
Personnel	2,121,181	\$165	2,131,232	\$156
Direct	283,188	\$22	323,598	\$24
General	357,683	\$28	326,108	\$24
Other Expenses				
Total Expense	2,762,051	\$215	2,780,937	\$204
Income from Operations	(479,575)	(\$37)	(324,375)	(\$24)
Donations, Grants, Events	73,845		82,200	
Heirlooms	18,377		(1,359)	
Other	146		743	
Total Other Income	92,367		81,584	
<b>Net Income</b>	<b>(387,208)</b>		<b>(242,790)</b>	

	Actual Year To Date		Budget Year To Date		Combined Variance YTD	
Average Daily Census	414.0		440.3		(26.3)	
Patient Days	12,835		13,649		(814)	
Net Program Revenue	2,282,476	ppd \$178	2,456,563	ppd \$180	(174,086)	ppd (\$2.1)
<b>EXPENSES</b>						
Personnel	2,121,181	\$165	2,131,232	\$156	10,051	(9.1)
Direct	283,188	\$22	323,598	\$24	40,409	1.6
General	357,683	\$28	326,108	\$24	(31,575)	(4.0)
Other Expenses						
Total Expense	2,762,051	\$215	2,780,937	\$204	18,886	(\$11.5)
Income from Operations	(479,575)	(\$37)	(324,375)	(\$24)	(155,201)	(\$13.6)
Donations, Grants, Events	73,845		82,200		(8,355)	
Heirlooms	18,377		(1,359)		19,736	
Other	146		743		(597)	
Total Other Income	92,367		81,584		10,783	
<b>Net Income</b>	<b>(387,208)</b>		<b>(242,790)</b>		<b>(144,417)</b>	

**SAM Search Results**  
**List of records matching your search for :**

**Search Term : hopewest\***  
**Record Status: Active**

<b>ENTITY</b> HOPEWEST	Status:Active
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DUNS: 836635169    +4:	CAGE Code: 55CS3	DoDAAC:
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Expiration Date: Jun 27, 2018	Has Active Exclusion?: No	Debt Subject to Offset?: No
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Address: 2754 Compass Dr Ste 377	
City: Grand Junction	State/Province: COLORADO
ZIP Code: 81506-8723	Country: UNITED STATES



**HopeWest**  
**2018 Board of Directors**

Melody BellCampton, Paralegal/Legal Assistant, Office of Delta County Attorney, 12/31/2018  
Committees: Delta Advisory Board

Joseph Breman, Retired Community Member, 12/31/2018  
Committees: Governance, Friendship Circle

Sue Conry, Director of Community Services, Hilltop, 12/31/2019  
Committees: Planning, Quality and Compliance

Beth Costello, Land Title Guarantee Company, 12/31/2019  
Committees: Executive

Brian Davidson, MD, Vice President of Medical Affairs, St. Mary's Hospital, 12/31/2018  
Committees: Medical Advisory Board

Martie Edwards, Community Leader, 12/31/2019

Steve ErkenBrack, President & CEO, Rocky Mountain Health Plans, 12/31/2019

Brad Feldhaus, Eagle Strategies, LLC/New York Life  
Committees: Foundation Board, Liaison

Mark Francis, CEO, Family Health West, 12/31/2018  
Committees: Governance, Fruita Advisory

Peter Joufflas, Retired Community Member, 12/31/2018  
Committees: Executive

Chris Launer, Retired Community Leader, 12/31/2018  
Committees: BOD Chair, Executive, Governance

Michael LeFebre, VA Hospital, 12/31/2019

Kenneth (Ken) G. Leis, Community Leader 12/31/2018

Drew Mayer, Community Member, 12/31/2018  
Committees: Executive

Dr. Donald Nicolay, Retired Chief Medical Officer, Community Hospital, 12/31/2018

Tom Sawyer, Owner, Tom Sawyer & Associates, LLC, 12/31/2018  
Chair, Committees: Quality and Compliance, Executive

Barbara Seelye, Adjunct Faculty, Western Governors University, 12/31/2018  
Committees: Executive, Montrose Advisory, Planning

Christy Whitney Borchard, President & CEO, HopeWest  
Committees: Africa Partnership, Executive, Foundation Board, Governance, Planning

S.Todd Young, Chief Business Development Officer, Bank of the San Juans, 12/31/2019  
Committees: Africa Partnership

Les Zetmeir, Community Leader, 6/1/2018

## PACE Intake Information

Participant Name: \_\_\_\_\_

Participant Date of Birth: \_\_\_\_\_  Male  Female

Participant Address: \_\_\_\_\_

In Grand Junction City Limits  Outside Grand Junction City Limits

Living Situation: \_\_\_\_\_

Ethnicity:  White  African American  Hispanic  Asian  Other

Insurance Coverage:  Medicare  Medicaid  Part D Medicare  Other \_\_\_\_\_

Monthly Household Income: \_\_\_\_\_

How many people are included in household: \_\_\_\_\_

Who is included in household: \_\_\_\_\_

What Advance Directives does the participant have completed:

MDPOA –who is the agent \_\_\_\_\_

Living Will  MOST—Who is the agent \_\_\_\_\_

DNR

HopeWest is dedicated to the principles of equal employment opportunity in any term, condition, or privilege of employment.

We do not unlawfully discriminate against applicants or employees on the basis of race, color, gender (sex) or sexual orientation, religion, creed, national origin, ancestry, age (40 and over), disability, genetic information, military or veteran status, or any other status protected by state or federal law.

This prohibition includes unlawful harassment based on any of these protected classes. Please refer to Guideline 4900 regarding complaints of discrimination or harassment in violation of this policy.

**1000**

**Equal  
Employment**

**Effective Date: February 1, 2014**

**Signature:**



**President & CEO**





**GRANT APPLICATION**  
**Community Development Block Grant**  
**(CDBG) Program**

*The City of Grand Junction does not discriminate on the basis of race, color, religion, sex, national origin, age or disability in the admission, access or appointment to, or treatment or employment in, its programs or activities.*

Applications and all attachments must be submitted to the City of Grand Junction electronically no later than 4:00 pm on Wednesday, March 26, 2018 to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org)

**APPLICANT BACKGROUND**

- 1. Organization Name:  
Mesa Youth Services, Inc. dba Mesa County Partners

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- 2. Organization Address:  
1169 Colorado Ave

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- Grand Junction, CO 81501

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- 3. Organization DUNS Number (Data Universal Number System) (required):  
*For more information visit [www.whitehouse.gov/omb/grants/duns\\_num\\_guide.pdf](http://www.whitehouse.gov/omb/grants/duns_num_guide.pdf):*  
052876864

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- 4. Organization or Parent Organization EIN/TIN Number (required):  
74-2486204

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- 5. Primary Contact Person:  
Jill Derrieux

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- Phone: 970-245-5555 FAX: 970-245-7411

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- Email: [jderrieux@mesapartners.org](mailto:jderrieux@mesapartners.org)

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6. Do you or your parent organization file OMB A-133 (Single Audit)?

Yes  No

If yes, please attach a copy of the most recent A-133 and Form SF-SAC to your CDBG application.

7. **System for Award Management (SAM)** Your entity must be registered in the System for Award Management (SAM) prior to application. Provide a copy of the registration confirmation with your application. See attached example. For more information visit: [www.sam.gov/portal](http://www.sam.gov/portal)

8. Is this agency an equal employment opportunity employer?  Yes  No

Please attach a copy of the agency's affirmative action plan.

9. Provide a brief description of your organization - what do you do?

One-to-One Mentoring: This program recruits, trains, and supervises adult volunteers who are matched with at-risk youth needing a positive role model and guidance. There were a total of 79 active partnerships in 2017. As part of our standards, we provide two healthy activities monthly and 16 life skills classes annually. In 2017, volunteers spent over 7000 hours mentoring youth in Mesa County.

-Restitution/Community Service Work Program: We supervise up to 1,000 juvenile offenders annually who complete court-ordered community service and pay restitution to victims. In 2017, we supervised over 14,000 community service hours and more than \$100,000 was paid back to victims in Mesa County.

-Western Colorado Conservation Corps: 101 youth and young adults were employed and trained in public land improvement projects in 2017.

10. Which describes your organization (check all that apply)?

A non-profit with 501(c)3 status

Housing Authority

Governmental Agency

Quasi-Governmental Agency

Faith based

Public Service /Human Services Provider

Public Organization

Other \_\_\_\_\_

11. Has the applicant received past CDBG funding from the City of Grand Junction? If so, please indicate the year received, the amount received and the amount of CDBG and/or funds remaining to be spent at this time.

2008- \$100,000 to purchase building for West. Co. Cons. Corps (WCCC)  
2010- \$17,000 for van to transport youth in (WCCC)  
2013- \$15,000 for van to transport youth in Mentoring Program  
2015- \$27,500 for safety upgrades to Partners Building

12. Does any employee, board member or client have any past or present ownership or financial investment in the agency, organization or proposed project? If so, please describe.

no

### FUNDING REQUEST SUMMARY

1. Project Name: Vehicle to transport youth in Restitution/Work Program
2. Project Address/Location: 1169 Colorado Ave
3. Amount of CDBG funds requested for the project: \$19,000.00
4. Funding leveraged from other sources for this project: \$10,000.00
5. Total Project Budget: \$0.00
6. Minimum amount of CDBG funding to benefit your project: \$10,000.00

### PROJECT ELIGIBILITY

1. Which CDBG national objective does this project meet?
- Benefits low/moderate income individuals/households
- Addresses the prevention or elimination of slums or blight
- Meets an urgent community need (usually a natural disaster)



2. Which City of Grand Junction CDBG Program Priority does this project meet?

- Need for Non-Housing Community Development Infrastructure
- Need for Affordable Housing
- Needs of the Homeless or Special Needs Populations
- Other Human Service Needs
- Economic Opportunities

## SPECIFIC PROJECT INFORMATION

1. **Project Description.** Give a brief description of the project for which you are requesting funds. How do you plan to spend the funds?

-Restitution/Community Service Work Program: We supervise up to 1,000 juvenile offenders annually. Through restorative justice, young people perform court-ordered community service by mowing lawns, cleaning ditches, raking leaves, and other projects to repay restitution to victims. In 2017, we supervised over 14,000 community service hours and more than \$100,000 was repaid to victims in our community. We have also collaborated with District Attorney's office to provide mental health screenings to all youth that are in the Diversion Program. This funding will provide safe transportation to the job sites. The youth earn minimum wage for every hour they work. The earned money is repaid directly to the crime victims.

2. **Project Type.** Which of the following categories best describes your project? (select only one)

- Public or human service
- Capital construction, building rehabilitation or public facility improvement
- Home ownership activities
- Housing rehabilitation
- Economic development assistance
- Planning or predevelopment costs
- Acquisition or demolition of property

3. If this is a Public or Human Service Project, is  New or  On-going?  
the service:

4. If funding an existing service, describe how the CDBG funds will support a measurable increase in that service (e.g. more families served or longer service period as compared to current operations)

The Restitution/Work program is an existing service that provides accountability, victim empathy training, and allows youth to complete payment to their victims and work off community service hours. We use a restorative justice approach to working with the youth. In 2017, we served over 700 youth offenders. While we can't control the number of youth who commit crimes and are referred, we can control how safely we transport them.

5. **Demonstrated Need for Project.** Provide a brief summary of current statistical data documenting the need for the project. Include sources of information.

According to an OMNI Research report dated October 2017, 91% of the youth offenders who participated in the Restitution/Work program completed their court-ordered requirements successfully. This is better than the state-wide completion rate of 85%. Youth were also given surveys at intake, and again upon completion. These results were compiled by OMNI and were part of the October 2017 report. Youth showed statistically significant improvements in decision making skills, future aspirations, and self-esteem after completing the Restitution/Work program.

6. **Project Budget.** Provide a line item budget for this project and the total budget cost, including the request for City of Grand Junction CDBG funds, other secured funding and other pending applications for funding. If this is a rehabilitation, remodeling or construction project, be sure to include Davis-Bacon wage regulations and architectural, engineering and/or environmental review fees in determining your project budget.

Budget Item	Amount	Funding Source	Award Date
Van	\$ 10,000.00	Bacon Foundation	7/2018
	\$ 19,000.00	City of GJ	pending
<b>Total Budget</b>	\$ 0.00		

7. **Project Schedule.** What is the proposed project schedule if awarded the CDBG funds? Keep in mind funding will not be available until September.

Start Date: 10/1/2018 Completion Date: 10/31/2018

If the project includes construction, are there plans/permits needed before the project can begin construction or has a construction schedule been identified?

Yes  No

8. **In-Kind Contributions.** What other resources (e.g. volunteers, in-kind donations, etc) will you be utilizing for this project? List all in-kind services, volunteer hours and goods you will bring to this project and determine a dollar value for all items listed.

## BENEFICIARY INFORMATION

1. **Total Persons Served.** How many total persons did this organization serve during the past year and how many total people does your organization expect to serve in the coming year?

In 2017, 979 youth were served. In 2018, we have budgeted to serve over 1,000 youth. Over 700 will participate in the Restitution/Work Program. The vehicle we need to replace is a 1998 Ford Van with over 137,000 miles on it. We have spent \$6,700 to keep it running since 2015.

2. **Persons Served by Project.** Provide an estimate of the number of persons who will benefit from the specific project that **live within the City limits of Grand Junction**. What percentage of total persons served by your organization live within the City limits?

In 2017, 70% of the youth lived in the city limits. We expect that percentage to remain the same in 2018. For the 1,000 youth we expect to serve, 700 will reside in the city limits.

3. **Client Eligibility.** Will those served by this project be primarily (51% or more) of low and/or moderate income?  Yes  No



If you checked yes above, specify by checking all that apply to your project:

The income of each household/person receiving assistance will be individually verified for eligibility.

The types of households or persons served are of special need (presumed benefit) such as elderly, disabled, homeless, illiterate, or involve an abused spouse or child.

4. If the income will be verified, describe the organization's procedure for verification and how you will determine that a person is eligible for services.

The intake procedure for all of our programs includes a questionnaire provided by the Department of Human Services. This form indicates poverty limits and the number of people living in the household and the annual income levels. We also have families indicate if they are eligible for Medicaid.

## COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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### REQUIRED ATTACHMENTS FOR CDBG APPLICATIONS

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Applications and all attachments must be submitted to the **City of Grand Junction electronically no later than 4:00 p.m. on Wednesday, March 26, 2018** to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org). The following attachments are required:

- A signed copy of the CDBG Application and Preliminary Agreement form (attached).
- A copy of your organization's original IRS determination letter indicating [501(c)(3) or tax-exempt status (if applicable)].
- Your agency's most recent audit, financial statement or, if applicable, A-133 (Single Audit). Please state your annual and cumulative fund balance. All agencies who receive \$750,000 or more, total for all Federal grants received this year, must submit an audit in compliance with the 1984 Single Audit Act.
- A copy of your organization's or parent organization's most recent Form SF-SAC pertaining to the A-133 audit, if applicable as above.
- A copy of System for Award Management (SAM) entity registration. See attached example.
- A list of current board members, including their employer and/or other pertinent affiliations.
- A copy of the project's client intake form, application form or other paperwork used to collect and certify income and racial data for each client.
- Copy of agency's Affirmative Action Plan.

**COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)**

---

**CDBG APPLICATION AND PRELIMINARY AGREEMENT**

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By signing below I certify that all of the information above and included with this application is true, and I understand that application for funds does not in any way guarantee funding. Additionally, the applicant understands and agrees that in the event the applicant receives approval from the City Council for a block grant fund allocation:

1. The applicant will not receive the block grant funds until:
  - a. HUD has approved the project and the City receives a letter of credit from HUD.
  - b. An Environmental Review has been completed and approved.
  - c. If applicable, a Request for Release of Funds and a Finding of No Significant Impact have been published for community comment and HUD has released funds for the project.
  - d. A contract between the City of Grand Junction and the sub-recipient is fully executed.
  - e. All labor standards have been met.
2. The applicant will submit a sub-recipient report with each request for reimbursement. The report will describe the progress of the project, the project beneficiaries, and other information required by HUD or the City. Invoices will accompany the report when indicated. Funds will not be released without this report.
3. The applicant will provide the City any other information required by HUD or by the City.
4. The applicant will comply with all other requirements set forth by the City and the U.S. Department of Housing and Urban Development.

Applicant: Mesa Youth Services, Inc dba Mesa County Partners

Title: Executive Director

Signature (typed acceptable): Jill Derrieux

Date: 3/22/2018



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/ [Grand Junction, CO \(/Cars/l-Used-Chevrolet-Express-Grand-Junction-d617\\_L4280\)](#)



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All results

\$23,495

\$23,899

\$3,294

\$6,995

## 2016 Chevrolet Express 3500 1LT Extended RWD - \$23,899

Grand Junction, CO - 5 miles away

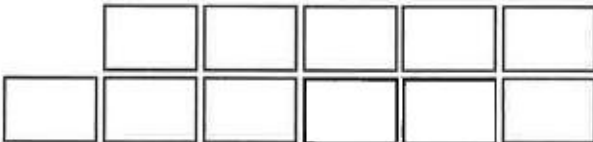
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### Contact Dealer

Hi, my name is  First Name  Last Name and

I'm interested in your  2016

**Chevrolet Express 3500 1LT Extended RWD.** I'm in

the  81501 area. You can reach me by email at

Email Address

Thank you! [\(add comments\)](#)

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### Negotiation

Selling slowly  
167 days on CarGurus  
♥ 1 save

Price History  
Price dropped by \$2,600

ORIGINAL	LOW	HIGH	CURRENT
\$26,499	\$23,899	\$26,499	\$23,899

Show Price Changes: All (1 total)

Price History comprises prices posted on CarGurus for this vehicle on the dates indicated.

### Vehicle History

✓ Title Check  
No issues reported

✓ Accident Check  
No issues reported

👤 One owner

🚗 Rental Use ⓘ



Internal Revenue Service

P.O. Box 2508  
Cincinnati OH 45201

In reply refer to: 0248344558  
May 01, 2015 LTR 4168C 0  
74-2486204 000000 00

00020833  
BODC: TE

MESA YOUTH SERVICE INC  
MESA CO PARTNERS  
1169 COLORADO AVE  
GRAND JCT CO 81501



032874

Employer Identification Number: 74-2486204  
Person to Contact: Mr. Burns  
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your Apr. 22, 2015, request for information regarding your tax-exempt status.

Our records indicate that you were recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in November 1989.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Please refer to our website [www.irs.gov/eo](http://www.irs.gov/eo) for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.

Internal Revenue Service  
District Director

Department of the Treasury

1100 COMMERCE STREET  
DALLAS, TX 75242-0000

Date: OCT 31 1989

MESA YOUTH SERVICES INC  
735 SOUTH AVENUE  
GRAND JUNCTION, CO 81501

Employer Identification Number:  
74-2486204

Contact Person:  
EO TECHNICAL ASSISTOR  
Contact Telephone Number:  
(214) 767-3526

Accounting Period Ending:  
December 31

Foundation Status Classification:  
509(a)(1)

Advance Ruling Period Begins:  
August 14, 1989

Advance Ruling Period Ends:  
December 31, 1993

Addendum Applies:  
N/A

Dear Applicant:

Based on information supplied, and assuming your operations will be as stated in your application for recognition of exemption, we have determined you are exempt from Federal income tax under section 501(a) of the Internal Revenue Code as an organization described in section 501(c)(3).

Because you are a newly created organization, we are not now making a final determination of your foundation status under section 509(a) of the Code. However, we have determined that you can reasonably be expected to be a publicly supported organization described in sections 509(a)(1) and 170(b)(1)(A)(vi).

Accordingly, you will be treated as a publicly supported organization, and not as a private foundation, during an advance ruling period. This advance ruling period begins and ends on the dates shown above.

Within 90 days after the end of your advance ruling period, you must submit to us information needed to determine whether you have met the requirements of the applicable support test during the advance ruling period. If you establish that you have been a publicly supported organization, you will be classified as a section 509(a)(1) or 509(a)(2) organization as long as you continue to meet the requirements of the applicable support test. If you do not meet the public support requirements during the advance ruling period, you will be classified as a private foundation for future periods. Also, if you are classified as a private foundation, you will be treated as a private foundation from the date of your inception for purposes of sections 507(d) and 4940.

Grantors and contributors may rely on the determination that you are not a private foundation until 90 days after the end of your advance ruling period. If you submit the required information within the 90 days, grantors and contributors may continue to rely on the advance determination until the Service makes a final determination of your foundation status.

Letter 1045(DO/CG)



**MESA YOUTH SERVICES, INC. AND MESA YOUTH SERVICES  
FOUNDATION, INC.**

**FINANCIAL STATEMENTS**

**For the Year Ended December 31, 2016**

MESA YOUTH SERVICES, INC. AND MESA YOUTH SERVICES  
FOUNDATION, INC.

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Paul D. Miller CPA, LLC.

Certified Public Accountant

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#### INDEPENDENT AUDITOR'S REPORT

To the Board of Directors of  
Mesa Youth Services, Inc. and Mesa Youth Services Foundation, Inc.

I have audited the accompanying financial statements of Mesa Youth Services, Inc. and Mesa Youth Services Foundation, Inc. ( nonprofit organizations), which comprise the statements of financial position as of December 31, 2016 , and the related statements of activities and cash flows for the years then ended, and the related notes to the financial statements.

#### **Management's Responsibility for the Financial Statements**

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

#### **Auditor's Responsibility**

My responsibility is to express an opinion on these financial statements based on my audits. I conducted my audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that I plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, I express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my audit opinion.

#### **Opinion**

In my opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Mesa Youth Services, Inc. and Mesa Youth Services Foundation, Inc. as of December 31, 2016, and the changes in its net assets and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

*Paul D. Miller, CPA, LLC*

Grand Junction, CO  
March 3, 2017

Paul D. Miller, C.P.A.

[pauldmiller@live.com](mailto:pauldmiller@live.com)

P.O. Box 4595 • Grand Junction, Colorado 81502 • (970) 261-3971 • FAX (970) 243-7625 • EIN #26-1673930



Mesa Youth Services, Inc. and Mesa Youth Service Foundation  
Statement of Financial Position  
December 31, 2016

	MYS	MYSF	Combined Totals
<b>ASSETS</b>			
<b>CURRENT ASSETS</b>			
Cash	\$ 9,082	\$ 37,260	\$ 46,342
Receivables:			
Conservation Corp.	81,558	-	81,558
Grants	80,241	-	80,241
Other	-	-	-
Prepaid expense	21,842	-	21,842
Deposit	1,685	-	1,685
<b>TOTAL CURRENT ASSETS</b>	<b>194,408</b>	<b>37,260</b>	<b>231,668</b>
<b>PROPERTY, PLANT &amp; EQUIPMENT LESS ACCUMULATED DEPRECIATION, Note D</b>	<b>1,302,178</b>	<b>-</b>	<b>1,302,178</b>
<b>OTHER ASSETS</b>			
Investments- Mesa Youth Services Foundation	-	632,157	632,157
<b>TOTAL ASSETS</b>	<b>\$ 1,496,586</b>	<b>\$ 669,417</b>	<b>\$ 2,166,003</b>
<b>LIABILITIES AND NET ASSETS</b>			
<b>CURRENT LIABILITIES</b>			
Accounts payable	\$ 17,932	\$ -	\$ 17,932
Accrued expenses	36,289	-	36,289
Compensated absences	21,773	-	21,773
Line-of-Credit	-	-	-
<b>TOTAL CURRENT LIABILITIES</b>	<b>75,994</b>	<b>-</b>	<b>75,994</b>
<b>TOTAL LIABILITIES</b>	<b>75,994</b>	<b>-</b>	<b>75,994</b>
<b>NET ASSETS</b>			
Unrestricted net assets			
Undesignated	1,420,592	612,650	2,033,242
Permanently restricted -MYS Foundation	-	56,767	56,767
<b>TOTAL NET ASSETS</b>	<b>1,420,592</b>	<b>669,417</b>	<b>2,090,009</b>
<b>TOTAL LIABILITIES AND NET ASSETS</b>	<b>\$ 1,496,586</b>	<b>\$ 669,417</b>	<b>\$ 2,166,003</b>

The accompanying notes are an integral part of this statement.

Mesa Youth Services, Inc. and Mesa Youth Services Foundation  
Statement of Activities  
For the Year Ended December 31, 2016

	MYS	MYSF		Combined Total
	Unrestricted	Unrestricted	Permanently Restricted	
Public support and revenue				
Public support				
Grants	\$ 505,387	\$ -	\$ -	\$ 505,387
Contributions	562,939	13,150	3,000	579,089
Special events, net, Note F	41,850	-	-	41,850
Revenue				
Jobs revenue	67,981	-	-	67,981
Conservation corps revenue	1,284,658	-	-	1,284,658
Investment income	9	47,850	5,628	53,487
Gain on sale of fixed assets	725	-	-	725
Net assets released from restrictions:				
Satisfaction of program restrictions	-	2,007	(2,007)	-
Total public support and revenue	<u>2,463,549</u>	<u>63,007</u>	<u>6,621</u>	<u>2,533,177</u>
 Expenses				
Program services				
Activities	81,613	-	-	81,613
Conservation & Counseling	2,088,281	-	-	2,088,281
Recruitment & Communications	37,309	-	-	37,309
Support services				
Management and general	193,701	2,499	-	196,200
Fundraising	104,929	-	-	104,929
Grants	-	22,000	-	22,000
Total expenses	<u>2,505,833</u>	<u>24,499</u>	<u>-</u>	<u>2,530,332</u>
 Change in net assets	(42,284)	38,508	6,621	2,845
 Net assets at beginning of year	1,462,876	574,142	50,146	2,087,164
 Net assets at end of year	<u>\$ 1,420,592</u>	<u>\$ 612,650</u>	<u>\$ 56,767</u>	<u>\$ 2,090,009</u>

The accompanying notes are an integral part of this statement.

Mesa Youth Services, Inc. and Mesa Youth Services Foundation  
Statement of Cash Flows  
For the Year Ended December 31, 2016

	MYS	MYSF
<b>Cash Flows From Operating Activities:</b>		
Cash received from grants	\$ 466,526	\$ -
Cash received from contributions	540,939	16,150
Cash received from special events	41,850	-
Cash received from program activities	1,335,959	-
Investment income	9	25,950
Cash paid to or for employees	(1,276,562)	-
Cash transfer to Mesa Youth Services	22,000	(22,000)
Cash paid to suppliers	(1,173,173)	(2,499)
Net Cash Provided By Net Operating Activities	(42,452)	17,601
<b>Investing Activities:</b>		
Purchase of fixed assets	(39,044)	-
Net change in investments (increase) decrease	-	(22,331)
Net Cash Provided (Used) By Investing Activities	(39,044)	(22,331)
Net Increase (decrease) in Cash	(81,496)	(4,730)
Cash beginning of year	90,578	41,990
Cash end of year	\$ 9,082	\$ 37,260
<b>Reconciliation of change in net assets to net cash provided by operating activities:</b>		
Change in net assets	\$ (42,284)	\$ 45,129
Change in market value of investments	-	(27,528)
Depreciation	78,527	-
(Increase) decrease in grant receivable	(38,861)	-
(Increase) decrease in other receivables	(16,680)	-
(Increase) decrease in prepaid expense	7,764	-
Increase (decrease) in accounts payable	(7,675)	-
Increase (decrease) in compensated absences	1,571	-
Increase (decrease) in accrued expenses	(24,814)	-
Net Cash Provided By Operating Activities	\$ (42,452)	\$ 17,601
<b>Supplemental Information:</b>		
Interest paid	\$ 4,722	
Market value adjustment to investments		\$ 27,528

The accompanying notes are an integral part of this statement.



Mesa Youth Services, Inc. and Mesa Youth Services Foundation  
Notes to the Financial Statements  
December 31, 2016

**NOTE A – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

A summary of the Entity's significant accounting policies in the preparation of the accompanying financial statements follow:

1. Organization

Mesa Youth Services, Inc. (Partners) was incorporated on January 29, 1987, and is a member affiliate of the Partners Mentoring Association (PMA). PMA provides certain management, financial, and administrative services to member affiliates for a fee.

Mesa was established for the purpose of providing an instrument through which the community volunteers (Senior Partners) seek to establish one-on-one relationships with the youth, (Junior Partners), who have or potentially may have, contact with the juvenile court system. The Corporation provides services to youth with multiple risk factors in Mesa County, Colorado. The organization receives funding from federal and state governments as well as local agencies, corporations and individuals.

The financial statements of the Entity have been prepared on the accrual basis of accounting. The Corporation's year end is December 31, and the significant accounting policies are described below to enhance the usefulness of the financial statements.

2. Fund Accounting

The accounts of the Organization are maintained in accordance with the principles of fund accounting. Under fund accounting, resources for various purposes are classified for accounting and reporting purposes into funds established according to the nature and purpose. Separate accounts are maintained for each fund; however, in the accompanying financial statements, funds that have similar characteristics have been combined into fund groups. The fund balances are classified on the Statement of Financial Position as unrestricted, temporarily restricted, or permanently restricted net assets, based on the absence or existence and type of donor-imposed restriction

3. Functional Expenses

Expenses are charged to each program and support services based on the ultimate purpose of the expenditure. Partners allocated expenses into the following categories:

Program Services

Activities – recreational programs created to serve Junior Partners and aid in the relationship building process between Junior and Senior Partners.

Counseling – Providing supervision to Senior and Junior Partners by Partners case managers, and providing a restitution program for youthful offenders, and providing employment through Western Colorado Conservation Corps (WCCC).

Recruitment and Communications – Efforts to encourage volunteer participation by means of media exposure as well as brochure distribution, public presentations, and public displays.

Mesa Youth Services, Inc. and Mesa Youth Services Foundation  
Notes to the Financial Statements  
December 31, 2016

**NOTE A – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES-continued**

3. Functional Expenses-continued

Supporting services

Management and General - Direction of the overall affairs of Partners personnel and administration

Fund Raising - Activities to maintain and increase support for funding Partners' program services.

4. Cash and Cash Equivalents

For the purpose of the statement of cash flows, the Entity considers all liquid investment with a maturity of three months or less when purchased to be cash equivalents.

5. Investments

Investments are reported at fair value based on quoted prices in active markets (all Level 1 measurements). Investment income, which consists of interest and dividend income earned, realized gains or losses and unrealized appreciation (depreciation) on those investments, is included in the statement of changes in net assets. All investments shown as Other Assets on the face of the Statement of Financial Position belongs to the Mesa Youth Services Foundation. The Mesa Youth Services Foundation was created by the Mesa Youth Services, Inc. Board of Directors explicitly for the primary purpose of generating an endowment for the future expansion of Mesa Youth Services, Inc. In July 2006, the IRS approved the Mesa Youth Services Foundation as a separate tax exempt entity. The Foundation Board of Trustees includes members of Mesa Youth Services, Inc. as well as members elected at large. Investment income reported as operating revenue consists of interest income on money markets and CD and, dividends on the Mutual funds.

6. Property, Equipment, and Depreciation

Property and equipment are recorded at cost or at the estimated fair market value on the date of the donation. Property and equipment costing or valued at \$500 or more is capitalized.

Partners reports gifts of land, buildings, and equipment as unrestricted support unless explicit donor stipulations specify how the donated assets must be used. Gifts of long-lived assets with explicit restrictions that specify how the assets are to be used and gifts of cash or other assets that must be used to acquire long-lived assets are reported as restricted support. Absent explicit donor stipulations about how long those long-lived assets must be maintained, Partners reports expirations of donor restrictions when the donated or acquired long-lived assets are placed in service.

Depreciation of property and equipment is provided on a straight-line basis over the estimated useful lives of the assets. These range from three to five years for equipment and up to twenty years for buildings

Mesa Youth Services, Inc. and Mesa Youth Services Foundation  
Notes to the Financial Statements  
December 31, 2016

**NOTE A – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES—continued**

7. Support and Revenue

Partners receive support through various grants from government agencies, which are discussed in Note H. Other support is received in the form of contributions from corporations, foundations, and individuals.

Partners report gifts of cash and other assets as restricted support if they are received with donor stipulations that limit the use of the donated asset. When donor restrictions expire, that is, when a stipulated time restriction ends or the purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions. Contributions received with temporary restrictions that are met in the same reporting period are reported as unrestricted support and increase unrestricted net assets.

8. Contributed Services

The Corporation does not recognize any support or expense from services contributed by volunteers as the value of these services is not susceptible to objective measurement or valuation. However, a number of unpaid individuals have contributed approximately 17,240 hours of their time to Mesa Youth Services, Inc. during 2016.

9. Income Taxes

Both entities are exempt from income taxes under IRS Code Section 501(c)(3). During 2016, there was no unrelated business income to report.

10. Receivables

Accounts receivable are stated at unpaid balances, less an allowance for doubtful accounts. The Organization provides for losses on accounts receivable using the allowance method. The allowance is based on experience, third-party contracts, and other circumstances, which may affect the ability of customers to meet their obligations. Receivables are considered impaired if full principal payments are not received in accordance with the contractual terms. It is the Organization's policy to charge off uncollectible accounts receivable when management determines the receivable will not be collected. At December 31, 2016, there was no allowance for doubtful accounts as management considers all receivables collectible.

11. Contributions

Unconditional promises to give are recognized as revenues or gains in the period received and as assets, decreases in liabilities, or expenses depending on the form of the benefit received.

12. Use of Estimates

In preparing financial statements in conformity with generally accepted accounting principles, management is required to make estimates and assumption that affect the reporting amounts of assets and liabilities, the disclosure of contingent assets and liabilities at the date of the financial statements, and the reported amounts of revenue and expenses during the reporting period. Actual results could differ from those estimates.

13. Subsequent Events

The Organization's management has reviewed subsequent events through the date of the audit report



Mesa Youth Services, Inc. and Mesa Youth Services Foundation  
Notes to the Financial Statements  
December 31, 2016

**NOTE B – CASH**

The Entity maintains cash balances at several financial institutions located in Grand Junction, Colorado. Accounts at each institution are secured by the Federal Deposit Insurance Corporation up to \$250,000. At year end all cash was insured.

**NOTE C –PROPERTY AND EQUIPMENT**

	Balance 1/1/2016	Additions	Deletions	Balance 12/31/2016
Building & Improvements	\$1,420,261	\$ -	\$ -	\$1,420,261
Equipment	82,895	4,128	-	87,023
Vehicles	370,869	36,291	20,800	386,360
Total before Land	1,874,025	40,419	20,800	1,893,644
Accumulated Depreciation	(704,964)	(78,527)	19,425	(764,066)
Net Property & Equipment before Land	1,169,061	<u>\$(38,108)</u>	<u>\$ 1,375</u>	1,129,578
Land	172,600			172,600
Net Property & Equipment	<u>\$1,341,661</u>			<u>\$1,302,178</u>

**NOTE D – BENEFICIAL INTEREST IN ASSETS HELD BY OTHERS**

The Organization has an agency endowment agreement with the Western Colorado Community Foundation (WCCF) establishing a fund known as the Mesa County Partners Fund (the Fund). The Fund agreement gives the WCCF complete variance power over the principal subject to additional contributions and bequests of which will be a forming part of the corpus to the Fund. Distributions from the Fund are in accordance with current "spending policies" as set forth in the agreement. The Organization shall receive a distribution of the earnings of the Fund annually on a mutually agreed date. If the Organization does not request a distribution, those funds will be added to the principal.

In accordance with generally accepted accounting principles, the statement of financial position includes \$56,767, as of December 31, 2016, reflected as beneficial interest in assets held by others under agreement.

**NOTE E – LONG-TERM DEBT**

The Organization also has a Line-of-credit for \$125,000. The interest rate is 5% and this agreement expires on February 6, 2017. During 2016, \$164,332 was drawn against the line-of-credit and \$164,332 was repaid. The balance at December 31, 2016 was \$0.

Mesa Youth Services, Inc. and Mesa Youth Services Foundation  
Notes to the Financial Statements  
December 31, 2016

**NOTE F – SPECIAL EVENTS**

Partners sponsors an annual "Superstars Event", a "TV Auction", and Hazel Miller Band from which net proceeds are used to fund a portion of the annual program expenses.

The receipts and expenses for these events are as follows:

Receipts	\$ 80,245
Expenses	<u>38,395</u>
Net Profit	<u>\$ 41,850</u>

**NOTE G – ASSOCIATION FEES**

PMA provides certain management, financial, and administrative services to member affiliates for a fee. Partner's fee for 2016 was \$3,740 and for National Association of Services & Conservation Corp was \$6,300.

**NOTE H – GRANT REVENUE**

Partners receive several grants from various agencies to carry on certain activities and programs. Unrestricted grant revenue reflected in the Statement of Activities consist of the following:

MESA YOUTH SERVICES:

Colorado Depart. Of Public Safety: Juvenile Accountability	\$ 121,226
Division of Youth Correction	46,225
Victims of Crime Acts	9,001
United Way	22,029
Mesa Cnty Depart. Of Human Services	60,000
Mesa Cnty Drug Free DOTC/ Meth Task Force	609
Mesa Cnty Grant for Work	57,000
Hilltop Community Resources WRAP/SB 94	67,311
House Bill 215	29,153
V.A.L.E. Board	75,000
Judicial	17,833
	<u>\$ 505,387</u>

WESTERN COLORADO CONSERVATION CORP:

United Way	\$ 6,089
RNC Americorp	<u>96,781</u>
	<u>\$ 102,870</u>

Mesa Youth Services, Inc. and Mesa Youth Services Foundation  
Notes to the Financial Statements  
December 31, 2016

**NOTE I – MEMORIAL**

The Board has set aside money received in memory of Susan Mumby, in a special investment account at Wells Fargo Bank. The principal is intended to remain intact and the revenue generated by the investment is to be used to fund activities for the Nexus Program. The Nexus Program is for kids referred to the Mentoring Program, who have not yet been matched with a senior partner. These funds are part of the Mesa Youth Services Foundation.

**NOTE J – MESA YOUTH SERVICES FOUNDATION AND MESA YOUTH SERVICES, INC.**

Partners and an affiliated foundation (Mesa Youth Services Foundation), have common board members serving both entities. The Foundation's assets are reported on Partner's financial statements reflecting this affiliation. The revenue shown on the Statement of Activities as temporarily and permanently restricted are the results of contribution and earnings on investments made and earned by those funds in the Foundation. In 2016, \$22,000, of dividends and interest, was transferred to Partners for use in paying general operating costs.

**NOTE K – INVESTMENTS**

Investment securities are carried at fair value based on quoted prices in active markets (all Level 1 Measurements) and consist of the following at December 31 2016.

	Market Value
Susan Mumby Memorial Fund:	
Money Market	\$ 1,314
Stock	36,005
Mutual Funds	145,791
Total	183,110
Genral Fund:	
Money Market	35,699
Stock	77,154
Mutual Funds	316,440
Total	429,293
Beneficial Interest:	
Assets held by others under agreement:	
WCCC Endowment	56,767
Total Investments	\$ 669,170

Following are the components of the investment income for the year ended December 31, 2016.

Interest and Dividends	\$ 21,984
Net unrealized income/ (loss)	27,528
Realized gains	3,966
Total	\$ 53,478



Mesa Youth Services, Inc. and Mesa Youth Services Foundation  
Notes to the Financial Statements  
December 31, 2016

**NOTE L – DONATED SERVICES**

In 2016, Mesa received donated services for painting the building. The value of the labor to paint the building was \$25,000 and the value of the paint was \$4,000.

◦ SUPPLEMENTAL INFORMATION

Mesa Youth Services, Inc.  
Statement of Functional Expenses  
For the Year Ended December 31, 2016

	<b>Program Services</b>			<b>Total</b>
	<b>Activities</b>	<b>Conservation and Case Management</b>	<b>Recruitment and Communications</b>	
Salaries	\$ 54,952	\$ 1,311,003	\$ 25,121	\$ 1,391,076
Employee benefits & payroll taxes	12,834	306,191	5,867	324,892
Activity expense	-	37,055	-	37,055
Contracted services	-	-	-	-
Restitution & stipend expenses	-	79,927	-	79,927
Transportation	798	19,036	365	20,199
Repairs & maintenance	1,112	39,971	508	41,591
Training and materials	-	9,746	-	9,746
Promotion	423	10,098	194	10,715
Association fees	749	17,863	342	18,954
Professional fees	-	1,060	-	1,060
Supplies	2,666	63,594	1,219	67,479
Telephone	413	9,862	189	10,464
Postage	90	2,148	41	2,279
Occupancy	810	19,326	370	20,506
Insurance	2,183	52,078	998	55,259
Financial Services	1,172	27,952	536	29,660
Interest	165	3,943	76	4,184
Miscellaneous	498	11,858	227	12,583
Total expense before depreciation	<u>78,865</u>	<u>2,022,711</u>	<u>36,053</u>	<u>2,137,629</u>
Depreciation	<u>2,748</u>	<u>65,570</u>	<u>1,256</u>	<u>69,574</u>
<b>TOTAL FUNCTIONAL EXPENSES</b>	<b><u>\$ 81,613</u></b>	<b><u>\$ 2,088,281</u></b>	<b><u>\$ 37,309</u></b>	<b><u>\$ 2,207,203</u></b>

The accompanying notes are an integral part of this statement.



Mesa Youth Services, Inc.  
Statement of Functional Expenses  
For the Year Ended December 31, 2016

<b>Supporting Services</b>			
Management General	Fund Raising	Total	2016 Total
\$ 108,334	\$ 70,653	\$ 178,987	\$ 1,570,063
25,302	16,501	41,803	366,895
-	-	-	37,055
28,568	-	28,568	28,568
-	-	-	79,927
1,573	1,026	2,599	22,798
2,191	1,428	3,619	45,210
-	-	-	9,746
834	544	1,378	12,093
1,476	963	2,439	21,393
4,240	-	4,240	5,300
5,255	3,427	8,682	76,161
815	531	1,346	11,810
178	116	294	2,573
1,597	1,042	2,639	23,145
4,303	2,807	7,110	62,369
2,310	1,506	3,816	33,476
326	212	538	4,722
980	639	1,619	14,202
<u>188,282</u>	<u>101,395</u>	<u>289,677</u>	<u>2,427,306</u>
<u>5,419</u>	<u>3,534</u>	<u>8,953</u>	<u>78,527</u>
<u>\$ 193,701</u>	<u>\$ 104,929</u>	<u>\$ 298,630</u>	<u>\$ 2,505,833</u>

Registration Status: Active

If your business is still pursuing new federal opportunities, the entry federal registration on or before:



**08-26-2018**

**Mesa Youth Services, Inc.**

DBA: Mesa County Partners

The final date payments can be received for previously awarded contracts is 10-25-2018.

Jill Derrieux  
1169 Colorado Ave  
Grand Junction, CO 81501-3522

Email: [jderrieux@mesapartners.org](mailto:jderrieux@mesapartners.org)  
Phone Number: (970) 245-5555  
Fax Number: (970) 245-7411

Mailing Address: 1169 Colorado Ave  
Grand Junction, CO 81501-3522

DUNS: 052876864  
CAGE: 3T9D7

Public Business Name: Yes  
Delinquent Federal Debt: No

**PSC Codes:**

**NAICS Codes:**

Board meets the fourth Wednesday each month at Noon at Partners, December which is the 3<sup>rd</sup> Wednesday.

<p><b>Mesa County Partners 2018 Board Member List</b> Term dates are listed</p>	<p>Rob Vavak Board Chair 12/31/18 Vectra Bank 2394 Patterson Road Grand Junction, CO 81505 (w) 970-683-5954 (c) 970-260-1086 <a href="mailto:Robert.vavak@vectrabank.com">Robert.vavak@vectrabank.com</a></p>	<p>Susana Wittrock Vice Chair 12/31/2018 Mesa County Valley School Dist. 51 Exec Dir, Community and Family Connections 596 N Westgate Dr. Grand Junction, CO 81505 (w) 970-254-5242 <a href="mailto:susana.wittrock@d51schools.org">susana.wittrock@d51schools.org</a></p>
<p>Erick Field, Treasurer 12/31/18 Fin. Consultant, Edward Jones 2139 North 12th Street, Suite #1 Grand Junction, CO 81501 (w) 970-245-2188 (c) 970-846-7945 <a href="mailto:erick.field@edwardjones.com">erick.field@edwardjones.com</a></p>	<p>Matt Sullivan 12/31/18 Mesa County Government P.O. Box 20,000-5018 Grand Jct., CO 81501 (w) 970-244-3335 <a href="mailto:Matthew.sullivan@mesacounty.us">Matthew.sullivan@mesacounty.us</a></p>	<p>Jennifer Springer 12/31/18 District Attorney's Office PO Box 20,000-5001 Grand Junction, CO 81502-5001 (970) 683-4391 <a href="mailto:jennifer.springer@mesacounty.us">jennifer.springer@mesacounty.us</a></p>
<p>Lori Carlston-Thompson 12/31/18 Allstate Insurance 2478 F Road, Ste. 15 Grand Junction, CO 81505 (w) 970-245-4567 <a href="mailto:LoriCarlston@allstate.com">LoriCarlston@allstate.com</a></p>	<p>Betty Fulton 12/31/17 Retired 634 North 5th, GJ 81501 Grand Jct., CO 81501 (c) 970-250-0890 <a href="mailto:pup634@hotmail.com">pup634@hotmail.com</a></p>	<p>Molly Jo Johnson 12/31/18 Crossroads Fitness 225 N 5<sup>th</sup> St. Suite 18 Grand Junction, CO 81501 (w) 970-241-7800 <a href="mailto:mjjohnson@crossroadsfitness.com">mjjohnson@crossroadsfitness.com</a></p>
<p>Todd Hildebrandt 12/31/17 Attorney 102 N. 4th St. #107 Grand Junction, CO, 81501 (w) 970-986-6529 <a href="mailto:tdhildebrandt@gmail.com">tdhildebrandt@gmail.com</a></p>	<p>George Holley 12/31/2018 District Attorney's Office PO Box 20,000-5001 Grand Junction, CO 81502-5001 (w) 970-244-1730 <a href="mailto:George.Holley@mesacounty.us">George.Holley@mesacounty.us</a></p>	<p>Melissa Calhoon 12/31/18 TRIO Director-CMU 535 ½ 23 Rd. Grand Junction, CO 81507 (w) 970-248-1492 <a href="mailto:mcalhoon@coloradomesa.edu">mcalhoon@coloradomesa.edu</a></p>
<p>Karen Dornburg Ryan, MSW 12/31/18 500 Pinyon Ave. Grand Junction, CO 81501 (h) 970-243-9357 <a href="mailto:markaren14@bresnan.net">markaren14@bresnan.net</a></p>	<p>Kari Daggett 12/31/18 Mesa County DHS Dir. of Child Welfare 510 29 1/2 Road Grand Junction, CO 81504 (c) 970-712-4348 <a href="mailto:kari.daggett@mesacounty.us">kari.daggett@mesacounty.us</a></p>	<p>Rusty Lloyd 12/31/18 Tamarisk Coalition Program Director P.O. Box 1907 Grand Junction, CO (w) 970-256-7400 (c) 970-216-3200 <a href="mailto:rlloyd@tamariskcoalition.org">rlloyd@tamariskcoalition.org</a></p>
<p>Whitney Smythe-Smith 12/31/18 Partners Foundation Board Chair Lake County Government P.O. Box 964 Leadville, CO 80461 (w) 719-486-7491(c) 719-293-0537 <a href="mailto:wsmith@co.lake.co.us">wsmith@co.lake.co.us</a></p>	<p>Jennifer Springer 12/31/18 District Attorney's Office PO Box 20,000-5001 Grand Junction, CO 81502-5001 (970) 683-4391 <a href="mailto:jennifer.springer@mesacounty.us">jennifer.springer@mesacounty.us</a></p>	





YOUTH NAME: \_\_\_\_\_ DATE: \_\_\_\_\_

I hereby give permission for my child to participate in the Partners Alternative to Detention/Restitution Community Service Program until which time he/she has completed the court-ordered obligations. I fully understand what is required of my child while he/she is enrolled in the PAD/RCS Program. Further, I authorize the placement of my child in an appropriate job area, or authorized Partners work site, that is in compliance with the Colorado Sate Labor Laws, so that he/she may complete the obligation. I also understand that if my child is working hours towards community service, no monetary obligations will be fulfilled or paid by Partners. If my child is working hours towards a monetary obligation, Partners will pay current minimum wage hourly rate to the source of the obligation (i.e. court, crime victim, collections agency), for every hour the child works in the Partners program.

**DISCLAIMER**

This disclaimer is to certify that Mesa County Partners, Inc. is not responsible for any accident or injury incurred to any participant while involved in the PAD/Work Program. If an emergency situation occurs, I hereby authorize the Partners staff to obtain appropriate emergency medical attention for my child, should medical attention be required and I am unavailable for contact.

**EMERGENCY CONTACT INFORMATION**

Please provide number(s) for staff to reach in case of an emergency:

- 1. Name: \_\_\_\_\_ Phone #: \_\_\_\_\_ Relationship: \_\_\_\_\_
- 2. Name: \_\_\_\_\_ Phone #: \_\_\_\_\_ Relationship: \_\_\_\_\_

Does youth need to take any medications while working or have any medical restrictions (including pregnancy) that will affect youth's ability to work on crews? Yes or No (please circle one)

Restriction/Medication Details: \_\_\_\_\_

Does the youth have health insurance? Yes or No (please circle one) Provider: \_\_\_\_\_

**TRANSPORTATION DISCLAIMER**

I hereby acknowledge it is my responsibility to pick up my child at the end of the scheduled work day or provide acceptable transportation arrangements for him/her. If I am NOT here to pick up my child or have not made transportation arrangements for them to be picked up within 10 minutes of the scheduled work day, I am aware law enforcement and Department of Human Services may be notified.

Mesa County Partners is not liable or responsible for the child once the child has left the Partners property. If appropriate transportation arrangements are not made, the youth may be suspended or terminated from the Partners Program until proper transportation arrangements can be made. I also understand that my child is not allowed to provide transportation, or be transported by, other children in the Partners program (unless specifically noted above).

Is it OK for youth to sign themselves in/out? Yes or No (please circle one)

How is youth getting to and from community service (walk/bike/bus/family/friend): \_\_\_\_\_

If youth NOT allowed to sign in/out, who is allowed to pick youth up? \_\_\_\_\_

\_\_\_\_\_  
Signature of Client/Youth Date

\_\_\_\_\_  
Signature of Parent(s) or Legal Guardian(s) Date



The Partners Work Program provides an opportunity to perform *community service*, which is a form of Restorative Justice. Restorative Justice aims to repair the harm caused by a crime.

What is Restorative Justice?

- The 5 R's: Relationship, Respect, Responsibility, Repair, & Reintegration
- It focuses on needs of victim, community, and offender
- It is about repairing harm not punishment
- It is a process for understanding how offenses affected others
- It is a way to take responsibility and involve victim and community in the accountability

I agree to think about the following ideas: Crime affects everyone, there are no victimless crimes

- You need to take responsibility for your actions, and repay your community
- When you take responsibility for your crime and understand its effects, you are less likely to commit another crime

How will I work on repairing harm?

- You will work in the community to help people in need
- You will fulfill all of your court requirements
- You will rebuild trust
- You may take classes to understand the harm that has been caused

Please take the time to consider this information. You may write an optional essay or do an optional project about Restorative Justice as part of your hours.

---

*Youth Signature/Date*



1169 Colorado Avenue  
Grand Junction, Co, 81501  
970-245-5555 (PH) 970-245-7411 (FX)

Information on this form will be kept confidential to the Partners program.  
No clients will be accepted without receipt of this form.

**\*\*A SINGLE FEE OF \$45 FOR MISDEMEANOR(S) OR \$65 FOR FELONY(S) WILL BE ASSESSED\*\***

**DEMOGRAPHIC INFORMATION**

First Name:  TOB:  Age:   
 Last Name:  Ethnicity:  Gender:

**PARENT/GUARDIAN**

**(NAME/ADDRESS/CONTACT INFO):**

**School/Status:**

Age at 1 <sup>st</sup> Police Contact:	<input type="text"/>	Youth received Drug/Alcohol Services:	<input type="text"/>
# of Previous Felonies:	<input type="text"/>	Youth Receives Mental Health Services:	<input type="text"/>
# of Previous Misdemeanors:	<input type="text"/>		<input type="text"/>

**SERVICES NEEDED**

DA's Fee Amount Owed	<input type="text"/>
Youth Needs To Work Off DA's Fee (Yes/No)	<input type="text"/>
Youth Needs To Complete Victim Empathy (Yes/No)	<input type="text"/>

**REFERRAL OFFENSE(S)**

CASE #	OFFENSE	FEL/MIS CLASS	RESTITUTION	UPS
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Victim's Information**

Victim's Name	Victim's Address	Amount Owed
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

**Notes/Other Information:**

<b>Officer:</b> <input type="text"/>	<b>Date of Referral:</b> <input type="text"/>
--------------------------------------	---

## Eligibility Documentation for Partners Mentoring Program

Updated 2/12/2018

Dear Parents/Guardians,

Mesa County Partners is required by the Department of Human Services to obtain the following information from all program participants for funding purposes. The information provided to Mesa County Partners by the use of this form is confidential. Mesa County Partners appreciates your cooperation on this matter.

**Child/Children's Name(s):** \_\_\_\_\_

**Number of members in household** \_\_\_\_\_

**Annual income of all people living in household** \_\_\_\_\_

**Answer the following question by checking *YES* or *NO*.**

Is/are the child/children either a US citizen, or legally residing in the US? YES \_\_\_ NO \_\_\_

By signing this form, you are affirming that the above information is true and correct to the best of your knowledge.

\_\_\_\_\_  
Parent/Guardian Signature

\_\_\_\_\_  
Date



**2018 Federal Poverty Level Guidelines**

Effective Date: 1/18/2018

<https://www.federalregister.gov/articles/2016/01/25/2016-01450/annual-update-of-the-hhs-poverty-guidelines>

Organized into categories

100%			
Family Size	Annual	Monthly	Weekly
1	\$ 12,140	\$ 1,012	\$ 233
2	\$ 16,460	\$ 1,372	\$ 317
3	\$ 20,780	\$ 1,732	\$ 400
4	\$ 25,100	\$ 2,092	\$ 483
5	\$ 29,420	\$ 2,452	\$ 566
6	\$ 33,740	\$ 2,812	\$ 649
7	\$ 38,060	\$ 3,172	\$ 732
8	\$ 42,380	\$ 3,532	\$ 815
Each Add'l	\$ 4,320	\$ 360	\$ 83

125%			
Family Size	Annual	Monthly	Weekly
1	\$ 15,175	\$ 1,265	\$ 292
2	\$ 20,575	\$ 1,715	\$ 396
3	\$ 25,975	\$ 2,165	\$ 500
4	\$ 31,375	\$ 2,615	\$ 603
5	\$ 36,775	\$ 3,065	\$ 707
6	\$ 42,175	\$ 3,515	\$ 811
7	\$ 47,575	\$ 3,965	\$ 915
8	\$ 52,975	\$ 4,415	\$ 1,019
Each Add'l	\$ 5,400	\$ 450	\$ 104

185%			
Family Size	Annual	Monthly	Weekly
1	\$ 22,459	\$ 1,872	\$ 432
2	\$ 30,451	\$ 2,538	\$ 586
3	\$ 38,443	\$ 3,204	\$ 739
4	\$ 46,435	\$ 3,870	\$ 893
5	\$ 54,427	\$ 4,536	\$ 1,047
6	\$ 62,419	\$ 5,202	\$ 1,200
7	\$ 70,411	\$ 5,868	\$ 1,354
8	\$ 78,403	\$ 6,534	\$ 1,508
Each Add'l	\$ 7,992	\$ 666	\$ 154

## 1. GENERAL POLICIES

### 5. Unlawful Discrimination and Harassment Prohibited

1.5.1 **Equal Employment Opportunity.** There shall be no unlawful discrimination or harassment against Employees or applications for employment because of race or color, religion or creed, sex or gender, sexual orientation, national origin or ancestry, age, physical or mental disability, genetic information, or military or veteran status. Equal employment opportunity, as required by law, shall apply to all personnel actions including, but not limited to recruitment, hiring, upgrading, promotion, demotion, layoff, job elimination, or termination.

1.5.2 “Age” as used above refers to the age group 40 and above.

**Genetic Information.”** Genetic information includes: 1) information about a person’s genetic tests; 2) information about the genetic tests of that person’s family members; 3) a person’s family medical history (i.e., the manifestation of a disease or disorder in a person’s family members, often used to determine if a person has an increased risk of getting a disorder, disease or condition in the future); 4) a person’s request for, and receipt of, genetic services; 5) a person’s participation in clinical research that includes genetic services (or the person’s family members participation); 6) the genetic information of a fetus carried by a person or a family member of the person; 7) the genetic information of an embryo legally held by a person or a family member using assisted reproductive technology.

“Sex” and “Gender” include unlawful discrimination on the basis of sex, gender, pregnancy and sexual harassment. Sex and Gender discrimination includes unlawful discrimination on the basis of sex, gender, pregnancy, and sexual harassment. Colorado law defines “sexual orientation” as “a person’s orientation toward heterosexuality, homosexuality, bisexuality, or transgender status or an employer’s perception thereof.

1.5.3 “Sexual Harassment” occurs when an Employee is subjected to unwelcome conduct based on sex or gender that is severe or pervasive and:

- a. Which conduct is either an explicit or implicit condition of an individual’s employment;
- b. Submission to or rejection of such conduct by an individual is used as a basis for employment decisions affecting the individual, e.g., salary increases;
- c. Or such conduct has the purpose or effect of unreasonably interfering with an individual’s work performance or creating an intimidating, hostile or offensive working environment.

Unprofessional conduct can be considered sexual harassment if it is unwelcome, based on sex, and severe or pervasive. Such unprofessional conduct includes, but is

not limited to, unwelcome sexual advances or propositioning, jokes of a sexual nature, unwelcome sexual comments about someone's clothing or appearance, intimate stories about one's sex life, sexually explicit photographs or drawings, unwelcome touching of a sexual nature, and adverse treatment based on gender. Unprofessional conduct may result in discipline or discharge regardless whether it rises to the level of unlawful sexual harassment.

- 1.5.4 "Harassment" on the basis of any protected status listed above is unlawful where it is unwelcome, severe or pervasive, and unreasonably interferes with an individual's work performance or creates an intimidating, hostile or offensive working environment. Slurs, derogatory statements or demeaning treatment, jokes and stereotyping based on protected status are unprofessional and may result in discipline or discharge regardless whether they rise to the level of unlawful harassment.
- 1.5.5 "Disability" includes physical and mental disabilities that substantially limit one or more major life activity. Disabled individuals must be otherwise qualified for the job and able to perform essential job functions with or without reasonable accommodations. Employer has a legal obligation to reasonably accommodate disabled Employees who are otherwise qualified to perform the essential job function, so long as the accommodation does not create an undue burden on the Employer. Requests for reasonable accommodation must be directed to the Executive Director of Programs or her designee.
- 1.5.6 Reporting Unlawful Discrimination. Any Employee who is subjected to or who observes conduct that the Employee honestly believes is in violation of this policy must report it to the Executive Director of Programs or her designee. This includes conduct by co-workers, supervisors, management, board members, volunteers or suppliers. It is the Employer's desire and intent to prevent any unlawful discrimination or harassment in employment. Employees are strongly advised to not quit employment because of such conduct without giving the Employer notice of the conduct and a reasonable opportunity to investigate and correct any problems. Employer will investigate the circumstances and take appropriate action. Employees must cooperate fully during an investigation and must provide the investigator with honest and complete responses. No Employee will be retaliated against for making a report of conduct that the Employee honestly believes is in violation of this policy or the law.
- 1.5.7 Discipline/Discharge. An Employee engaging in any unlawful discrimination or harassment against another Employee, as set forth above, shall be subject to disciplinary action that may include termination, demotion, or suspension, or whatever disciplinary action the Employer deems appropriate under the circumstances. Employees may be disciplined or discharged for unprofessional conduct in violation of this policy, even if the conduct does not rise to the level of unlawful conduct. Any Employee who is dishonest in reporting information that another person is engaging in conduct which violates this policy is similarly subject to discipline or discharge.

*Effective Date: 8/1/15*

*Last Revision date: 5/26/04*

*Approved by: Board of Directors / Executive Directors 8/1/15*





# GRANT APPLICATION Community Development Block Grant (CDBG) Program

*The City of Grand Junction does not discriminate on the basis of race, color, religion, sex, national origin, age or disability in the admission, access or appointment to, or treatment or employment in, its programs or activities.*

Applications and all attachments must be submitted to the City of Grand Junction electronically no later than 4:00 pm on Monday, March 26, 2018 to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org)

## APPLICANT BACKGROUND

1. Organization Name:

St. Mary's Hospital Foundation

---

2. Organization Address:

PO Box 1628

---

Grand Junction, CO 81502

---

3. Organization DUNS Number (Data Universal Number System) (required):

*For more information visit [www.whitehouse.gov/omb/grants/duns\\_num\\_guide.pdf](http://www.whitehouse.gov/omb/grants/duns_num_guide.pdf):*

069715746

---

4. Organization or Parent Organization EIN/TIN Number (required):

23-7001007

---

5. Primary Contact Person:

Amanda de Bock

---

Phone: 970-243-9844 x3

FAX: 970-263-4446

---

Email: [amanda.debock@sclhs.net](mailto:amanda.debock@sclhs.net)

---

6. Do you or your parent organization file OMB A-133 (Single Audit)?

Yes  No

If yes, please attach a copy of the most recent A-133 and Form SF-SAC to your CDBG application.

7. **System for Award Management (SAM):** Your entity must be registered in the System for Award Management (SAM) prior to application. Provide a copy of the registration confirmation with your application. See attached example. *For more information visit: [www.sam.gov/portal](http://www.sam.gov/portal)*

8. Is this agency an equal employment opportunity employer?  Yes  No

Please attach a copy of the agency's affirmative action plan.

9. Provide a brief description of your organization - what do you do?

Gray Gourmet prepares and serves a nutritious lunchtime meal for Mesa County seniors age 60 and older. The program fosters health, independence, and wellbeing. Each weekday it produces 450-550 meals from its downtown Grand Junction kitchen. It relies on a corps of nearly 300 volunteers who deliver and serve meals. It is a donation based program, and no senior is ever denied service due to inability or unwillingness to pay the \$3.50 suggested donation. The program has two components. (1) Community Dining Sites: 9 dining sites in Mesa County provide socialization and a healthy meal. Volunteers greet diners and serve meals to groups ranging from 10-60 persons at each site. (2) Home Delivery Service: Door to door residential delivery for homebound, frail and recovering seniors. Volunteer drivers use their own vehicles for deliveries. There are 20 routes with service between Fruita and Palisade.

10. Which describes your organization (check all that apply)?

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> A non-profit with 501(c)3 status | <input type="checkbox"/> Housing Authority                       |
| <input type="checkbox"/> Governmental Agency                         | <input type="checkbox"/> Quasi-Governmental Agency               |
| <input type="checkbox"/> Faith based                                 | <input type="checkbox"/> Public Service /Human Services Provider |
| <input type="checkbox"/> Public Organization                         | <input type="checkbox"/> Other _____                             |

11. Has the applicant received past CDBG funding from the City of Grand Junction? If so, please indicate the year received, the amount received and the amount of CDBG and/or funds remaining to be spent at this time.

2017- \$16,000  
2016 - \$9,950  
2012 - \$20,500  
2010 - \$20,500

12. Does any employee, board member or client have any past or present ownership or financial investment in the agency, organization or proposed project? If so, please describe.

No.

### FUNDING REQUEST SUMMARY

1. Project Name: Gray Gourmet Senior Nutrition Program
2. Project Address/Location: 551 Chipeta Avenue, Grand Junction, CO 81501
3. Amount of CDBG funds requested for the project: \$16,000.00
4. Funding leveraged from other sources for this project: \$265,800.00
5. Total Project Budget: \$0.00 281,800
6. Minimum amount of CDBG funding to benefit your project: \$10,000.00

### PROJECT ELIGIBILITY

1. Which CDBG national objective does this project meet?
- Benefits low/moderate income individuals/households
- Addresses the prevention or elimination of slums or blight
- Meets an urgent community need (usually a natural disaster)

2. Which City of Grand Junction CDBG Program Priority does this project meet?

- Need for Non-Housing Community Development Infrastructure
- Need for Affordable Housing
- Needs of the Homeless or Special Needs Populations
- Other Human Service Needs
- Economic Opportunities

### SPECIFIC PROJECT INFORMATION

1. **Project Description.** Give a brief description of the project for which you are requesting funds. How do you plan to spend the funds?

Gray Gourmet spends \$281,800 annually on quality raw ingredients to produce our meals Monday-Friday. Since 70% of our total clients live within the Grand Junction city limits, \$197,260 is spent on food for city residents. We are asking for \$16,000 to help off-set the cost of this food. All of our meals must meet strict nutritional guideline set by the State Unit on Aging, and 20% of our all of our food products must be sourced from the United States.

2. **Project Type.** Which of the following categories best describes your project? (select only one)

- Public or human service
- Capital construction, building rehabilitation or public facility improvement
- Home ownership activities
- Housing rehabilitation
- Economic development assistance
- Planning or predevelopment costs
- Acquisition or demolition of property

3. If this is a Public or Human Service Project, is  New or  On-going?  
the service:



4. If funding an existing service, describe how the CDBG funds will support a measurable increase in that service (e.g. more families served or longer service period as compared to current operations)

Gray Gourmet served over 8% more meals in 2017 than in 2016, and was up over 10% from 2014 to 2017. The need for senior services is only going to grow: Mesa County is predicted to have residents age 65 or older comprise almost 20 percent of its population by 2020, according to the State Demography Office. By supplying this much needed funding you will help us cover all of those who need our services.

5. **Demonstrated Need for Project.** Provide a brief summary of current statistical data documenting the need for the project. Include sources of information.

According to census data from the State Unit on Aging, 9.4% of Mesa County seniors are living at or below the poverty line. Using our own state mandated intake forms, 42% of our Home Delivered Clients are below the poverty line. Our internal surveys report that 97% of our clients report that they are able to stay independent in their own homes because of our services and 92% say that it is the main meal of their day. Meals on Wheels America states that nationally, 1 in 6 seniors struggle with hunger. Having access to one of our dining sites or our home delivered meals saves lives.

6. **Project Budget.** Provide a line item budget for this project and the total budget cost, including the request for City of Grand Junction CDBG funds, other secured funding and other pending applications for funding. If this is a rehabilitation, remodeling or construction project, be sure to include Davis-Bacon wage regulations and architectural, engineering and/or environmental review fees in determining your project budget.

Budget Item	Amount	Funding Source	Award Date
Ongoing Operating	\$ 294,291.00	Title III Area on Ag	7/1/17
Ongoing Operating	\$ 184,999.00	State of Colorado I	7/1/17
Ongoing Operating	\$ 69,162.00	US Dept of Agricult	7/1/17
Ongoing Operating	\$ 224,183.00	Participant Receipt	7/1/17-Present
Pending	\$ 12,000.00	United Way	6/25/18
CDBG Request	\$ 16,000.00	City of Grand Junct	9/1/18
<b>Total Budget</b>	<b>\$ 0.00</b>		

800,625

7. **Project Schedule.** What is the proposed project schedule if awarded the CDBG funds? Keep in mind funding will not be available until September.

Start Date: 9/1/18 Completion Date: 12/31/18

If the project includes construction, are there plans/permits needed before the project can begin construction or has a construction schedule been identified?

Yes, plans/permits completed, project is ready to begin.

No, plans/permits still need to be completed.

8. **In-Kind Contributions.** What other resources (e.g. volunteers, in-kind donations, etc) will you be utilizing for this project? List all in-kind services, volunteer hours and goods you will bring to this project and determine a dollar value for all items listed.

Gray Gourmet utilizes over a 100 different volunteers per month. They provide such services as answering phones, filing, delivering meals, working at dining sites, doing presentations and running blood pressure clinics. St. Mary's Hospital's HR department established a volunteer rate \$17.97 per hour which would put our monthly average at about \$27,000.

## BENEFICIARY INFORMATION

1. **Total Persons Served.** How many total persons did this organization serve during the past year and how many total people does your organization expect to serve in the coming year?

In 2016, Gray Gourmet served a total 1,484 clients 119,129 meals, more than meeting our projected growth of 3%. We estimate that we will again increase by about 3% to serve a total of 1,500 clients and 122,000 meals.

2. **Persons Served by Project.** What percentage of total persons served by your organization live within the City limits? Provide an estimate of the number of persons who will benefit from the specific project that live within the City limits of Grand Junction.

We have determined that 70% of Gray Gourmet's clients reside within the city limits of Grand Junction.

3. **Client Eligibility.** Will those served by this project be primarily (51% or more) of low and/or moderate income?  Yes  No

If you checked yes above, specify by checking all that apply to your project:

The income of each household/person receiving assistance will be individually verified for eligibility.

The types of households or persons served are of special need (presumed benefit) such as elderly, disabled, homeless, illiterate, or involve an abused spouse or child.

4. If the income will be verified, describe the organization's procedure for verification and how you will determine that a person is eligible for services.

While we are required to ask about income, it is not a determining factor for eligibility. In order to determine if a senior is eligible, all Gray Gourmet clients are required to fill out state mandated paperwork. Our Home Delivery clients fill this paperwork out with staff over the phone, and our dining site clients fill it out in person at one of our sites. The questions are determined by the State Union on Aging and include questions on income, to determine living arrangements, frailty and nutritional risk. The income ranges given to us by the state for 2018 are as follows:

\$1005 or less  
\$1006 to \$1256  
\$1257 to \$1859  
\$1860 or more

Qualifications for home delivery include being age 60 or older, as well as being homebound. Our dining sites require that an individual is age 60 or above and that they fill out our forms. As a donation based program, no senior who meets our eligibility requirements is turned away due to inability or unwillingness to pay. In the event of a wait list, income can be a determining factor for an individual to receive meals sooner rather than later.

COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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**REQUIRED ATTACHMENTS FOR CDBG APPLICATIONS**

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Applications and all attachments must be submitted to the **City of Grand Junction electronically no later than 4:00 p.m. on Wednesday, March 26, 2018** to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org). The following attachments are required:

- A signed copy of the CDBG Application and Preliminary Agreement form (attached).
- A copy of your organization's original IRS determination letter indicating [501(c)(3) or tax-exempt status (if applicable).
- Your agency's most recent audit, financial statement or, if applicable, A-133 (Single Audit). Please state your annual and cumulative fund balance. All agencies who receive \$750,000 or more, total for all Federal grants received this year, must submit an audit in compliance with the 1984 Single Audit Act.
- A copy of your organization's or parent organization's most recent Form SF-SAC pertaining to the A-133 audit, if applicable as above.
- A copy of System for Award Management (SAM) entity registration. See attached example.
- A list of current board members, including their employer and/or other pertinent affiliations.
- A copy of the project's client intake form, application form or other paperwork used to collect and certify income and racial data for each client.
- Copy of agency's Affirmative Action Plan.



COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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**CDBG APPLICATION AND PRELIMINARY AGREEMENT**

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By signing below I certify that all of the information above and included with this application is true, and I understand that application for funds does not in any way guarantee funding. Additionally, the applicant understands and agrees that in the event the applicant receives approval from the City Council for a block grant fund allocation:

1. The applicant will not receive the block grant funds until:
  - a. HUD has approved the project and the City receives a letter of credit from HUD.
  - b. An Environmental Review has been completed and approved.
  - c. If applicable, a Request for Release of Funds and a Finding of No Significant Impact have been published for community comment and HUD has released funds for the project.
  - d. A contract between the City of Grand Junction and the sub-recipient is fully executed.
  - e. All labor standards have been met.
2. The applicant will submit a sub-recipient report with each request for reimbursement. The report will describe the progress of the project, the project beneficiaries, and other information required by HUD or the City. Invoices will accompany the report when indicated. Funds will not be released without this report.
3. The applicant will provide the City any other information required by HUD or by the City.
4. The applicant will comply with all other requirements set forth by the City and the U.S. Department of Housing and Urban Development.

Applicant: Amanda de Bock

Title: Program Manager

Signature (typed acceptable): Amanda de Bock

Date: 3/26/18

**SAM Search Results**  
**List of records matching your search for :**  
**Record Status: Active**  
**DUNS Number: 069715217**  
**Functional Area: Entity Management, Performance Information**

<b>ENTITY</b>	GRAND JUNCTION, CITY OF	Status:Active
DUNS: 069715217	+4:	CAGE Code: 4SK15 DoDAAC:
Expiration Date: Jun 7, 2017	Has Active Exclusion?: No	Delinquent Federal Debt?: No
Address: 250 N 5TH ST		
City: GRAND JUNCTION	State/Province: COLORADO	
ZIP Code: 81501-2628	Country: UNITED STATES	



# GRANT APPLICATION Community Development Block Grant (CDBG) Program

*The City of Grand Junction does not discriminate on the basis of race, color, religion, sex, national origin, age or disability in the admission, access or appointment to, or treatment or employment in, its programs or activities.*

Applications and all attachments must be submitted to the City of Grand Junction electronically no later than 4:00 pm on Wednesday, March 26, 2018 to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org)

## APPLICANT BACKGROUND

1. Organization Name:  
Counseling & Education Center

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2. Organization Address:  
2708 Patterson Road, Grand Junction, Colorado 81506

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3. Organization DUNS Number (Data Universal Number System) (required):  
*For more information visit [www.whitehouse.gov/omb/grants/duns\\_num\\_guide.pdf](http://www.whitehouse.gov/omb/grants/duns_num_guide.pdf).*  
14-944-5231

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4. Organization or Parent Organization EIN/TIN Number (required):  
74-2232416

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5. **Primary** Contact Person:  
Christian Mueller, Executive Director

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- Phone: 970-243-9539                      FAX: 970-245-7493

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- Email: [chris@cecwecare.org](mailto:chris@cecwecare.org)

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6. Do you or your parent organization file OMB A-133 (Single Audit)?

Yes  No

If yes, please attach a copy of the most recent A-133 and Form SF-SAC to your CDBG application.

7. **System for Award Management (SAM):** Your entity must be registered in the System for Award Management (SAM) prior to application. Provide a copy of the registration confirmation with your application. See attached example. *For more information visit: [www.sam.gov/portal](http://www.sam.gov/portal)*

8. Is this agency an equal employment opportunity employer?  Yes  No

Please attach a copy of the agency's affirmative action plan.

9. Provide a brief description of your organization - what do you do?

The Counseling & Education Center (CEC) provides affordable, professional counseling for low-income and under/uninsured Mesa County residents. We offer our services on a sliding scale fee. Counseling is available for children, teens, couples and families needing help with an array of psychological and emotional obstacles: anxiety, trauma, depression, PTSD, loss, child behavior issues, divorce and family/work conflict.

Staff counselors are licensed mental health professionals and/or masters level psychotherapists with a wide range of therapeutic specialties.

CEC uses Feedback-Informed Treatment (FIT), an assessment tool that provides evidence-based data on client and counselors progress, outcomes and therapeutic service quality.

10. Which describes your organization (check all that apply)?

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> A non-profit with 501(c)3 status | <input type="checkbox"/> Housing Authority                       |
| <input type="checkbox"/> Governmental Agency                         | <input type="checkbox"/> Quasi-Governmental Agency               |
| <input type="checkbox"/> Faith based                                 | <input type="checkbox"/> Public Service /Human Services Provider |
| <input type="checkbox"/> Public Organization                         | <input type="checkbox"/> Other _____                             |



11. Has the applicant received past CDBG funding from the City of Grand Junction? If so, please indicate the year received, the amount received and the amount of CDBG and/or funds remaining to be spent at this time.

2013-received \$7,000/used; 2014-received \$3,000/used; 2015-received \$0; 2016-received \$6,000/used; 2017-\$6,000/used

12. Does any employee, board member or client have any past or present ownership or financial investment in the agency, organization or proposed project? If so, please describe.

Cathy Frederick, a member of our CEC Legacy Board, holds two mortgage loans on CEC's office property. CEC pays \$643.39/month on the first loan, with a current remaining balance of \$40,273.86 scheduled to be paid off in February 2025. CEC pays \$1,242.00/month on the second loan with a current remaining balance of \$42,102.03 with pay-off September 2021.

### FUNDING REQUEST SUMMARY

1. Project Name: Low-income counseling for all ages
2. Project Address/Location: 2708 Patterson Road, Grand Junction, CO
3. Amount of CDBG funds requested for the project: \$ 6,000
4. Funding leveraged from other sources for this project: \$ 407,182
5. Total Project Budget: \$0.00      \$413,182
6. Minimum amount of CDBG funding to benefit your project: \$5,000.00

### PROJECT ELIGIBILITY

1. Which CDBG national objective does this project meet?
- Benefits low/moderate income individuals/households
- Addresses the prevention or elimination of slums or blight
- Meets an urgent community need (usually a natural disaster)

2. Which City of Grand Junction CDBG Program Priority does this project meet?

- Need for Non-Housing Community Development Infrastructure
- Need for Affordable Housing
- Needs of the Homeless or Special Needs Populations
- Other Human Service Needs
- Economic Opportunities

## SPECIFIC PROJECT INFORMATION

1. **Project Description.** Give a brief description of the project for which you are requesting funds. How do you plan to spend the funds?

In our CEC office, and throughout Grand Junction, the need for counseling services continues to grow. We are unable to serve all the children, youth and adults who critically need counseling therapy.

Of the 408 clients we served in 2017, 81% were at 125% of the federal poverty level, or lower. The average amount paid by clients per session was \$14.24. Our break-even operating cost per session is \$85. Based on demand, our goal is to serve 450 clients this year.

2. **Project Type.** Which of the following categories best describes your project? (select only one)

- Public or human service
- Capital construction, building rehabilitation or public facility improvement
- Home ownership activities
- Housing rehabilitation
- Economic development assistance
- Planning or predevelopment costs
- Acquisition or demolition of property

3. If this is a Public or Human Service Project, is  New or  On-going?  
the service:

4. If funding an existing service, describe how the CDBG funds will support a measurable increase in that service (e.g. more families served or longer service period as compared to current operations)

We would apply these grant funds immediately - to help more children, teens and adults who are dealing with deep depression, dysfunction and suicidal behavior. At CEC the flow of struggle and suffering is increasing by the day. Funding by CDBG will supplement our client fees to provide approximately 84 more counseling sessions to 17 Grand Junction residents in need of professional counseling services.

5. **Demonstrated Need for Project.** Provide a brief summary of current statistical data documenting the need for the project. Include sources of information.

The Mesa County suicide rate has risen alarmingly. At CEC we see increasing numbers of clients who have been impacted by this tragedy. The Healthy Mesa County 2015-2017 report presents that the rate of attempted suicide was 111.7 (per 100,000/2013) compared to 48.3 (per 100,000) in Colorado. Jeff Kuhr, Executive Director of Mesa County Public Health, wrote in a Daily Sentinel article on May 21, 2017, the Mesa County suicide rate is 32.3 per 100,000 and two and a half times higher than the national rate.

6. **Project Budget.** Provide a line item budget for this project and the total budget cost, including the request for City of Grand Junction CDBG funds, other secured funding and other pending applications for funding. If this is a rehabilitation, remodeling or construction project, be sure to include Davis-Bacon wage regulations and architectural, engineering and/or environmental review fees in determining your project budget.

Budget Item	Amount	Funding Source	Award Date
Restricted/Donations/G	\$112,600	see attached	see attached
Public & Gov't Grants	\$100,500	see attached	see attached
CDBG	\$6,000	City of GJ	pending
Misc./Rent	\$4,140	see attached	see attached
Special events/indirect	\$36,200	see attached	see attached
Client revenue	\$153,742	see attached	see attached
<b>Total Budget</b>	\$ 0.00	\$413,182	



7. **Project Schedule.** What is the proposed project schedule if awarded the CDBG funds? Keep in mind funding will not be available until September.

Start Date: September 2018

Completion Date: December 2018

If the project includes construction, are there plans/permits needed before the project can begin construction or has a construction schedule been identified?

Yes, plans/permits completed, project is ready to begin.

No, plans/permits still need to be completed.

8. **In-Kind Contributions.** What other resources (e.g. volunteers, in-kind donations, etc) will you be utilizing for this project? List all in-kind services, volunteer hours and goods you will bring to this project and determine a dollar value for all items listed.

Monthly clinical consultation, Psychologist John Halverson, Ph.D. \$ 825.00

Legal services \$ 878.50

Accounting services \$1,580.00

Materials & labor \$ 6,735.00

For a total of \$10,018.50 In-Kind Contributions

## BENEFICIARY INFORMATION

1. **Total Persons Served.** How many total persons did this organization serve during the past year and how many total people does your organization expect to serve in the coming year?

In 2017 CEC provided 3,176 counseling hours to 408 individuals of all ages. Our 2018 goal is to serve 450 individuals.

2. **Persons Served by Project. What percentage of total persons served by your organization live within the City limits?** Provide an estimate of the number of persons who will benefit from the specific project that live within the City limits of Grand Junction.

According to current CEC report data (FY16-17), 63.11% of individuals receiving counseling services at CEC live within the city limits of Grand Junction. With CDBG funding, 17 individuals living within the city limits of Grand Junction will benefit from.

3. **Client Eligibility.** Will those served by this project be primarily (51% or more) of low and/or moderate income?  Yes  No



If you checked yes above, specify by checking all that apply to your project:

The income of each household/person receiving assistance will be individually verified for eligibility.

The types of households or persons served are of special need (presumed benefit) such as elderly, disabled, homeless, illiterate, or involve an abused spouse or child.

4. If the income will be verified, describe the organization's procedure for verification and how you will determine that a person is eligible for services.

CEC staff and the CEC application process asks questions about "household" income and will ask for information even from "non-traditional" households (e.g., a single parent who has their new partner living in the home but they are not legally married). The scale considers family size as compared to the monthly household income.

We verify the client's zip code to confirm that they live within the city boundaries.

Anyone may request us to consider an even lower fee based on immediate hardship. They are asked to write a short narrative to the executive director, who will then make a determination of lowering the fee, possibly all the way down to \$0.00. Once a fee has been adjusted, it remains at the lower level to ensure no impact on completion of therapy.

We use the cut-off of \$35.00 per session, or below, as qualifying for the "low-income counseling" program. The amount of income can vary due to the impact of the family size, so monthly income is not used as the defining line until size of family is included. For example, a family of eight that has yearly income of \$45,300 would qualify as "low income," but for a family of only one, the income could be \$23,700.

## COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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### REQUIRED ATTACHMENTS FOR CDBG APPLICATIONS

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Applications and all attachments must be submitted to the **City of Grand Junction electronically no later than 4:00 p.m. on Wednesday, March 26, 2018** to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org). The following attachments are required:

- A signed copy of the CDBG Application and Preliminary Agreement form (attached).
- A copy of your organization's original IRS determination letter indicating [501(c)(3) or tax-exempt status (if applicable).
- Your agency's most recent audit, financial statement or, if applicable, A-133 (Single Audit). Please state your annual and cumulative fund balance. All agencies who receive \$750,000 or more, total for all Federal grants received this year, must submit an audit in compliance with the 1984 Single Audit Act.
- A copy of your organization's or parent organization's most recent Form SF-SAC pertaining to the A-133 audit, if applicable as above.
- A copy of System for Award Management (SAM) entity registration. See attached example.
- A list of current board members, including their employer and/or other pertinent affiliations.
- A copy of the project's client intake form, application form or other paperwork used to collect and certify income and racial data for each client.
- Copy of agency's Affirmative Action Plan.

COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

**CDBG APPLICATION AND PRELIMINARY AGREEMENT**

By signing below I certify that all of the information above and included with this application is true, and I understand that application for funds does not in any way guarantee funding. Additionally, the applicant understands and agrees that in the event the applicant receives approval from the City Council for a block grant fund allocation:

1. The applicant will not receive the block grant funds until:
  - a. HUD has approved the project and the City receives a letter of credit from HUD.
  - b. An Environmental Review has been completed and approved.
  - c. If applicable, a Request for Release of Funds and a Finding of No Significant Impact have been published for community comment and HUD has released funds for the project.
  - d. A contract between the City of Grand Junction and the sub-recipient is fully executed.
  - e. All labor standards have been met.
2. The applicant will submit a sub-recipient report with each request for reimbursement. The report will describe the progress of the project, the project beneficiaries, and other information required by HUD or the City. Invoices will accompany the report when indicated. Funds will not be released without this report.
3. The applicant will provide the City any other information required by HUD or by the City.
4. The applicant will comply with all other requirements set forth by the City and the U.S. Department of Housing and Urban Development.

Applicant: Christian Mueller \_\_\_\_\_

Title: Executive Director \_\_\_\_\_

Signature (typed acceptable):  \_\_\_\_\_

Date: 3/26/2018 \_\_\_\_\_





# GRANT APPLICATION

## Community Development Block Grant (CDBG) Program

*The City of Grand Junction does not discriminate on the basis of race, color, religion, sex, national origin, age or disability in the admission, access or appointment to, or treatment or employment in, its programs or activities.*

Applications and all attachments must be submitted to the City of Grand Junction electronically no later than 4:00 pm on Monday, March 26, 2018 to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org)

### APPLICANT BACKGROUND

1. Organization Name:

Mesa Developmental Services, dba STRiVE

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2. Organization Address:

950 Grand Avenue, Grand Junction, CO 81501

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3. Organization DUNS Number (Data Universal Number System) (required):

*For more information visit [www.whitehouse.gov/omb/grants/duns\\_num\\_guide.pdf](http://www.whitehouse.gov/omb/grants/duns_num_guide.pdf):*

020273959

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4. Organization or Parent Organization EIN/TIN Number (required):

846044845

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5. **Primary** Contact Person:

Douglas A. Sorter

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Phone: 970-250-1595 FAX: \_\_\_\_\_

Email: dsorter@strivecolorado.org



6. Do you or your parent organization file OMB A-133 (Single Audit)?

Yes  No

If yes, please attach a copy of the most recent A-133 and Form SF-SAC to your CDBG application.

7. **System for Award Management (SAM):** Your entity must be registered in the System for Award Management (SAM) prior to application. Provide a copy of the registration confirmation with your application. See attached example. For more information visit: [www.sam.gov/portal](http://www.sam.gov/portal)

8. Is this agency an equal employment opportunity employer?  Yes  No

Please attach a copy of the agency's affirmative action plan.

9. Provide a brief description of your organization - what do you do?

STRiVE is a local nonprofit human services organization that provides care and supports for disabled individuals of all ages and their families. Those served primarily are residents of Mesa County, and represent the full spectrum of developmental disabilities (e.g. Down Syndrome) and neurodevelopmental problems (e.g. autism), often presenting with co-occurring behavioral health disorders (e.g. anxiety, depression) and co-occurring physical disabilities (e.g. cerebral palsy).

In a typical year, STRiVE impacts more than 530 children and their families, and 930+ adults who rely on a wide variety of quality services and supports, including Residential Services (group homes, host homes and individual residential settings), Vocational and Day programs, Supported Living Services (for adults living on their own or with family members), Family Support Services and Infant/Toddler Early Intervention (for families with young children).

10. Which describes your organization (check all that apply)?

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> A non-profit with 501(c)3 status | <input type="checkbox"/> Housing Authority                                  |
| <input type="checkbox"/> Governmental Agency                         | <input type="checkbox"/> Quasi-Governmental Agency                          |
| <input type="checkbox"/> Faith based                                 | <input checked="" type="checkbox"/> Public Service /Human Services Provider |
| <input type="checkbox"/> Public Organization                         | <input type="checkbox"/> Other _____  |

11. Has the applicant received past CDBG funding from the City of Grand Junction? If so, please indicate the year received, the amount received and the amount of CDBG and/or funds remaining to be spent at this time.

2015 - HVAC system - \$27,210 - and Audyssey Autism Clinic program support - \$4,500  
2013 - Parenting Place Rehabilitation - \$20,000 - completed  
2012 - (MDS) Program Office Remodel - \$25,000 - completed  
2011 - (MDS) Group Home Remodel - \$9,924 - completed  
2009 - (MDS) Group Home Remodel - \$40,000 - completed

12. Does any employee, board member or client have any past or present ownership or financial investment in the agency, organization or proposed project? If so, please describe.

N/A.

## FUNDING REQUEST SUMMARY

1. Project Name: Audyssey Autism Clinic
2. Project Address/Location: 950 Grand Avenue, Grand Junction, CO 81501
3. Amount of CDBG funds requested for the project: \$ 6,000
4. Funding leveraged from other sources for this project: \$ 80,064
5. Total Project Budget: \$ 0.00
6. Minimum amount of CDBG funding to benefit your project: \$

## PROJECT ELIGIBILITY

1. Which CDBG national objective does this project meet?
- Benefits low/moderate income individuals/households
- Addresses the prevention or elimination of slums or blight
- Meets an urgent community need (usually a natural disaster)



2. Which City of Grand Junction CDBG Program Priority does this project meet?

- Need for Non-Housing Community Development Infrastructure
- Need for Affordable Housing
- Needs of the Homeless or Special Needs Populations
- Other Human Service Needs
- Economic Opportunities

## SPECIFIC PROJECT INFORMATION

1. **Project Description.** Give a brief description of the project for which you are requesting funds. How do you plan to spend the funds?

Many children and their families face the challenges of autism and can benefit greatly by the services and supports available through STRiVE and other service providers. However, these children must undergo a legitimate diagnostic process before they can receive these crucial services. STRiVE offers the only multi-disciplinary diagnostic clinic in Western Colorado.

The typical autism diagnostic evaluation involves the work of a team, including behavioral therapist, psychologist, Registered Nurse, educational specialist, speech and language pathologist and occupational therapist. Such evaluations are costly: \$1,500 per child. Requested funding will ensure assessments for a total of four Grand Junction children.

2. **Project Type.** Which of the following categories best describes your project? (select only one)

- Public or human service
- Capital construction, building rehabilitation or public facility improvement
- Home ownership activities
- Housing rehabilitation
- Economic development assistance
- Planning or predevelopment costs
- Acquisition or demolition of property

3. If this is a Public or Human Service Project, is  New or  On-going?  
the service:

4. If funding an existing service, describe how the CDBG funds will support a measurable increase in that service (e.g. more families served or longer service period as compared to current operations)

Quality diagnosis and early intervention have profound positive impact on children's short- and long-term health and well-being. STRiVE has found that there is much greater success in helping children and their families to address the issues of autism (and other neurological conditions) when the situation is "caught" before the age of six. Extensive research documents that children with Autism Spectrum Disorder who receive early intervention

5. **Demonstrated Need for Project.** Provide a brief summary of current statistical data documenting the need for the project. Include sources of information.

The U.S. Centers for Disease Control and Prevention (CDC) estimates that one in 68 American children is "on the autism spectrum." The prevalence of Autism Spectrum Disorder (ASD) has risen sharply in recent decades; CDC recorded a 123% rise in diagnoses of ASD in children under 8 between 2002 and 2010.

The vastly-increased recognition of ASD in children, against the backdrop of burgeoning population in Mesa County, indicate demand that far exceeds

6. **Project Budget.** Provide a line item budget for this project and the total budget cost, including the request for City of Grand Junction CDBG funds, other secured funding and other pending applications for funding. If this is a rehabilitation, remodeling or construction project, be sure to include Davis-Bacon wage regulations and architectural, engineering and/or environmental review fees in determining your project budget.

Budget Item	Amount	Funding Source	Award Date
Audyssey Clinic schola	\$6,000	CDBG	Pending
Audyssey Clinic	XXXXXX	Various	Various
<b>Total Budget</b>	<b>\$ 0.00</b>		



7. **Project Schedule.** What is the proposed project schedule if awarded the CDBG funds? Keep in mind funding will not be available until September.

Start Date: on-going Completion Date: on-going

If the project includes construction, are there plans/permits needed before the project can begin construction or has a construction schedule been identified?

Yes, plans/permits completed, project is ready to begin.

No, plans/permits still need to be completed.

8. **In-Kind Contributions.** What other resources (e.g. volunteers, in-kind donations, etc) will you be utilizing for this project? List all in-kind services, volunteer hours and goods you will bring to this project and determine a dollar value for all items listed.

None.

## BENEFICIARY INFORMATION

1. **Total Persons Served.** How many total persons did this organization serve during the past year and how many total people does your organization expect to serve in the coming year?

1152 people in service, which stays relatively consistent on a year-to-year basis.

2. **Persons Served by Project. What percentage of total persons served by your organization live within the City limits?** Provide an estimate of the number of persons who will benefit from the specific project that **live within the City limits of Grand Junction.**

Approximately 72 people per year benefit from the Audyssey Clinic. 93% of the people served by STRiVE live within Grand Junction city limits.

3. **Client Eligibility.** Will those served by this project be primarily (51% or more) of low and/or moderate income?  Yes  No

If you checked yes above, specify by checking all that apply to your project:

The income of each household/person receiving assistance will be individually verified for eligibility.

The types of households or persons served are of special need (presumed benefit) such as elderly, disabled, homeless, illiterate, or involve an abused spouse or child.

4. If the income will be verified, describe the organization's procedure for verification and how you will determine that a person is eligible for services.

All of the individuals STRiVE supports receive SSI or SSDI, which automatically qualifies them as eligible.

## COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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### REQUIRED ATTACHMENTS FOR CDBG APPLICATIONS

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Applications and all attachments must be submitted to the **City of Grand Junction electronically no later than 4:00 p.m. on Wednesday, March 26, 2018** to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org). The following attachments are required:

- A signed copy of the CDBG Application and Preliminary Agreement form (attached).
- A copy of your organization's original IRS determination letter indicating [501(c)(3) or tax-exempt status (if applicable).
- Your agency's most recent audit, financial statement or, if applicable, A-133 (Single Audit). Please state your annual and cumulative fund balance. All agencies who receive \$750,000 or more, total for all Federal grants received this year, must submit an audit in compliance with the 1984 Single Audit Act.
- A copy of your organization's or parent organization's most recent Form SF-SAC pertaining to the A-133 audit, if applicable as above.
- A copy of System for Award Management (SAM) entity registration. See attached example.
- A list of current board members, including their employer and/or other pertinent affiliations.
- A copy of the project's client intake form, application form or other paperwork used to collect and certify income and racial data for each client.
- Copy of agency's Affirmative Action Plan.



## COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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### CDBG APPLICATION AND PRELIMINARY AGREEMENT

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By signing below I certify that all of the information above and included with this application is true, and I understand that application for funds does not in any way guarantee funding. Additionally, the applicant understands and agrees that in the event the applicant receives approval from the City Council for a block grant fund allocation:

1. The applicant will not receive the block grant funds until:
  - a. HUD has approved the project and the City receives a letter of credit from HUD.
  - b. An Environmental Review has been completed and approved.
  - c. If applicable, a Request for Release of Funds and a Finding of No Significant Impact have been published for community comment and HUD has released funds for the project.
  - d. A contract between the City of Grand Junction and the sub-recipient is fully executed.
  - e. All labor standards have been met.
2. The applicant will submit a sub-recipient report with each request for reimbursement. The report will describe the progress of the project, the project beneficiaries, and other information required by HUD or the City. Invoices will accompany the report when indicated. Funds will not be released without this report.
3. The applicant will provide the City any other information required by HUD or by the City.
4. The applicant will comply with all other requirements set forth by the City and the U.S. Department of Housing and Urban Development.

Applicant: Mesa Developmental Services, dba STRiVE

Title: Vice President, Development

Signature (typed acceptable): Douglas A. Sorter

Date: March 23, 2018



**SAM Search Results**  
**List of records matching your search for :**  
**Record Status: Active**  
**DUNS Number: 069715217**  
**Functional Area: Entity Management, Performance Information**

<b>ENTITY</b>	GRAND JUNCTION, CITY OF	Status:Active
DUNS: 069715217	+4:	CAGE Code: 4SK15 DoDAAC:
Expiration Date: Jun 7, 2017	Has Active Exclusion?: No	Delinquent Federal Debt?: No
Address: 250 N 5TH ST		
City: GRAND JUNCTION	State/Province: COLORADO	
ZIP Code: 81501-2628	Country: UNITED STATES	



P.O. Box 2508, Room 4010  
Cincinnati OH 45201

In reply refer to: 4055256534  
Apr. 13, 2017 LTR 4168C 0  
84-6044855 000000 00  
00031552  
BODC: TE

MESA DEVELOPMENTAL SERVICES  
STRIVE  
950 GRAND AVE  
GRAND JCT CO 81501-3451



040337

Employer ID Number: 84-6044855  
Form 990 required: Yes

Dear Taxpayer:

This is in response to your request dated Mar. 23, 2017, regarding your tax-exempt status.

We issued you a determination letter in June 1966, recognizing you as tax-exempt under Internal Revenue Code (IRC) Section 501(c)(3).

Our records also indicate you're not a private foundation as defined under IRC Section 509(a) because you're described in IRC Sections 509(a)(1) and 170(b)(1)(A)(ii).

Donors can deduct contributions they make to you as provided in IRC Section 170. You're also qualified to receive tax deductible bequests, legacies, devises, transfers, or gifts under IRC Sections 2055, 2106, and 2522.

In the heading of this letter, we indicated whether you must file an annual information return. If a return is required, you must file Form 990, 990-EZ, 990-N, or 990-PF by the 15th day of the fifth month after the end of your annual accounting period. IRC Section 6033(j) provides that, if you don't file a required annual information return or notice for three consecutive years, your exempt status will be automatically revoked on the filing due date of the third required return or notice.

For tax forms, instructions, and publications, visit [www.irs.gov](http://www.irs.gov) or call 1-800-TAX-FORM (1-800-829-3676).

If you have questions, call 1-877-829-5500 between 8 a.m. and 5 p.m., local time, Monday through Friday (Alaska and Hawaii follow Pacific Time).

4055256534  
Apr. 13, 2017 LTR 4168C 0  
84-6044855 000000 00  
00031553

MESA DEVELOPMENTAL SERVICES  
STRIVE  
950 GRAND AVE  
GRAND JCT CO 81501-3451

Sincerely yours,

*Stephen A. Martin*

Stephen A. Martin  
Director, EO Rulings & Agreements



Consolidated Financial Statements  
June 30, 2017 and 2016

**Mesa Developmental Services  
dba Strive Colorado**



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## Independent Auditor's Report

The Board of Directors  
Mesa Developmental Services, dba Strive Colorado  
Grand Junction, Colorado

### Report on the Financial Statements

We have audited the accompanying consolidated financial statements of Mesa Developmental Services, dba Strive Colorado, which comprise the consolidated statements of financial position as of June 30, 2017 and 2016, and the related consolidated statements of activities, functional expenses, and cash flows for the years then ended, and the related notes to the consolidated financial statements.

### Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these consolidated financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of consolidated financial statements that are free from material misstatement, whether due to fraud or error.

### Auditor's Responsibility

Our responsibility is to express an opinion on these consolidated financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the consolidated financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the consolidated financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the consolidated financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the consolidated financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the consolidated financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

### Opinion

In our opinion, the consolidated financial statements referred to above present fairly, in all material respects, the financial position of Mesa Developmental Services, dba Strive Colorado, as of June 30, 2017 and 2016, and the changes in its net assets, functional expenses and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

**Report on Supplementary Information**

Our audit was conducted for the purpose of forming an opinion on the consolidated financial statements as a whole. The schedules on pages 23 to 29 are presented for purposes of additional analysis and are not a required part of the consolidated financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the consolidated financial statements. The information on pages 24 to 29 has been subjected to the auditing procedures applied in our audit of the consolidated financial statements and certain other additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the consolidated financial statements or to the consolidated financial statements themselves, and other procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the consolidated financial statements taken as a whole.

A handwritten signature in cursive script that reads "Eide Bailly LLP".

Denver, Colorado  
September 26, 2017



Mesa Developmental Services  
dba Strive Colorado  
Consolidated Statements of Financial Position  
June 30, 2017 and 2016

	2017	2016
<b>Assets</b>		
<b>Current Assets</b>		
Cash and cash equivalents	\$ 3,360,242	\$ 2,148,731
Accounts receivable		
Fees and grants from governmental agencies, net	1,264,978	1,065,832
Other	282,772	263,971
Promises to give, net	-	9,900
Inventory	17,602	21,415
Prepaid expenses and other assets	86,786	138,985
Total current assets	5,012,380	3,648,834
Restricted cash	112,895	111,551
Assets held for sale, net	99,149	268,036
Construction in process	-	107,860
Property and equipment, net	5,251,855	5,536,062
Total assets	\$ 10,476,279	\$ 9,672,343
<b>Liabilities and Net Assets</b>		
<b>Current Liabilities</b>		
Accounts payable and accrued liabilities	\$ 955,642	\$ 935,205
Deferred revenue	221,590	-
Notes payable	110,053	76,859
Total current liabilities	1,287,285	1,012,064
<b>Long-Term Liabilities</b>		
Notes payable, net of current portion	1,303,134	1,506,366
Total liabilities	2,590,419	2,518,430
<b>Net Assets</b>		
<b>Unrestricted</b>		
Undesignated	3,719,915	3,238,865
Invested in property and equipment, net of related debt	3,838,668	3,576,941
Total unrestricted	7,558,583	6,815,806
Temporarily restricted	327,277	338,107
Total net assets	7,885,860	7,153,913
Total liabilities and net assets	\$ 10,476,279	\$ 9,672,343



Mesa Developmental Services  
 dba Strive Colorado  
 Consolidated Statement of Activities  
 Year Ended June 30, 2017

	Unrestricted	Temporarily Restricted	Total
Fees and Grants from Governmental Agencies			
Fees for services			
State of Colorado			
State General Fund			
Support services	\$ 832,064	\$ -	\$ 832,064
Case management	133,772	-	133,772
Management and general	148,427	-	148,427
Special purpose	57,991	-	57,991
Medicaid	13,140,918	-	13,140,918
Part C	213,459	-	213,459
QA/UR	255,954	-	255,954
Grants and other			
Mesa County	-	535,913	535,913
State of Colorado	723,531	-	723,531
	15,506,116	535,913	16,042,029
Residential room and board	1,014,796	-	1,014,796
Public support - contributions	118,863	87,092	205,955
Retail and service contract	167,971	-	167,971
Private pay and private health insurance	323,506	-	323,506
Other revenue	84,457	-	84,457
Gain on sale of property	115,273	-	115,273
Net assets released from restrictions			
Satisfaction of time restrictions	535,913	(535,913)	-
Satisfaction of purpose restrictions	97,922	(97,922)	-
Total support, revenue and gains	17,964,817	(10,830)	17,953,987
Expenses			
Program services	15,555,709	-	15,555,709
Supporting services			
Management and general	1,546,609	-	1,546,609
Public relations and fundraising	119,722	-	119,722
Total expenses	17,222,040	-	17,222,040
Change in Net Assets	742,777	(10,830)	731,947
Net Assets, Beginning of Year	6,815,806	338,107	7,153,913
Net Assets, End of Year	\$ 7,558,583	\$ 327,277	\$ 7,885,860

Mesa Developmental Services  
 dba Strive Colorado  
 Consolidated Statement of Activities  
 Year Ended June 30, 2016

	<u>Unrestricted</u>	<u>Temporarily Restricted</u>	<u>Total</u>
Fees and Grants from Governmental Agencies			
Fees for services			
State of Colorado			
State General Fund			
Support services	\$ 771,071	\$ -	\$ 771,071
Case management	128,117	-	128,117
Management and general	118,814	-	118,814
Special purpose	72,937	-	72,937
Medicaid	13,651,903	-	13,651,903
Part C	157,781	-	157,781
UA/UR	229,511	-	229,511
Grants and other			
Mesa County	269,456	267,957	537,413
U.S. Department of Housing and Urban Development	4,815	-	4,815
State of Colorado	129,076	-	129,076
Vocational rehabilitation grant	18,142	-	18,142
	<u>15,551,623</u>	<u>267,957</u>	<u>15,819,580</u>
Residential room and board	1,053,106	-	1,053,106
Public support - contributions	165,882	78,502	244,384
Retail and service contract	189,552	-	189,552
Private pay and private health insurance	351,659	-	351,659
Other revenue	164,428	-	164,428
Gain on sale of property	567,597	-	567,597
Net assets released from restrictions			
Satisfaction of time restrictions	267,956	(267,956)	-
Satisfaction of purpose restrictions	91,349	(91,349)	-
Total support, revenue and gains	<u>18,403,152</u>	<u>(12,846)</u>	<u>18,390,306</u>
Expenses and losses			
Program services	15,820,440	-	15,820,440
Supporting services			
Management and general	1,643,199	-	1,643,199
Public relations and fundraising	177,111	-	177,111
	<u>17,640,750</u>	<u>-</u>	<u>17,640,750</u>
Loss on uncollectable promises to give	-	20,000	20,000
Total expenses and losses	<u>17,640,750</u>	<u>20,000</u>	<u>17,660,750</u>
Change in Net Assets	762,402	(32,846)	729,556
Net Assets, Beginning of Year	<u>6,053,404</u>	<u>370,953</u>	<u>6,424,357</u>
Net Assets, End of Year	<u>\$ 6,815,806</u>	<u>\$ 338,107</u>	<u>\$ 7,153,913</u>

See Notes to Consolidated Financial Statements



Mesa Developmental Services  
dba Strive Colorado  
Consolidated Statement of Functional Expenses  
Year Ended June 30, 2017

	Program Services										
	Residential services	Supported employment	Case management	Early Intervention	Early intervention case management	Transport services	Medicaid supported living services	Behavioral services	State supported living services	Crisis Center	Family support services
Salaries and wages	\$ 4,845,618	\$ 1,501,416	\$ 735,051	244,753	\$ 166,401	\$ 112,251	\$ 511,898	\$ 236,627	\$ 45,072	\$ 29,470	\$ 15,357
Payroll taxes	452,192	137,262	66,596	22,856	15,595	8,876	50,981	24,185	4,146	1,293	1,350
Employee benefits	319,859	87,323	74,133	19,023	13,554	6,110	40,432	12,454	5,935	775	1,438
Host home	2,376,095	-	-	-	-	-	-	-	-	-	-
Medical professional services	53,144	-	-	21,372	-	-	36,887	33,791	5,321	-	84,913
Professional services	69,464	37,042	7,484	5,248	-	-	9,610	5,164	3,938	-	77,000
Training and development	11,784	615	2,735	510	-	-	570	1,701	42	-	671
Travel	36,119	4,612	10,515	16,539	3,575	144	29,278	1,568	2,168	56	76
Vehicles	1,242	-	-	-	-	178,376	-	-	-	-	-
Occupancy	532,439	123,987	165,109	32,509	17,827	1,697	14,696	20,348	10,997	-	1,926
Supplies	152,817	157,025	19,541	6,353	4,043	992	17,821	7,352	1,319	-	688
Telephone	36,619	7,918	4,487	2,307	1,647	220	2,596	1,266	192	123	160
Dues and subscriptions	2,123	961	428	50	55	-	-	315	-	-	-
Food	269,037	-	-	-	-	-	44	-	3	-	-
Interest	-	-	-	-	-	-	-	-	-	-	-
Depreciation	119,735	53,594	-	552	-	53,140	-	-	-	-	-
Purchased services	36,174	-	-	-	-	-	-	-	11,364	-	-
Assistive tech and modifications	65	-	-	3,828	-	-	5,483	-	389	-	12,628
Insurance	11,631	4,385	-	-	-	-	-	-	-	-	-
Other	19,215	17,215	10,168	1,224	-	95,498	10,169	4,110	2,356	-	5,960
Inter-program allocations	-	(59,746)	-	-	-	(16,442)	-	(1,846)	78,034	-	-
<b>Total expenses included in the expense section on the statement of activities</b>	<b>\$ 9,345,373</b>	<b>\$ 2,073,610</b>	<b>\$ 1,096,248</b>	<b>\$ 377,122</b>	<b>\$ 222,697</b>	<b>\$ 440,861</b>	<b>\$ 730,465</b>	<b>\$ 347,034</b>	<b>\$ 171,275</b>	<b>\$ 31,717</b>	<b>\$ 202,166</b>

(continued on next page)

Mesa Developmental Services  
dba Strive Colorado  
Consolidated Statement of Functional Expenses  
Year Ended June 30, 2017

Program Services

	Autism services	Children's extensive support	Parenting place	Elderly, blind and disabled	Independent living arrangement services	Total	Management and General	Public Relations and Fundraising	Total
Salaries and wages	\$ 20,930	\$ 103,185	\$ 11,974	\$ -	\$ 79,182	\$ 8,659,184	\$ 782,607	\$ 52,719	\$ 9,494,510
Payroll taxes	1,790	10,927	1,044	-	7,941	807,035	143,677	4,719	955,431
Employee benefits	1,255	5,197	484	-	2,914	590,888	75,043	4,403	670,334
Host home	-	-	-	-	-	2,376,095	-	-	2,376,095
Medical professional services	30,518	66,164	-	-	-	332,108	-	-	332,108
Professional services	20,930	14,600	20,239	-	509	271,228	355,936	4,075	631,239
Training and development	372	-	-	-	-	18,999	48,339	334	67,672
Travel	371	1,336	49	-	14	106,418	9,942	-	116,360
Vehicles	-	-	-	-	-	179,618	-	-	179,618
Occupancy	50,354	3,915	10,603	-	15,497	1,001,903	(405,278)	10,356	606,981
Supplies	194	2,100	5,530	44	3,448	379,266	106,765	1,603	487,633
Telephone	60	1,456	1,870	-	275	61,196	20,780	129	82,106
Dues and subscriptions	1,477	-	-	-	-	5,409	32,553	436	38,398
Food	-	-	-	-	3,715	272,799	-	-	272,799
Interest	-	-	-	-	-	-	48,391	-	48,391
Depreciation	461	-	7,303	-	-	234,785	158,896	-	393,680
Purchased services	-	-	-	-	-	47,537	-	-	47,537
Assistive tech and modifications	-	4,225	-	-	-	26,618	-	-	26,618
Insurance	-	-	890	-	-	16,906	67,796	-	84,702
Other	-	115	1,559	-	128	167,716	101,163	40,948	309,827
Inter-program allocations	-	-	-	-	-	-	-	-	-
<b>Total expenses included in the expense section on the statement of activities</b>	<b>\$ 128,711</b>	<b>\$ 213,219</b>	<b>\$ 61,546</b>	<b>\$ 44</b>	<b>\$ 113,622</b>	<b>\$ 15,555,709</b>	<b>\$ 1,546,609</b>	<b>\$ 119,722</b>	<b>\$ 17,222,040</b>



Mesa Developmental Services  
dba Strive Colorado  
Consolidated Statement of Functional Expenses  
Year Ended June 30, 2016

	Program Services										
	Residential services	Supported employment	Case management	Early intervention	Early intervention case management	Transport services	Medicaid supported living services	Behavioral services	State supported living services	Crisis Center	Family support services
Salaries and wages	\$ 4,405,612	\$ 1,512,162	\$ 711,028	\$ 229,940	\$ 168,469	\$ 101,564	\$ 519,778	\$ 275,818	\$ 62,406	\$ 3,790	\$ 22,264
Payroll taxes	441,962	153,708	67,157	24,276	15,156	9,275	49,554	29,185	9,630	25	3,329
Employee benefits	494,624	135,916	98,316	14,680	18,716	5,995	71,821	26,823	13,956	669	1,965
Host home	2,644,952	-	-	-	-	-	-	-	-	-	-
Medical professional services	56,934	-	1,795	24,112	-	-	35,812	24,306	7,160	-	86,222
Professional services	39,374	17,204	13,652	14,333	-	-	14,604	1,231	7,806	20,000	82,203
Training and development	1,602	25	4,481	525	-	-	468	119	91	-	185
Travel	33,034	8,048	13,710	16,430	2,357	31	36,696	4,101	7,130	631	860
Vehicles	8	-	65	-	-	203,635	41	-	8	-	-
Occupancy	397,364	128,226	105,836	21,984	32,476	13	34,876	4,826	6,777	-	-
Supplies	171,256	176,737	7,550	723	317	741	12,952	750	2,518	-	366
Telephone	35,165	10,368	4,520	2,832	1,221	56	3,361	1,173	653	205	87
Dues and subscriptions	2,217	497	-	50	-	-	-	99	-	-	-
Food	299,449	24	-	-	-	-	109	-	21	-	-
Interest	42,980	11,760	-	-	-	-	-	-	-	-	-
Depreciation	123,648	52,484	-	553	-	70,606	-	-	-	-	-
Purchased services	-	-	-	-	-	-	-	-	62,537	-	-
Assistive tech and modifications	195	-	-	2,686	-	-	11,380	-	1,178	-	24,073
Insurance	10,177	3,313	-	-	-	-	-	-	-	-	-
Other	8,112	14,609	1,268	443	-	92,760	17,054	1,440	16,644	-	5,499
Inter-program allocations	-	(100,122)	-	-	-	(8,250)	-	(9,602)	117,974	-	-
<b>Total expenses included in the expense section on the statement of activities</b>	<b>\$ 9,208,665</b>	<b>\$ 2,225,081</b>	<b>\$ 1,029,378</b>	<b>\$ 353,567</b>	<b>\$ 238,712</b>	<b>\$ 484,676</b>	<b>\$ 808,506</b>	<b>\$ 369,871</b>	<b>\$ 198,515</b>	<b>\$ 25,320</b>	<b>\$ 227,053</b>

(continued on next page)

Mesa Developmental Services  
dba Strive Colorado  
Consolidated Statement of Functional Expenses  
Year Ended June 30, 2016

Program Services

	Autism services	Children's extensive support	Parenting place	Elderly, blind, and disabled	Independent living arrangement services	Total	Management and General	Public Relations and Fundraising	Total
Salaries and wages	\$ 46,333	\$ 134,645	\$ 16,382	\$ 750	\$ 45,137	\$ 8,256,078	\$ 880,538	\$ 67,074	\$ 9,203,690
Payroll taxes	4,573	12,911	1,579	242	4,035	826,597	114,089	7,268	947,954
Employee benefits	8,911	16,777	944	968	3,655	914,736	124,806	8,478	1,048,020
Host home	-	-	-	-	-	2,644,952	-	-	2,644,952
Medical professional services	74,226	34,955	820	-	-	346,342	-	-	346,342
Professional services	28,811	19,624	16,859	35	339	276,075	245,644	12,026	533,745
Training and development	37,706	1,396	159	-	115	46,872	38,815	551	86,238
Travel	1,804	6,490	110	1,301	686	133,419	8,978	60	142,457
Vehicles	-	-	-	-	-	203,757	17	-	203,774
Occupancy	39,470	10,584	9,070	-	12,901	804,403	(286,794)	11,124	528,733
Supplies	8,272	2,363	5,023	-	1,695	391,263	106,308	23,361	520,932
Telephone	134	1,519	2,111	-	302	63,707	22,828	720	87,255
Dues and subscriptions	180	-	-	-	-	3,043	31,215	1,170	35,428
Food	-	716	-	55	3,090	303,464	-	-	303,464
Interest	-	-	-	-	-	54,740	25,086	-	79,826
Depreciation	462	-	7,168	-	-	254,921	164,821	-	419,742
Purchased services	-	-	-	-	-	62,537	-	-	62,537
Assistive tech and modifications	-	20,961	-	-	-	60,473	-	-	60,473
Insurance	-	-	667	-	-	14,157	60,009	-	74,166
Other	221	234	388	-	232	158,904	106,839	45,279	311,022
Inter-program allocations	-	-	-	-	-	-	-	-	-
<b>Total expenses included in the expense section on the statement of activities</b>	<b>\$ 251,103</b>	<b>\$ 263,175</b>	<b>\$ 61,280</b>	<b>\$ 3,351</b>	<b>\$ 72,187</b>	<b>\$ 15,820,440</b>	<b>\$ 1,643,199</b>	<b>\$ 177,111</b>	<b>\$ 17,640,750</b>



Mesa Developmental Services  
dba Strive Colorado  
Consolidated Statements of Cash Flows  
Years Ended June 30, 2017 and 2016

	2017	2016
<b>Operating Activities</b>		
Change in net assets	\$ 731,947	\$ 729,556
Adjustment to reconcile changes in net assets to net cash from (used for) operating activities		
Depreciation	393,680	419,742
(Gain) on sale of property	(115,273)	(567,597)
Change in allowance on promises to give	-	300
Changes in operating assets and liabilities		
Accounts receivable	(199,146)	(62,960)
Other receivables	(18,801)	(144,660)
Promises to give, net	9,900	20,700
Inventory	3,813	28
Prepaid expenses and other assets	51,383	(75,914)
Accounts payable and accrued liabilities	20,437	(220,530)
Deferred revenue	221,590	-
<b>Net Cash from (used for) Operating Activities</b>	<b>1,099,530</b>	<b>98,665</b>
<b>Investing Activities</b>		
Purchases of property and equipment	(103,063)	(333,032)
Proceeds from sale of property and equipment	386,426	258,233
Change in restricted cash	(1,344)	30,476
<b>Net Cash from (used for) Investing Activities</b>	<b>282,019</b>	<b>(44,323)</b>
<b>Financing Activities</b>		
Principal payments on notes payable	(150,771)	(69,866)
Payment of deferred financing charges	(19,267)	-
<b>Net Cash from (used for) Financing Activities</b>	<b>(170,038)</b>	<b>(69,866)</b>
<b>Net Change in Cash and Cash Equivalents</b>	1,211,511	(15,524)
<b>Cash and Cash Equivalents, Beginning of the Year</b>	<b>2,148,731</b>	<b>2,164,255</b>
<b>Cash and Cash Equivalents, End of the Year</b>	<b>\$ 3,360,242</b>	<b>\$ 2,148,731</b>
<b>Supplemental Disclosures</b>		
Cash paid for interest	\$ 48,391	\$ 79,826
Proceeds from sale of assets to repay outstanding debt	\$ -	\$ 496,833
Proceeds from debt used to repay outstanding loan	\$ 1,530,000	\$ -

## Note 1 - Principal Activity and Significant Accounting Policies

### Organization

Mesa Developmental Services, dba Strive Colorado (Strive) is a nonprofit organization, incorporated in 1966 for the purpose of providing a community center board to coordinate programs through interagency cooperation and local agencies to provide services to individuals with developmental disabilities in Mesa County.

DD Housing, Inc. (DDH) is a nonprofit organization, incorporated in 2000 for the purpose of holding assets purchased and constructed pursuant to grants received from the U.S. Department of Housing and Urban Development (HUD). Under HUD regulations, these assets are required to be held in a separate corporation for a period of not less than forty years.

### Principles of Consolidation

The consolidated financial statements include the accounts of Strive and DDH because Strive has both control and an economic interest in DDH. All significant intercompany accounts and transactions have been eliminated in consolidation. Unless otherwise noted, these consolidated entities are hereinafter referred to as "Strive."

### Program Services

Residential Services offers community-based living arrangements that are catered to the needs of each individual. Options include nine supervised group homes along with host homes and congregate apartments. Minimal support staff is also available for clients living independently in homes or apartments.

Supported Employment provides workers with comprehensive vocational assessment of both skills and interests, assistance in setting employment goals, help with the job search and ongoing support as necessary to ensure the client's success.

Case Management - each client served is assisted by a case manager in a client / family directed system of coordination and accountability regarding individual needs and preferences. Case managers are responsible for coordinating the development and implementation of individual plans, coordinating needed services, monitoring and reviewing a client's progress toward individual program goals and providing continuous support and guidance to client and family.

Early Intervention - program is for children from birth to age three who have a developmental delay or disability. Services are designed around the child and family needs, and concerns and priorities related to the development of the child. Services are provided in natural learning environments and routines. Parent participation is a very important component of the program.

Transportation services - activities refer to "Home to Day Program transportation" services relevant to an individual's work schedule as specified in the Individualized Plan. For these purposes, "work schedule" is defined broadly to include adult and retirement activities such as education, training, community integration and employment.

Medicaid and State Supported Living Services are for clients who are eighteen years and older, and living in their own homes or with their families. Services and support are tailored to meet the individual's needs and circumstances and to promote independence, integration and productivity.



Behavioral Services are available to support individuals whose behavior puts them at risk of exclusion from typical community settings, services and support, which presents a risk to the health and safety of the person or others, or inhibits the individual's ability to attain his or her personal goals and outcomes.

Crisis Center is a pilot program sponsored by the State of Colorado that began in June 2016. As participants in the program, Strive provides critical behavioral crisis care for those individuals that have a dual diagnosis of an intellectual and developmental disability and a mental or behavioral health diagnosis.

Family Support Services provides an array of supportive services to the person with a developmental disability and his/her family when the person remains within the family home, thereby preventing or delaying the need for out-of-home placement.

Autism Services – Children and adults facing the challenges of autism can benefit from individualized intervention and support services. Each individual is unique and the approach is customized to the client, offering guidance and support to individuals with autism and their families to understand the issues related to autism and to learn new skills for communicating, problem solving, social integration and coping.

Children's Extensive Support helps families to meet the challenges of caring for their child with developmental disabilities by providing targeted services and supports to those children. Services covered include personal support supervision, home modification, community connection services, professional care / behavior services and specialized equipment and supplies.

Parenting Place provides parenting classes and services to promote nurturing families through outreach support and education through a strong community support base of volunteers and donors.

Elderly Blind and Disabled is a service performed for eligible individuals including personal care such as grocery shopping, meal preparation, running errands, non-skilled hygiene, and accompaniment to appointments.

Independent Living Arrangement Services includes services and support tailored around the residential assistance of high needs individuals.

### **Supporting Services**

Management and General includes those activities necessary for planning, coordination and overall direction of Strive, financial administration, general board activities and other related activities indispensable to the organization's corporate existence.

Public Relations and Fundraising includes those activities necessary to promote the organization within the community and State in order to secure adequate resources to provide for the continued growth and stability of the Organization.

### **Cash and Cash Equivalents**

Strive considers all cash and highly liquid financial instruments with original maturities of three months or less, and which are neither held for nor restricted by donors for long-term purposes, to be cash and cash equivalents.



### **Receivables and Credit Policies**

Accounts receivable consist primarily of noninterest-bearing amounts due from the State of Colorado and Mesa County. Management determines the allowance for uncollectable accounts receivable based on historical experience, an assessment of economic conditions, and a review of subsequent collections. Accounts receivable are written off when deemed uncollectable. At June 30, 2017 and 2016, the allowance was \$24,045 and \$33,700, respectively.

### **Promises to Give**

Unconditional promises to give expected to be collected within one year are recorded at net realizable value. Unconditional promises to give expected to be collected in future years are initially recorded at fair value using present value techniques incorporating risk-adjusted discount rates designed to reflect the assumptions market participants would use in pricing the asset. In subsequent years, amortization of the discounts is included in contribution revenue in the statement of activities. Management determines the allowance for uncollectable promises to give based on historical experience, an assessment of economic conditions, and a review of subsequent collections. Promises to give are written off when deemed uncollectable. At June 30, 2017 and 2016, the allowance for doubtful accounts was \$0 and \$300, respectively.

### **Inventory**

Inventory is comprised of program-related merchandise held for sale in the thrift or gift shop, and is stated at the lower of cost or net realizable value determined by the first-in first-out method. Management has determined no allowance for inventory obsolescence to be necessary at June 30, 2017 and 2016.

### **Assets Held for Sale**

Assets held for sale are carried at net book value as the expected selling price exceeds this amount. If the expected selling price were lower than net book value, the carrying value would be reduced by an impairment charge.

### **Property and Equipment**

Property and equipment additions over \$1,500 are recorded at cost, or if donated, at fair value on the date of donation. Depreciation is computed using the straight-line method over the estimated useful lives of the assets ranging from three to thirty years. When assets are sold or otherwise disposed of, the cost and related depreciation are removed from the accounts, and any remaining gain or loss is included in the statement of activities. Costs of maintenance and repairs that do not improve or extend the useful lives of the respective assets are expensed currently.

The carrying values of property and equipment are reviewed for impairment whenever events or circumstances indicate that the carrying value of an asset may not be recoverable from the estimated future cash flows expected to result from its use and eventual disposition. When considered impaired, an impairment loss is recognized to the extent carrying value exceeds the fair value of the asset. There were no indicators of asset impairment during the years ended June 30, 2017 and 2016.



## **Net Assets**

Net assets, revenues, gains, and losses are classified based on the existence or absence of donor-imposed restrictions. Accordingly, net assets and changes therein are classified and reported as follows:

*Unrestricted Net Assets* – Net assets available for use in general operations.

*Temporarily Restricted Net Assets* – Net assets subject to donor restrictions that may or will be met by expenditures or actions of Strive and/or the passage of time.

Strive reports contributions as temporarily restricted support if they are received with donor stipulations that limit the use of the donated assets. When a donor restriction expires, that is, when a stipulated time restriction ends or purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions.

*Permanently Restricted Net Assets* – Net assets whose use is limited by donor-imposed restrictions that neither expire by the passage of time nor can be fulfilled or otherwise removed by action of Strive. As of June 30, 2017 and 2016, Strive had no permanently restricted net assets.

## **Revenue Recognition**

Revenue is recognized when earned. Amounts received in advance of performance of the underlying services are deferred to the period in which the services are performed. Revenue consists primarily of funds received from the State of Colorado for Medicaid and other services, proceeds from mill levies in Mesa County, miscellaneous smaller grants and awards from federal, state and municipal sources. Contributions are recognized when cash, securities or other assets, an unconditional promise to give, or notification of a beneficial interest is received. Conditional promises to give are not recognized until the conditions on which they depend have been substantially met.

## **Donated Services and In-Kind Contributions**

Volunteers contribute significant amounts of time to Strive's program services, administration, and public relations and fundraising activities; however, the financial statements do not reflect the value of these contributed services because they do not meet recognition criteria prescribed by generally accepted accounting principles. Contributed goods are recorded at fair value at the date of donation. Strive records donated professional services at the respective fair values of the services received. No significant contributions of such goods or services were received during the years ended June 30, 2017 and 2016.

## **Debt Issuance Costs**

Debt issuance costs are amortized over the period the related obligation is outstanding using the straight-line method, which is a reasonable estimate of the effective interest method. Debt issuance costs are included within long-term debt in the statement of financial position. Amortization of debt issuance costs is included in interest expense in the accompanying financial statements.



### **Advertising**

Advertising costs are expensed as incurred, and totaled \$52,763 and \$52,692 for the years ended June 30, 2017 and 2016, respectively.

### **Functional Allocation of Expense**

The costs of program and other supporting services activities have been summarized on a functional basis in the statement of activities. The statement of functional expenses presents the natural classification detail of expenses by function. Accordingly, certain costs have been allocated among the programs and supporting services benefited.

### **Income Taxes**

Mesa Developmental Services and DD Housing, Inc. are organized as Colorado nonprofit corporations and have been recognized by the Internal Revenue Services (IRS) as exempt from federal income tax under Section 501(c)(3) of the Internal Revenue Code as organizations described in Section 501(c)(3), qualify for the charitable contribution deduction under Section 170(b)(1)(A)(ii) and have been determined not to be private foundations under Sections 509(a)(1) and (3) respectively. Each entity is annually required to file a Return of Organization Exempt from Income Tax (Form 990) with the IRS. In addition, the entities are subject to income tax on net income that is derived from business activities that are unrelated to their exempt purpose. Management has determined that each entity is not subject to unrelated business income tax and has not filed an Exempt Organization Business Income Tax Return (Form 990-T) with the IRS.

### **Estimates**

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities at the date of the financial statements, and the reported amounts of revenue and expenses during the reporting period. Actual results could differ from those estimates, and those differences could be material.

### **Financial Instruments and Credit Risk**

Strive manages deposit concentration risk by placing cash, money market accounts, and certificates of deposit with financial institutions believed by management to be creditworthy. At times, amounts on deposit may exceed insured limits or include uninsured investments in money market mutual funds. To date, Strive has not experienced losses in any of these accounts. Credit risk associated with accounts receivable and promises to give is considered to be limited due to high historical collection rates and because substantial portions of the outstanding amounts are due from governmental agencies and individuals supportive of Strive's mission.



**Note 2 - Fees and Grants from Governmental Agencies**

Amounts due from governmental agencies are as follows at June 30, 2017 and 2016:

	<u>2017</u>	<u>2016</u>
State of Colorado		
General Fund	\$ 233,330	\$ 132,450
Medicaid	729,154	633,653
Other	34,537	31,772
	<u>997,021</u>	<u>797,875</u>
Mesa County	<u>267,957</u>	<u>267,957</u>
Total	<u>\$ 1,264,978</u>	<u>\$ 1,065,832</u>

**Note 3 - Restricted Cash**

Restricted cash for Mesa Developmental Services includes cash restricted as collateral for a note payable as well as cash held on behalf of clients and totaled \$74,087 and \$75,694 as of June 30, 2017 and 2016, respectively.

Restricted cash for DDH includes cash restricted for HUD operations and totaled \$38,808 and \$35,857 as of June 30, 2017 and 2016, respectively.

**Note 4 - Property and Equipment**

Property and equipment consists of the following at June 30, 2017 and 2016:

	<u>2017</u>	<u>2016</u>
Land	\$ 890,047	\$ 900,047
Building and improvements	7,439,528	7,505,259
Furniture and equipment	952,168	1,015,968
Vehicles	1,269,564	1,241,369
	<u>10,551,307</u>	<u>10,662,643</u>
Less accumulated depreciation	<u>(5,299,452)</u>	<u>(5,126,581)</u>
Total	<u>\$ 5,251,855</u>	<u>\$ 5,536,062</u>

Assets held for sale as of June 30, 2017 consist of real estate previously used as a group home. The property was sold subsequent to year-end; see details at Note 11.

**Note 5 - Notes Payable**

Notes payable consist of the following at June 30, 2017 and 2016:

	2017	2016
Promissory note requiring monthly payments of \$12,503, including interest at fixed rate of 2.75%, to August 2028. Secured by real estate.	\$ 1,432,454	\$ -
Promissory note requiring monthly payments of \$13,165, including interest, to June 2030. Interest is set at 4.98% until June 2020 at which time interest will adjust to LIBOR plus 6%. Secured by real estate. Debt was refinanced during the year ended June 30, 2017.	-	1,583,225
	1,432,454	1,583,225
Unamortized debt issuance costs	(19,267)	-
	1,413,187	1,583,225
Current portion of notes payable	110,053	76,859
Long-term portion of notes payable	\$ 1,303,134	\$ 1,506,366

Future maturities of notes payable are as follows:

Years Ending June 30,	Principal payments	Unamortized debt issuance costs	Total
2018	\$ 111,805	\$ (1,752)	\$ 110,053
2019	114,918	(1,752)	113,166
2020	118,068	(1,752)	116,316
2021	121,455	(1,752)	119,703
2022	124,789	(1,752)	123,037
Thereafter	841,419	(10,507)	830,912
Total	\$ 1,432,454	\$ (19,267)	\$ 1,413,187

**Note 6 - Leases**

Strive leases building space and residential facilities on a month-to-month basis and also has a non-cancelable operating lease for a retail store expiring in 2019.

Rent expense for property under operating leases was \$138,809 and \$81,414 for the years ended June 30, 2017 and 2016, respectively.



Future minimum lease payments for the non-cancelable operating lease are as follows:

Years ending June 30,		
2018		\$ 62,004
2019		5,284
		\$ 67,288

**Note 7 - Retirement Plan**

Strive sponsors a tax deferred annuity plan (the Plan) in which all employees are eligible to participate. The Plan includes mandatory contributions in which Strive matches employee contributions up to 3% of the employee's gross salary after two years of employment. The retirement plan expense totaled \$101,785 and \$72,425 for the years ended June 30, 2017 and 2016, respectively.

**Note 8 - Self-Insured Employee Health Plan**

Strive's employee health benefit coverage consists of a self-insured medical plan. As of June 30, 2017 and 2016 Strive has recorded a liability of approximately \$63,000 and \$76,000, respectively, which represents the estimated amount of medical claims incurred but not reported as of year-end. This liability is included in accounts payable and accrued liabilities in the statement of financial position. Claims are filed directly with a third-party administrator ("TPA") for processing. The TPA dispenses funds to and on behalf of participants for covered medical claims. Strive carries specific stop-loss insurance coverage for payment of eligible participant claims in excess of certain limits. The individual stop-loss claim deductible per participant is \$80,000. The stop-loss insurance has an aggregate stop-loss limit of approximately \$1,205,000 as of June 30, 2017.

**Note 9 - Restricted Net Assets**

Temporarily restricted net assets as of June 30, 2017 and 2016 consist of the following:

	2017	2016
Purpose restricted		
Residential services	\$ 10,455	\$ 24,392
Children's extensive support	500	500
Family support services	19,585	22,481
Parenting Place	15,745	12,213
Home health services	-	482
Supported employment	4,936	2,491
Early intervention	-	7,591
Autism services	8,100	-
Time restricted	267,956	267,957
	\$ 327,277	\$ 338,107



Net assets were released from restrictions as follows during the years ended June 30, 2017 and 2016:

	2017	2016
Satisfaction of purpose restrictions		
Family support services	\$ 19,338	\$ 13,340
Autism services	1,340	200
Residential services	23,345	27,844
Parenting Place	12,969	19,910
Early intervention	7,591	-
Supported employment	2,491	-
Home health services	482	2,529
Other	30,366	27,526
	97,922	91,349
 Expiration of time restrictions	 535,913	 267,956
	\$ 633,835	\$ 359,305

## Note 10 - Commitments and Contingencies

### U. S. Department of Housing and Urban Development Capital Advance

Strive received a capital advance of \$694,238 from HUD under agreements dated May 1, 2001. The terms of the agreements specify that the advance including any interest thereon is not required to be repaid so long as the housing remains available for a period of 40 years to eligible very low income persons with disabilities in accordance with Section 811 of the National Affordable Housing Act. The capital advance is subject to compliance with a Regulatory Agreement and other requirements and conditions identified in the agreements.

In the event of noncompliance under the provisions of the agreements before the maturity date of February 1, 2042, the capital advance would be payable. The advance is secured by a deed of trust on the property, which has a carrying value of \$668,117 and \$669,225 at June 30, 2017 and 2016, respectively. If payable, the advance would bear interest at the rate of 6.0% per annum. Accrued interest through June 30, 2017 and 2016 totaling approximately \$598,014 and \$562,317 respectively, is not recorded as a liability in the financial statements as it is only payable as a penalty in the event of default under the provisions of the agreements.

### Colorado Division of Housing Grant

Strive is contingently liable to the State of Colorado, Department of Local Affairs, Division of Housing, for the funding of construction in the amount of \$150,000 as of both June 30, 2017 and 2016. The grant contract provides that as long as the facilities are used to provide housing for low and moderate income persons at affordable rents for a period of not less than 30 years from the date of initial occupancy, Strive will not be required to repay any portion of the grant. If any default occurs, the grant becomes immediately payable in full, but bears no interest.



Colorado Department of Local Affairs Grant

Strive is contingently liable to the Colorado Department of Local Affairs for funding of an addition to one of the group homes in the amount of \$39,000 in Home Investment Partnerships Program (HOME) grant funds as of June 30, 2017 and 2016. The grant agreement provides that, as long as the facility is used to provide housing for people with very low and low incomes for 20 years from the date of contract execution, Strive will not be required to repay any portion of the grant. The usage restriction will end in 2027. If there is a change in use, Strive, its successors and assignees, grantees or lessees shall be required to repay the State the grant funds attributed to this property, unless the State authorizes the transfer of repaid funds to one or more public housing entities or private nonprofit corporations.

Strive is contingently liable to the Colorado Department of Local Affairs for funding of the construction of three group homes in the amount of \$307,171 in HOME grant funds as of June 30, 2017 and 2016. The grant agreement provides that, as long as the facility is used to provide housing for people with very low and low incomes for 30 years from the date of contract execution, Strive will not be required to repay any portion of the grant. The usage restriction will end in 2041. If there is a change in use, Strive, its successors and assignees, grantees or lessees shall be required to repay the State the grant funds attributed to this property, unless the State authorizes the transfer of repaid funds to one or more public housing entities or private nonprofit corporations.

Strive is contingently liable to the Colorado Department of Local Affairs for funding of the construction of three group homes in the amount of \$292,829 in Housing Development Grant (HDG) funds. The grant agreement provides that, as long as the facility is used to provide housing for people with very low, low and moderate income persons for 40 years from the date of project closeout which was April, 2010, Strive will not be required to repay any portion of the grant. The usage restriction will end in 2042. If there is a change in use, Strive, its successors and assignees, heirs, grantees or lessees shall be required to repay the State the grant funds attributed to this property. In lieu of repayment, the State may authorize Strive, its successors and assignees, heirs, grantees or lessees to retain such funds for other projects or repay the funds to one or more public housing entities or private nonprofit corporations.

Clear Energy Group

On October 31, 2011, Strive signed a contract with Clear Energy (a solar energy equipment company) who installed solar energy equipment on approximately 75% of Strive's facilities. Energy generated by Clear Energy equipment is required to be purchased by Strive at varying rates and management expects energy costs to decline as the result of this contract.

The equipment is owned and maintained by Clear Energy and installation was at no cost to Strive. Strive has been granted an option to purchase the equipment after the first five years of operation. If this option is exercised, the purchase price will be equal to the greater of the fair market value of the equipment or a buyout price as stated in the agreement, which declines over time.

The agreement expires 20 years from the date the equipment came online, which will be July 2032. If Strive terminates the agreement prior to the expiration date, Strive will owe an amount which is equal to the greater of the fair market value of the equipment or a termination cost as stated in the agreement, which declines over time and approximates the option price discussed above. As of June 30, 2017 the termination cost per the agreement is \$1,357,455.

**Note 11 - Subsequent Events**

On August 17, 2017, Strive sold real estate for \$315,000 that had a net book value of approximately \$99,000 as of June 30, 2017.

Strive has evaluated subsequent events through September 26, 2017, the date the financial statements were available to be issued.





Supplementary Information  
June 30, 2017 and 2016

# Mesa Developmental Services dba Strive Colorado

Mesa Developmental Services  
 dba Strive Colorado  
 Consolidating Schedule of Financial Position  
 June 30, 2017

	Mesa Developmental Services	DD Housing, Inc.	Eliminations	Consolidated
<b>Assets</b>				
<b>Current Assets</b>				
Cash and cash equivalents	\$ 3,352,340	\$ 7,902	\$ -	\$ 3,360,242
Accounts receivable				
Fees and grants from governmental agencies, net	1,264,978	-	-	1,264,978
Other	333,032	-	(50,260)	282,772
Inventory	17,602	-	-	17,602
Prepaid expenses and other assets	86,786	-	-	86,786
<b>Total current assets</b>	<b>5,054,738</b>	<b>7,902</b>	<b>(50,260)</b>	<b>5,012,380</b>
Restricted cash	74,087	38,808	-	112,895
Assets held for sale, net	99,149	-	-	99,149
Property and equipment, net	4,583,678	668,177	-	5,251,855
<b>Total assets</b>	<b>\$ 9,811,652</b>	<b>\$ 714,887</b>	<b>\$ (50,260)</b>	<b>\$ 10,476,279</b>
<b>Liabilities and Net Assets</b>				
<b>Current Liabilities</b>				
Accounts payable and accrued liabilities	\$ 955,642	\$ 50,260	\$ (50,260)	\$ 955,642
Deferred Revenue	221,590	-	-	221,590
Notes payable, net	110,053	-	-	110,053
<b>Total current liabilities</b>	<b>1,287,285</b>	<b>50,260</b>	<b>(50,260)</b>	<b>1,287,285</b>
<b>Long-Term Liabilities</b>				
Notes payable, net	1,303,134	-	-	1,303,134
<b>Total liabilities</b>	<b>2,590,419</b>	<b>50,260</b>	<b>(50,260)</b>	<b>2,590,419</b>
<b>Net Assets</b>				
<b>Unrestricted</b>				
Undesignated	3,723,465	(3,550)	-	3,719,915
Invested in property and equipment, net	3,170,491	668,177	-	3,838,668
<b>Total unrestricted</b>	<b>6,893,956</b>	<b>664,627</b>	<b>-</b>	<b>7,558,583</b>
Temporarily restricted	327,277	-	-	327,277
<b>Total net assets</b>	<b>7,221,233</b>	<b>664,627</b>	<b>-</b>	<b>7,885,860</b>
<b>Total liabilities and net assets</b>	<b>\$ 9,811,652</b>	<b>\$ 714,887</b>	<b>\$ (50,260)</b>	<b>\$ 10,476,279</b>

Mesa Developmental Services  
dba Strive Colorado  
Consolidating Schedule of Financial Position  
June 30, 2016

	Mesa Developmental Services	DD Housing, Inc.	Eliminations	Consolidated
<b>Assets</b>				
<b>Current Assets</b>				
Cash and cash equivalents	\$ 2,141,847	\$ 6,884	\$ -	\$ 2,148,731
Accounts receivable				
Fees and grants from governmental agencies, net	1,065,832	-	-	1,065,832
Other	299,019	-	(35,048)	263,971
Promises to give, net	9,900	-	-	9,900
Inventory	21,415	-	-	21,415
Prepaid expenses and other assets	138,985	-	-	138,985
Total current assets	<u>3,676,998</u>	<u>6,884</u>	<u>(35,048)</u>	<u>3,648,834</u>
Restricted cash	75,694	35,857	-	111,551
Assets held for sale, net	268,036	-	-	268,036
Construction in process	107,860	-	-	107,860
Property and equipment, net	4,866,837	669,225	-	5,536,062
Total assets	<u>\$ 8,995,425</u>	<u>\$ 711,966</u>	<u>\$ (35,048)</u>	<u>\$ 9,672,343</u>
<b>Liabilities and Net Assets</b>				
<b>Current Liabilities</b>				
Accounts payable and accrued liabilities	\$ 935,205	\$ 35,048	\$ (35,048)	\$ 935,205
Notes payable	76,859	-	-	76,859
Total current liabilities	<u>1,012,064</u>	<u>35,048</u>	<u>(35,048)</u>	<u>1,012,064</u>
<b>Long-Term Liabilities</b>				
Notes payable, net of current portion	1,506,366	-	-	1,506,366
Total liabilities	<u>2,518,430</u>	<u>35,048</u>	<u>(35,048)</u>	<u>2,518,430</u>
<b>Net Assets</b>				
<b>Unrestricted</b>				
Undesignated	3,231,172	7,693	-	3,238,865
Invested in property and equipment, net	2,907,716	669,225	-	3,576,941
Total unrestricted	<u>6,138,888</u>	<u>676,918</u>	<u>-</u>	<u>6,815,806</u>
Temporarily restricted	338,107	-	-	338,107
Total net assets	<u>6,476,995</u>	<u>676,918</u>	<u>-</u>	<u>7,153,913</u>
Total liabilities and net assets	<u>\$ 8,995,425</u>	<u>\$ 711,966</u>	<u>\$ (35,048)</u>	<u>\$ 9,672,343</u>



Mesa Developmental Services  
 dba Strive Colorado  
 Consolidating Schedule of Activities  
 Year Ended June 30, 2017

	Mesa Developmental Services	DD Housing	Eliminations	Consolidated
Fees and Grants from Governmental Agencies				
Fees for services				
State of Colorado				
State General Fund				
Support services	\$ 832,064	\$ -	\$ -	\$ 832,064
Case management	133,772	-	-	133,772
Management and general	148,427	-	-	148,427
Special purpose	57,991	-	-	57,991
Medicaid	13,140,918	-	-	13,140,918
Part C	213,459	-	-	213,459
QA/UR	255,954	-	-	255,954
Grants and other				
Mesa County	535,913	-	-	535,913
State of Colorado	723,531	-	-	723,531
	<u>16,042,029</u>	<u>-</u>	<u>-</u>	<u>16,042,029</u>
Residential room and board	940,837	73,959	-	1,014,796
Public support - contributions	205,955	-	-	205,955
Retail and service contract	167,971	-	-	167,971
Private pay and private health insurance	323,506	-	-	323,506
Other revenue	84,437	20	-	84,457
Gain on sale of property	115,273	-	-	115,273
Total revenue, support, and gains	<u>17,880,008</u>	<u>73,979</u>	<u>-</u>	<u>17,953,987</u>
Expenses				
Program services	15,494,380	61,329	-	15,555,709
Supporting services				
Management and general	1,521,668	24,941	-	1,546,609
Public relations and fundraising	119,722	-	-	119,722
Total expenses	<u>17,135,770</u>	<u>86,270</u>	<u>-</u>	<u>17,222,040</u>
Change in Net Assets	744,238	(12,291)	-	731,947
Net Assets, Beginning of Year	<u>6,476,995</u>	<u>676,918</u>	<u>-</u>	<u>7,153,913</u>
Net Assets, End of Year	<u>\$ 7,221,233</u>	<u>\$ 664,627</u>	<u>\$ -</u>	<u>\$ 7,885,860</u>

Mesa Developmental Services  
dba Strive Colorado  
Consolidating Schedule of Activities  
Year Ended June 30, 2016

	Mesa Developmental Services	DD Housing	Eliminations	Consolidated
Fees and Grants from Governmental Agencies				
Fees for services				
State of Colorado				
State General Fund				
Support services	\$ 771,071	\$ -	\$ -	\$ 771,071
Case management	128,117	-	-	128,117
Management and general	118,814	-	-	118,814
Special purpose	72,937	-	-	72,937
Medicaid	13,651,903	-	-	13,651,903
Part C	157,781	-	-	157,781
QA/UR	229,511	-	-	229,511
Grants and other				
Mesa County	537,413	-	-	537,413
U.S. Department of Housing and Urban Development	4,815	-	-	4,815
State of Colorado	129,076	-	-	129,076
Vocational rehabilitation grant	18,142	-	-	18,142
	<u>15,819,580</u>	<u>-</u>	<u>-</u>	<u>15,819,580</u>
Residential room and board	975,481	77,625	-	1,053,106
Public support - contributions	244,384	-	-	244,384
Retail and service contract	189,552	-	-	189,552
Private pay and private health insurance	351,659	-	-	351,659
Other revenue	164,410	18	-	164,428
Gain on sale of property	567,597	-	-	567,597
Total revenue, support, and gains	<u>18,312,663</u>	<u>77,643</u>	<u>-</u>	<u>18,390,306</u>
Expenses and losses				
Program services	15,745,573	74,867	-	15,820,440
Supporting services				
Management and general	1,618,918	24,281	-	1,643,199
Public relations and fundraising	177,111	-	-	177,111
	<u>17,541,602</u>	<u>99,148</u>	<u>-</u>	<u>17,640,750</u>
Loss on uncollectable promises to give	20,000	-	-	20,000
Total expenses and losses	<u>17,561,602</u>	<u>99,148</u>	<u>-</u>	<u>17,660,750</u>
Change in Net Assets	751,061	(21,505)	-	729,556
Net Assets, Beginning of Year	<u>5,725,934</u>	<u>698,423</u>	<u>-</u>	<u>6,424,357</u>
Net Assets, End of Year	<u>\$ 6,476,995</u>	<u>\$ 676,918</u>	<u>\$ -</u>	<u>\$ 7,153,913</u>

Mesa Developmental Services  
dba Strive Colorado  
Schedule of Case Management Services  
Year Ended June 30, 2017

	<u>Targeted Case Management</u>	<u>Other Case Management</u>	<u>Total</u>
Fees and Grants from Government Agencies			
State of Colorado			
State General Fund			
State PASARR and Special Purpose	\$ -	\$ 135,469	\$ 135,469
Adult supported living	-	56,291	56,291
Children and families	-	77,481	77,481
Medicaid			
Comprehensive	837,605	-	837,605
Adult supported living	324,109	-	324,109
Children Extensive Support	76,284	-	76,284
Children and families	-	99,184	99,184
Part C IDEA	-	74,987	74,987
Service and Contract (Private Pay and Misc)	-	20,008	20,008
Total fees, grants, and support	<u>1,237,998</u>	<u>463,420</u>	<u>1,701,418</u>
Expenses			
Salaries - direct care	711,790	204,285	916,075
Payroll taxes	63,862	18,329	82,191
Employee benefits	68,134	19,554	87,688
Total personnel services	<u>843,786</u>	<u>242,168</u>	<u>1,085,954</u>
Other professional services	44,971	12,907	57,878
Staff development	2,125	610	2,735
Staff retention	260	75	335
Staff travel	10,948	3,142	14,090
Occupancy			
Maintenance	8,697	2,496	11,193
Utilities	7,205	2,068	9,273
Supplies - other	30,800	8,839	39,639
Supplies - Advertising	558	160	718
Telephone	8,370	2,402	10,772
Dues and subscriptions	5,919	1,699	7,618
Taxes, licenses, fees	31	9	40
Insurance	12,887	3,698	16,585
Interest	8,314	2,386	10,700
Other	9,881	2,836	12,717
Total direct program expenses	994,752	285,495	1,280,247
Purchased services	-	-	-
Total program expenses before depreciation	<u>994,752</u>	<u>285,495</u>	<u>1,280,247</u>
Depreciation	<u>30,069</u>	<u>8,630</u>	<u>38,699</u>
Total expenses	<u>1,024,821</u>	<u>294,125</u>	<u>1,318,946</u>
Income (loss) before allocated management and general	<u>\$ 213,177</u>	<u>\$ 169,295</u>	<u>\$ 382,472</u>



Mesa Developmental Services  
 dba Strive Colorado  
 Schedule of Case Management Services  
 Year Ended June 30, 2016

	<u>Targeted Case Management</u>	<u>Other Case Management</u>	<u>Total</u>
<b>Fees and Grants from Government Agencies</b>			
State of Colorado			
State General Fund			
State PASARR and Special Purpose	\$ -	\$ 53,419	\$ 53,419
Adult supported living	-	50,036	50,036
Children and families	-	78,081	78,081
Medicaid			
Comprehensive	1,029,095	-	1,029,095
Adult supported living	337,976	-	337,976
Children Extensive Support	82,328	-	82,328
Children and families	-	101,744	101,744
Part C IDEA	-	19,750	19,750
Grants and other	-	2,307	2,307
Total fees and grants from governmental agencies	<u>1,449,399</u>	<u>305,337</u>	<u>1,754,736</u>
Public support	-	5,254	5,254
Total fees, grants, and support	<u>1,449,399</u>	<u>310,591</u>	<u>1,759,990</u>
<b>Expenses</b>			
Salaries - direct care	673,262	229,311	902,573
Payroll taxes	61,626	21,093	82,719
Employee benefits	87,190	29,843	117,033
Total personnel services	<u>822,078</u>	<u>280,247</u>	<u>1,102,325</u>
Other professional services	25,185	8,621	33,806
Staff development	3,338	1,143	4,481
Staff retention	915	313	1,228
Staff travel	11,970	4,097	16,067
Staff screening	25	9	34
Occupancy			
Maintenance	3,981	1,363	5,344
Utilities	6,381	2,184	8,565
Supplies - other	19,960	6,832	26,792
Telephone	7,674	2,626	10,300
Dues and subscriptions	4,632	1,586	6,218
Insurance	9,675	3,311	12,986
Interest	3,808	1,303	5,111
Other	1,764	605	2,369
Total direct program expenses	<u>921,386</u>	<u>314,240</u>	<u>1,235,626</u>
Depreciation	<u>24,186</u>	<u>8,278</u>	<u>32,464</u>
Total expenses	<u>945,572</u>	<u>322,518</u>	<u>1,268,090</u>
Income (loss) before allocated management and general	<u>\$ 503,827</u>	<u>\$ (11,927)</u>	<u>\$ 491,900</u>

Mesa Developmental Services  
 dba Strive Colorado  
 Schedule of Case Management Services  
 Years Ended June 30, 2017 and 2016

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For the Year Ended June 30, 2017:

	Targeted Case Management	Other Case Management	Total
Statistical Data (Unaudited)			
Unduplicated number of individuals	722	207	929
Cost per client served	\$ 1,419	\$ 1,421	\$ 1,420
Full-time equivalents	\$ 16.98	\$ 4.87	\$ 21.85

For the Year Ended June 30, 2016:

	Targeted Case Management	Other Case Management	Total
Statistical Data (Unaudited)			
Unduplicated number of individuals	669	229	898
Cost per client served	\$ 1,408	\$ 1,408	\$ 1,408
Full-time equivalents	\$ 17.42	\$ 5.96	\$ 23.38

[View assistance for Entity Registrations--Existing Entity Registrations](#)

**Search by CAGE/NCAGE Code**

Use this field to search entities with which you have roles by CAGE or NCAGE Code. The Commercial and Government Entity (CAGE) Code is a five-character ID used to support a variety of mechanized systems throughout the government and provides a standard method of locating a given facility at a specific location.

CAGE Codes that are used internationally as part of the NATO Codification System are also referred to as NCAGE codes. NCAGE is required for all foreign entities and must be obtained from a SAM

# MY SAM

## Entity Registrations

- [My User Roles](#)
- [Entity Users](#)
- [Entity Registrations](#)
  - [Existing Entity Registrations](#)
  - [Register New Entity](#)
  - [By Preferred Reporting](#)
- [My Account Settings](#)
- [My Data Access](#)
- [General](#)

### Existing Entity Registrations

**Page Description**

This page allows you to manage your existing entity registrations regardless of the record status. The Entity List contains all entities with which you have user roles. You can search for entities within this list or simply select the entity for which you want to view, update, delete, or deactivate a registration record.

Once you select an entity, your registration details will display in either the Registration Details for Complete Record section or the Registration Details for Incomplete Record section. If you started an update to either an active or inactive registration record, you will find registration details in both sections. Check the Registration Status, then select the action you wish to take.

For keyboard only users, please tab to the desired entity and then press the Enter key to expand the child entities. Press the space bar to select an entity from the Entity List and the details will display in the appropriate Registration Details table.

To register a new entity in SAM, select the Register New Entity link from the sub-navigation menu.

**IMPORTANT:** If you navigate away from a page during the registration process without selecting Save and Continue, the information entered on the page will NOT be saved, you must select Save and Continue on every page.

**Search for an Entity :**

Legal Business Name:	<input type="text" value="Mesa Developmental Services"/>
DUNS Number:	<input type="text" value="020273959"/>
CAGE/NCAGE Code:	<input type="text" value="4PF36"/>
	<input type="button" value="Search"/> <input type="button" value="Clear"/>

[Download Your Active SAM Registrations \(XML\)](#)  
[Download Your Expired SAM Registrations \(XML\)](#)

Entity List
<p><b>MESA DEVELOPMENTAL SERVICES (020273959)</b></p>

Registration Details for Complete Record	Registration Details for Incomplete Record
<p><b>Entity Name:</b> MESA DEVELOPMENTAL SERVICES</p> <p><b>DUNS Number:</b> 020273959</p> <p><b>CAGE:</b> 4PF36</p> <p><b>Address:</b></p> <p style="margin-left: 20px;">950 GRAND AVE GRAND JUNCTION, CO 81501-3451 UNITED STATES</p> <p><b>Purpose of Registration:</b> Federal Assistance Awards</p> <p><b>Registration Status:</b> Active</p> <p><b>Expiration Date:</b> 08/31/2018</p> <p><b>Address Update Required:</b> No</p>	<p>Your entity registration is Active. Please select Update Entity from Registration Details for Complete Record if you want to update or renew it.</p>



**STRiVE Board of Directors  
2017-2018**

<b>Chair</b>	<b>SCOTT COLEMAN</b> Physical Therapist, Retired	746 Continental Drive Grand Junction, CO 81506
<b>Vice Chair</b>	<b>STEVE AMMENTORP</b> Community Bank President American National Bank	2699 Patterson Road Grand Junction, CO 81506
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<b>President/CEO</b>	<b>SHARON JACKSI</b> STRiVE	950 Grand Avenue Grand Junction, CO 81501
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	<b>JIM GRISIER</b> CPA, CVA	2135 North 7th Street Grand Junction, CO 81501
	<b>STEPHANIE KELLER</b> Parent	820 25 Road Grand Junction, CO 81505
	<b>MARNA LAKE</b> Attorney	319 Colorado Avenue Grand Junction, CO 81501
	<b>RACHELLE MILLER</b> Receptionist, Client STRiVE	915 Lakeside Court Grand Junction, CO 81506
	<b>TOM MOTZ, DO</b> Physician	513 Riverview Drive Grand Junction, CO 81507
	<b>MIKE NORDINE</b> Commander Grand Junction Police Department	3332 Star Court Grand Junction, CO 81506
	<b>VICKI WOODS</b> Principal Orchard Avenue Elementary School	1800 Orchard Avenue Grand Junction, CO 81501
<b>EX-OFFICIO</b>	<b>JOE WARNER</b> Marketing Consultant, Retired	2498 Interlochen Court #A Grand Junction, CO 81505

## Community Centered Board



### Mesa Developmental Services

950 Grand Avenue, Grand Junction, CO 81501

970-243-3742, fax 970-256-8697

### **Outline of Process for Determination for Developmental Disability**

We welcome your interest and look forward to assisting you in this process. In order to make a determination of developmental disability, specific types of documentation are required. Please keep this outline and use it as a guide.

- Request for Determination of Developmental Disability- 2 page application, completely filled in.  
If there is a guardian, please provide a copy of the court document.
- Documentation of an Intellectual Impairment (Note: for children testing must have occurred within 3 years of application date, for adults testing must have occurred within 10 years of application date).
  - Intelligence/IQ testing by a psychologist, using instruments that are comparable to a Wechsler or Stanford-Binet.
  - AND/OR--- (Note it is best to have both types of testing)
  - Documentation of Adaptive Behavior Impairments (assessment must have occurred within 3 years of application date)
  - Adaptive Behavior testing by a qualified professional, using instruments that are comparable to a Vineland-II or ABAS

If you do not already have testing and you are in need of having IQ or adaptive testing completed, a list of resources for this service has been provided in this packet. The applicant is responsible for the cost of testing.

- Documentation of a neurological condition (to rule out physical or sensory impairments or mental illness as sole contributors to a disability),
  - Neurological or neuropsychological evaluation
  - Medical records: Ask your primary care physician for a diagnosis list
- Documentation of treatment or evaluation that occurred between age 5 and age 22. This demonstrates that the disability occurred prior to age 22. Examples include:
  - School assessments and records
  - Records of specialized services
  - Medical records and evaluations
  - Therapy assessments and reports
  - Mental health services and assessments
  - Psychological evaluations or testing that include IQ scores completed between age 5 and 22.
  - Psychiatric reports
- Release of Information. **A separate form is needed for each source. The form must be completely filled out. A signed blank form cannot be used.**
- You have 90 days from the date you submit the completed Request for Determination of Developmental Disability to provide the required testing and documentation listed above. If you require more time, you may request a 90 day extension for the process.
- You will be notified in writing of the determination decision when the process is completed.

Please turn in the application and all requested documentation to:

Options Counselors with Aging and Disability Resources for Colorado (ADRC). They are located at Mesa County Department of Human Services, 510 29 ½ Rd. The Options Counselors are available to see applicants Monday-Friday 8:00 am-Noon and 1:00 pm to 5:00 pm. They can be reached by phone at (970) 248-2746.



REQUEST FOR DEVELOPMENTAL DISABILITY DETERMINATION

Community Centered Board



**Mesa Developmental Services**

950 Grand Avenue, Grand Junction, CO 81501  
970-243-3742, fax 970-256-8697

APPLICANT CONTACT INFORMATION

1. Name of Applicant : \_\_\_\_\_  
Address \_\_\_\_\_

County \_\_\_\_\_ Home Phone \_\_\_\_\_  
Cell Phone \_\_\_\_\_ Work Phone/Other \_\_\_\_\_  
Email Address \_\_\_\_\_ Preferred Mode of Communication \_\_\_\_\_  
DOB \_\_\_\_\_ Age \_\_\_\_\_ Gender \_\_\_\_\_  
Marital Status \_\_\_\_\_ Primary Language \_\_\_\_\_  
Current Living Arrangement \_\_\_\_\_ Ethnicity \_\_\_\_\_

2. Person Making Referral \_\_\_\_\_ Relationship \_\_\_\_\_  
Home Phone \_\_\_\_\_ Cell Phone \_\_\_\_\_

3. Name of Primary Contact \_\_\_\_\_ Relationship \_\_\_\_\_  
Address of Primary Contact \_\_\_\_\_

Home Phone \_\_\_\_\_ Work Phone \_\_\_\_\_  
Cell Phone \_\_\_\_\_ Email Address \_\_\_\_\_

4. Name of Additional Contact \_\_\_\_\_ Relationship \_\_\_\_\_  
Address of Contact \_\_\_\_\_

Home Phone \_\_\_\_\_ Work Phone \_\_\_\_\_  
Cell Phone \_\_\_\_\_ Email Address \_\_\_\_\_

5. Is There a Court Appointed Guardian? Yes  No   
If "Yes" please complete information below if not the primary contact and provide a copy of the court document.  
Name \_\_\_\_\_ Relationship \_\_\_\_\_  
Address \_\_\_\_\_

Home Phone \_\_\_\_\_ Work Phone \_\_\_\_\_  
Cell Phone \_\_\_\_\_ Email Address \_\_\_\_\_

5. Previous Community Centered Board (CCB) \_\_\_\_\_ Date \_\_\_\_\_

FINANCIAL AND MEDICAL BENEFITS INFORMATION

Social Security number \_\_\_\_\_  
Medicaid State ID number \_\_\_\_\_  
Medicare ID number \_\_\_\_\_



Supplemental Security Income (SSI) Amount \_\_\_\_\_  
Social Security (SSA/SSDI) Amount \_\_\_\_\_  
Other Benefits (e.g. EBD, Children's HCBS, Trusts) \_\_\_\_\_  
Private Medical Insurance (e.g. Life, Health) \_\_\_\_\_

**SCHOOL INFORMATION**

1. School District and School Attended \_\_\_\_\_  
City and State \_\_\_\_\_  
Dates of Attendance \_\_\_\_\_ Special Education Program Yes  No   
2. School District and School Attended \_\_\_\_\_  
City and State \_\_\_\_\_  
Dates of Attendance \_\_\_\_\_ Special Education Program Yes  No   
3. School District and School Attended \_\_\_\_\_  
City and State \_\_\_\_\_  
Dates of Attendance \_\_\_\_\_ Special Education Program Yes  No

**MEDICAL INFORMATION**

Please list medical and health needs \_\_\_\_\_  
Name of Medical Provider/Medical Facility \_\_\_\_\_  
City and State \_\_\_\_\_  
Phone \_\_\_\_\_

**SERVICES AND SUPPORTS INFORMATION**

Please list services and supports received by the applicant such as mental health services, therapies, or home health

**ACKNOWLEDGMENTS AND SIGNATURES**

*Included with the request form:*

1. Outline of Process and checklist for the Developmental Disability determination process
2. Confidentiality/Privacy Notice
3. MDS Policy 6-8 Applicant and Individual Appeals
4. Rights of Individuals
5. List of resources for testing for intellectual functioning and/or adaptive behavior.
6. The Colorado Department of Health Care Policy and Financing definition of Developmental Disability (10 CCR 2505-10 8.600.4)

*I understand that I have ninety (90) calendar days from the date of submission of my completed request for, to submit the documents and information required to make this determination of a Developmental Disability.*

Applicant signature if age 18 or older

\_\_\_\_\_ Date \_\_\_\_\_

Parent, Guardian or Authorized Representative signature

\_\_\_\_\_ Date \_\_\_\_\_

**For ADRC completion only**

Name & title of ADRC person receiving the request \_\_\_\_\_

Date completed and signed request received by ADRC (Request Date) \_\_\_\_\_

Date all documents needed for determination received (Determination Date) \_\_\_\_\_

# Release of Information

## Mesa Developmental Services (MDS)

Name: (Last, First, MI)	Birth date
-------------------------	------------

**I authorize Mesa Developmental Services to disclose the following information as follows:**

Disclosure of information from the following:  Phone: _____ Fax: _____	Disclosure of information to the following: <b>Mesa Developmental Services</b> 950 Grand Ave, Grand Junction, CO 81501  Phone: 970-243-3702 Fax: 970-256-8697
--	---

**The type and amount of information to be disclosed:**

- |  |  |
|--|--|
| <input type="checkbox"/> Medical records including diagnoses, prognoses, treatment plans, medical recommendations, current general health status; Limited to medical records from _____ to _____ .<br><input type="checkbox"/> Visual Examination<br><input type="checkbox"/> Audiological Evaluation<br><input type="checkbox"/> Psychiatric/Psychological testing/reports: including DSM IV-R diagnosis<br><input type="checkbox"/> OT/PT Assessments and reports<br><input type="checkbox"/> Vocational assessments, evaluations, recommendations and progress reports.<br><input type="checkbox"/> Residential assessments, evaluations, recommendations and progress notes. | <input type="checkbox"/> Drug/alcohol treatment records<br><input type="checkbox"/> Academic testing/transcripts<br><input type="checkbox"/> Educational Records (IEP/504/EDR/Triennial)<br><input type="checkbox"/> Social Security Administration Records<br><input type="checkbox"/> Therapy/Counseling Progress notes<br><input type="checkbox"/> Hospital Records<br><input type="checkbox"/> Dental care<br><input type="checkbox"/> Annual Service Plan<br><input type="checkbox"/> ULTC 100.2 Assessment<br><input type="checkbox"/> Incident Reports/General Event Reports<br><input type="checkbox"/> Other Information: |
|--|--|

**The information identified above is necessary for: Determination of developmental disability**

**The information identified above may be communicated in the following manner:**  Verbally  Electronic  In Writing

**Date upon which this authorization will expire (not to exceed one year from date of signature):**

Authorization for Disclosure: (A photocopy or fax of this release is as effective as the original):

- I understand the information released by this authorization may include personally identifying information concerning physical and mental disabilities, alcohol/drug abuse, HIV/AIDS, medical history, criminal history, and educational/vocational records.
- I understand that this authorization for disclosure is voluntary and that I can refuse to sign this authorization. I understand that MDS cannot condition eligibility for or the provision of services on the signing of this authorization, except as otherwise permitted by law.
- Parties to whom MDS provides information are prohibited under federal regulations (34 CFR 361) from further releasing the information without my express written consent. However, I understand that any disclosure of information carries with it the potential for an unauthorized re-disclosure by the party receiving it.
- I understand this authorization remains in effect for one year from the date of my signature, unless otherwise specified above.
- I understand that I may revoke this authorization at any time except to the extent that action has already been taken based on this authorization. I understand that revocation will not apply to information released prior to the revocation.

**By my signature, I authorize disclosure(s) of the above information.**

Signature of Individual	Date
Signature of Parent, Guardian, or Authorized Representative	Date
Signature of Case Manager	Date

### Notice to Whomever Disclosure is Made Concerning MDS Records

This information is being disclosed to you from records whose confidentiality is protected by Federal law. Federal regulations (34-CFR Part 361) prohibit you from making any further disclosure of this information without the specific written consent of the person to whom it pertains, or as otherwise permitted by such regulations. A general authorization for release of information is NOT sufficient for this purpose.





## **HIPAA**

### **Health Information Portability & Accountability Act**

#### **Consent for the Use or Disclosure of Health Information for Treatment, Payment, Or Health Care Operations**

I understand that as part of my participation in MDS, or it's contracted programs, Mesa Developmental Services (MDS) may originate and maintain health records describing my health history, symptoms, examination and test results, diagnoses, treatment, and any plans for future care or treatment. I understand that this information serves as:

- A basis for planning my care and treatment.
- A means of communication among the many Health and Human Services professionals who contribute to my care.
- A source of information for applying my diagnosis and treatment information to my bill.
- A means by which a third-party payer, including Medicare and Medicaid, can verify that services billed were actually provided.
- A tool for routine health care operations.
- I understand that MDS will communicate with me by mail, telephone, and voice mail/answering machine regarding appointments, meetings, services or activities that you would be interested.

I understand that MDS' Notice of Privacy Practices provides a more complete description of information uses and disclosures. I understand that I have the right to review the Notice before signing the Notification Acknowledgement. I understand that MDS reserves the right to change its notices and practices and that a revised notice will be posted in the facility. I may request a copy of any revised notice at any time.

I understand that I have the right to:

- Object to the use of my health information for directory purposes.
- Request restrictions as to how my health information may be used or disclosed to carry out treatment, payment, or health care operations. I understand that MDS is not required to agree with my request, but that if MDS agrees to my request, MDS will be bound by that agreement.
- Revoke this consent in writing, except to the extent that MDS has already taken action in reliance thereon.



# RIGHTS OF INDIVIDUAL RECEIVING SERVICES

All persons receiving services have the same legal rights and responsibilities guaranteed to all other individuals under the Federal and State Constitutions and laws unless such rights are modified by a Court Order. An individual's rights under the provisions of C.R.S. 25.5-10-218 through and including C.R.S. 25.5-10.231, listed below, may be suspended only for purposes of habilitation, treatment, or to protect the individual from endangering himself/herself, or others.



Right to Medical Care and Treatment



Right To Notification



Right to an Annual Service Plan



Sterilization Rights



Right To Be Free From Discrimination



Right To Influence Policy



Right To Communication & Visits



Right To Vote



Right To Humane Care and Treatment



Right To Fair Employment



Right To Religious Belief, Practice and Worship



Right To Personal Property



Right To Confidentiality of Record and Other Information Pertaining to Eligible Person

Pursuant to C.R.S. 25.5-10-218, the rights of any person receiving services may be suspended to protect the person receiving services from endangering such person, others, or property. Such rights may be suspended only by the intellectual and developmental disabilities professional with subsequent review by the interdisciplinary team and by the human rights committee in order to provide specific services or supports to the person receiving services, which will promote the least restriction on the person's rights. Such person's legal rights may be removed by a court pursuant to C.R.S. 25.5-10-216.

"Developmental Disability" means a disability that:

A. Is manifested before the person reaches twenty-two (22) years of age;

B. Constitutes a substantial disability to the affected individual, as demonstrated by the criteria below at C, 1 and/or C, 2; and,

C. Is attributable to mental retardation or related conditions which include cerebral palsy, epilepsy, autism or other neurological conditions when such conditions result in either impairment of general intellectual functioning or adaptive behavior similar to that of a person with mental retardation.

1. "Impairment of general intellectual functioning" means that the person has been determined to have a full scale intellectual quotient equivalent which is two or more standard deviations below the mean (70 or less assuming a scale with a mean of 100 and a standard deviation of 15).

a. A secondary score comparable to the General Abilities Index for a Wechsler Intelligence Scale that is two or more standard deviations below the mean may be used only if a full scale score cannot be appropriately derived.

b. Score shall be determined using a norm-referenced, standardized test of general intellectual functioning comparable to a comprehensively administered Wechsler Intelligence Scale or Stanford-Binet Intelligence Scales, as revised or current to the date of administration. The test shall be administered by a licensed psychologist or a school psychologist.

Code of Colorado Regulations 5

c. When determining the intellectual quotient equivalent score, a maximum confidence level of ninety percent (90%) shall be applied to the full scale score to determine if the interval includes a score of 70 or less and shall be interpreted to the benefit of the applicant being determined to have a developmental disability.

2. "Adaptive behavior similar to that of a person with mental retardation" means that the person has an overall adaptive behavior composite or equivalent score that is two or more standard deviations below the mean.

a. Measurements shall be determined using a norm-referenced, standardized assessment of adaptive behaviors that is appropriate to the person's living environment and comparable to a comprehensively administered Vineland Scale of Adaptive Behavior, as revised or current to the date of administration. The assessment shall be administered and determined by a professional qualified to administer the assessment used.

b. When determining the overall adaptive behavior score, a maximum confidence level of ninety percent (90%) shall be applied to the overall adaptive behavior score to determine if the interval includes a score of 70 or less and shall be interpreted to the benefit of the applicant being determined to have a developmental disability.

D. A person shall not be determined to have a developmental disability if it can be demonstrated such conditions are attributable to only a physical or sensory impairment or a mental illness.





**PROGRAM:** Case Management

**BOARD #:** 6-8

**POLICY:** Applicant and Individual Appeals

**DATE APPROVED:** 3-23-82

**DATE REVISED:** 9-18-84, 7-2-85, 7-16-86, 12-4-86, 1-22-87, 4-24-01, 01-13-04, 09-14-04, 7-31-09, 08/25/09, 12/18/2012, 6/24/14

**DATE REVIEWED:** 08/25/09, 6/24/14

**PURPOSE**

This policy sets forth the procedure for applicants and individuals receiving services to use when they appeal eligibility, termination, and Individualized Family Support Plan (IFSP) or Service Plan (SP) service decisions. A copy of this policy will be available on MDS Intranet (which is available to all program/service sites and all employees) and will be furnished upon request. It will be provided, orally and in writing, to all applicants, persons receiving services, parent(s) of a minor, guardians, and authorized representatives of applicants/individuals:

- At the time an application is made for services.
- At the time the SP is developed.
- Any time changes in the plan are contemplated.
- Upon request by the above named persons.

Interpretation in native languages other than this English version, and through such modes of communication as may be necessary, shall be made available upon request.

The pursuit of an appeal shall not prejudice the future provision of services or supports to the individual in need of and/or receiving services. Nor shall the individual be coerced, intimidated, threatened or retaliated against because that individual has exercised his or her right to file an appeal or has participated in the dispute resolution process.

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## **POLICY**

### **1.0 NOTICE OF ACTION**

Written notice will be provided to applicants, individuals in service, to the parent(s) if the applicant or individual is a minor, and to guardian(s), or authorized representative(s) at least 15 calendar days prior to the date that any of the following actions are to become effective:

1. The applicant is not eligible for services or supports;
2. The person is no longer eligible for services or supports;
3. Services set forth in the SP are changed, reduced, or denied.

**The notice shall be in writing, shall be hand delivered or sent by first class mail and, in accordance with 24 CRS 1, 1-10, §16.120, shall contain at least the following information:**

1. The proposed action;
2. The reason or reasons for that action;
3. The effective date of that action;
4. The specific law, regulation, or policy supporting the action;
5. The responsible agency with whom a protest of the action may be filed including the name and address of the director;
6. The dispute resolution procedure, including deadlines, in conformity with §16.320 and procedures on accessing agency records;
7. Information on availability of advocacy assistance, including referral to publicly funded legal services corporation, and other publicly or privately funded advocacy organizations, including the protection and advocacy system required under 42 U.S.C. 6012, the Developmental Disabilities Assistance and Bill of Rights Act; and
8. An explanation of how MDS will provide services to the person who is currently enrolled during the dispute resolution period, including a statement that the services will not be termination during the appeal. Such explanation will include a description of services currently received.

### **2.0 RIGHT TO FILE AN APPEAL**

The applicant, individual in service, the parents of a minor, and any guardian or authorized representative may appeal the proposed action(s) by submitting a written appeal with MDS. The appeal shall be in writing and addressed to the MDS Case Management Director and must be filed before the date that the proposed action is to become effective. The assigned CCB Case Manager will provide assistance to applicants and individuals in service who request assistance in preparing the written appeal.

### **3.0 INFORMAL APPEAL PROCESS**

An opportunity will be provided for resolution of the appeal through informal negotiation or mediation, which process may be waived only by mutual consent of the parties and MDS.



The Case Management Director or designee will take the necessary steps to schedule and hold a meeting with all parties or their representatives within fifteen (15) calendar days of the receipt of the appeal and will notify the parties of the meeting. The parties will attempt to resolve the appeal at this meeting. The Case Management Director or designee will make recommendations at the conclusion or following this meeting. If these recommendations do not resolve the appeal, any party may initiate a formal appeal, as specified below. The Case Management Director or designee's recommendations will become effective and final within fifteen (15) calendar days following the parties' receipt of the recommendations, unless a request for formal appeal is filed within this period, as provided below.

#### **4.0 FORMAL APPEAL PROCESS**

Within fifteen (15) calendar days after the Case Management Director or designee advises the parties of the recommended action following the informal appeal meeting, a party can file a formal appeal of the proposed action by delivering a written notice of Formal Appeal to the Chief Executive Officer of MDS. The assigned CCB Case Manager will assist the applicant or individual in services with preparation of this Formal Appeal document if requested to do so within the period for appeal. The proposed action will become effective if no formal appeal is filed or request for assistance received within this period.

Within fifteen (15) calendar days following receipt of the written notice of Formal Appeal, the Chief Executive Officer of MDS or designee shall give notice to the parties of a meeting on the issues appealed. This meeting shall afford due process as follows:

1. The parties shall be given no less than ten (10) calendar days written notice of the meeting date, time and location;
2. The meeting shall be before an impartial decision maker who was not directly involved in the specific action at issue. This decision maker can be the Chief Executive Officer of MDS or another impartial person designated by the Chief Executive Officer of MDS;
3. The parties may be represented by counsel, authorized representative or another individual at the meeting, if desired;
4. The parties will have an opportunity to respond to or question the opposing position and to present information and evidence in support of their position;
5. There shall be a recording of the proceeding by electronic device or reporter;
6. A written decision shall be issued to the parties by the impartial decision maker within fifteen (15) calendar days of the meeting, setting forth the reasons for the decision including finding of fact and conclusions.

The Colorado Department of Human Services shall be notified by the Chief Executive Officer of MDS or designee of the Formal Appeal and the written decision of the impartial decision maker.

The decision of the impartial decision maker shall become effective and final unless a timely request is made to the Executive Director of the Colorado Department of Human Services or designee to review the written decision, as provided below.

#### **5.0 APPEAL TO THE EXECUTIVE DIRECTOR OF DEPARTMENT OF HUMAN SERVICES**

Any party who disputes the decision of the impartial decision maker may request that the Executive Director of the Colorado Department of Human Services ("the Department") review the written decision by submitting the request to the Department within fifteen (15) working days from the date the written decision by the MDS Chief Executive Officer or designee was postmarked or personally delivered, whichever occurs first. This request for review is governed by the rules of the Colorado Department of Human Services contained at 2 CCR 503-1, §16.322. A copy of this section shall be provided to the parties with the written decision by the impartial decision maker.

The decision of the Executive Director of the Department of Human Services shall constitute final agency action on the dispute. Any court action filed on the dispute before this procedure is completed shall be subject to dismissal based upon the affirmative defense of failure to Exhaust Administrative Remedies.

## **6.0 CHILDREN 0-3**

Children 0-3 may also exercise appeal rights as identified in the Procedural Safeguards provided by the State of Colorado, Department of Human Services, Early Intervention Colorado.





## Resources for Testing

MDS is required by regulation to provide information regarding where to obtain testing for the level of intellectual function or adaptive behavior. Several resources are listed below. However, you may choose any qualified psychologist that you wish. The responsibility for obtaining these assessments (including financial responsibility) rests with the individual or parent/guardian.

Dr. Bonnie Woods, PsyD.  
Psych Compass Evaluation Services  
PO Box 4716  
Grand Junction, Colorado 81501  
(970) 279-4826

Dr. Katrina Katen, PsyD.  
858 Grand Ave  
GJ, Co 81501  
970-628-5589

Psychological Associates  
John L Gustavson, PhD  
Dale E Bowen, PhD  
5230 N 8<sup>th</sup> St #204  
GJ, CO 81501  
970-245-3505

Alpha Center  
Phone: (970) 241-2948  
Fax: (970) 242-4219  
Email: [alphacentergi@aol.com](mailto:alphacentergi@aol.com)  
1170 Colorado Ave  
Grand Junction, CO 81501

## Equal Employment Opportunity (EEO)/Harassment Prohibition Policy

### Equal Employment Opportunity

There shall be no unlawful discrimination or harassment against Employees or applicants for employment because of race or color, religion or creed, sex or gender, sexual orientation, national origin or ancestry, age, physical or mental disability, military status, genetic information or other protected status. Equal employment opportunity, as required by law, shall apply to all personnel actions including, but not limited to, recruitment, hiring, upgrading, promotion, demotion, layoff, or termination.

### Age

As used above refers to the age group 40 and above.

### Sex, Gender and Sexual Orientation

Sex and Gender discrimination includes unlawful discrimination on the basis of sex, gender, pregnancy, and sexual harassment. Colorado law defines "sexual orientation" as "a person's orientation toward heterosexuality, homosexuality, bisexuality, or transgender status or an employer's perception thereof."

### Genetic Information

Genetic information includes: 1) information about a person's genetic tests; 2) information about the genetic tests of that person's family members; 3) a person's family medical history (i.e., the manifestation of a disease or disorder in a person's family members, often used to determine if a person has an increased risk of getting a disorder, disease or condition in the future); 4) a person's request for, and receipt of, genetic services; 5) a person's participation in clinical research that includes genetic services (or the person's family members' participation); 6) the genetic information of a fetus carried by a person or a family member of the person; 7) the genetic information of an embryo legally held by a person or a family member using assisted reproductive technology.

### Sexual Harassment

Sexual harassment occurs when an Employee is subjected to **unwelcome conduct based on sex or gender that is severe or pervasive** and: (1) which conduct is either an explicit or implicit condition of an individual's employment; (2) submission to, or rejection of, such conduct by an individual is used as a basis for employment decisions affecting the individual, e.g. salary increases; (3) or such conduct has the purpose or effect of unreasonably interfering with an individual's work performance or creating an intimidating, hostile or offensive working environment. Unprofessional conduct may rise to the level of sexual harassment if it is unwelcome, based on sex, and severe or pervasive. Unprofessional conduct includes, but is not limited to, unwelcome sexual advances or propositioning, jokes of a sexual nature, unwelcome sexual comments about someone's clothing or appearance, intimate stories about one's sex life, sexually explicit photographs or drawings, unwelcome touching of a sexual nature, and adverse treatment based on gender. Unprofessional conduct may result in discipline or discharge, regardless whether it rises to the level of unlawful sexual harassment.

### Harassment

Harassment on the basis of any protected status listed above is unlawful where it is unwelcome, severe or pervasive, and unreasonably interferes with an individual's work performance or creates an intimidating,

hostile or offensive working environment. Slurs, derogatory statements or demeaning treatment, jokes and stereotyping based on protected status are unprofessional and may result in discipline or discharge, regardless whether they rise to the level of unlawful harassment.

#### **Treat Unwelcome Conduct as Unwelcome**

If you find someone's conduct sexually harassing, or harassing on another protected basis, do not encourage that person to continue by indicating that you like or approve of the conduct. Do not reciprocate by engaging in similar conduct. For example, don't tell jokes that you wouldn't want someone to tell you; don't hug others if you don't want them to hug you.

#### **Disability and Religious Accommodation**

STRIVE will make reasonable accommodation for qualified individuals with known disabilities and Employees whose work requirements interfere with a religious belief, unless doing so would result in an undue hardship to STRIVE or create a direct threat to the Employee or others. Requests for reasonable accommodation must be directed to your supervisor, Human Resources or any other management personnel.

#### **Pregnancy and Pregnancy-Related Conditions**

STRIVE will provide, if requested, reasonable accommodations to an applicant for employment, or an employee, for health conditions related to pregnancy or physical recovery from childbirth, absent an undue hardship on STRIVE. And STRIVE will not deny employment opportunities because of its need to make pregnancy-related reasonable accommodations. STRIVE will not force an applicant or employee affected by pregnancy-related conditions to accept an accommodation that she has not requested or that is unnecessary to perform the essential function of her job. Similarly, STRIVE will not require a pregnant employee to take leave if there is another reasonable accommodation that may be provided.

#### **Non-Employees**

Employees shall not in the course or scope of their employment, unlawfully discriminate against, or harass, or engage in unprofessional conduct toward non-Employees based on the non-Employee's race or color, religion or creed, sex or gender, sexual orientation, national origin or ancestry, age of 40 or over, physical or mental disability, genetic information, military or other protected status. Such conduct may result in discipline or discharge.

#### **Reporting Discrimination or Harassment**

Any Employee who is subjected to or who observes conduct that the Employee honestly believes is in violation of this policy should report it to your supervisor, Human Resources or any other management personnel. This includes conduct by co-workers, supervisors, management, officers or directors, agents, clients, suppliers or others encountered during the course and scope of your employment. It is Employer's desire to maintain a professional working environment and to prevent any unlawful discrimination or harassment in employment. Employees are strongly advised that they should not quit employment because of conduct that violates this policy rather than reporting such conduct. Please give Employer a reasonable opportunity to investigate and correct any violations of this policy. Upon receiving a report of conduct that may violate this policy, Employer will investigate the circumstances and take appropriate action. Because of the need to investigate and take action, Employer cannot promise confidentiality regarding a reported violation of this policy.





March 26, 2018

Kristen Ashbeck  
Community Development Block Grant  
City of Grand Junction

Dear Kristen,

Hilltop Community Resources is requesting Community Development Block Grant funding for our Bacon Campus Fire Suppression Project. Hilltop is installing fire sprinklers, fire walls, and alarm upgrades in the 52 apartments on our Bacon Campus. The total project cost is \$1.8 million. We are requesting CDBG funds for the alarm system upgrades. The CDBG funding will leverage funds through the State of Colorado Department of Local Affairs.

The Grand Valley Crisis Nursery has also submitted a CDBG grant application under Hilltop. Currently, the Grand Valley Crisis Nursery is applying for their 501(c)(3) application and expects to receive it in 2018. At this time, Hilltop is acting only as fiscal agent for the Grand Valley Crisis Nursery. Hilltop's leadership is committed to assisting the Grand Valley Crisis Nursery as a stand-alone organization but it is not a Hilltop program.

As such, we hope these two requests can be evaluated on their own merits. However, if asked to prioritize – Hilltop would put its Bacon Campus project first and the Grand Valley Crisis Nursery second.

Please let me know if you have any questions or need additional information. We appreciate your kind consideration.

Sincerely,

Janet Hollingsworth  
Grants Manager

2018



~~2017~~ GRANT APPLICATION  
Community Development Block Grant  
(CDBG) Program

*The City of Grand Junction does not discriminate on the basis of race, color, religion, sex, national origin, age or disability in the admission, access or appointment to, or treatment or employment in, its programs or activities.*

Applications and all attachments must be submitted to the City of Grand Junction electronically no later than ~~4:00 pm on Wednesday, March 15, 2017~~ to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org)

3-26-18

**APPLICANT BACKGROUND**

1. Organization Name:  
Hilltop Community Resources, Inc.  
\_\_\_\_\_
2. Organization Address:  
1331 Hermosa Avenue  
\_\_\_\_\_  
Grand Junction, CO 81506  
\_\_\_\_\_
3. Organization DUNS Number (Data Universal Number System) (required):  
*For more information visit [www.whitehouse.gov/omb/grants/duns\\_num\\_guide.pdf](http://www.whitehouse.gov/omb/grants/duns_num_guide.pdf):*  
139504641  
\_\_\_\_\_
4. Organization or Parent Organization EIN/TIN Number (required):  
74-2321009  
\_\_\_\_\_
5. **Primary** Contact Person:  
Janet Hollingsworth  
\_\_\_\_\_  
Phone: 970-244-0421                      FAX: 970-243-4646  
\_\_\_\_\_  
Email: [janeth@htop.org](mailto:janeth@htop.org)  
\_\_\_\_\_

6. Do you or your parent organization file OMB A-133 (Single Audit)?

Yes  No

If yes, please attach a copy of the most recent A-133 and Form SF-SAC to your CDBG application.

7. **System for Award Management (SAM):** Your entity must be registered in the System for Award Management (SAM) prior to application. Provide a copy of the registration confirmation with your application. See attached example. *For more information visit: [www.sam.gov/portal](http://www.sam.gov/portal)*

8. Is this agency an equal employment opportunity employer?  Yes  No

Please attach a copy of the agency's affirmative action plan.

9. Provide a brief description of your organization - what do you do?

Since 1950, Hilltop has responded to a shifting economic and human service landscape, responding to ever changing community needs with services across the lifespan. Hilltop's mission - "Leading through action to make a difference for people of all ages" is reflected everyday through an array of programs and services. With 29 far reaching programs, strategic planning happens at both the organizational and programmatic levels. Hilltop's vision is to remain an innovative community leader - promoting and contributing to building communities that care for all people. For 68 years Western Colorado has turned to Hilltop for compassionate and comprehensive human services. We put people first and give them the tools and support they need to face life's challenges. Our wide-ranging programs offer real world solutions designed to give people of all ages a chance at long lasting success.

10. Which describes your organization (check all that apply)?

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> A non-profit with 501(c)3 status | <input type="checkbox"/> Housing Authority                                  |
| <input type="checkbox"/> Governmental Agency                         | <input type="checkbox"/> Quasi-Governmental Agency                          |
| <input type="checkbox"/> Faith based                                 | <input checked="" type="checkbox"/> Public Service /Human Services Provider |
| <input type="checkbox"/> Public Organization                         | <input type="checkbox"/> Other _____  |



11. Has the applicant received past CDBG funding from the City of Grand Junction? If so, please indicate the year received, the amount received and the amount of CDBG and/or funds remaining to be spent at this time.

Yes. No funds remaining at this time.

2014 - Latimer House program support \$10,320

2013 - Hilltop's Opportunity Center - HVAC \$86,840

2007 - Kiddin' Around Learning Center - \$24,547

12. Does any employee, board member or client have any past or present ownership or financial investment in the agency, organization or proposed project? If so, please describe.

No Hilltop employee or client has or had ownership or financial investment in the agency, organization, or proposed project. Bruce Hill of Superior Alarm is a member of Hilltop's Board of Directors. Superior Alarm does provide alarm services to Hilltop and for the Bacon Campus Fire project.

## FUNDING REQUEST SUMMARY

1. Project Name: Hilltop's Bacon Campus Fire Safety Project
2. Project Address/Location: 1405 Wellington Avenue
3. Amount of CDBG funds requested for the project: \$ 43,500.00
4. Funding leveraged from other sources for this project: \$ 1,800,000.00
5. Total Project Budget: \$ 1,843,500.00
6. Minimum amount of CDBG funding to benefit your project: \$ 20,000.00

## PROJECT ELIGIBILITY

1. Which CDBG national objective does this project meet?

Benefits low/moderate income individuals/households

Addresses the prevention or elimination of slums or blight

Meets an urgent community need (usually a natural disaster)

2. Which City of Grand Junction CDBG Program Priority does this project meet?

- Need for Non-Housing Community Development Infrastructure
- Need for Affordable Housing
- Needs of the Homeless or Special Needs Populations
- Other Human Service Needs
- Economic Opportunities

### SPECIFIC PROJECT INFORMATION

1. **Project Description.** Give a brief description of the project for which you are requesting funds. How do you plan to spend the funds?

Hilltop's Bacon Campus is home to 2 residential programs – Life Adjustment Program & Residential Youth Services. • Life Adjustment Program is a residential facility for adults with traumatic brain injuries. There are 98 adults living in apartments. • Residential Youth Services is a residential facility for youth ages 12 to 18 who have encountered difficulty at home, school or in the community. Serves 300 youth annually. The State of Colorado is requiring Hilltop to add fire sprinklers and fire separation walls for licensure requirements. Request is for alarm upgrades only, linking the alarm system to the new sprinkler system.

2. **Project Type.** Which of the following categories best describes your project? (select only one)

- Public or human service
- Capital construction, building rehabilitation or public facility improvement
- Home ownership activities
- Housing rehabilitation
- Economic development assistance
- Planning or predevelopment costs
- Acquisition or demolition of property

3. If this is a Public or Human Service Project, is  New or  On-going? the service:

4. If funding an existing service, describe how the CDBG funds will support a measurable increase in that service (e.g. more families served or longer service period as compared to current operations)

Hilltop is working with the State of Colorado - Department of Local Affairs for additional funding. This CDBG support from the City of Grand Junction will leverage state funding in the amount of 1.8 million dollars. The full project is to add fire sprinklers and fire walls in all the residential units at Hilltop's Bacon Campus. The end result of this project is the safety of residents in our brain injury and youth residential programs.

5. **Demonstrated Need for Project.** Provide a brief summary of current statistical data documenting the need for the project. Include sources of information.

The State of Colorado is requiring fire safety upgrades for Hilltop's residential program. Hilltop's brain injury services is regulated by the State of Colorado. Many of the residents use wheel chairs and/or have limited mobility. Sprinklers will be installed in all residential locations as well as fire walls to ensure safety. The alarm system will link the sprinklers to the alarm. Hilltop's Bacon Campus has 52 apartments (17-1bedroom, 27-2bedroom, 7-3bedroom, and 1-4bedroom). The sprinklers and alarm system will improve alarm and staff fire reaction time.

6. **Project Budget.** Provide a line item budget for this project and the total budget cost, including the request for City of Grand Junction CDBG funds, other secured funding and other pending applications for funding. If this is a rehabilitation, remodeling or construction project, be sure to include Davis-Bacon wage regulations and architectural, engineering and/or environmental review fees in determining your project budget.

Budget Item	Amount	Funding Source	Award Date
Alarm System linked to sprinklers	\$ 43,500.00	CDBG	
sprinkler and firewalls	\$ 1,800,000.00	Dept of Local Affairs and Hilltop	DOLA Application
<b>Total Budget</b>	<b>\$ 1,843,500.00</b>		



7. **Project Schedule.** What is the proposed project schedule if awarded the CDBG funds? Keep in mind funding will not be available until September.

Start Date: 5/1/2019 Completion Date: 11/30/2019

If the project includes construction, are there plans/permits needed before the project can begin construction or has a construction schedule been identified?

Yes, plans/permits completed, project is ready to begin.

No, plans/permits still need to be completed.

8. **In-Kind Contributions.** What other resources (e.g. volunteers, in-kind donations, etc) will you be utilizing for this project? List all in-kind services, volunteer hours and goods you will bring to this project and determine a dollar value for all items listed.

Hilltop will work with local sub contractor to discuss in-kind donations.

Hilltop is working with Chamberlin Architects, FCI Constructors, and Superior Alarm to keep all project costs local.

## BENEFICIARY INFORMATION

1. **Total Persons Served.** How many total persons did this organization serve during the past year and how many total people does your organization expect to serve in the coming year?

Hilltop served 24,540 individuals in 2017. Hilltop's Bacon Campus services includes traumatic brain injury (100) and residential youth services (300). Hilltop has 591 staff members. We anticipate serving similar numbers for 2018.

2. **Persons Served by Project.** What percentage of total persons served by your organization live within the City limits? Provide an estimate of the number of persons who will benefit from the specific project that live within the City limits of Grand Junction.

100% of the head injury clients are Grand Junction residents (residing at 1405 Wellington Avenue) and 94% are low or moderate income.

100% of the residential youth clients currently live in Grand Junction (Wellington address) and 96% are low to moderate income individuals.

3. **Client Eligibility.** Will those served by this project be primarily (51% or more) of low and/or moderate income?  Yes  No

If you checked yes above, specify by checking all that apply to your project:

The income of each household/person receiving assistance will be individually verified for eligibility.

The types of households or persons served are of special need (presumed benefit) such as elderly, disabled, homeless, illiterate, or involve an abused spouse or child.

4. If the income will be verified, describe the organization's procedure for verification and how you will determine that a person is eligible for services.

Hilltop's head injury program has an income packet. First, the residents must be adults and have had a traumatic brain injury. Most clients are on Medicaid due to their injury and disabilities.

Hilltop's Residential Youth Services has an income packet. Most are referred to the program through the Mesa County Department of Human Services.

2018



2017 COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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**REQUIRED ATTACHMENTS FOR CDBG APPLICATIONS**

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Applications and all attachments must be submitted to the City of Grand Junction electronically no later than 4:00 p.m. on ~~Wednesday, March 15, 2017~~ to Kristen Ashbeck at [kristena@gicity.org](mailto:kristena@gicity.org). The following attachments are required:

3/26/18

- A signed copy of the CDBG Application and Preliminary Agreement form (attached).
- A copy of your organization's original IRS determination letter indicating [501(c)(3) or tax-exempt status (if applicable).
- Your agency's most recent audit, financial statement or, if applicable, A-133 (Single Audit). Please state your annual and cumulative fund balance. All agencies who receive \$750,000 or more, total for all Federal grants received this year, must submit an audit in compliance with the 1984 Single Audit Act.
- A copy of your organization's or parent organization's most recent Form SF-SAC pertaining to the A-133 audit, if applicable as above.
- A copy of System for Award Management (SAM) entity registration. See attached example.
- A list of current board members, including their employer and/or other pertinent affiliations.
- A copy of the project's client intake form, application form or other paperwork used to collect and certify income and racial data for each client.
- Copy of agency's Affirmative Action Plan.



2018



**2017 COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)**

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**CDBG APPLICATION AND PRELIMINARY AGREEMENT**

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By signing below I certify that all of the information above and included with this application is true, and I understand that application for funds does not in any way guarantee funding. Additionally, the applicant understands and agrees that in the event the applicant receives approval from the City Council for a block grant fund allocation:

1. The applicant will not receive the block grant funds until:
  - a. HUD has approved the project and the City receives a letter of credit from HUD.
  - b. An Environmental Review has been completed and approved.
  - c. If applicable, a Request for Release of Funds and a Finding of No Significant Impact have been published for community comment and HUD has released funds for the project.
  - d. A contract between the City of Grand Junction and the sub-recipient is fully executed.
  - e. All labor standards have been met.
2. The applicant will submit a sub-recipient report with each request for reimbursement. The report will describe the progress of the project, the project beneficiaries, and other information required by HUD or the City. Invoices will accompany the report when indicated. Funds will not be released without this report.
3. The applicant will provide the City any other information required by HUD or by the City.
4. The applicant will comply with all other requirements set forth by the City and the U.S. Department of Housing and Urban Development.

Applicant: Hilltop Community Resources, inc.

Title: Chief Executive Officer

Signature (typed acceptable): J. Michael Stahl

Date: 3/26/2018

## Hilltop's CDBG Application Attachment

1. Copy of Hilltop's 501(c)(3)
2. Hilltop's most recent audit (electronic attachment)
3. Hilltop's most recent A-133 single audit (electronic attachment)
4. Hilltop's System for Award Management registration
5. Current Board of Directors list
6. Intake/Admission policy
7. Hilltop's Affirmative Action Policy
8. Hilltop's Bacon Campus map and google map

OGDEN UT 84201-0038

In reply refer to: 0437921769  
May 08, 2013 LTR 4168C 0  
74-2321009 000000 00  
00037377  
BODC: TE

HILLTOP HEALTH SERVICES CORPORATION  
1331 HERMOSA AVE  
GRAND JCT CO 81506



047032

Employer Identification Number: 74-2321009  
Person to Contact: EO ACCOUNTS  
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your Apr. 29, 2013, request for information regarding your tax-exempt status.

Our records indicate that you were recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in October 1998.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section 509(a)(2).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Please refer to our website [www.irs.gov/eo](http://www.irs.gov/eo) for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.



Janet Hollingsworth Log Out

# Entity Board

[Entity Overview](#)  
[Entity Registration](#)

- [Core Data](#)
- [Assertions](#)
- [Reps & Certs](#)
- [POCs](#)

### Reports

- [Service Contract Report](#)
- [BioPreferred Report](#)

### Exclusions

- [Active Exclusions](#)
- [Inactive Exclusions](#)
- [Excluded Family Members](#)

[BACK TO USER DASHBOARD](#)

HILLTOP HEALTH SERVICES CORPORATION  
DUNS: 139504641 CAGE Code: 49B27  
Status: Active

1331 HERMOSA AVE  
GRAND JUNCTION, CO, 81506-4099,  
UNITED STATES

Expiration Date: 07/10/2018  
Purpose of Registration: All Awards

## Entity Overview

### Entity Registration Summary

DUNS: 139504641  
Name: HILLTOP HEALTH SERVICES CORPORATION  
Doing Business As: HILLTOP COMMUNITY RESOURCES  
Business Type: Business or Organization  
Last Updated By: Janet Hollingsworth  
Registration Status: Active  
Activation Date: 07/10/2017  
Expiration Date: 07/10/2018

### Exclusion Summary

Active Exclusion Records? No

[Search Records](#)  
[Data Access](#)  
[Check Status](#)  
[About](#)  
[Help](#)

[Disclaimers](#)  
[Accessibility](#)  
[Privacy Policy](#)

[FAPIS.gov](#)  
[GSA.gov/IAE](#)  
[GSA.gov](#)  
[USA.gov](#)

**HILLTOP COMMUNITY RESOURCES, INC.**  
**BOARD OF DIRECTORS**  
2017-2018

***Gretchen Gore***

Board Chair  
Mission Integration Manager  
St. Mary's Hospital

***Linde Marshall***

Vice Chair  
Community Leader

***Jim Grisier***

Board Secretary  
Certified Public Accountant  
CPA Consulting & Tax Service

***Bill Byers***

Past Board Chair  
Retired

***Debra Bailey***

Registered Nurse  
Colorado Mesa University

***Susan Corle***

Attorney  
Williams Turner & Holmes PC

***Tracey Garchar***

Executive Director  
Mesa County Dept. of Human Services

***Bruce Hill***

President  
Superior Alarm

***Mary Beth Luedtke***

Mesa County Valley School District #51

***Jennifer Shackelford***

Family Court Facilitator  
Mesa County

***Joe Taylor***

Controller  
Colorado Mesa University

***Dick Thompson***

Executive Director & CEO  
Quality Health Network

***Clay Tufty***

Regional President  
Alpine Bank

***Ken Watkins***

Fire Chief  
City of Grand Junction

Effective August 1, 2017

**Hilltop Life Adjustment Program  
Policy and Procedure**

**Title:** Admission – Residential  
**Formulated:** 5/1996  
**Reviewed/Revised:** 4/2017

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**Policy:**

To ensure compliance with 6 CCR 1011-1 Chap 7, 1.104 (5) (a), Hilltop Life Adjustment Program shall have an established method of determining whether the program can meet the needs of potential residents. Only individuals who's identified needs can be met by the program shall be allowed admission. The program shall consider all of the following in making it's admission decisions:

- facility physical plant
- financial resources
- ability of adequately trained staff

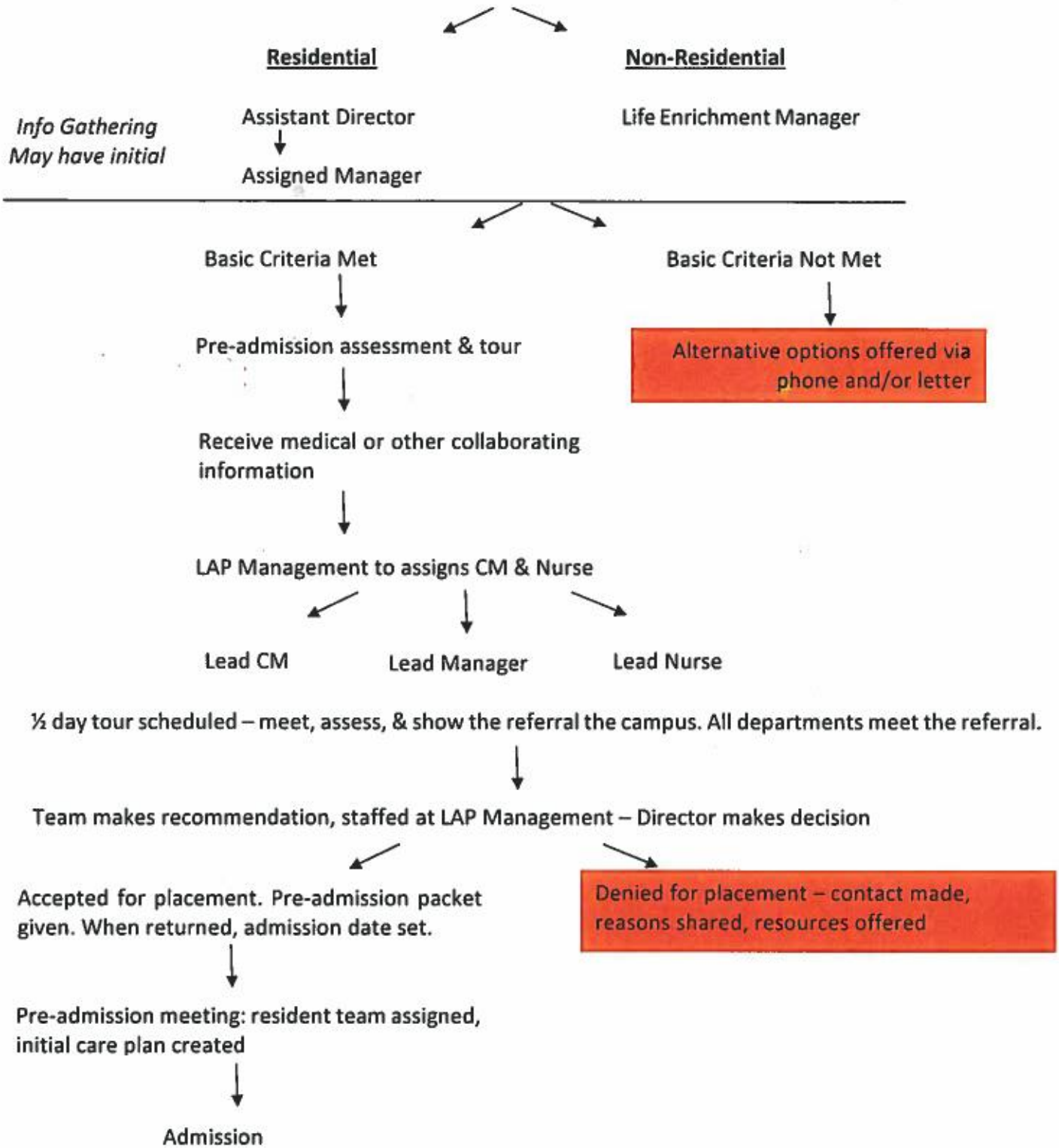
**Admission Criteria:**

- Individual is an adult; at least 18 years of age
- Individual has a diagnosis of a qualifying brain injury
- Individual is medically stable; does not require 24-hour clinical/professional care on an individual basis
- Individual is willing to participate in a program plan, which he/she helps to develop
- An alignment, of goals for service needs, can be established between the LAP the payer and/or referring agency, the Individual, and the Individual's family, guardian, etc.
- The service needs of the Individual can be met by LAP staff and programs
- The safety concerns of the Individual, the staff and the community can be met
- Staffing balances can be maintained based on the level of care required by Individual
- Individual has funding sources adequate to meet service needs

**Procedure:**



**REFERRAL PROCESS**  
Initial Call to the Front Desk





**TITLE:** Affirmative Action

**APPROVAL:** \_\_\_\_\_

**EFFECTIVE:** 08/97

**REVISION:** 5/06, 12/08, 1/09,  
12/11

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**POLICY:** Hilltop is dedicated to the principles of equal employment opportunity in any term, condition or privilege of employment. We do not discriminate against applicants or employees on the basis of age, race, sex, color, religion, national origin, disability, veteran status, ethnicity, military obligations, gender expression, sexual orientation, pregnancy, marital status, or any other status protected by state or local law.

Hilltop will make reasonable accommodation for qualified individuals with known disabilities unless doing so would result in an undue hardship to Hilltop. This policy governs all aspects of employment, including selection, job assignment, compensation, discipline, termination, and access to benefits and training.

Hilltop prohibits retaliation against any employee for filing a complaint under this policy or for assisting in a complaint investigation.

**PROCEDURE:**

If you believe there has been a violation of this policy based on the protected classes outlined above, please use the following complaint procedure. Hilltop expects employees to make a timely complaint to enable Hilltop to promptly investigate and correct any behavior that may be in violation of this policy.

Report the incident to your supervisor, manager, Senior Manager, or Vice-President of Human Resources, who will promptly investigate the matter and take appropriate corrective action. Your complaint will be kept as confidential as practicable. If you feel you cannot go to the individuals indicated above, you should report the incident to the Chief Executive Officer.

If Hilltop determines that an employee's behavior is in violation of this policy, appropriate disciplinary action will be taken against the offending employee, up to and including termination of employment.

Hilltop prohibits retaliation against an employee for filing a complaint under this policy or for assisting in a complaint investigation. If you perceive retaliation for making a complaint or for your participation in the investigation, please follow the complaint procedure outlined above. The situation will be promptly investigated.



**TITLE:** Affirmative Action for Clients

**APPROVAL:** \_\_\_\_\_

**EFFECTIVE:** 05/02

**REVISION:** 3/06, 12/11

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**POLICY:** Each employee will be expected to provide client services as indicated for all clients, regardless of diagnosis, within the policies and procedures of the program and the organization. Failure to do so will be considered client/resident abandonment, which is unacceptable employee performance and will result in disciplinary action up to and including termination of employment.

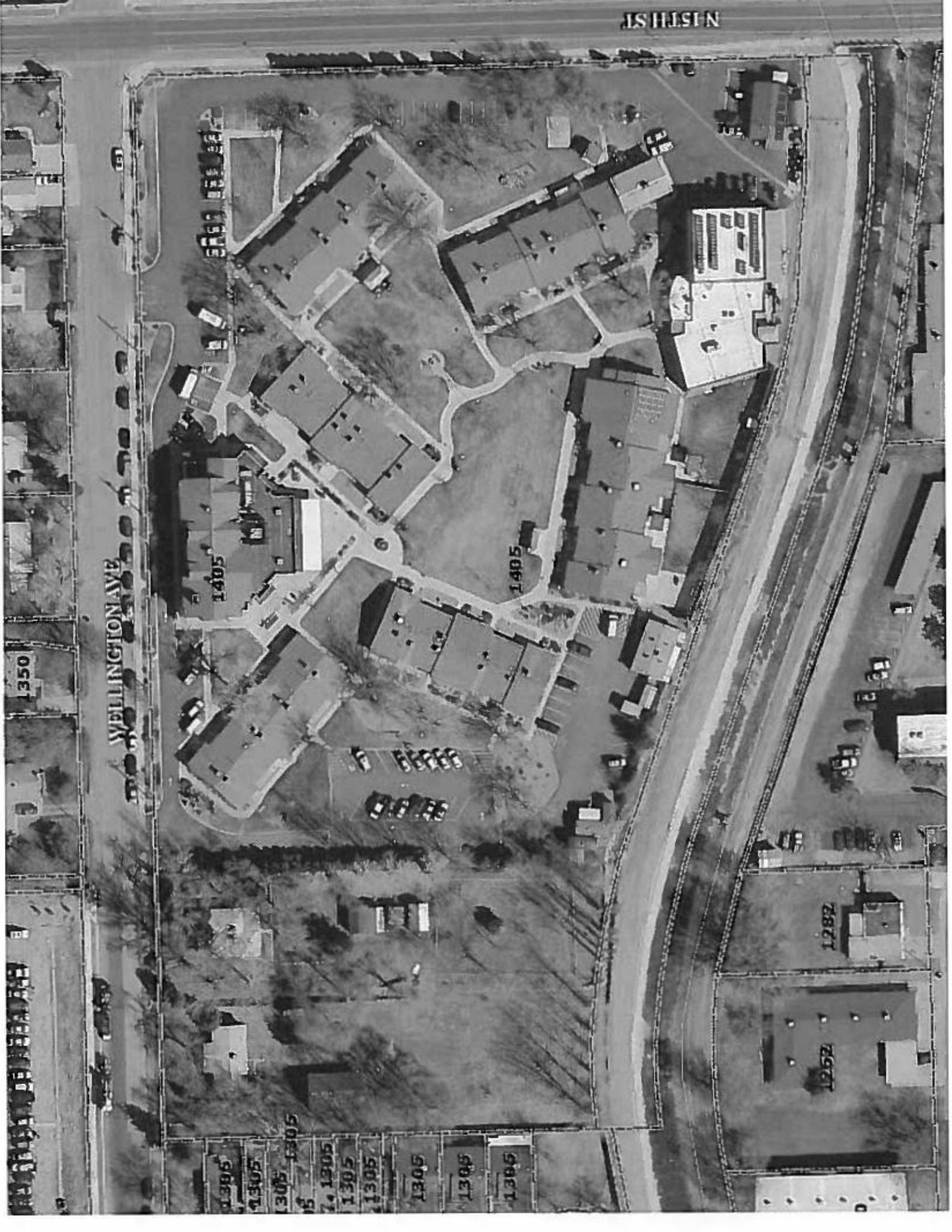
**PROCEDURE:** Please check with your department management for guidelines on how this is handled in your program.

Employees with religious/ethical convictions which may conflict with certain client/resident care practices will be responsible to notify their supervisors or management in writing of such convictions.



WELLINGTON AVE.







## GRANT APPLICATION Community Development Block Grant (CDBG) Program

*The City of Grand Junction does not discriminate on the basis of race, color, religion, sex, national origin, age or disability in the admission, access or appointment to, or treatment or employment in, its programs or activities.*

Applications and all attachments must be submitted to the City of Grand Junction electronically no later than 4:00 pm on Wednesday, March 26, 2018 to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org)

### APPLICANT BACKGROUND

1. Organization Name:

Grand Valley Catholic Outreach, Inc.

2. Organization Address:

245 S. 1st Street

Grand Junction, CO 81501

3. Organization DUNS Number (Data Universal Number System) (required):

*For more information visit [www.whitehouse.gov/omb/grants/duns\\_num\\_guide.pdf](http://www.whitehouse.gov/omb/grants/duns_num_guide.pdf):*

794656504

4. Organization or Parent Organization EIN/TIN Number (required):

20-0064007

5. Primary Contact Person:

Sr. Karen Bland, OSB

Phone: 970-241-3658 x101

FAX: 970-254-1262

Email: [kabland@catholicoutreach.com](mailto:kabland@catholicoutreach.com)



6. Do you or your parent organization file OMB A-133 (Single Audit)?

Yes  No

If yes, please attach a copy of the most recent A-133 and Form SF-SAC to your CDBG application.

7. **System for Award Management (SAM):** Your entity must be registered in the System for Award Management (SAM) prior to application. Provide a copy of the registration confirmation with your application. See attached example. For more information visit: [www.sam.gov/portal](http://www.sam.gov/portal)

8. Is this agency an equal employment opportunity employer?  Yes  No

Please attach a copy of the agency's affirmative action plan.

9. Provide a brief description of your organization - what do you do?

Grand Valley Catholic Outreach, an organization started by four Catholic parishes, is now joined by more than 50 churches and individuals of all faiths to deliver services to those in need in Mesa County. Each of the 12 core programs developed to help meet the needs of those in distress is overseen by one staff member, but delivered by volunteers now numbering 525 (FY 17). Financial aid to forestall eviction and utility assistance prevented 767 households from losing their homes or having their utilities shut off. 100 individuals were housed in emergency, transitional or permanent supportive housing last year. 29,924 visits were made to the Outreach Day Center by homeless individuals in order to have a shower, do laundry, or to see a doctor or therapist. At the Soup Kitchen 71,405 hot meals were served to those who were hungry. Last year, the lives of 39,427 individuals were touched by the services of Catholic Outreach.

10. Which describes your organization (check all that apply)?

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> A non-profit with 501(c)3 status | <input type="checkbox"/> Housing Authority                       |
| <input type="checkbox"/> Governmental Agency                         | <input type="checkbox"/> Quasi-Governmental Agency               |
| <input checked="" type="checkbox"/> Faith based                      | <input type="checkbox"/> Public Service /Human Services Provider |
| <input type="checkbox"/> Public Organization                         | <input type="checkbox"/> Other _____                             |

11. Has the applicant received past CDBG funding from the City of Grand Junction? If so, please indicate the year received, the amount received and the amount of CDBG and/or funds remaining to be spent at this time.

1997 - 2000 - \$73,121	2010 - \$88,725	All CDBG funds awarded have been spent
2000 - \$130,000	2011 - \$50,000	
2001 - \$ 10,000	2012 - \$12,638	
2004 - \$ 50,000	2015 - \$ 4,000	
2007 - \$100,000	2018 - \$55,788 (returned)	

12. Does any employee, board member or client have any past or present ownership or financial investment in the agency, organization or proposed project? If so, please describe.

NO

**FUNDING REQUEST SUMMARY**

- 1. Project Name: Paving the Alley Behind St. Martin Place Phase II
- 2. Project Address/Location: 3rd to 2nd street alley between Pitkin and South
- 3. Amount of CDBG funds requested for the project: \$ 120,000
- 4. Funding leveraged from other sources for this project: \$
- 5. Total Project Budget: \$
- 6. Minimum amount of CDBG funding to benefit your project: \$ 80,000

**PROJECT ELIGIBILITY**

1. Which CDBG national objective does this project meet?
- Benefits low/moderate income individuals/households
  - Addresses the prevention or elimination of slums or blight
  - Meets an urgent community need (usually a natural disaster)

2. Which City of Grand Junction CDBG Program Priority does this project meet?

- Need for Non-Housing Community Development Infrastructure
- Need for Affordable Housing
- Needs of the Homeless or Special Needs Populations
- Other Human Service Needs
- Economic Opportunities

### SPECIFIC PROJECT INFORMATION

1. **Project Description.** Give a brief description of the project for which you are requesting funds. How do you plan to spend the funds?

St. Martin Place Phase II was completed in April, 2015 adding 24 more apartments to the existing 16 of St. Martin Place opened in December, 2011. The alley behind the apartments is composed of dirt and scattered rocks. When it rains or snows, the alley becomes treacherous. The CDBG funds will be used to pave the alley which will make it safer. Other alleys in the area have already been paved. In its present condition, when there is rain or snow, the streets that the alley feeds into are made rocky and muddy also. The apartments are also being damaged by the rocky sludge that gets tracked in.

2. **Project Type.** Which of the following categories best describes your project? (select only one)

- Public or human service
- Capital construction, building rehabilitation or public facility improvement
- Home ownership activities
- Housing rehabilitation
- Economic development assistance
- Planning or predevelopment costs
- Acquisition or demolition of property

3. If this is a Public or Human Service Project, is  New or  On-going?  
the service:



4. If funding an existing service, describe how the CDBG funds will support a measurable increase in that service (e.g. more families served or longer service period as compared to current operations)

N/A

5. **Demonstrated Need for Project.** Provide a brief summary of current statistical data documenting the need for the project. Include sources of information.

There is no readily available statistical data on the need for alleys to be paved or how many have been paved in Grand Junction. However, in the City of Grand Junction 2011 CDBG 5 Year Strategic Plan there are two priorities that do apply.

- 1) "Expend CDBG funds for low to moderate income neighborhood improvements."
- 2) "Improve non-housing community infrastructures and public facilities."

6. **Project Budget.** Provide a line item budget for this project and the total budget cost, including the request for City of Grand Junction CDBG funds, other secured funding and other pending applications for funding. If this is a rehabilitation, remodeling or construction project, be sure to include Davis-Bacon wage regulations and architectural, engineering and/or environmental review fees in determining your project budget.

Budget Item	Amount	Funding Source	Award Date
Paving of the Alley	\$120,000	CDBG	9/15/2018
<b>Total Budget</b>			

7. **Project Schedule.** What is the proposed project schedule if awarded the CDBG funds? Keep in mind funding will not be available until September.

Start Date: 10/1/2018

Completion Date: 10/15/2018

If the project includes construction, are there plans/permits needed before the project can begin construction or has a construction schedule been identified?

Yes, plans/permits completed, project is ready to begin.

No, plans/permits still need to be completed.

8. **In-Kind Contributions.** What other resources (e.g. volunteers, in-kind donations, etc) will you be utilizing for this project? List all in-kind services, volunteer hours and goods you will bring to this project and determine a dollar value for all items listed.

Catholic Outreach completed in April, 2015 a \$2.6 million project that 1) enhances the 2nd-3rd block on Pitkin eliminating former drug sites and other blemishes; and 2) including the 16 units of St. Martin Place I provides 40 homes for Grand Junction citizens who have been chronically homeless.

## BENEFICIARY INFORMATION

1. **Total Persons Served.** How many total persons did this organization serve during the past year and how many total people does your organization expect to serve in the coming year?

Last fiscal year FY 17 (July 1, 2016 - June 30, 2017), Catholic Outreach served 39,427 individuals in households. We expect a 3% increase in services this year.

2. **Persons Served by Project. What percentage of total persons served by your organization live within the City limits?** Provide an estimate of the number of persons who will benefit from the specific project that live within the City limits of Grand Junction.

100% of those benefiting from this project live within the city limits of Grand Junction.

75% of those benefiting from all services live within the city limits of Grand Junction.

3. **Client Eligibility.** Will those served by this project be primarily (51% or more) of low and/or moderate income?  Yes  No

If you checked yes above, specify by checking all that apply to your project:

The income of each household/person receiving assistance will be individually verified for eligibility.

The types of households or persons served are of special need (presumed benefit) such as elderly, disabled, homeless, illiterate, or involve an abused spouse or child.

4. If the income will be verified, describe the organization's procedure for verification and how you will determine that a person is eligible for services.

Income of the beneficiaries is verified at intake and updated regularly by a review of paycheck stubs, assistance letters, and the Grand Junction Housing Authority. The residents of St Martin Place I and II are below the poverty level. 100% of the individuals served by Catholic Outreach are low income.



## COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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### REQUIRED ATTACHMENTS FOR CDBG APPLICATIONS

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Applications and all attachments must be submitted to the **City of Grand Junction electronically no later than 4:00 p.m. on Wednesday, March 26, 2018** to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org). The following attachments are required:

- A signed copy of the CDBG Application and Preliminary Agreement form (attached).
- A copy of your organization's original IRS determination letter indicating [501(c)(3) or tax-exempt status (if applicable)].
- Your agency's most recent audit, financial statement or, if applicable, A-133 (Single Audit). Please state your annual and cumulative fund balance. All agencies who receive \$750,000 or more, total for all Federal grants received this year, must submit an audit in compliance with the 1984 Single Audit Act.
- A copy of your organization's or parent organization's most recent Form SF-SAC pertaining to the A-133 audit, if applicable as above.
- A copy of System for Award Management (SAM) entity registration. See attached example.
- A list of current board members, including their employer and/or other pertinent affiliations.
- A copy of the project's client intake form, application form or other paperwork used to collect and certify income and racial data for each client.
- Copy of agency's Affirmative Action Plan.

**COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)**

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**CDBG APPLICATION AND PRELIMINARY AGREEMENT**

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By signing below I certify that all of the information above and included with this application is true, and I understand that application for funds does not in any way guarantee funding. Additionally, the applicant understands and agrees that in the event the applicant receives approval from the City Council for a block grant fund allocation:

1. The applicant will not receive the block grant funds until:
  - a. HUD has approved the project and the City receives a letter of credit from HUD.
  - b. An Environmental Review has been completed and approved.
  - c. If applicable, a Request for Release of Funds and a Finding of No Significant Impact have been published for community comment and HUD has released funds for the project.
  - d. A contract between the City of Grand Junction and the sub-recipient is fully executed.
  - e. All labor standards have been met.
2. The applicant will submit a sub-recipient report with each request for reimbursement. The report will describe the progress of the project, the project beneficiaries, and other information required by HUD or the City. Invoices will accompany the report when indicated. Funds will not be released without this report.
3. The applicant will provide the City any other information required by HUD or by the City.
4. The applicant will comply with all other requirements set forth by the City and the U.S. Department of Housing and Urban Development.

Applicant: Sr. Karen Bland, OSB

Title: Executive Director

Signature (typed acceptable): Sr. Karen Bland, OSB

Date: March 21, 2018



# GRANT APPLICATION Community Development Block Grant (CDBG) Program

*The City of Grand Junction does not discriminate on the basis of race, color, religion, sex, national origin, age or disability in the admission, access or appointment to, or treatment or employment in, its programs or activities.*

Applications and all attachments must be submitted to the City of Grand Junction electronically no later than 4:00 pm on Wednesday, March 26, 2018 to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org)

## APPLICANT BACKGROUND

1. Organization Name:  
Grand Valley Crisis Nursery (Hilltop as Fiscal Agent)  
\_\_\_\_\_
2. Organization Address:  
1129 Colorado Ave, C/O PCF  
\_\_\_\_\_  
Grand Junction, CO, 81501  
\_\_\_\_\_
3. Organization DUNS Number (Data Universal Number System) (required):  
*For more information visit [www.whitehouse.gov/omb/grants/duns\\_num\\_guide.pdf](http://www.whitehouse.gov/omb/grants/duns_num_guide.pdf):*  
Hilltop: 139504641, Grand Valley Crisis Nursery: 081132448  
\_\_\_\_\_
4. Organization or Parent Organization EIN/TIN Number (required):  
Hilltop: 74-2321009, Grand Valley Crisis Nursery: 82-4049509  
\_\_\_\_\_
5. **Primary** Contact Person:  
Kaleigh Stover  
\_\_\_\_\_  
Phone: 916-293-1157 FAX: \_\_\_\_\_  
Email: [gvcrisisnursery@gmail.com](mailto:gvcrisisnursery@gmail.com)  
\_\_\_\_\_



6. Do you or your parent organization file OMB A-133 (Single Audit)?

Yes  No

If yes, please attach a copy of the most recent A-133 and Form SF-SAC to your CDBG application.

7. **System for Award Management (SAM):** Your entity must be registered in the System for Award Management (SAM) prior to application. Provide a copy of the registration confirmation with your application. See attached example. *For more information visit: [www.sam.gov/portal](http://www.sam.gov/portal)*

8. Is this agency an equal employment opportunity employer?  Yes  No

Please attach a copy of the agency's affirmative action plan.

9. Provide a brief description of your organization - what do you do?

The Grand Valley Crisis Nursery (GVCN) will provide free, short-term, emergency childcare to families in crisis and connect families with local resources to help them get out and stay out of crisis. Families may voluntarily bring their children to the Crisis Nursery when they are experiencing times of high stress or crisis. Our vision is to strengthen families and decrease the number of children who enter into the foster care system. There are currently no free, non-punitive options for parents to take their kids during times of crisis and the GVCN will be this safe and supportive place. By keeping families together and connecting them to resources throughout the community we build stronger families and a stronger community better equipped to deal with hard times. Crisis nurseries exist across the country to prevent child abuse and neglect and support and strengthen families, but the GVCN will be the first Crisis Nursery in the state of Colorado.

10. Which describes your organization (check all that apply)?

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> A non-profit with 501(c)3 status | <input type="checkbox"/> Housing Authority                       |
| <input type="checkbox"/> Governmental Agency                         | <input type="checkbox"/> Quasi-Governmental Agency               |
| <input type="checkbox"/> Faith based                                 | <input type="checkbox"/> Public Service /Human Services Provider |
| <input type="checkbox"/> Public Organization                         | <input type="checkbox"/> Other _____                             |

11. Has the applicant received past CDBG funding from the City of Grand Junction? If so, please indicate the year received, the amount received and the amount of CDBG and/or funds remaining to be spent at this time.

We as the Grand Valley Crisis Nursery have not, but our fiscal agent, Hilltop, has received funds in the past.

12. Does any employee, board member or client have any past or present ownership or financial investment in the agency, organization or proposed project? If so, please describe.

No.

### FUNDING REQUEST SUMMARY

1. Project Name: Grand Valley Crisis Nursery
2. Project Address/Location: 1129 Colorado Ave, Grand Junction, CO, 81501
3. Amount of CDBG funds requested for the project: \$60,000.00
4. Funding leveraged from other sources for this project: \$240,000.00
5. Total Project Budget: \$0.00
6. Minimum amount of CDBG funding to benefit your project: \$40,000.00

### PROJECT ELIGIBILITY

1. Which CDBG national objective does this project meet?

- Benefits low/moderate income individuals/households
- Addresses the prevention or elimination of slums or blight
- Meets an urgent community need (usually a natural disaster)

2. Which City of Grand Junction CDBG Program Priority does this project meet?

- Need for Non-Housing Community Development Infrastructure
- Need for Affordable Housing
- Needs of the Homeless or Special Needs Populations
- Other Human Service Needs
- Economic Opportunities

### SPECIFIC PROJECT INFORMATION

1. **Project Description.** Give a brief description of the project for which you are requesting funds. How do you plan to spend the funds?

We are requesting funds to aid in the purchase of a residential home to house the Crisis Nursery at. The funds will be used for a down payment on a residential property located in Grand Junction.  
Crisis nurseries exist across the country to prevent child abuse/neglect and support families in times of high stress and crisis. The Grand Valley Crisis Nursery will be the first crisis nursery in Colorado and is to be located in Grand Junction. The Crisis Nursery will be open 24 hrs a day, 365 days a year. Kids stay in a homelike environment with loving staff, every child has his/her own bed, engages in age appropriate play and eats well-balanced meals and snacks throughout the day.

2. **Project Type.** Which of the following categories best describes your project? (select only one)

- Public or human service
- Capital construction, building rehabilitation or public facility improvement
- Home ownership activities
- Housing rehabilitation
- Economic development assistance
- Planning or predevelopment costs
- Acquisition or demolition of property

3. If this is a Public or Human Service Project, is  New or  On-going?  
the service:



4. If funding an existing service, describe how the CDBG funds will support a measurable increase in that service (e.g. more families served or longer service period as compared to current operations)

n/a

5. **Demonstrated Need for Project.** Provide a brief summary of current statistical data documenting the need for the project. Include sources of information.

Mesa County has a high rate of child abuse/neglect at 14.2 (per 1,000 children <17yrs old), almost double the state rate of 8.4. (Healthy Mesa County Report 2015-2017). We also have a high number of vulnerable families, families that would benefit from a crisis nursery, with 28.9% of babies being born to a single mother, 12.8% born to a mother without a high school diploma or GED, and a teen pregnancy rate of 23.1 (rate per 1,000 female teens 15-19). Our rate of out-of-home placements is 16.4 (rate per 1,000), which is more than double the state rate of 8.1. (Kids Count CO 2018)

6. **Project Budget.** Provide a line item budget for this project and the total budget cost, including the request for City of Grand Junction CDBG funds, other secured funding and other pending applications for funding. If this is a rehabilitation, remodeling or construction project, be sure to include Davis-Bacon wage regulations and architectural, engineering and/or environmental review fees in determining your project budget.

Budget Item	Amount	Funding Source	Award Date
Down payment	\$ 60,000.00	CDBG funds	September 2018
1st years mortgage	\$ 20,000.00	Rocky Mtn Health	July 2018
1st years house expenses	\$ 9,000.00	Fundraising (grants)	ongoing
Remaining mortgage	\$ 220,000.00	Fundraising (grants)	ongoing
<b>Total Budget</b>	<b>\$ 0.00</b>		

7. **Project Schedule.** What is the proposed project schedule if awarded the CDBG funds? Keep in mind funding will not be available until September.

Start Date: Sept 15, 2018 Completion Date: Dec 1, 2018

If the project includes construction, are there plans/permits needed before the project can begin construction or has a construction schedule been identified?

Yes, plans/permits completed, project is ready to begin.

No, plans/permits still need to be completed.

8. **In-Kind Contributions.** What other resources (e.g. volunteers, in-kind donations, etc) will you be utilizing for this project? List all in-kind services, volunteer hours and goods you will bring to this project and determine a dollar value for all items listed.

Volunteer hours for fundraising and house preparation: 60hrs @ \$25/hr= \$1500

In-kind donations: Furniture \$1000, appliances \$800, clothing \$400, children's supplies \$300, etc

In-kind services: painting \$500, yard work \$300, house cleaning/prep \$250

## BENEFICIARY INFORMATION

1. **Total Persons Served.** How many total persons did this organization serve during the past year and how many total people does your organization expect to serve in the coming year?

As a new organization, this will be our first year serving people. Our first year we plan to have 12 beds available for children age birth through five and serve as many families as needed. Based on other crisis nurseries in similarly populated areas, we expect to provide crisis care for around 1100 children our first year and Case Management services to 800 families.

2. **Persons Served by Project.** What percentage of total persons served by your organization live within the City limits? Provide an estimate of the number of persons who will benefit from the specific project that live within the City limits of Grand Junction.

Since the crisis nursery will be located in Grand Junction, we are estimating that 90% of families served will live within city limits, roughly 720 families and 990 children.

3. **Client Eligibility.** Will those served by this project be primarily (51% or more) of low and/or moderate income?  Yes  No

If you checked yes above, specify by checking all that apply to your project:

The income of each household/person receiving assistance will be individually verified for eligibility.

The types of households or persons served are of special need (presumed benefit) such as elderly, disabled, homeless, illiterate, or involve an abused spouse or child.

4. If the income will be verified, describe the organization's procedure for verification and how you will determine that a person is eligible for services.

There is no income eligibility to use the crisis nursery and we will not require income verification from the families that use our services.

The population served will be families experiencing crisis. We let families define the crisis but some of the top reasons families use crisis nurseries are:

- A sudden illness or accident
- Feelings of desperation or helplessness
- Emotional distress or frustration
- Domestic violence
- Homelessness
- Drug or alcohol treatment
- A medical or mental health situation
- Employment or housing situation



COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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**REQUIRED ATTACHMENTS FOR CDBG APPLICATIONS**

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Applications and all attachments must be submitted to the **City of Grand Junction electronically no later than 4:00 p.m. on Wednesday, March 26, 2018** to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org). The following attachments are required:

- A signed copy of the CDBG Application and Preliminary Agreement form (attached).
- A copy of your organization's original IRS determination letter indicating [501(c)(3) or tax-exempt status (if applicable).
- Your agency's most recent audit, financial statement or, if applicable, A-133 (Single Audit). Please state your annual and cumulative fund balance. All agencies who receive \$750,000 or more, total for all Federal grants received this year, must submit an audit in compliance with the 1984 Single Audit Act.
- A copy of your organization's or parent organization's most recent Form SF-SAC pertaining to the A-133 audit, if applicable as above.
- A copy of System for Award Management (SAM) entity registration. See attached example.
- A list of current board members, including their employer and/or other pertinent affiliations.
- A copy of the project's client intake form, application form or other paperwork used to collect and certify income and racial data for each client.
- Copy of agency's Affirmative Action Plan.

**COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)**

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**CDBG APPLICATION AND PRELIMINARY AGREEMENT**

---

By signing below I certify that all of the information above and included with this application is true, and I understand that application for funds does not in any way guarantee funding. Additionally, the applicant understands and agrees that in the event the applicant receives approval from the City Council for a block grant fund allocation:

1. The applicant will not receive the block grant funds until:
  - a. HUD has approved the project and the City receives a letter of credit from HUD.
  - b. An Environmental Review has been completed and approved.
  - c. If applicable, a Request for Release of Funds and a Finding of No Significant Impact have been published for community comment and HUD has released funds for the project.
  - d. A contract between the City of Grand Junction and the sub-recipient is fully executed.
  - e. All labor standards have been met.
2. The applicant will submit a sub-recipient report with each request for reimbursement. The report will describe the progress of the project, the project beneficiaries, and other information required by HUD or the City. Invoices will accompany the report when indicated. Funds will not be released without this report.
3. The applicant will provide the City any other information required by HUD or by the City.
4. The applicant will comply with all other requirements set forth by the City and the U.S. Department of Housing and Urban Development.

Applicant: Kaleigh Stover

Title: Board Chair

Signature (typed acceptable): Kaleigh M. Stover

Date: 3/23/18



## GRANT APPLICATION Community Development Block Grant (CDBG) Program

*The City of Grand Junction does not discriminate on the basis of race, color, religion, sex, national origin, age or disability in the admission, access or appointment to, or treatment or employment in, its programs or activities.*

Applications and all attachments must be submitted to the City of Grand Junction electronically no later than 4:00 pm on Wednesday, March 26, 2018 to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org)

### APPLICANT BACKGROUND

1. Organization Name:

The Arc Mesa County, Inc

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2. Organization Address:

845 Grand Ave, Grand Junction, CO 81501-3424

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3. Organization DUNS Number (Data Universal Number System) (required):

*For more information visit [www.whitehouse.gov/omb/grants/duns\\_num\\_guide.pdf](http://www.whitehouse.gov/omb/grants/duns_num_guide.pdf):*

145008699

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4. Organization or Parent Organization EIN/TIN Number (required):

84-6038382

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5. Primary Contact Person:

Dr. Tanya Skalecki, JD-Executive Director

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Phone: 970-644-5943

FAX: 970-644-5944

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Email: [Tskalecki@thearcmesa.org](mailto:Tskalecki@thearcmesa.org)

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6. Do you or your parent organization file OMB A-133 (Single Audit)?

Yes  No

If yes, please attach a copy of the most recent A-133 and Form SF-SAC to your CDBG application.

7. **System for Award Management (SAM):** Your entity must be registered in the System for Award Management (SAM) prior to application. Provide a copy of the registration confirmation with your application. See attached example. *For more information visit: [www.sam.gov/portal](http://www.sam.gov/portal)*

8. Is this agency an equal employment opportunity employer?  Yes  No

Please attach a copy of the agency's affirmative action plan.

9. Provide a brief description of your organization - what do you do?

The Arc provides at "no cost" Individual Advocacy, Community Outreach, Advocacy Education and Legislative & Systemic Advocacy. The Arc staff work one-on-one with children, adults and parents with disabilities supporting them to address education, insurance, publicly funded services, employment and housing issues. We provide educational presentations, community events, serve on multiple community committees and provide local conferences to problem solve current issues affecting individuals and their families with disabilities in our community. We provide on-going education for families and self-advocates, providing them with necessary skills & tools to empower them to advocate for themselves. The Arc partners with our local community center board, committees, service providers, university & school district to support our most vulnerable citizens.

10. Which describes your organization (check all that apply)?

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> A non-profit with 501(c)3 status | <input type="checkbox"/> Housing Authority                       |
| <input type="checkbox"/> Governmental Agency                         | <input type="checkbox"/> Quasi-Governmental Agency               |
| <input type="checkbox"/> Faith based                                 | <input type="checkbox"/> Public Service /Human Services Provider |
| <input type="checkbox"/> Public Organization                         | <input type="checkbox"/> Other _____                             |

11. Has the applicant received past CDBG funding from the City of Grand Junction? If so, please indicate the year received, the amount received and the amount of CDBG and/or funds remaining to be spent at this time.

No, The Arc Mesa County, Inc has not applied for CDBG funds in the past. We started as a grassroots organization in 1956 by parents looking for options outside their home for their children with intellectual disabilities. On September 15, 2017, The Arc Mesa County after 60 years of service to the community saved enough funds to purchase their first property without a loan

12. Does any employee, board member or client have any past or present ownership or financial investment in the agency, organization or proposed project? If so, please describe.

No

### FUNDING REQUEST SUMMARY

1. Project Name: Accessibility Project for People with Disabilities
2. Project Address/Location: 845 Grand Ave, Grand Junction, Co 81501-3424
3. Amount of CDBG funds requested for the project: \$61,721.81
4. Funding leveraged from other sources for this project: \$20,573.93
5. Total Project Budget: \$~~0.00~~82,295.74
6. Minimum amount of CDBG funding to benefit your project: \$41,147.87

### PROJECT ELIGIBILITY

1. Which CDBG national objective does this project meet?
- Benefits low/moderate income individuals/households
- Addresses the prevention or elimination of slums or blight
- Meets an urgent community need (usually a natural disaster)

2. Which City of Grand Junction CDBG Program Priority does this project meet?

- Need for Non-Housing Community Development Infrastructure
- Need for Affordable Housing
- Needs of the Homeless or Special Needs Populations
- Other Human Service Needs
- Economic Opportunities

### SPECIFIC PROJECT INFORMATION

1. **Project Description.** Give a brief description of the project for which you are requesting funds. How do you plan to spend the funds?

Our capital needs are to create an accessible second floor which will include; adding an elevator lift, widening doors and removing walls to provide accessible space for a training/meeting room for our clients with disabilities. Along with individual and small group support, we currently host a People First Group, Autism Parent Support Group, Human Rights Committee and a Parent/Caregiver Support Group for individuals with visual impairments (National Federation of the Blind) at our location. Given the increase number of participants, we are in great need to expand our meeting/gathering area to the second floor. The majority of the individuals we serve can not climb stairs safely or at all, limiting their participation and engagement in our services.

2. **Project Type.** Which of the following categories best describes your project? (select only one)

- Public or human service
- Capital construction, building rehabilitation or public facility improvement
- Home ownership activities
- Housing rehabilitation
- Economic development assistance
- Planning or predevelopment costs
- Acquisition or demolition of property

3. If this is a Public or Human Service Project, is  New or  On-going?  
the service:



4. If funding an existing service, describe how the CDBG funds will support a measurable increase in that service (e.g. more families served or longer service period as compared to current operations)

When we obtain accessibility to our second floor, The Arc will be able to increase our number of meetings, trainings and social activities for our clients with disabilities. Second floor access will allow for us to have 40-50 people with disabilities to attend each meeting and/or activity comfortably and together as a whole group. The accessibility will more than double our capacity for each event held at our location.

5. **Demonstrated Need for Project.** Provide a brief summary of current statistical data documenting the need for the project. Include sources of information.

Over the last year, The Arc has served over 700 people with disabilities, in which approximately 525 participants live/lived within the city limits of Grand Junction. At this time, our first floor whole group participant capacity for an activity is 15 people comfortably. According to disability statistics in Colorado, 10.3% of the population has a disability. Given the number of people with disabilities currently served within the city limits, The Arc is not reaching all those that may need our support. Once our accessibility project is completed we will be able to advertise our services and increase caseloads and services

6. **Project Budget.** Provide a line item budget for this project and the total budget cost, including the request for City of Grand Junction CDBG funds, other secured funding and other pending applications for funding. If this is a rehabilitation, remodeling or construction project, be sure to include Davis-Bacon wage regulations and architectural, engineering and/or environmental review fees in determining your project budget.

Budget Item	Amount	Funding Source	Award Date
See attached bid.	\$ 82,295.74		
CDBG Request @75%	\$ 61,721.81		
The Arc Mesa County	\$ 20,573.93	Saving Account	
	\$ 82,295.74		
<b>Total Budget</b>	\$ 0.00		

# ARC of Mesa County



## Budget Request

**ARC - Handicap Lift / Remodel**

Project No.: 1808  
 Address: 845 Grand Ave  
 GJ CO 81501

Date: 3/7/2018  
 Estimator: CLC  
 Project Duration: 45 days

CODE	Description	Quan.	Unit	Labor	Material	Vendor / Sub	Total
<b>General Conditions</b>							
01-105	Builders Risk						\$ -
01-106	Arch - Eng Fees (Struct Eng)						\$ 2,250.00
01-120	Permit Fees GJ - MC						\$ 1,350.00
01-311	Project Manager	30 hrs	1/2 time				\$ 1,950.00
01-313	Project Superintendent	130 hrs	1/2 time				\$ 7,150.00
01-315	General Labor w / scaffold						\$ 2,370.00
01-317	Vehicle Expense						\$ 350.00
01-451	Q.C. & Special Inspections						\$ 550.00
01-529	Sanitary Facilities						\$ 280.00
01-755	Trash Dumpsters & Fees						\$ 1,450.00
01-771	Consumables						\$ 175.00
							\$ -
							\$ -
							\$ -
							\$ -
<b>Self Performed Work</b>							
02-220	Demolition						\$ 3,980.00
03-300	Concrete						\$ 1,790.00
06-100	Framing						\$ 4,775.00
06-200	Trim / Railing						\$ 3,865.00
09-550	Hardwood (floor repair budget)						\$ 995.00
09-250	Gyp						\$ 4,670.00
09-910	Paint						\$ 2,555.00
							\$ -
							\$ -
							\$ -
<b>Subcontractors</b>							
15-100	HVAC						\$ 1,970.00
16-010	ELEC						\$ 1,460.00
							\$ -
11-100	LIFT - (Colo Custom Lift)						\$ 26,245.00
							\$ -
01-744	Final Cleaning						\$ 650.00
							\$ -
							\$ -
<b>Vendors</b>							
							\$ -
							\$ -

Subtotals	Labor	Materials	Vendor / Sub	Total
	\$0.00	\$0.00	\$0.00	\$70,830.00

Direct Cost of Work		\$70,830.00
Contingency	\$0.05	\$3,253.00
Liability Insurance	1.45%	\$1,027.04
Contractors Fee	10.00%	\$7,185.70
Bond	3.00%	\$0.00

Signature: \_\_\_\_\_  
 Date: \_\_\_\_\_

**Estimate Total: \$82,295.74**

7. **Project Schedule.** What is the proposed project schedule if awarded the CDBG funds? Keep in mind funding will not be available until September.

Start Date: September 2018

Completion Date: December 2018

If the project includes construction, are there plans/permits needed before the project can begin construction or has a construction schedule been identified?

Yes, plans/permits completed, project is ready to begin.

No, plans/permits still need to be completed.

8. **In-Kind Contributions.** What other resources (e.g. volunteers, in-kind donations, etc) will you be utilizing for this project? List all in-kind services, volunteer hours and goods you will bring to this project and determine a dollar value for all items listed.

Architect, Robert Gregg has volunteered to complete (has completed the architectural design) at no cost.

## BENEFICIARY INFORMATION

1. **Total Persons Served.** How many total persons did this organization serve during the past year and how many total people does your organization expect to serve in the coming year?

The Arc has served over 700 people either directly or indirectly over the last fiscal year. Once our remodel is complete, our goal is to advertise our services and increase our supports at no cost to people with disabilities to reach over 1000 people per year.

2. **Persons Served by Project. What percentage of total persons served by your organization live within the City limits?** Provide an estimate of the number of persons who will benefit from the specific project that **live within the City limits of Grand Junction.**

Of the over 700 people served, the % of those served living within the city limits are approximately 75-80%. Once our accessibility project is completed, we estimate the % of clients will increase in participation as there are limited social opportunities available for them in the city of GJ.

3. **Client Eligibility.** Will those served by this project be primarily (51% or more) of low and/or moderate income?  Yes  No



If you checked yes above, specify by checking all that apply to your project:

The income of each household/person receiving assistance will be individually verified for eligibility.

The types of households or persons served are of special need (presumed benefit) such as elderly, disabled, homeless, illiterate, or involve an abused spouse or child.

4. If the income will be verified, describe the organization's procedure for verification and how you will determine that a person is eligible for services.

## COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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### REQUIRED ATTACHMENTS FOR CDBG APPLICATIONS

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- Your agency's most recent audit, financial statement or, if applicable, A-133 (Single Audit). Please state your annual and cumulative fund balance. All agencies who receive \$750,000 or more, total for all Federal grants received this year, must submit an audit in compliance with the 1984 Single Audit Act.
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COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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**CDBG APPLICATION AND PRELIMINARY AGREEMENT**

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  - b. An Environmental Review has been completed and approved.
  - c. If applicable, a Request for Release of Funds and a Finding of No Significant Impact have been published for community comment and HUD has released funds for the project.
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2. The applicant will submit a sub-recipient report with each request for reimbursement. The report will describe the progress of the project, the project beneficiaries, and other information required by HUD or the City. Invoices will accompany the report when indicated. Funds will not be released without this report.
3. The applicant will provide the City any other information required by HUD or by the City.
4. The applicant will comply with all other requirements set forth by the City and the U.S. Department of Housing and Urban Development.

Applicant: Dr. Tanya Skalecki, JD-The Arc Mesa County, Inc

Title: Executive Director

Signature (typed acceptable): Dr. Tanya Skalecki, JD

Date: 3/16/2018



Internal Revenue Service

Department of the Treasury

District  
Director

1100 Commerce St., Dallas, Texas 75242

Association For Retarded Citizens  
of Mesa County  
P.O. Box 2292  
Grand Junction, CO 81502

Person to Contact:  
EOMF Tax Examiner

Telephone Number:  
(214) 767-1155

Refer Reply to:  
RM:CSB:306:EO

Date: JUN 29 1983

EIN: 84-6038382

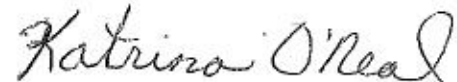
Gentlemen:

Our records show that Association For Retarded Citizens of Mesa County  
is exempt from Federal income tax under section  
501(c)(3) of the Internal Revenue Code. This exemption was granted  
July 1956 and remains in full force and effect.

We have classified your organization as one that is not a private  
foundation within the meaning of section 509(a) of the Internal Revenue  
Code because you are an organization described in section 170(b)(1)(A)(vi)

If we may be of further assistance, please contact the person whose name  
and telephone number are shown above.

Sincerely yours,



Kathrina O'Neal  
EOMF Tax Examiner

STATE OF COLORADO  
 DEPARTMENT OF REVENUE  
 CERTIFICATE OF EXEMPTION

RETAIN IN  
 A PERMANENT PLACE

THIS CERTIFICATE IS  
 NON TRANSFERABLE

Identification Number	Issue Date		
	Mo	Day	Yr
98-01562	09	05	79
<i>Please use this number for all reference purposes.</i>	MESA CNTY ASSN FOR RETARDED CITIZENS PO BX 2292 GRAND JCTN CO 81501		
	<i>Name and Address</i>		

DEPARTMENT OF REVENUE  
 SALES AND USE  
 TAX SECTION  
 1375 Sherman Street  
 Denver, Colorado 80261

The organization designated herein is exempt from Colorado Sales Tax and State Collected Local Sales Tax on purchases made by such organization from their own funds and for the exclusive use of said organization.

This certificate may be revoked by the Department of Revenue for improper usage.

**Advocacy Resource Coalition**  
**Statement of Assets, Liabilities and Equity - Tax Basis**  
As of February 28, 2018

	Feb 28, 18
<b>ASSETS</b>	
Current Assets	
Checking/Savings	
101 · Cash - Colorama Prime Share	25.00
102 · Cash - Colorama Checking	52,908.33
103 · Cash - Colorama Money Mgt	135,003.93
105 · Bank of Colorado account	5,328.14
Total Checking/Savings	<u>193,265.40</u>
Total Current Assets	193,265.40
Fixed Assets	
201 · Equipment	43,377.24
202 · Accum. Depreciation	-28,640.64
220 · Leasehold Improvements	10,152.58
225 · Building - Grand Avenue	355,096.40
Total Fixed Assets	<u>379,985.58</u>
<b>TOTAL ASSETS</b>	<u><u>573,250.98</u></u>
<b>LIABILITIES &amp; EQUITY</b>	
Liabilities	
Current Liabilities	
Other Current Liabilities	
300 · Accounts payable - other	3,076.41
24000 · Payroll Liabilities	1,790.54
313 · Colorado Tax W/H	516.00
Total Other Current Liabilities	<u>5,382.95</u>
Total Current Liabilities	5,382.95
Total Liabilities	5,382.95
Equity	
32000 · Unrestricted Net Assets	535,560.49
Net Income	32,307.54
Total Equity	<u>567,868.03</u>
<b>TOTAL LIABILITIES &amp; EQUITY</b>	<u><u>573,250.98</u></u>



**Advocacy Resource Coalition**  
**Statement of Operations - Tax Basis**  
 February 2018

	Feb 18	Jul '17 - Feb 18
<b>Income</b>		
523 · Interest Income - Savings	17.91	241.31
524 · Interest Income - Checking	0.49	28.67
539 · Donations	0.00	552.79
566 · ARC/CO	0.00	5,000.00
633 · Marc Income	31,864.09	254,912.72
<b>Total Income</b>	<b>31,882.49</b>	<b>260,735.49</b>
<b>Gross Profit</b>	<b>31,882.49</b>	<b>260,735.49</b>
<b>Expense</b>		
<b>Personnel</b>		
755 · Salaries	16,125.00	133,264.20
760 · Payroll Tax	1,152.55	9,546.72
761 · Retirement - Benefits	1,200.00	7,500.00
763 · Health Insurance	2,017.37	16,139.20
765 · Workman's Comp	0.00	422.00
<b>Total Personnel</b>	<b>20,494.92</b>	<b>166,872.12</b>
66000 · Payroll Expenses	0.00	0.00
756 · Penalty & Interest	0.00	717.11
762 · Retirement - Plan Fees	0.00	1,540.00
786 · Accounting	0.00	5,118.24
787 · Contract Labor	1,000.00	1,000.00
788 · Computer Technical Support	0.00	1,749.00
790 · Staff Travel	1,014.82	9,246.28
795 · Rent	0.00	6,365.40
800 · Supplies	208.77	3,847.15
815 · Cleaning & Lawn Maintenance	0.00	580.00
817 · Repair & Maintenance	200.00	5,123.91
820 · Depreciation expense	465.33	3,722.64
831 · Utilities	468.77	1,835.90
835 · Insurance - D & O Liability	0.00	2,293.00
845 · Telephone	209.78	1,829.99
850 · Postage	0.00	259.77
854 · Board Expense	112.02	3,756.37
853 · Business meetings	241.76	1,310.24
855 · Other	0.00	590.68
856 · ARC/US Affiliation Fee	0.00	2,000.00
858 · Dues & Fees	10.50	278.75
859 · Donations - School Inclusion	0.00	630.80
860 · Donations to organizations	1,250.00	3,338.14
865 · Legal Services	0.00	260.00
870 · Assistance to Individuals	106.23	741.45
890 · Conference Expense	0.00	3,421.01
<b>Total Expense</b>	<b>25,782.90</b>	<b>228,427.95</b>
<b>Net Income</b>	<b>6,099.59</b>	<b>32,307.54</b>



BOARD OF DIRECTORS  
FY 2017-18

Jana Colosimo, President (Retired)

Jessica Wayt, Vice-President (Homemaker)

Don Colosimo, Treasurer (Retired)

Kaylee Cooper, Secretary (Homemaker)

Ashley Boutilier, Director (Strive)

Michael Ruspil, Director (Retired)

Jennifer Mendenhall, Director (Homemaker)

Heidi Olsen, Director (Homemaker)

**\*Five (5) out of eight (8) Board of Directors have a child/ren with a disability.**



**INTAKE FORM**

Date & Yr \_\_\_\_\_

Staff \_\_\_\_\_

Caller: \_\_\_\_\_

Client: \_\_\_\_\_

Phone #: \_\_\_\_\_ Cell #: \_\_\_\_\_

Address: \_\_\_\_\_

City/Zip: \_\_\_\_\_ County: \_\_\_\_\_

DOB: \_\_\_\_\_ Child: \_\_\_\_\_ Adult: \_\_\_\_\_

Email \_\_\_\_\_

Issue:

Housing \_\_\_\_\_ Education \_\_\_\_\_ Guardianship \_\_\_\_\_

Employment \_\_\_\_\_ Social Security \_\_\_\_\_ Medicaid \_\_\_\_\_

CCB/Service Provider \_\_\_\_\_ Disability \_\_\_\_\_

NOTES: \_\_\_\_\_

\_\_\_\_\_

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\_\_\_\_\_

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\_\_\_\_\_

\_\_\_\_\_

Signed Release: _____	Date: _____
Next step: _____	



Board of Directors  
Jana Colosimo, President  
Jessica Wayt, Vice President  
Kaylee Cooper, Secretary  
Don Colosimo, Treasurer  
Michael Ruspil, Director  
Jennifer Mendenhall, Director  
Ashley Boutilier, Director  
Heidi Olsen, Director

## **The Arc Mesa County Affirmative Action/Equal Opportunity Policy**

The Arc Mesa County, Inc is committed to a policy of providing equal employment opportunity for all qualified individuals regardless of race, religion, creed, color, sex, gender identity or expression, national origin, ancestry, age, disability, marital status, relationship to other employees, sexual orientation, political affiliation, arrest or conviction record, membership in the national guard, state defense force or any other reserve component of the military forces of the United States or the State of Colorado, or other protected status.

Equal employment opportunity applies to all positions, and to all employment practices including, but not limited to: recruitment, interviewing, screening, hiring, certification, classification, evaluation, transfers, promotions, training, compensation, benefits, layoffs, non-contract renewals, terminations, retention, and committee assignment. Affirmative Action policies and programs are required to overcome the present effects of past discrimination and to achieve equal employment opportunity for members of groups that are or have been formerly under-represented. Through specific and result-oriented activities The Arc Mesa County, Inc's goals are to ensure that every person is given full consideration through equal employment opportunity policies and practices and to achieve a representative workforce through its affirmative action efforts.

The Arc Mesa County, Inc works to ensure physical accessibility to work environments for persons with disabilities and provides reasonable accommodation to ensure equal access to employment and all benefits associated with employment. When requested, reasonable accommodations for religious observances and practices will be provided.

All personnel share in the responsibility for monitoring all equal employment and affirmative action policies. The Executive Director is responsible for monitoring the effectiveness of equal opportunity and, where necessary, the implementation of affirmative action programs.

Harassment is verbal or physical conduct that hinders access to employment; interferes with an individual's work performance; or creates an intimidating, hostile, offensive or demeaning work environment. Harassment by supervisors and/or co-workers on the basis of race, gender, sexual orientation, or other discriminatory bases are unlawful employment practices prohibited by state statutes, the Office of State Employment Relations, and The Arc Mesa County, Inc and will not be tolerated. Retaliation against an employee who files a discrimination or harassment complaint, or against anyone who assists in the preparation of or testifies on behalf of an employee, is itself considered a form of discrimination and will be treated as such. Persons seeking to file a complaint of harassment or discrimination should first bring the situation to the attention of their immediate supervisor or President of the Board of Directors.



SAM.gov will be down for scheduled maintenance Saturday, 03/17/2018, from 7:00 AM to 2:00 PM (EDT).

## Register Entity

- Overview
- Purpose of Registration
  - Core Data
    - DUNS Information
    - **Verify DUNS Information**
    - Business Information
    - IRS Consent
    - CAGE or NCAGE Code
    - General Information
    - Financial Information
    - Executive Compensation Questions
    - Proceedings
    - Questions
    - SAM Search Authorization
    - Review Core Data
  - Points of Contact
  - Submit Registration

[BACK TO USER DASHBOARD](#)

Advocacy Resource Coalition For People...

### Core Data

#### Verify DUNS Information DUNS: 145008699

Page Description

Please carefully review the information retrieved from Dun & Bradstreet (D&B) for your entity's DUNS Number. Once you select Save and Continue on this page, the information from D&B will appear in your entity's registration. You cannot change this information in SAM. If the information returned from D&B is incorrect, please select Cancel and go to D&B to make the necessary updates.

*If D&B sent you confirmation that your updates were completed more than 24 hours ago, and you don't see the updated information displayed under "Details Returned from D&B," select the "Refresh D&B Data" button.*

Details Entered by the User	Details Returned from D&B
<p>DUNS: 145008699</p> <p>Legal Business Name: Advocacy Resource Coalition for People with Developmental Disabilities of Mesa County, Inc.</p> <p>Doing Business As: (none)</p> <p>Address Line 1: 845 Grand Ave</p> <p>Address Line 2:</p> <p>City: Grand Junction</p> <p>State: CO</p> <p>ZIP/Postal Code: 81501-3424</p> <p>Country: UNITED STATES</p>	<p>DUNS: 145008699</p> <p>Legal Business Name: Advocacy Resource Coalition For People With Developmental Disabilities Of Mesa County, Inc.</p> <p>Doing Business As: Arc Mesa County, The</p> <p>Address Line 1: 845 Grand Ave</p> <p>Address Line 2:</p> <p>City: Grand Junction</p> <p>State: CO</p> <p>ZIP/Postal Code: 81501-3424</p> <p>Country: UNITED STATES</p>

[CANCEL](#)
[SAVE AND CONTINUE](#)



IRM v1.P.9.20180305-1007  
WWW3

Search Records      FAPIS.gov  
Data Access      Disclaimers      GSA.gov/IAE  
Check Status      Accessibility      GSA.gov  
About      Privacy Policy      USA.gov  
Help

This is as far as I could get w/ the SAM's process. I will continue to work on getting a copy of the Record Status. Thanks,  
Tanya



## GRANT APPLICATION Community Development Block Grant (CDBG) Program

*The City of Grand Junction does not discriminate on the basis of race, color, religion, sex, national origin, age or disability in the admission, access or appointment to, or treatment or employment in, its programs or activities.*

Applications and all attachments must be submitted to the City of Grand Junction electronically no later than 4:00 pm on Monday, March 26, 2018 to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org)

### APPLICANT BACKGROUND

1. Organization Name:

Karis, Inc. dba The House

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2. Organization Address:

P.O. Box 2837

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Grand Junction, CO 81502

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3. Organization DUNS Number (Data Universal Number System) (required):

*For more information visit [www.whitehouse.gov/omb/grants/duns\\_num\\_guide.pdf](http://www.whitehouse.gov/omb/grants/duns_num_guide.pdf):*

078505107

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4. Organization or Parent Organization EIN/TIN Number (required):

26-4600743

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5. **Primary** Contact Person:

John Mok-Lamme

---

Phone: 970.234.1810

FAX: \_\_\_\_\_

Email: [jmoklamme@karisinc.org](mailto:jmoklamme@karisinc.org)

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6. Do you or your parent organization file OMB A-133 (Single Audit)?

Yes  No

If yes, please attach a copy of the most recent A-133 and Form SF-SAC to your CDBG application.

7. **System for Award Management (SAM):** Your entity must be registered in the System for Award Management (SAM) prior to application. Provide a copy of the registration confirmation with your application. See attached example. *For more information visit: [www.sam.gov/portal](http://www.sam.gov/portal)*

8. Is this agency an equal employment opportunity employer?  Yes  No

Please attach a copy of the agency's affirmative action plan.

9. Provide a brief description of your organization - what do you do?

There are well over 200 homeless, unaccompanied teens and youth in our community. Helping these youth transition to futures of hope is the mission of Karis, Inc. dba The House. Karis' four youth advocates provide street outreach to these teens at schools and on the street. In addition, Karis provides emergency shelter at The House, and transitional shelter at five other homes (Zoe House, Bonnie's House, Matthew's House and Bennie's House). Services available across programs include counseling, mentoring, and shelter, as well as housing and employment assistance. We have a proven track record of effective work with our youth. Since inception, 80% of our youth were housed for one year after program exit and we have seen statistically significant reductions in depression and anxiety consistently over this same time period.

10. Which describes your organization (check all that apply)?

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> A non-profit with 501(c)3 status | <input type="checkbox"/> Housing Authority                       |
| <input type="checkbox"/> Governmental Agency                         | <input type="checkbox"/> Quasi-Governmental Agency               |
| <input type="checkbox"/> Faith based                                 | <input type="checkbox"/> Public Service /Human Services Provider |
| <input type="checkbox"/> Public Organization                         | <input type="checkbox"/> Other _____                             |



11. Has the applicant received past CDBG funding from the City of Grand Junction? If so, please indicate the year received, the amount received and the amount of CDBG and/or funds remaining to be spent at this time.

2013 The House 83,000	All funds expended
2015 Asset House 10,400	All funds expended
2016 Zoe House 50,000	All funds expended
2017 Services: Mental Health	1/2 funds expended. The rest will be drawn down June of 2018.

12. Does any employee, board member or client have any past or present ownership or financial investment in the agency, organization or proposed project? If so, please describe.

No.

**FUNDING REQUEST SUMMARY**

- Project Name: Drop in Center Acquisition
- Project Address/Location: Basement, 362 Main St.
- Amount of CDBG funds requested for the project: \$80,000.00
- Funding leveraged from other sources for this project: \$149,500.00
- Total Project Budget: \$~~0.00~~ 229,500
- Minimum amount of CDBG funding to benefit your project: \$1.00

**PROJECT ELIGIBILITY**

1. Which CDBG national objective does this project meet?
- Benefits low/moderate income individuals/households
  - Addresses the prevention or elimination of slums or blight
  - Meets an urgent community need (usually a natural disaster)



2. Which City of Grand Junction CDBG Program Priority does this project meet?

- Need for Non-Housing Community Development Infrastructure
- Need for Affordable Housing
- Needs of the Homeless or Special Needs Populations
- Other Human Service Needs
- Economic Opportunities

### SPECIFIC PROJECT INFORMATION

1. **Project Description.** Give a brief description of the project for which you are requesting funds. How do you plan to spend the funds?

Karis is seeking to funds to acquire the "Fourth House", a drop in center for homeless youth that provides showers, meals, clothing, laundry, addiction counseling, art groups, access to computers as well as crisis and employment services. This center is a the core of Karis' Street Outreach Program which has had strong results: 30% + of youth visiting the center receive mental health/addiction services (7% of youth at 300% of poverty or below receive mental health services in CO), these same youth show a 140% increase in employment six months after program entrance, and statistically significant reductions in depression, anxiety and use of drugs and alcohol. Finally, 87% of these were safely housed 3 months after program entrance.

2. **Project Type.** Which of the following categories best describes your project? (select only one)

- Public or human service
- Capital construction, building rehabilitation or public facility improvement
- Home ownership activities
- Housing rehabilitation
- Economic development assistance
- Planning or predevelopment costs
- Acquisition or demolition of property

3. If this is a Public or Human Service Project, is  New or  On-going?  
the service:

4. If funding an existing service, describe how the CDBG funds will support a measurable increase in that service (e.g. more families served or longer service period as compared to current operations)

Funding will not be used to provide services.

5. **Demonstrated Need for Project.** Provide a brief summary of current statistical data documenting the need for the project. Include sources of information.

Last year, The Fourth House/Drop in Center served 228 homeless, unaccompanied youth. In the last Point in Time count of homeless youth in Mesa County, Karis and other agencies counted over 100 homeless youth in one single day. In addition, District 51 has had contact with over 110 homeless, unaccompanied youth in the current 2016-2017 school year. Studies show these youth are at risk for suicide, mental illness, long term homelessness and increased trauma. They also demonstrate that drop in centers can effectively address these needs.

6. **Project Budget.** Provide a line item budget for this project and the total budget cost, including the request for City of Grand Junction CDBG funds, other secured funding and other pending applications for funding. If this is a rehabilitation, remodeling or construction project, be sure to include Davis-Bacon wage regulations and architectural, engineering and/or environmental review fees in determining your project budget.

Budget Item	Amount	Funding Source	Award Date
Acquisition	\$ 108,000.00	Contribution from c	Completed
Construction	\$ 16,000.00	Lincoln Cabinets	Completed
Construction	\$ 1,000.00	Inter-mountain wor	Completed
Design	\$ 4,500.00	Chamberlin	Completed
Acquisition	\$ 80,000.00	CDBG	Spring 2018
Door Funds	\$ 20,000.00	Karis Donors	Spring 2018
<b>Total Budget</b>	<del>\$ 0.00</del> 229,500		



7. **Project Schedule.** What is the proposed project schedule if awarded the CDBG funds? Keep in mind funding will not be available until September.

Start Date: September 2018 Completion Date: November 2018

If the project includes construction, are there plans/permits needed before the project can begin construction or has a construction schedule been identified?

Yes, plans/permits completed, project is ready to begin.

No, plans/permits still need to be completed.

8. **In-Kind Contributions.** What other resources (e.g. volunteers, in-kind donations, etc) will you be utilizing for this project? List all in-kind services, volunteer hours and goods you will bring to this project and determine a dollar value for all items listed.

We received 17,000 in construction in-kind, and 4,500 in design in-kind. These numbers are reflected in the budget above. In addition, addiction specialists, as well as a volunteer lawyer and teacher volunteer time at the center every week. We value these contributions at over 10,000 annually.

## BENEFICIARY INFORMATION

1. **Total Persons Served.** How many total persons did this organization serve during the past year and how many total people does your organization expect to serve in the coming year?

In the past year, Karis provided services to 228 un-duplicated homeless, unaccompanied youth. We expect to serve nearly 250 this year.

2. **Persons Served by Project.** What percentage of total persons served by your organization live within the City limits? Provide an estimate of the number of persons who will benefit from the specific project that live within the City limits of Grand Junction.

Karis estimates that 90% or more of the youth its serves will be residents of Grand Junction.

3. **Client Eligibility.** Will those served by this project be primarily (51% or more) of low and/or moderate income?  Yes  No

If you checked yes above, specify by checking all that apply to your project:

The income of each household/person receiving assistance will be individually verified for eligibility.

The types of households or persons served are of special need (presumed benefit) such as elderly, disabled, homeless, illiterate, or involve an abused spouse or child.

4. If the income will be verified, describe the organization's procedure for verification and how you will determine that a person is eligible for services. Every youth who enters the program/drop in center, completes an in-take package with a youth advocate. In take forms, which are attached, are used to verify income. In the history of the Karis and the Drop in Center there has never been a youth who did not qualify for services based on income.



## COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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### REQUIRED ATTACHMENTS FOR CDBG APPLICATIONS

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Applications and all attachments must be submitted to the **City of Grand Junction electronically no later than 4:00 p.m. on Wednesday, March 26, 2018** to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org). The following attachments are required:

- A signed copy of the CDBG Application and Preliminary Agreement form (attached).
- A copy of your organization's original IRS determination letter indicating [501(c)(3) or tax-exempt status (if applicable).
- Your agency's most recent audit, financial statement or, if applicable, A-133 (Single Audit). Please state your annual and cumulative fund balance. All agencies who receive \$750,000 or more, total for all Federal grants received this year, must submit an audit in compliance with the 1984 Single Audit Act.
- A copy of your organization's or parent organization's most recent Form SF-SAC pertaining to the A-133 audit, if applicable as above.
- A copy of System for Award Management (SAM) entity registration. See attached example.
- A list of current board members, including their employer and/or other pertinent affiliations.
- A copy of the project's client intake form, application form or other paperwork used to collect and certify income and racial data for each client.
- Copy of agency's Affirmative Action Plan.

**COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)**

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**CDBG APPLICATION AND PRELIMINARY AGREEMENT**

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By signing below I certify that all of the information above and included with this application is true, and I understand that application for funds does not in any way guarantee funding. Additionally, the applicant understands and agrees that in the event the applicant receives approval from the City Council for a block grant fund allocation:

1. The applicant will not receive the block grant funds until:
  - a. HUD has approved the project and the City receives a letter of credit from HUD.
  - b. An Environmental Review has been completed and approved.
  - c. If applicable, a Request for Release of Funds and a Finding of No Significant Impact have been published for community comment and HUD has released funds for the project.
  - d. A contract between the City of Grand Junction and the sub-recipient is fully executed.
  - e. All labor standards have been met.
2. The applicant will submit a sub-recipient report with each request for reimbursement. The report will describe the progress of the project, the project beneficiaries, and other information required by HUD or the City. Invoices will accompany the report when indicated. Funds will not be released without this report.
3. The applicant will provide the City any other information required by HUD or by the City.
4. The applicant will comply with all other requirements set forth by the City and the U.S. Department of Housing and Urban Development.

Applicant: Karis Inc. dba The House, John Mok-Lamme

Title: Executive Director

Signature (typed acceptable): John Mok-Lamme

Date: 3/26/2018

**SAM Search Results**  
**List of records matching your search for :**  
**Record Status: Active**  
**DUNS Number: 069715217**

**Functional Area: Entity Management, Performance Information**

**ENTITY** GRAND JUNCTION, CITY OF **Status:Active**

DUNS: 069715217 +4: CAGE Code: 4SK15 DoDAAC:

Expiration Date: Jun 7, 2017 Has Active Exclusion?: No Delinquent Federal Debt?: No

Address: 250 N 5TH ST  
City: GRAND JUNCTION State/Province: COLORADO  
ZIP Code: 81501-2628 Country: UNITED STATES





# GRANT APPLICATION

## Community Development Block Grant (CDBG) Program

*The City of Grand Junction does not discriminate on the basis of race, color, religion, sex, national origin, age or disability in the admission, access or appointment to, or treatment or employment in, its programs or activities.*

Applications and all attachments must be submitted to the City of Grand Junction electronically no later than 4:00 pm on Wednesday, March 26, 2018 to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org)

### APPLICANT BACKGROUND

1. Organization Name:

HomewardBound of the Grand Valley, Inc.

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2. Organization Address:

2853 North Avenue

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Grand Junction, CO 81501

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3. Organization DUNS Number (Data Universal Number System) (required):

*For more information visit [www.whitehouse.gov/omb/grants/duns\\_num\\_guide.pdf](http://www.whitehouse.gov/omb/grants/duns_num_guide.pdf).*

141095500

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4. Organization or Parent Organization EIN/TIN Number (required):

26-0052916

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5. **Primary** Contact Person:

Marlene Barger

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Phone: 970-256-9424 x421

FAX: 970-256-7049

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Email: [mbarger@hbgv.org](mailto:mbarger@hbgv.org)

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6. Do you or your parent organization file OMB A-133 (Single Audit)?

Yes  No

If yes, please attach a copy of the most recent A-133 and Form SF-SAC to your CDBG application.

7. **System for Award Management (SAM):** Your entity must be registered in the System for Award Management (SAM) prior to application. Provide a copy of the registration confirmation with your application. See attached example. *For more information visit: [www.sam.gov/portal](http://www.sam.gov/portal)*

8. Is this agency an equal employment opportunity employer?  Yes  No

Please attach a copy of the agency's affirmative action plan.

9. Provide a brief description of your organization - what do you do?

HomewardBound provides overnight emergency shelter for adults and families experiencing homelessness. We are the only year-round emergency shelter within a 200-mile radius that serves all of the following: single men, single women, families, the disabled and military veterans. Out of the 1,322 individuals who stayed at the shelter in Fiscal Year 2016-17, 12% were veterans. Males represented 68% of the total, and females 32%. Forty-three families with children were sheltered: 66 adults and 90 children.

The emergency shelter provides protection from the elements to prevent illness and death. Clients are offered nutritious meals, clean linens, hot showers and referrals to local organizations that help them search for employment, housing, and other services on their pathway to self-sufficiency.

10. Which describes your organization (check all that apply)?

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> A non-profit with 501(c)3 status | <input type="checkbox"/> Housing Authority                       |
| <input type="checkbox"/> Governmental Agency                         | <input type="checkbox"/> Quasi-Governmental Agency               |
| <input type="checkbox"/> Faith based                                 | <input type="checkbox"/> Public Service /Human Services Provider |
| <input checked="" type="checkbox"/> Public Organization              | <input type="checkbox"/> Other _____                             |

11. Has the applicant received past CDBG funding from the City of Grand Junction? If so, please indicate the year received, the amount received and the amount of CDBG and/or funds remaining to be spent at this time.

Yes.

2012 \$109,971; Property acquisition; All funds spent

2014 \$1,500; Shelter rehabilitation; All funds spent

2015 \$28,293; HVAC replacement; All funds spent

2017 \$15,000; Food for shelter; \$1,000 spent; All funds will be spent in 2018

12. Does any employee, board member or client have any past or present ownership or financial investment in the agency, organization or proposed project? If so, please describe.

No employee, board member or client has any past or present ownership or financial investment in the agency, organization or proposed project.

## FUNDING REQUEST SUMMARY

1. Project Name: Shelter Roof Replacement
2. Project Address/Location: 2853 North Avenue, Grand Junction, CO 81501
3. Amount of CDBG funds requested for the project: \$43,107.00
4. Funding leveraged from other sources for this project: \$0.00
5. Total Project Budget: \$0.00
6. Minimum amount of CDBG funding to benefit your project: \$43,107.00

## PROJECT ELIGIBILITY

1. Which CDBG national objective does this project meet?

- Benefits low/moderate income individuals/households
- Addresses the prevention or elimination of slums or blight
- Meets an urgent community need (usually a natural disaster)

2. Which City of Grand Junction CDBG Program Priority does this project meet?

- Need for Non-Housing Community Development Infrastructure
- Need for Affordable Housing
- Needs of the Homeless or Special Needs Populations
- Other Human Service Needs
- Economic Opportunities

## SPECIFIC PROJECT INFORMATION

1. **Project Description.** Give a brief description of the project for which you are requesting funds. How do you plan to spend the funds?

The roof on HomewardBound's shelter was last replaced in 2013. This past winter, it began leaking in the single adult dormitory. Funds will be used to hire a local business to replace the roof. The budget includes a 15-year warranty on the membrane.

2. **Project Type.** Which of the following categories best describes your project? (select only one)

- Public or human service
- Capital construction, building rehabilitation or public facility improvement
- Home ownership activities
- Housing rehabilitation
- Economic development assistance
- Planning or predevelopment costs
- Acquisition or demolition of property

3. If this is a Public or Human Service Project, is  New or  On-going?  
the service:



4. If funding an existing service, describe how the CDBG funds will support a measurable increase in that service (e.g. more families served or longer service period as compared to current operations)

Replacing the roof at the 2853 North Avenue facility will extend the life of the building. By making the repairs at this time, further damage can be avoided. HomewardBound will be able to continue to offer a safe environment to homeless adults and families seeking emergency shelter.

5. **Demonstrated Need for Project.** Provide a brief summary of current statistical data documenting the need for the project. Include sources of information.

The "2017 Colorado Balance of State: Point in Time Study" shows a 12% increase in homeless men, women and children statewide. Of the counties surveyed for this study, Mesa County had the second highest number of respondents who are homeless or chronically homeless. Only Pueblo County had more. Because of this trend, we anticipate that the number of individuals we serve will not decrease. Consequently, timely repairs and maintenance are critical to provide a facility to shelter at least 1,322 homeless men, women and children.

6. **Project Budget.** Provide a line item budget for this project and the total budget cost, including the request for City of Grand Junction CDBG funds, other secured funding and other pending applications for funding. If this is a rehabilitation, remodeling or construction project, be sure to include Davis-Bacon wage regulations and architectural, engineering and/or environmental review fees in determining your project budget.

Budget Item	Amount	Funding Source	Award Date
Roof Replacement	\$ 43,107.00	CDBG	Pending
Please see attachment			
<b>Total Budget</b>	\$ 0.00		



7. **Project Schedule.** What is the proposed project schedule if awarded the CDBG funds? Keep in mind funding will not be available until September.

Start Date: 10/01/2018 Completion Date: 09/30/2019

If the project includes construction, are there plans/permits needed before the project can begin construction or has a construction schedule been identified?

Yes, plans/permits completed, project is ready to begin.

No, plans/permits still need to be completed.

8. **In-Kind Contributions.** What other resources (e.g. volunteers, in-kind donations, etc) will you be utilizing for this project? List all in-kind services, volunteer hours and goods you will bring to this project and determine a dollar value for all items listed.

Some of the contractors will donate labor hours or half-priced equipment. The exact number of hours and dollar amounts, however, have not yet been determined.

## BENEFICIARY INFORMATION

1. **Total Persons Served.** How many total persons did this organization serve during the past year and how many total people does your organization expect to serve in the coming year?

In Fiscal Year 2016-17, 1,322 individuals stayed in the shelter from 1 to 120 nights, depending on the need. We anticipate serving at least the same number of individuals in the coming fiscal year.

2. **Persons Served by Project.** What percentage of total persons served by your organization live within the City limits? Provide an estimate of the number of persons who will benefit from the specific project that live within the City limits of Grand Junction.

All of our homeless clients present at our facility are located within the city limits at 2853 North Avenue, Grand Junction.

3. **Client Eligibility.** Will those served by this project be primarily (51% or more) of low and/or moderate income?  Yes  No

If you checked yes above, specify by checking all that apply to your project:

The income of each household/person receiving assistance will be individually verified for eligibility.

The types of households or persons served are of special need (presumed benefit) such as elderly, disabled, homeless, illiterate, or involve an abused spouse or child.

4. If the income will be verified, describe the organization's procedure for verification and how you will determine that a person is eligible for services.

All clients are screened during an intake process prior to entering the shelter. Those going through the intake process for the first time must complete the HMIS\* Intake Packet, which includes a request for income information. The income information recorded is based on self-reporting. If a person is requesting emergency shelter, it is generally accepted that they are homeless and eligible for services.

\*HMIS: Homeless Management Information System is a national database developed by the U.S. Department of Housing and Urban Development.

## COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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### REQUIRED ATTACHMENTS FOR CDBG APPLICATIONS

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Applications and all attachments must be submitted to the **City of Grand Junction electronically no later than 4:00 p.m. on Wednesday, March 26, 2018** to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org). The following attachments are required:

- A signed copy of the CDBG Application and Preliminary Agreement form (attached).
- A copy of your organization's original IRS determination letter indicating [501(c)(3) or tax-exempt status (if applicable).
- Your agency's most recent audit, financial statement or, if applicable, A-133 (Single Audit). Please state your annual and cumulative fund balance. All agencies who receive \$750,000 or more, total for all Federal grants received this year, must submit an audit in compliance with the 1984 Single Audit Act.
- A copy of your organization's or parent organization's most recent Form SF-SAC pertaining to the A-133 audit, if applicable as above.
- A copy of System for Award Management (SAM) entity registration. See attached example.
- A list of current board members, including their employer and/or other pertinent affiliations.
- A copy of the project's client intake form, application form or other paperwork used to collect and certify income and racial data for each client.
- Copy of agency's Affirmative Action Plan.



**COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)**

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**CDBG APPLICATION AND PRELIMINARY AGREEMENT**

---

By signing below I certify that all of the information above and included with this application is true, and I understand that application for funds does not in any way guarantee funding. Additionally, the applicant understands and agrees that in the event the applicant receives approval from the City Council for a block grant fund allocation:

1. The applicant will not receive the block grant funds until:
  - a. HUD has approved the project and the City receives a letter of credit from HUD.
  - b. An Environmental Review has been completed and approved.
  - c. If applicable, a Request for Release of Funds and a Finding of No Significant Impact have been published for community comment and HUD has released funds for the project.
  - d. A contract between the City of Grand Junction and the sub-recipient is fully executed.
  - e. All labor standards have been met.
2. The applicant will submit a sub-recipient report with each request for reimbursement. The report will describe the progress of the project, the project beneficiaries, and other information required by HUD or the City. Invoices will accompany the report when indicated. Funds will not be released without this report.
3. The applicant will provide the City any other information required by HUD or by the City.
4. The applicant will comply with all other requirements set forth by the City and the U.S. Department of Housing and Urban Development.

Applicant: Greg Moore

Title: Executive Director

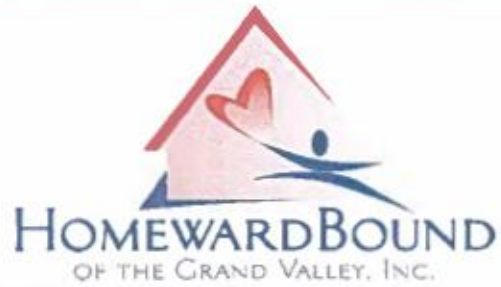
Signature (typed acceptable): Greg Moore

Date: March 26, 2018

**SAM Search Results**  
**List of records matching your search for :**  
**Record Status: Active**  
**DUNS Number: 069715217**

**Functional Area: Entity Management, Performance Information**

<b>ENTITY</b>	GRAND JUNCTION, CITY OF	Status:Active
DUNS: 069715217	+4:	CAGE Code: 4SK15 DoDAAC:
Expiration Date: Jun 7, 2017	Has Active Exclusion?: No	Delinquent Federal Debt?: No
Address: 250 N 5TH ST		
City: GRAND JUNCTION	State/Province: COLORADO	
ZIP Code: 81501-2628	Country: UNITED STATES	



## 2018 Community Development Block Grant Application

Budget information that could not be entered in the application form

### FUNDING REQUEST SUMMARY

1. Project Name: Shelter Roof Replacement
2. Project Address/Location: 2853 North Avenue, Grand Junction, CO 81501
3. Amount of CDBG funds requested for the project: \$43,107.00
4. Funding leveraged from other sources for this project: \$0.00
5. Total Project Budget: \$43,107.00
6. Minimum amount of CDBG funding to benefit your project: \$43,107.00

### 6. Project Budget.

Budget Item	Amount	Funding Source	Award Date
Roof Replacement	\$43,107.00	CDBG	Pending
<b>Total Budget</b>	<b>\$43,107.00</b>		



**HOMEWARDBOUND OF THE  
GRAND VALLEY, INC.**

**FINANCIAL STATEMENTS AND REPORT OF  
INDEPENDENT CERTIFIED PUBLIC ACCOUNTANTS**

September 30, 2017

**CHADWICK, STEINKIRCHNER, DAVIS & CO., P.C.**  
Consultants & Certified Public Accountants



Chadwick, Steinkirchner, Davis & Co., P.C.

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**INDEPENDENT AUDITOR'S REPORT**

March 5, 2018

To the Board of Directors of  
HomewardBound of the Grand Valley, Inc.

We have audited the accompanying financial statements of HomewardBound of the Grand Valley, Inc., (a nonprofit organization), which comprise the statement of financial position as of September 30, 2017, and the related statements of activities, functional expenses and cash flows for the year then ended, and the related notes to the financial statements.

**Management's Responsibility for the Financial Statements**

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

**Auditor's Responsibility**

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

**Opinion**

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of HomewardBound of the Grand Valley, Inc., as of September 30, 2017, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.





Board of Directors  
Page Two

#### **Report on Supplementary Information**

Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The schedule of revenues and expenses – budget to actual on page 13, is presented for the purpose of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

#### **Report on Summarized Comparative Information**

We have previously audited HomewardBound of the Grand Valley's 2016 financial statements, and we expressed an unmodified audit opinion on those audited financial statements in our report dated October 20, 2017. In our opinion, the summarized comparative information presented herein as of and for the year ended September 30, 2016, is consistent in all material respects, with the audited financial statements from which it has been derived.

*Chadwick, Steinkirchner, Davis & Co., P.C.*

Chadwick, Steinkirchner, Davis & Co., P.C.

Grand Junction, Colorado

HomewardBound of the Grand Valley, Inc.

STATEMENT OF FINANCIAL POSITION

September 30, 2017 (with comparative totals for 2016)

ASSETS		
	2017	2016
Current assets		
Cash and cash equivalents	\$ 342,293	\$ 227,409
Board restricted cash and cash equivalents	166,621	8,491
Developer fee receivable	21,660	-
Grants receivable	39,592	51,086
Total current assets	<u>570,166</u>	<u>286,986</u>
Property and equipment, net of accumulated depreciation		
Land	164,298	164,298
Construction in progress	256,908	42,276
Building	1,184,776	1,187,852
Equipment	67,105	68,002
Less accumulated depreciation	<u>(332,955)</u>	<u>(294,868)</u>
Net property and equipment	1,340,132	1,167,560
Other assets		
Note receivable	485,000	485,000
Accrued interest on note receivable	72,750	36,375
Investment in Phoenix, LLP	210,246	216,854
Total other assets	<u>767,996</u>	<u>738,229</u>
Total assets	<u>\$ 2,678,294</u>	<u>\$ 2,192,775</u>
LIABILITIES AND NET ASSETS		
Liabilities		
Current liabilities		
Accounts payable	\$ 216,909	\$ 1,605
Accrued expenses	18,683	17,254
Total current liabilities	<u>235,592</u>	<u>18,859</u>
Long-term liabilities		
Deferred revenue	312,000	320,000
Total liabilities	<u>547,592</u>	<u>338,859</u>
Net assets		
Temporarily restricted	210,246	216,854
Unrestricted	1,920,456	1,637,062
Total net assets	<u>2,130,702</u>	<u>1,853,916</u>
Total liabilities and net assets	<u>\$ 2,678,294</u>	<u>\$ 2,192,775</u>

The accompanying notes are an integral part of this statement.

HomewardBound of the Grand Valley, Inc.

STATEMENT OF ACTIVITIES

For the year ended September 30, 2017 (with comparative totals for 2016)

	2017			2016
	Unrestricted	Temporarily Restricted	Total	Total
<b>REVENUES AND OTHER SUPPORT</b>				
Grants and contracts from governmental agencies	\$ 122,302	\$ -	\$ 122,302	\$ 176,953
Other grants and contracts	172,460	-	172,460	164,515
Donations	256,567	-	256,567	633,996
Fees for services	18,072	-	18,072	32,444
Fundraising	15,886	-	15,886	15,925
Developer fees	284,435	-	284,435	-
Interest Income	36,869	-	36,869	36,478
Other income	19,163	-	19,163	3,506
Loss on investment	-	(6,608)	(6,608)	(5,335)
Total revenues and other support	925,754	(6,608)	919,146	1,058,482
<b>EXPENSES</b>				
Program services, Community Shelter	477,969	-	477,969	742,018
Supporting services				
Management and general	155,727	-	155,727	27,268
Fundraising	8,664	-	8,664	7,331
Total expenses	642,360	-	642,360	776,617
Change in net assets	283,394	(6,608)	276,786	281,865
Net assets, beginning of year	1,637,062	216,854	1,853,916	1,572,051
Net assets, end of year	\$ 1,920,456	\$ 210,246	\$ 2,130,702	\$ 1,853,916

The accompanying notes are an integral part of this statement.



HomewardBound of the Grand Valley, Inc.

STATEMENT OF FUNCTIONAL EXPENSES

For the year ended September 30, 2017 (with comparative totals for 2016)

Description	2017			Total	2016
	Program Services	Management and General	Fund Raising		Total
Salaries	\$ 213,215	\$ 126,886	\$ -	340,101	\$ 327,642
Payroll taxes and benefits	34,143	8,953	-	43,096	42,712
	<u>247,358</u>	<u>135,839</u>	<u>-</u>	<u>383,197</u>	<u>370,354</u>
Advertising	-	-	346	346	928
Client support	45,254	-	-	45,254	65,148
Depreciation	37,645	3,491	925	42,061	37,867
Dues and subscriptions	-	-	-	-	880
Food	42,197	-	-	42,197	138,033
Insurance	12,354	1,155	304	13,813	12,775
Laundry	19,630	-	-	19,630	38,510
Occupancy	25,311	2,347	622	28,281	32,480
Special event costs	-	-	5,341	5,341	5,000
Professional fees	26,909	2,495	661	30,066	30,343
Repairs and maintenance	7,595	704	187	8,486	3,116
Supplies	11,835	1,098	291	13,224	23,768
Telephone	2,795	259	69	3,123	4,885
Training	134	369	-	503	2,047
Travel	2,304	-	-	2,304	2,143
Miscellaneous	4,058	376	100	4,534	8,340
Total Expenses	<u>\$ 485,380</u>	<u>\$ 148,134</u>	<u>\$ 8,846</u>	<u>\$ 642,360</u>	<u>\$ 776,617</u>

The accompanying notes are an integral part of this statement.

HomewardBound of the Grand Valley, Inc.

STATEMENT OF CASH FLOWS

For the year ended September 30, 2017 (with comparative totals for 2016)

	2017	2016
Cash flows from operating activities		
Change in net assets	\$ 276,786	\$ 281,865
Adjustments to reconcile change in net assets to net cash provided by operating activities:		
Depreciation	42,061	37,867
Net decrease in Phoenix, LLP investment (non-cash)	6,608	5,335
Change in operating assets and liabilities		
(Increase) decrease in grants receivable	11,494	(31,955)
(Increase) decrease in developer fees receivable	(21,660)	-
(Increase) decrease in interest receivable	(36,375)	(36,375)
Increase (decrease) in accounts payable	5,619	(7,999)
Increase (decrease) in accrued expenses	1,429	(7,715)
Increase (decrease) in deferred revenue	(8,000)	(8,000)
Net cash provided by (used in) operating activities	<u>277,962</u>	<u>233,023</u>
Cash flows from investing activities		
Cash paid for fixed assets	(4,948)	(53,417)
Net cash provided by (used in) investing activities	<u>(4,948)</u>	<u>(53,417)</u>
Net increase (decrease) in cash and cash equivalents	273,014	179,606
Cash and cash equivalents, beginning of year	235,900	56,294
Cash and cash equivalents, end of year	<u>\$ 508,914</u>	<u>\$ 235,900</u>
Cash and cash equivalents, end of year, consists of:		
Cash and cash equivalents	\$ 342,293	\$ 227,409
Board restricted cash and cash equivalents	166,621	8,491
	<u>\$ 508,914</u>	<u>\$ 235,900</u>
Fixed asset additions in accounts payable at year end	<u>\$ 209,685</u>	<u>\$ -</u>

The accompanying notes are an integral part of this statement.

HomewardBound of the Grand Valley, Inc.

NOTES TO FINANCIAL STATEMENTS

Year ended September 30, 2017

---

**NOTE A – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

The accounting policies of HomewardBound of the Grand Valley, Inc. (the Organization), conform to accounting principles generally accepted in the United States of America (US GAAP) as applicable to non-profit entities. The following is a summary of the more significant policies consistently applied in the preparation of financial statements.

1. Organization

HomewardBound of the Grand Valley, Inc. is a nonprofit corporation incorporated in 2002 under the laws of the State of Colorado for the purpose of providing safe shelter, critical housing and compassionate services for individuals and families who are homeless in Mesa County, Colorado. The Organization provides a variety of services including shelter, hunger relief, case management services, transportation, education assistance, accountability, and rental subsidies to families working out of homelessness towards self-sufficiency. Cash Management and Supportive Services are also offered to veterans through the Veteran's Administration Per Diem Program and the Phoenix Project.

2. Financial Statement Presentation

The Organization follows the codified Statement of Financial Accounting Standards (SFAS) 958-205, Not for Profit Entities Presentation of Financial Statements. Under SFAS 958-205, the Organization's net assets and revenue, expenses, gains and losses are classified based on the existence or absence of donor-imposed restrictions. Accordingly, net assets of the Organization and changes therein are classified and reported as follows:

Unrestricted net assets – Net assets that are not subject to donor-imposed stipulations.

Temporarily restricted net assets – Net assets subject to donor-imposed stipulations that may or will be met, either by actions of the Organization and/or passage of time. Temporarily restricted net assets are reclassified to unrestricted net assets as their time and/or purpose requirements are met.

The Organization currently has no permanently restricted net assets.

3. Cash and cash equivalents

For purposes of the statement of cash flows, the Organization considers all highly liquid debt instruments with an initial maturity of three months or less to be cash equivalents.

4. Restricted cash

Restricted cash includes funds restricted by the Board of Directors to be maintained in a money market account and not to be spent without Board approval.



HomewardBound of the Grand Valley, Inc.

NOTES TO FINANCIAL STATEMENTS

Year ended September 30, 2017

---

**NOTE A – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES – CONTINUED**

5. Support and Revenue

The Organization reports gifts of cash and other assets as restricted support if they are received with donor stipulations that limit the use of donated assets. When a donor restriction expires, that is, when a stipulated time restriction ends or purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions.

The Organization reports gifts of land, buildings, and equipment as unrestricted support unless explicit donor stipulations specify how the donated assets must be used. Gifts of long-lived assets with explicit restrictions that specify how the assets are to be used and gifts of cash or other assets that must be used to acquire long-lived assets are reported as restricted support. Absent donor stipulations regarding how long those long-lived assets must be maintained, the Organization reports expirations of donor restrictions when the donated or acquired long-lived assets are placed in service.

Contributions of donated non-cash assets are recorded at their fair values in the period received. Contributions of donated services that create or enhance non-financial assets or that require specialized skills, and would typically need to be purchased if not provided by donation, are recorded at fair value in the period received.

A substantial number of unpaid volunteers have made significant contributions of their time in conjunction with the Organization's programs and services. The value of these contributions is not included in these financial statements since they are not susceptible to objective measurement.

6. Grants Receivable

Management of the Organization considers accounts receivable to be fully collectible; accordingly, no allowance for doubtful accounts is required. If amounts become uncollectible, they will be charged to operations when that determination is made.

7. Property and Equipment

The Organization capitalizes items with a cost in excess of \$500 and a life greater than one year. The costs of normal maintenance and repairs that do not add to the value of the asset or materially extend the assets' lives are not capitalized. Capital assets are depreciated using the straight-line method over their estimated useful lives which are 5-10 years for equipment and 15-50 years for buildings and building improvements.

HomewardBound of the Grand Valley, Inc.

NOTES TO FINANCIAL STATEMENTS

Year ended September 30, 2017

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**NOTE A – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES – CONTINUED**

8. Income Taxes

The Organization is a non-profit corporation exempt from income taxes under Section 501(c)(3) of the Internal Revenue Code and applicable state law.

The accounting standard on accounting for uncertainty in income taxes addresses the determination of whether tax benefits claimed or expected to be claimed on a tax return should be recorded in the financial statements. Under that guidance, the Organization may recognize the tax benefit from an uncertain tax position only if it is more likely than not that the tax position will be sustained on examination by taxing authorities based on the technical merits of the position. Examples of tax positions include the tax-exempt status of the Organization and various positions related to the potential sources of unrelated business taxable income (UBIT). The tax benefits recognized in the financial statements from such a position are measured based on the largest benefit that has a greater than 50% likelihood of being realized upon ultimate settlement. There were no unrecognized tax benefits identified or recorded as liabilities for fiscal year 2017.

The Organization files its forms 990 in the U.S. federal jurisdiction. The Organization is generally no longer subject to examination by the Internal Revenue Service for years before 2012.

9. Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

10. Functional Allocation of Expenses

The costs of providing the various programs and other activities have been summarized on a functional basis in the statement of activities. Accordingly, certain costs have been allocated among the programs and supporting services benefited.

11. Investment in Partnership

The Organization is a non-managing member of a limited liability company called Pathways Village, LLC (the LLC) with ownership interest of 0.0025%. The purpose of the LLC is to develop and operate affordable housing in Mesa County, Colorado (the Project). The Organization has the first right of refusal to purchase the remaining interest of the Project at the end of the 15-year compliance period and receive a portion of the net cash flow if available. The investment does not have a readily determinable fair market value and its book value is immaterial.

12. Reclassifications

Prior year balances have been reclassified in certain line items to provide comparability to current year financial statement balances.



HomewardBound of the Grand Valley, Inc.

NOTES TO FINANCIAL STATEMENTS

Year ended September 30, 2017

---

**NOTE B – CONCENTRATIONS**

Credit Risk

The Organization maintains its cash balances in three financial institutions. None of the balances exceed Federal Deposit Insurance Corporation insurance as of September 30, 2017. Throughout any given fiscal year the Organization's deposits at the financial institutions may, at times, exceed FDIC insurance limits.

Funding

The Organization's largest funding source during the year was the developer fees collected from the Pathways Village project. These fees represented 31% of total revenues collected by the Organization during the year. The remaining revenues are derived from multiple sources. The next largest revenue source during the year was a foundation grant for \$121,291 representing 13% of total revenues.

**NOTE C – INVESTMENTS**

The Organization uses the equity method to account for its investment in Phoenix LLP. Phoenix LLP's audited financial statements showed the following balances at June 30, 2017:

Total Assets	\$ 436,326
Total Liabilities	\$ 7,249
Partners' Capital	<u>\$ 429,077</u>
Total Revenues	\$ 40,106
Total Expenses	53,591
Net Loss	<u>\$ (13,485)</u>

**NOTE D – RELATED PARTY TRANSACTIONS**

The Organization provides case management services to Phoenix, LLP of which the Organization owns a 49% interest. The case management fees collected by the Organization for the fiscal year ended September 30, 2017 were \$12,000.

**NOTE E – TEMPORARILY RESTRICTED NET ASSETS**

Temporarily restricted net assets are available for the following purposes:

Phoenix Project – Transitional Housing for Veterans	210,246
Total temporarily restricted net assets	<u>\$ 210,246</u>

**NOTE F – BUILDING PURCHASE**

The Organization purchased the building they were renting in August 2006 for \$30,000 from a local Housing Authority. The fair market value of the building was estimated to be approximately \$959,000. The deed has a restriction for 50 years from the date of purchase that the Organization cannot use the building for anything except a homeless shelter. The deed also stipulates that if the Organization were to change the use of the building, the Organization must pay \$400,000 to the Housing Authority, depending on the amortized value. The \$400,000 balance is being amortized annually over 50 years from the date of closing. The unamortized



HomewardBound of the Grand Valley, Inc.

NOTES TO FINANCIAL STATEMENTS

Year ended September 30, 2017

---

**NOTE F – BUILDING PURCHASE – CONTINUED**

balance at September 30, 2017 is \$320,000 and it is presented on the statement of financial position as deferred revenue.

**NOTE G – DONATED SERVICES**

The Organization receives donated services throughout the year in the form of food and clothing, and professional services including legal and laundry services that it would ordinarily be required to purchase. The value of laundry services received during the year was \$19,630.

**NOTE H – VOLUNTEER HOURS**

The Organization depends on volunteers throughout the year to operate the shelter. The services provided by these volunteers are considered unskilled tasks and cannot be recorded as revenues and expenses in the financial statements. During the year ended September 30, 2017 volunteers contributed 9,324 hours providing general services, meal preparation and skills training.

**NOTE I – ADVERTISING COSTS**

The Organization uses advertising to promote its programs among the audiences it serves. Advertising costs are expensed as incurred. Advertising expense for the year ended September 30, 2017 was \$346.

**NOTE J – FAIR VALUE OF FINANCIAL INSTRUMENTS**

Generally accepted accounting principles require disclosure of an estimate of fair value of certain financial instruments. The Organization's significant financial instruments are cash, accounts receivable and other short-term assets and liabilities. For these financial instruments, carrying values approximate fair value.

**NOTE K – NOTES RECEIVABLE**

The following notes are related to the sale of land to Pathways Village, LLC in 2015 and the financing of construction of apartments on the property to be used to transition homeless individuals and families into housing. The notes are secured by a Deed of Trust on certain real estate in Grand Junction, Colorado. The notes and interest are not due until June 30, 2045. If cash flows are available, payments of principal and/or interest may be made before the maturity date.

At September 30, 2017 notes receivable consist of:

Notes receivable from Pathways Village LLC, interest at 7.5%, entire principal and accrued interest due on June 30, 2045	<u>\$ 485,000</u>
---	-------------------

Accrued interest on these notes for the year ended September 30, 2017 is \$72,750.

HomewardBound of the Grand Valley, Inc.

NOTES TO FINANCIAL STATEMENTS

Year ended September 30, 2017

---

**NOTE L – DEVELOPER FEES**

Per an agreement dated April 9, 2014 between HomewardBound of the Grand Valley and Cardinal Capital Management, Inc., the two entities agreed to jointly act as developers of a housing project (Pathways Village, LLC) and agreed to split developer fees related to the development of the Pathways Village apartment complex once those fees were determinable, with 75% of the fees going to Cardinal and 25% to HomewardBound. The final equity installment for Pathways Village was received in 2017 and the total developer fee for the project was determined to be \$1,186,974. HomewardBound's share of the fee was \$296,743. HomewardBound has received all but \$21,660 of the fee which is reported as a receivable at September 30, 2017.

**NOTE M – SUBSEQUENT EVENTS**

Management has evaluated potential subsequent event disclosures through March 5, 2018 (date of availability of financial statements for issuance). There were no additional subsequent events identified that require disclosure.

HomewardBound of the Grand Valley, Inc.

SCHEDULE OF REVENUES AND EXPENSES - BUDGET TO ACTUAL (UNAUDITED)

For the year ended September 30, 2017

	Budget	Actual	Variance Positive (Negative)
<b>REVENUES AND OTHER SUPPORT</b>			
Governmental grants	\$ 143,600	\$ 122,302	\$ (21,298)
Non-governmental grants	125,000	160,460	35,460
Phoenix project	-	12,000	12,000
Donations	166,600	146,835	(19,765)
Fees for service	20,000	18,072	(1,928)
Fundraising and mailers	-	15,886	15,886
Developer fees	-	284,435	284,435
Interest on note receivable	-	36,869	36,869
Other revenue and sales	18,000	12,555	(5,445)
Total revenues and other support	<u>473,200</u>	<u>809,414</u>	<u>336,214</u>
<b>EXPENSES</b>			
Program services, Community Shelter	472,571	376,237	96,334
Support services			
Management and general	46,442	155,727	(109,285)
Fundraising	12,310	8,664	3,646
Total expenses	<u>531,323</u>	<u>540,628</u>	<u>(9,305)</u>
<b>NON-CASH REVENUES AND EXPENSES</b>			
Non-cash revenues	497,200	109,732	(387,468)
Non-cash expenses	<u>(497,200)</u>	<u>(101,732)</u>	<u>395,468</u>
	-	8,000	8,000
Change in net assets	<u>(58,123)</u>	<u>276,786</u>	<u>334,909</u>
Net assets, beginning of year	1,528,553	1,853,916	487,809
Net assets, end of year	<u>\$ 1,470,430</u>	<u>\$ 2,130,702</u>	<u>\$ 822,718</u>



**SAM Search Results**  
**List of records matching your search for :**

**Record Status: Active**  
**DUNS Number: 141095500**  
**Functional Area: Entity Management, Performance Information**

**ENTITY** HOMEWARDBOUND OF THE GRAND VALLEY, INC Status:Active

DUNS: 141095500 +4: CAGE Code: 3W6T7 DoDAAC:

Expiration Date: Mar 2, 2019 Has Active Exclusion?: No Debt Subject to Offset?: Yes

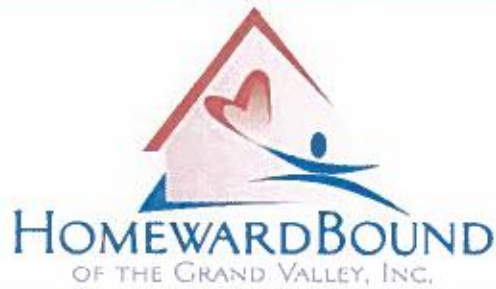
Address: 2853 NORTH AVE

City: GRAND JUNCTION

ZIP Code: 81501-5040

State/Province: COLORADO

Country: UNITED STATES



## HomewardBound Board of Directors - 2018

**Mr. Bill Wade**

Position: Board Chairman      Term: 2 Years  
Job Title: Managing Partner  
Employer: New Realities Consulting LLC

**Ms. Sheryl Violett**

Position: Vice Chairwoman      Term: 3 Years  
Job Title: Community Bank President  
Employer: ANB Bank

**Ms. Sara Tourney**

Position: Secretary/Treasurer      Term: 3 Years  
Job Title: Quality Assurance Contracts Manager  
Employer: Mesa County Department of Human Services

**Mr. Nick Gower**

Position: Board Member      Term: 2 Years  
Job Title: Partner  
Employer: Hoskin Farina & Kampf, PC

**Dr. Amy Gallagher**

Position: Board Member      Term: 3 Years  
Job Title: Vice President - Whole Health Management LLC  
Employer: Mind Springs Health

**Mr. Scott Aker**

Position: Board Member      Term: 2 Years  
Job Title: Chief Operating Officer  
Employer: Grand Junction Housing Authority

**Mr. Brian Rusche**

Position: Board Member      Term: 3 Years  
Job Title: Masters Student at Colorado Christian University  
Employer: Self Employed

**Ms. Karen Harkin**

Position: Board Member      Term: 3 Years  
Job Title: Community Relationship Manager  
Employer: Colorado Housing and Finance Authority

**Mr. Jerry Otero**

Position: Board Member      Term: 2 Years  
Job Title: Program Manager  
Employer: National Parks Conservation Association

**Ms. Patricia North**

Position: Board Member      Term: 1 Year  
Job Title: Director of Case Management and Utilization Review  
Employer: St. Mary's Hospital

**Mr. Don Kendall**

Position: Board Member      Term: 3 Years  
Job Title: Director of Property Services  
Employer: Hilltop Community Resources, Inc.

**Ms. Megan Kemmis**

Position: Board Member      Term: 1 Year  
Job Title: Sales Representative  
Employer: MJ Thomas Photography

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### HMIS Individual Version 6 Intake Form (10-1-2017)

## ACCOUNT PROFILE TAB

Legal First Name: \_\_\_\_\_

Legal Last Name: \_\_\_\_\_ Suffix: \_\_\_\_\_

Name Data quality:  Full name reported  Partial, street name, or code name reported  Client Doesn't Know  Client Refused

Date of Birth (mm/dd/yyyy): \_\_\_\_/\_\_\_\_/\_\_\_\_  Full  Approximate or Partial  Client Doesn't Know  
 Client Refused

Social Security #: \_\_\_\_-\_\_\_\_-\_\_\_\_  Full  Approximate or Partial  Client Doesn't Know/Don't Have  
 Client Refused

### CONTACT INFORMATION

Phone: \_\_\_\_\_ PH Type: \_\_\_\_\_ PH Type: \_\_\_\_\_

Email: \_\_\_\_\_ Contact Preference: \_\_\_\_\_

### LAST KNOWN PERMANENT ADDRESS

Address: \_\_\_\_\_ Unit Type: \_\_\_\_\_ Unit Number: \_\_\_\_\_

City: \_\_\_\_\_ County: \_\_\_\_\_ State/Province: \_\_\_\_\_ Zip: \_\_\_\_\_

## APPLICATIONS TAB *(Client Skips this section. Only for Intake Worker)*

Program: \_\_\_\_\_

Household Member Applying (Head of Household): \_\_\_\_\_

Project Start Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Program Status: \_\_\_\_\_ (Applied/Pending?) Case Manager: \_\_\_\_\_

Location: \_\_\_\_\_ Referred By: \_\_\_\_\_

Comments: \_\_\_\_\_



# HH Members Tab

Entry Date: \_\_\_/\_\_\_/\_\_\_

Household Type:  Households without children  Households with at least one adult and one child  Households with only children

Household Size: \_\_\_ (1, 2, 3, etc.)

Legal First Name: \_\_\_\_\_

Legal Last Name: \_\_\_\_\_ Suffix: \_\_\_\_\_

Date of Birth (mm/dd/yyyy): \_\_\_/\_\_\_/\_\_\_  Full  Approximate or Partial  Client Doesn't Know  Client Refused

Social Security #: \_\_\_-\_\_\_-\_\_\_  Full  Approximate or Partial  Client Doesn't Know/Don't Have  Client Refused

Gender:  Male  Female  Trans female (Male to Female)  Trans Male (Female to Male)  
 Gender Non-Conforming (i.e. not exclusively male or female)  Client Doesn't Know  Client Refused

Disabling Condition:  Yes  No  Client Doesn't Know  Client Refused

Veteran Status: (Served/Serving in US Military):  Yes  No  Client Doesn't Know  Client Refused

Ethnicity:  Non-Hispanic/Non-Latino  Hispanic/Latino  Client Doesn't Know  Client Refused

Relationship to HoH:  Self  Child  Spouse  Head of household's other relation member  Other (non-relation member)

Race: (choose all that apply):  American Indian or Alaska Native  Asian  Black or African America  
 Native Hawaiian or Other Pacific Islander  White  Client doesn't know  Client Refused

# ASSESSMENTS TAB

For persons entering HMIS Project Type: *Street Outreach, Emergency Shelter, and Safe Haven*

Where did you stay last night – choose one (i.e. Safe haven, Hospital, Hotel, etc.)

*Type of Residence: Literally Homeless Situations*

- Place Not Meant for Habitation (Car or Other Vehicle, Abandoned Building, Bus/Train/Subway Station/ Airport, Street or Camping)
- Emergency Shelter, including hotel/motel paid for with emergency shelter voucher
- Safe Haven
- Interim Housing

*Type of Residence: Institutional Situation*

- |   |   |
|---|---|
| <input type="checkbox"/> Foster care home or foster care group home             | <input type="checkbox"/> Psychiatric Hospital or other Psychiatric Facility |
| <input type="checkbox"/> Hospital or other residential non-Psychiatric facility | <input type="checkbox"/> Long-term Care Facility or nursing home            |
| <input type="checkbox"/> Jail, Prison or Other Juvenile Facility                | <input type="checkbox"/> Substance Abuse Treatment Facility or Detox Center |

*Type of Residence: Transitional and Permanent Housing Situation*

- |   |   |
|---|---|
| <input type="checkbox"/> Hotel or Motel Paid for without an Emergency Shelter Voucher     | <input type="checkbox"/> Residential project of halfway house with no homeless criteria       |
| <input type="checkbox"/> Owned by Client, No Housing Subsidy                              | <input type="checkbox"/> Staying or Living in a Family Member's Room, Apartment or House      |
| <input type="checkbox"/> Owned by Client, With Housing Subsidy                            | <input type="checkbox"/> Staying or Living in a Friend's Room, Apartment, or House            |
| <input type="checkbox"/> Permanent Housing (other than RRH) for formerly homeless persons | <input type="checkbox"/> Transitional Housing for Homeless Persons (including homeless youth) |
| <input type="checkbox"/> Rental by Client, with no ongoing housing subsidy                | <input type="checkbox"/> Rental by client, with other housing subsidy (including RRH)         |
| <input type="checkbox"/> Rental by Client with VASH Housing Subsidy                       | <input type="checkbox"/> Client Refused   |
| <input type="checkbox"/> Rental by Client, with GPD TIP subsidy                           | <input type="checkbox"/> Client Doesn't Know  |
| <input type="checkbox"/> Rental by Client, with other ongoing housing subsidy             |   |

*Length of Stay in Prior Living Situation? (choose one):*

- |  |   |  |
|--|---|--|
| <input type="checkbox"/> One night or less                         | <input type="checkbox"/> One month or more, but less than 90 days | <input type="checkbox"/> Client Doesn't Know |
| <input type="checkbox"/> Two to six nights                         | <input type="checkbox"/> 90 days or more but less than one year   | <input type="checkbox"/> Client Refused      |
| <input type="checkbox"/> One week or more, but less than one month | <input type="checkbox"/> One year or longer                       |  |

Approximate Date that Homelessness Started? \_\_\_\_\_

Number of times the client has been homeless on the streets, in ES or Safe haven in the past three years (INCLUDING today)

- 0     
  1     
  2     
  3     
  4 or More     
  Client Doesn't Know     
  Client Refused



Total number of months homeless on the streets, in ES or Safe haven in the past three years? (INCLUDING THIS TIME – choose one and please write specific number):

- 0-12 \_\_\_\_\_  12+ \_\_\_\_\_  Client Doesn't Know  Client Refused

For persons entering HMIS Project Type: *Transitional Housing, Permanent Housing, Rapid Re-Housing, Service Only, Day Shelter, Homelessness Prevention, and Coordinated Assessment*

Where did you stay last night – choose one (i.e. Safe haven, Hospital, Hotel, etc.)

**Type of Residence: Literally Homeless Situations**

- Place Not Meant for Habitation (Car or Other Vehicle, Abandoned Building, Bus/Train/Subway Station/ Airport, Street or Camping)  
 Emergency Shelter, including hotel/motel paid for with emergency shelter voucher  
 Safe Haven  
 Interim Housing

**Length of Stay in Prior Living Situation? (choose one):**

- One night or less  One month or more, but less than 90 days  Client Doesn't Know  
 Two to six nights  90 days or more, but less than one year  Client Refused  
 One week or more, but less than one month  One year or longer

Approximate Date that Homelessness Started? \_\_\_\_\_

Number of times the client has been homeless on the streets, in ES or Safe haven in the past three years (INCLUDING today)

- 0  1  2  3  4 or More  Client Doesn't Know  Client Refused

Total number of months homeless on the streets, in ES or Safe haven in the past three years? (INCLUDING THIS TIME – choose one and please write specific number):

- 0-12 \_\_\_\_\_  12+ \_\_\_\_\_  Client Doesn't Know  Client Refused

**Type of Residence: Institutional Situation**

- |   |   |
|---|---|
| <input type="checkbox"/> Foster care home or foster care group home             | <input type="checkbox"/> Psychiatric Hospital or other Psychiatric Facility |
| <input type="checkbox"/> Hospital or other residential non-Psychiatric facility | <input type="checkbox"/> Long-term Care Facility or nursing home            |
| <input type="checkbox"/> Jail, Prison or Other Juvenile Facility                | <input type="checkbox"/> Substance Abuse Treatment Facility or Detox Center |

Did you stay less than 90 days?  Yes  No

Length of Stay in Prior Living Situation  One night or less  Two to six nights  One week or more, but less than one month  One month or more, but less than 90 days  90 days or more but less than one year  One year or longer  
 Client Doesn't Know  Client Refused

Note: If "Yes" is answered for "Did you stay less than 90 days?" then answer the questions below.

On the night before you entered the institution, did you stay on the streets, in an emergency shelter or a safe haven?  Yes  No

Note: If "Yes" is answered for "On the night before your institution stay...?" then answer the questions below.

Approximate Date that Homelessness Started? \_\_\_\_\_

Number of times the client has been homeless on the streets, in ES or Safe haven in the past three years (INCLUDING today)



0       1       2       3       4 or More       Client Doesn't Know       Client Refused

**Total number of months homeless on the streets, in ES or Safe haven in the past three years? (INCLUDING THIS TIME – choose one and please write specific number):**

0-12 \_\_\_\_\_       12+ \_\_\_\_\_       Client Doesn't Know       Client Refused

**Type of Residence: Housing Situation**

<input type="checkbox"/> Hotel or Motel Paid for without an Emergency Shelter Voucher	<input type="checkbox"/> Residential project of halfway house with no homeless criteria
<input type="checkbox"/> Owned by Client, No Housing Subsidy	<input type="checkbox"/> Staying or Living in a Family Member's Room, Apartment or House
<input type="checkbox"/> Owned by Client, With Housing Subsidy	<input type="checkbox"/> Staying or Living in a Friend's Room, Apartment, or House
<input type="checkbox"/> Permanent Housing (other than RRH) for formerly homeless persons	<input type="checkbox"/> Transitional Housing for Homeless Persons (including homeless youth)
<input type="checkbox"/> Rental by Client, with no ongoing housing subsidy	<input type="checkbox"/> Client Doesn't Know
<input type="checkbox"/> Rental by Client with VASH Housing Subsidy	<input type="checkbox"/> Client Refused
<input type="checkbox"/> Rental by Client, with GPD TIP subsidy	
<input type="checkbox"/> Rental by Client, with other ongoing housing subsidy	

Did you stay less than 7 nights?  Yes     No

Length of Stay in Prior Living Situation     One night or less     Two to six nights     One week or more, but less than one month     One month or more, but less than 90 days     90 days or more but less than one year     One year or longer  
 Client Doesn't Know     Client Refused

Note: If "Yes" was answered for "Did you stay less than 7 nights" then answer the questions below.

On the night before you stayed in this housing situation, did you stay on the streets, in an emergency shelter or in a safe haven?  
 Yes     No

Note: If "Yes" is answered for "On the night before you stayed in this housing situation..." then answer the questions below.

Approximate Date that Homelessness Started? \_\_\_\_\_

**Number of times the client has been homeless on the streets, in ES or Safe haven in the past three years (INCLUDING today-choose one):**

0       1       2       3       4 or More       Client Doesn't Know       Client Refused

**Total number of months homeless on the streets, in ES or Safe haven in the past three years? (INCLUDING THIS TIME – choose one and please write specific number):**

0-12 \_\_\_\_\_       12+ \_\_\_\_\_       Client Doesn't Know       Client Refused

### Health Information

Do you have a physical disability?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Client Doesn't Know	<input type="checkbox"/> Client Refused
If yes, is it expected to be of long-continued and indefinite duration and substantially impair your ability to live independently?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Client Doesn't Know	<input type="checkbox"/> Client Refused
Do you have a developmental disability?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Client Doesn't Know	<input type="checkbox"/> Client Refused
If yes, is it expected to be of long-continued and indefinite duration and substantially impair your ability to live independently?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Client Doesn't Know	<input type="checkbox"/> Client Refused
Do you have a chronic health condition?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Client Doesn't Know	<input type="checkbox"/> Client Refused
If yes, is it expected to be of long-continued and indefinite duration and substantially impair your ability to live independently?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Client Doesn't Know	<input type="checkbox"/> Client Refused
Have you been diagnosed with AIDS or have you tested positive for HIV?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Client Doesn't Know	<input type="checkbox"/> Client Refused
If yes, is it expected to substantially impair your ability to live independently?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Client Doesn't Know	<input type="checkbox"/> Client Refused
Do you have a mental health problem?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Client Doesn't Know	<input type="checkbox"/> Client Refused
If yes, is it expected to be of long-continued and indefinite duration and substantially impair your ability to live independently?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Client Doesn't Know	<input type="checkbox"/> Client Refused
Do you have a drug or alcohol problem?	<input type="checkbox"/> Yes (if yes) Which one? <input type="checkbox"/> Drug <input type="checkbox"/> Alcohol <input type="checkbox"/> Both	<input type="checkbox"/> No	<input type="checkbox"/> Client Doesn't Know	<input type="checkbox"/> Client Refused
If yes, is it expected to be of long-continued and indefinite duration and substantially impair your ability to live independently?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Client Doesn't Know	<input type="checkbox"/> Client Refused

### DOMESTIC ABUSE

Information Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Are you a survivor of domestic or intimate partner violence:  Yes  No  Client Doesn't Know  Client Refused

If you experienced domestic or intimate partner violence, how long ago did you have this experience?:

<input type="checkbox"/> Within the past 3 months	<input type="checkbox"/> 3 to 6 months ago (excluding 6 months exactly)	<input type="checkbox"/> 6 to 12 months ago (excluding 12 months exactly)
<input type="checkbox"/> One year ago or more	<input type="checkbox"/> Client Doesn't Know	<input type="checkbox"/> Client Refused

Are you currently fleeing:  Yes  No  Client Doesn't Know  Client Refused

**Note: This section is for special programs that require additional question sets.**

**VA QUESTIONS (Only answer these questions for VA programs)**



Year entered military service: \_\_\_\_/\_\_\_\_/\_\_\_\_

Year separated from military service: \_\_\_\_/\_\_\_\_/\_\_\_\_

Theater of Operations (Check all that apply):

<input type="checkbox"/> WW II	<input type="checkbox"/> Iraq (Operation Iraqi Freedom)
<input type="checkbox"/> Korean War	<input type="checkbox"/> Iraq (Operation New Dawn)
<input type="checkbox"/> Vietnam Era	<input type="checkbox"/> Other Peace-Keeping Operations or Military Interventions (such as Lebanon, Panama, Somalia, Bosnia, Kosovo)
<input type="checkbox"/> Persian Gulf (Operation Desert Storm)	<input type="checkbox"/> Client Doesn't Know
<input type="checkbox"/> Afghanistan (Operation Enduring Freedom)	<input type="checkbox"/> Client Refused

What branch of the military did you serve? (Check all that apply):

<input type="checkbox"/> Army	<input type="checkbox"/> Air Force	<input type="checkbox"/> Navy
<input type="checkbox"/> Marines	<input type="checkbox"/> Coast Guard	<input type="checkbox"/> Client Doesn't Know <input type="checkbox"/> Client Refused

What type of Discharge did you receive?  Honorable  General under honorable conditions  Under other than honorable conditions (OTH)  Bad Conduct  Dishonorable  Uncharacterized  Client Doesn't Know  Client Refused

Household Income as a Percentage of AMI?  Less than 30%  30% to 50%  Greater than 50% **VAMC Station Number?** \_\_\_\_\_

What is the last grade of school completed?

<input type="checkbox"/> Less than Grade 5	<input type="checkbox"/> Grades 5-6	<input type="checkbox"/> Grades 7-8	<input type="checkbox"/> Grades 9-11
<input type="checkbox"/> Grade 12	<input type="checkbox"/> School Program does not have grade levels		<input type="checkbox"/> GED
<input type="checkbox"/> Some college	<input type="checkbox"/> Associates degree	<input type="checkbox"/> Bachelor's degree	<input type="checkbox"/> Graduate degree
<input type="checkbox"/> Vocational certification	<input type="checkbox"/> Client doesn't know	<input type="checkbox"/> Client refused	

**HOPWA QUESTIONS** (Only answer these questions for HOPWA programs)

Information Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Receiving Public HIV/AIDS Medical Assistance:  No  Yes  Client Doesn't Know  Client Refused

Reason (only answer if no):  Applied; decision pending  Applied; client not eligible

Client did not apply  Insurance type N/A for this client  Client doesn't know  Client refused



<input type="checkbox"/> Social Security Disability Income (SSDI)	\$ _____	
<input type="checkbox"/> Veteran's Service-Connected Disability Compensation	\$ _____	
<input type="checkbox"/> Veteran's Non-Service-Connected Disability Compensation	\$ _____	
<input type="checkbox"/> Private Disability Insurance	\$ _____	
<input type="checkbox"/> Worker's Compensation	\$ _____	
<input type="checkbox"/> Temporary Assistance for Needy Families (TANF)	\$ _____	
<input type="checkbox"/> General Assistance (GA)	\$ _____	
<input type="checkbox"/> Retirement Income from Social Security	\$ _____	
<input type="checkbox"/> Pension from Former Job	\$ _____	
<input type="checkbox"/> Child Support	\$ _____	
<input type="checkbox"/> Alimony/Other Spousal Support	\$ _____	
<input type="checkbox"/> Aid to the Needy and Disabled (AND)	\$ _____	
<input type="checkbox"/> Old Age Pension (OAP)	\$ _____	
<input type="checkbox"/> Other Sources	\$ _____	
<input type="checkbox"/> Client Doesn't Know		
<input type="checkbox"/> Client Refused		
<b>Non-Cash Benefits (Choose all that applies)</b>		
<input type="checkbox"/> None <input type="checkbox"/> Client Doesn't Know <input type="checkbox"/> Client Refused <input type="checkbox"/> Other Benefit Source: _____		
<input type="checkbox"/> Food Stamps/SNAP \$ _____ (amount optional) <input type="checkbox"/> TANF Child Care <input type="checkbox"/> Temporary Rental Assistance <input type="checkbox"/> TANF Transportation Services <input type="checkbox"/> Section 8 or Rental Assistance <input type="checkbox"/> WIC (Women, Infants and Children) <input type="checkbox"/> Other TANF-funded Services		
<b>HEALTH INSURANCE</b>		
<input type="checkbox"/> No Health Insurance <input type="checkbox"/> Other _____ <input type="checkbox"/> MEDICAID <input type="checkbox"/> MEDICARE <input type="checkbox"/> State Children's Health Insurance <input type="checkbox"/> Veteran's - VA Medical <input type="checkbox"/> Employer provided Health Insurance <input type="checkbox"/> COBRA <input type="checkbox"/> Private Pay Health Insurance <input type="checkbox"/> State Adult Health Insurance <input type="checkbox"/> Indian Health Services Program <input type="checkbox"/> Client Refused <input type="checkbox"/> Client Doesn't Know		

Client Signature: \_\_\_\_\_



# HomewardBound of the Grand Valley

## Authorization to Release and/or Exchange Information

This document enables agencies in our community to communicate and coordinate services effectively in the best interest of homeless men, women and children.

I, \_\_\_\_\_, hereby authorize and consent to the exchange of information regarding myself and/or my minor children; both to and from the following persons or agencies, or their authorized representatives. By initialing below, I give my consent and authorization to release information both to and from the following agencies:

- |  |  |
|--|--|
| <input type="checkbox"/> Mesa County Department of Human Resources | <input type="checkbox"/> Hilltop Community Resources           |
| <input type="checkbox"/> Vocational Rehabilitation                 | <input type="checkbox"/> Mesa County Workforce Center          |
| <input type="checkbox"/> Mind Springs Health                       | <input type="checkbox"/> Mesa Developmental Services           |
| <input type="checkbox"/> Veterans Administration/Veterans Center   | <input type="checkbox"/> Mesa County Health Department         |
| <input type="checkbox"/> Grand Valley Catholic Outreach            | <input type="checkbox"/> Probation/Parole Department/Officers  |
| <input type="checkbox"/> Marillac Clinic                           | <input type="checkbox"/> Latimer House                         |
| <input type="checkbox"/> United Way                                | <input type="checkbox"/> St. Mary's Hospital                   |
| <input type="checkbox"/> Reach District 51                         | <input type="checkbox"/> Housing First                         |
| <input type="checkbox"/> Department of Corrections – Re-Entry      | <input type="checkbox"/> Housing Resources of Western Colorado |
| <input type="checkbox"/> Grand Junction Housing Authority          | <input type="checkbox"/> Head Start                            |
| <input type="checkbox"/> The Salvation Army                        | <input type="checkbox"/> Colorado Legal Services               |
| <input type="checkbox"/> Western Colorado Aids Project (WESTCAP)   | <input type="checkbox"/> Mesa County Sheriff's Office          |
| <input type="checkbox"/> Center for Independence                   | <input type="checkbox"/> A Home Now                            |
| <input type="checkbox"/> GJPD HOT (Homeless Outreach Team)         | <input type="checkbox"/> FLI (Front Line Intervention)         |
| <input type="checkbox"/> Physician/Therapist _____                 | <input type="checkbox"/> Landlord _____                        |
| <input type="checkbox"/> Employer _____                            | <input type="checkbox"/> Nurse/Medical Volunteer _____         |
| <input type="checkbox"/> Asset House                               | <input type="checkbox"/> Other _____                           |
| <input type="checkbox"/> All Agencies                              | <input type="checkbox"/> Other _____                           |

I understand this authorization is effective for one year from the date of signature. I further understand that I can cancel all or part of this release by putting my request in writing.

Copies of this consent shall be deemed to have the same force and effect as the original.

**Resident/Client** \_\_\_\_\_

**Date** \_\_\_\_\_

**HGBV Representative** \_\_\_\_\_

**Date** \_\_\_\_\_



I, \_\_\_\_\_, hereby consent that the photographs/artwork/ video  
(Please Print First and Last Name)

tapes/ electronic representations and/or sound recordings to be used for publishing and promotional purposes through mailers, newsletters, and web postings for HomewardBound of the Grand Valley. I understand that HomewardBound of the Grand Valley has the right to duplicate, reproduce and make future uses of such photos / artwork / video / tapes electronic representations and or sound recordings as they desire free and clear of any claim whatsoever on my part. And, finally, I also understand that there will be no compensation for this use.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
(Signature of Parent or Legal Guardian if under 18)

\_\_\_\_\_  
Phone

\_\_\_\_\_  
Date

## Vehicle Information

Car Make and Model: \_\_\_\_\_

License Plate Number: \_\_\_\_\_

Signature: \_\_\_\_\_ Date \_\_\_\_\_





# Conditions of Services Guideline

**First Name:** \_\_\_\_\_

**Last Name:** \_\_\_\_\_

## **Purpose:**

Welcome to HomewardBound, the purpose of this guideline is to establish and provide a safe shelter environment and experience for all residents, staff and volunteers.

As a condition of receiving services with us, you must adhere to the following Guidelines.

## **You will:**

- \_\_\_ Not partake in illegal activity.
- \_\_\_ Not have any alcohol, drugs, drug paraphernalia or potential weapons of any kind (as determined by staff).
- \_\_\_ Agree to be breathalyzed at any time as determined by staff.
- \_\_\_ Treat everyone with courtesy and respect people's feelings, physical space, and property.
- \_\_\_ After processing through intake, remain in the designated areas of the shelter facility.
- \_\_\_ Smoke only in the designated smoking areas on property.
- \_\_\_ Follow proper daily hygiene care.
- \_\_\_ Abide by all established guidelines and schedules.
- \_\_\_ Leave the property if requested by a staff member.
- \_\_\_ Not engage in any sexual contact, implied or otherwise, on property.
- \_\_\_ Wear appropriate clothing at all times. You must be fully dressed outside sleeping areas and be clothed in appropriate sleeping attire at bedtime. Individuals and children over the age of 7 must change clothing in the appropriate gender restroom.
- \_\_\_ Not be allowed to receive services at the Shelter if you are a sex offender.
- \_\_\_ Be authorized for late check-in provided you have called in or made prior arrangements and be completely sober.
- \_\_\_ Use bedding provided by HomewardBound.
- \_\_\_ Keep only items that can fit into **one** tote on property during the day.

## **In addition:**

- \_\_\_ No animals other than ADA service animals may be housed on property.
- \_\_\_ HomewardBound is a secure facility, do not open doors or windows to the facility unless authorized by a staff.
- \_\_\_ HomewardBound has the right to search personal property.

\_\_\_\_ HomewardBound reserves the right to refuse services.

**I hereby agree to abide by all of these conditions of services.**

\_\_\_\_\_  
**Resident Signature**

\_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Staff Signature**

\_\_\_\_\_  
**Date**



## **Policy for Equal Opportunity and Non-Discrimination in Services**

HomewardBound of the Grand Valley provides service opportunities without regard to, and does not discriminate on the basis of, age, color, disability, family responsibilities, family status, gender identity or expression, marital status, national origin, personal appearance, political affiliation, race, religion, sex, sexual orientation, source of income or any other factor prohibited by law in its service programs and activities. Inquiries regarding HomewardBound's non-discrimination policy may be addressed to the Executive Director.

## **Policy for Equal Opportunity and Non-Discrimination in Employment**

HomewardBound of the Grand Valley provides equal opportunity in employment for all persons, and prohibits unlawful discrimination and harassment in all aspects of employment because of age, color, disability, family responsibilities, gender identity or expression, genetic information, marital status, matriculation, national origin, personal appearance, political affiliation, race, religion, sex, sexual orientation, veteran's status or any factor prohibited by law. Inquiries regarding HomewardBound's non-discrimination policy may be addressed to the Executive Director.

## **Policy for Equal Opportunity and Non-Discrimination in Vendor Selection**

HomewardBound of the Grand Valley provides equal opportunity in vendor selection for all persons, and prohibits unlawful discrimination and harassment in all aspects of employment because of age, color, disability, family responsibilities, gender identity or expression, genetic information, marital status, matriculation, national origin, personal appearance, political affiliation, race, religion, sex, sexual orientation, veteran's status or any factor prohibited by law. We make every attempt to select locally and consider women and minority-owned businesses whenever possible. Inquiries regarding HomewardBound's non-discrimination policy may be addressed to the Executive Director.





**GRANT APPLICATION**  
**Community Development Block Grant**  
**(CDBG) Program**

*The City of Grand Junction does not discriminate on the basis of race, color, religion, sex, national origin, age or disability in the admission, access or appointment to, or treatment or employment in, its programs or activities.*

Applications and all attachments must be submitted to the City of Grand Junction electronically no later than 4:00 pm on Wednesday, March 26, 2018 to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org)

**APPLICANT BACKGROUND**

1. Organization Name:

Mesa Youth Services, Inc. dba Mesa County Partners

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2. Organization Address:

1169 Colorado Ave

---

Grand Junction, CO 81501

---

3. Organization DUNS Number (Data Universal Number System) (required):

*For more information visit [www.whitehouse.gov/omb/grants/duns\\_num\\_guide.pdf](http://www.whitehouse.gov/omb/grants/duns_num_guide.pdf):*

052876864

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4. Organization or Parent Organization EIN/TIN Number (required):

74-2486204

---

5. Primary Contact Person:

Jill Derrieux

---

Phone: 970-245-5555

FAX: 970-245-7411

---

Email: [jderrieux@mesapartners.org](mailto:jderrieux@mesapartners.org)

---

6. Do you or your parent organization file OMB A-133 (Single Audit)?

Yes  No

If yes, please attach a copy of the most recent A-133 and Form SF-SAC to your CDBG application.

7. **System for Award Management (SAM):** Your entity must be registered in the System for Award Management (SAM) prior to application. Provide a copy of the registration confirmation with your application. See attached example. *For more information visit: [www.sam.gov/portal](http://www.sam.gov/portal)*

8. Is this agency an equal employment opportunity employer?  Yes  No

Please attach a copy of the agency's affirmative action plan.

9. Provide a brief description of your organization - what do you do?

One-to-One Mentoring: This program recruits, trains, and supervises adult volunteers who are matched with at-risk youth needing a positive role model and guidance. There were a total of 79 active partnerships in 2017. As part of our standards, we provide two healthy activities monthly and 16 life skills classes annually. In 2017, volunteers spent over 7000 hours mentoring youth in Mesa County.

-Restitution/Community Service Work Program: We supervise up to 1,000 juvenile offenders annually who complete court-ordered community service and pay restitution to victims. In 2017, we supervised over 14,000 community service hours and more than \$100,000 was paid back to victims in Mesa County.

-Western Colorado Conservation Corps: 101 youth and young adults were employed and trained in public land improvement projects in 2017.

10. Which describes your organization (check all that apply)?

A non-profit with 501(c)3 status

Housing Authority

Governmental Agency

Quasi-Governmental Agency

Faith based

Public Service /Human Services Provider

Public Organization

Other \_\_\_\_\_

11. Has the applicant received past CDBG funding from the City of Grand Junction? If so, please indicate the year received, the amount received and the amount of CDBG and/or funds remaining to be spent at this time.

2008- \$100,000 to purchase building for Wet. Co Cons. Corps  
2010- \$17,000 for van to transport youth in WCCC  
2013 \$15,000 for van to transport youth in Mentoring Program  
2015 \$27,500 for safety upgrades to Partners Building

12. Does any employee, board member or client have any past or present ownership or financial investment in the agency, organization or proposed project? If so, please describe.

no

## FUNDING REQUEST SUMMARY

1. Project Name: West. Colo. Conservation Corps additional door out of shop
2. Project Address/Location: 2818 1/2 North Ave GJ CO 81501
3. Amount of CDBG funds requested for the project: \$ 3,800.00
4. Funding leveraged from other sources for this project: \$ 5,000.00
5. Total Project Budget: \$ 3,800.00
6. Minimum amount of CDBG funding to benefit your project: \$ 2,500.00

## PROJECT ELIGIBILITY

1. Which CDBG national objective does this project meet?
- Benefits low/moderate income individuals/households
- Addresses the prevention or elimination of slums or blight
- Meets an urgent community need (usually a natural disaster)



2. Which City of Grand Junction CDBG Program Priority does this project meet?

- Need for Non-Housing Community Development Infrastructure
- Need for Affordable Housing
- Needs of the Homeless or Special Needs Populations
- Other Human Service Needs
- Economic Opportunities

### **SPECIFIC PROJECT INFORMATION**

1. **Project Description.** Give a brief description of the project for which you are requesting funds. How do you plan to spend the funds?

This project will fund an additional door out of the shop area of Western Co. Conservation Corps. (See attached photo) Currently, there is a large garage door to enter and exit the back of the building. This creates 2 issues: 1) As a safety issue since there is not a quick exit out of the back. 2) Heating/Cooling efficiency goes down every time we have to open the large door to load up crews and/or bring in supplies. In the winter the heat escapes and in the summer the building quickly becomes extremely hot and taxes the air conditioning unit.

2. **Project Type.** Which of the following categories best describes your project? (select only one)

- Public or human service
- Capital construction, building rehabilitation or public facility improvement
- Home ownership activities
- Housing rehabilitation
- Economic development assistance
- Planning or predevelopment costs
- Acquisition or demolition of property

3. If this is a Human Service Project, is the service:  New or  On-going?

4. If funding an existing service, describe how the CDBG funds will support a measurable increase in that service (e.g. more families served or longer service period)

Due to the safety issues, it will assure a quick escape of youth in our program. It will also reduce heating/cooling costs, allowing for more money to stay in the program helping youth rather than paying utility bills.

5. **Demonstrated Need for Project.** Provide a brief summary of current statistical data documenting the need for the project. Include sources of information.

According to the he evaluation of the AmeriCorps Opportunity Youth Program (2014-2018), the participants of WCCC had positive outcomes. These youth we given pre/post surveys about their lives and experiences in the program. The findings concluded:  
 AmeriCorps participants showed improvements from pre-test to follow-up in high school completion, course completion, percentage currently employed, hourly wages, and longest employment.

6. **Project Budget.** Provide a line item budget for this project and the total budget cost, including the request for City of Grand Junction CDBG funds, other secured funding and other pending applications for funding. If this is a rehabilitation, remodeling or construction project, be sure to include Davis-Bacon wage regulations and architectural, engineering and/or environmental review fees in determining your project budget.

Budget Item	Amount	Funding Source	Award Date
Shop exit door	\$3,832.00	CDBG	
<b>Total Budget</b>	<b>\$3,832.00</b>		

7. **Project Schedule.** What is the proposed project schedule if awarded the CDBG funds? Keep in mind funding will not be available until September.

Start Date: 10/1/2018 Completion Date: 10/31/2018

If the project includes construction, are there plans/permits needed before the project can begin construction or has a construction schedule been identified?

Yes  No

8. **In-Kind Contributions.** What other resources (e.g. volunteers, in-kind donations, etc) will you be utilizing for this project? List all in-kind services, volunteer hours and goods you will bring to this project and determine a dollar value for all items listed.

## BENEFICIARY INFORMATION

1. **Total Persons Served.** How many total persons did this organization serve during the past year and how many total people does your organization expect to serve in the coming year?

In 2017, 979 youth were served. In 2018, we have budgeted to serve over 1,000 youth.

2. **Persons Served by Project.** Provide an estimate of the number of persons who will benefit from the specific project that **live within the City limits of Grand Junction**. What percentage of total persons served by your organization live within the City limits?

In 2017, 70% of the youth lived in the city limits. We expect that percentage to remain the same in 2018. For the 1,000 youth we expect to serve, 700 will reside in the city limits.

3. **Client Eligibility.** Will those served by this project be primarily (51% or more) of low and/or moderate income?  Yes  No



If you checked yes above, specify by checking all that apply to your project:

The census tract(s) in which the project is located (see attached map) is primarily of low and/or moderate income.

The income of each household/person receiving assistance will be individually verified for eligibility.

The types of households or persons served are of special need (presumed benefit) such as elderly, disabled, homeless, illiterate, or involve an abused spouse or child.

4. If the income will be verified, describe the organization's procedure for verification and how you will determine that a person is eligible for services.

When the youth apply for employment, part of the intake process is the attached questionnaire.

## COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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### REQUIRED ATTACHMENTS FOR CDBG APPLICATIONS

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Applications and all attachments must be submitted to the **City of Grand Junction electronically no later than 4:00 p.m. on Wednesday, March 26, 2018** to Kristen Ashbeck at [kristena@gicity.org](mailto:kristena@gicity.org). The following attachments are required:

- A signed copy of the CDBG Application and Preliminary Agreement form (attached).
- A copy of your organization's original IRS determination letter indicating [501(c)(3) or tax-exempt status (if applicable)].
- Your agency's most recent audit, financial statement or, if applicable, A-133 (Single Audit). Please state your annual and cumulative fund balance. All agencies who receive \$750,000 or more, total for all Federal grants received this year, must submit an audit in compliance with the 1984 Single Audit Act.
- A copy of your organization's or parent organization's most recent Form SF-SAC pertaining to the A-133 audit, if applicable as above.
- A copy of System for Award Management (SAM) entity registration. See attached example.
- A list of current board members, including their employer and/or other pertinent affiliations.
- A copy of the project's client intake form, application form or other paperwork used to collect and certify income and racial data for each client.
- Copy of agency's Affirmative Action Plan.

**COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)**

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**CDBG APPLICATION AND PRELIMINARY AGREEMENT**

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By signing below I certify that all of the information above and included with this application is true, and I understand that application for funds does not in any way guarantee funding. Additionally, the applicant understands and agrees that in the event the applicant receives approval from the City Council for a block grant fund allocation:

1. The applicant will not receive the block grant funds until:
  - a. HUD has approved the project and the City receives a letter of credit from HUD.
  - b. An Environmental Review has been completed and approved.
  - c. If applicable, a Request for Release of Funds and a Finding of No Significant Impact have been published for community comment and HUD has released funds for the project.
  - d. A contract between the City of Grand Junction and the sub-recipient is fully executed.
  - e. All labor standards have been met.
2. The applicant will submit a sub-recipient report with each request for reimbursement. The report will describe the progress of the project, the project beneficiaries, and other information required by HUD or the City. Invoices will accompany the report when indicated. Funds will not be released without this report.
3. The applicant will provide the City any other information required by HUD or by the City.
4. The applicant will comply with all other requirements set forth by the City and the U.S. Department of Housing and Urban Development.

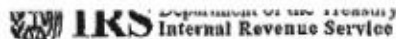
Applicant: Mesa Youth Services, Inc dba Mesa County Partners

Title: Executive Director

Signature (typed acceptable): Jill Derrieux

Date: 3/22/2018





Internal Revenue Service  
P.O. Box 2508  
Cincinnati OH 45201

In reply refer to: 0248344558  
May 01, 2015 LTR 4168C 0  
74-2486204 000000 00

00020833  
BODC: TE

MESA YOUTH SERVICE INC  
MESA CO PARTNERS  
1169 COLORADO AVE  
GRAND JCT CO 81501

032874

Employer Identification Number: 74-2486204  
Person to Contact: Mr. Burns  
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your Apr. 22, 2015, request for information regarding your tax-exempt status.

Our records indicate that you were recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in November 1989.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Please refer to our website [www.irs.gov/eo](http://www.irs.gov/eo) for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.

Internal Revenue Service  
District Director

Department of the Treasury

1100 COMMERCE STREET  
DALLAS, TX 75242-0000

Date: OCT 31 1989

MESA YOUTH SERVICES INC  
735 SOUTH AVENUE  
GRAND JUNCTION, CO 81501

Employer Identification Number:  
74-2486204

Contact Person:  
EO TECHNICAL ASSISTOR  
Contact Telephone Number:  
(214) 767-3526

Accounting Period Ending:  
December 31

Foundation Status Classification:  
509(a)(1)

Advance Ruling Period Begins:  
August 14, 1989

Advance Ruling Period Ends:  
December 31, 1993

Addendum Applies:  
N/A

Dear Applicant:

Based on information supplied, and assuming your operations will be as stated in your application for recognition of exemption, we have determined you are exempt from Federal income tax under section 501(a) of the Internal Revenue Code as an organization described in section 501(c)(3).

Because you are a newly created organization, we are not now making a final determination of your foundation status under section 509(a) of the Code. However, we have determined that you can reasonably be expected to be a publicly supported organization described in sections 509(a)(1) and 170(b)(1)(A)(vi).

Accordingly, you will be treated as a publicly supported organization, and not as a private foundation, during an advance ruling period. This advance ruling period begins and ends on the dates shown above.

Within 90 days after the end of your advance ruling period, you must submit to us information needed to determine whether you have met the requirements of the applicable support test during the advance ruling period. If you establish that you have been a publicly supported organization, you will be classified as a section 509(a)(1) or 509(a)(2) organization as long as you continue to meet the requirements of the applicable support test. If you do not meet the public support requirements during the advance ruling period, you will be classified as a private foundation for future periods. Also, if you are classified as a private foundation, you will be treated as a private foundation from the date of your inception for purposes of sections 507(d) and 4940.

Grantors and contributors may rely on the determination that you are not a private foundation until 90 days after the end of your advance ruling period. If you submit the required information within the 90 days, grantors and contributors may continue to rely on the advance determination until the Service makes a final determination of your foundation status.

Letter 1045(DO/CG)

**MESA YOUTH SERVICES, INC. AND MESA YOUTH SERVICES  
FOUNDATION, INC.**

**FINANCIAL STATEMENTS**

**For the Year Ended December 31, 2016**



MESA YOUTH SERVICES, INC. AND MESA YOUTH SERVICES  
FOUNDATION, INC.

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Paul D. Miller CPA, LLC.

Certified Public Accountant

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#### INDEPENDENT AUDITOR'S REPORT

To the Board of Directors of  
Mesa Youth Services, Inc. and Mesa Youth Services Foundation, Inc.

I have audited the accompanying financial statements of Mesa Youth Services, Inc. and Mesa Youth Services Foundation, Inc. ( nonprofit organizations), which comprise the statements of financial position as of December 31, 2016 , and the related statements of activities and cash flows for the years then ended, and the related notes to the financial statements.

#### **Management's Responsibility for the Financial Statements**

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

#### **Auditor's Responsibility**

My responsibility is to express an opinion on these financial statements based on my audits. I conducted my audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that I plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, I express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my audit opinion.

#### **Opinion**

In my opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Mesa Youth Services, Inc. and Mesa Youth Services Foundation, Inc. as of December 31, 2016, and the changes in its net assets and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

*Paul D. Miller, CPA, LLC*

Grand Junction, CO  
March 3, 2017

Paul D. Miller, C.P.A.

[pauldmiller@live.com](mailto:pauldmiller@live.com)

P.O. Box 4595 • Grand Junction, Colorado 81502 • (970) 261-3971 • FAX (970) 243-7625 • EIN #26-1673930

Mesa Youth Services, Inc. and Mesa Youth Service Foundation  
Statement of Financial Position  
December 31, 2016

	MYS	MYSF	Combined Totals
<b>ASSETS</b>			
<b>CURRENT ASSETS</b>			
Cash	\$ 9,082	\$ 37,260	\$ 46,342
Receivables:			
Conservation Corp.	81,558	-	81,558
Grants	80,241	-	80,241
Other	-	-	-
Prepaid expense	21,842	-	21,842
Deposit	1,685	-	1,685
<b>TOTAL CURRENT ASSETS</b>	<b>194,408</b>	<b>37,260</b>	<b>231,668</b>
<b>PROPERTY, PLANT &amp; EQUIPMENT LESS ACCUMULATED DEPRECIATION, Note D</b>	<b>1,302,178</b>	<b>-</b>	<b>1,302,178</b>
<b>OTHER ASSETS</b>			
Investments- Mesa Youth Services Foundation	-	632,157	632,157
<b>TOTAL ASSETS</b>	<b>\$ 1,496,586</b>	<b>\$ 669,417</b>	<b>\$ 2,166,003</b>
<b>LIABILITIES AND NET ASSETS</b>			
<b>CURRENT LIABILITIES</b>			
Accounts payable	\$ 17,932	\$ -	\$ 17,932
Accrued expenses	36,289	-	36,289
Compensated absences	21,773	-	21,773
Line-of-Credit	-	-	-
<b>TOTAL CURRENT LIABILITIES</b>	<b>75,994</b>	<b>-</b>	<b>75,994</b>
<b>TOTAL LIABILITIES</b>	<b>75,994</b>	<b>-</b>	<b>75,994</b>
<b>NET ASSETS</b>			
Unrestricted net assets			
Undesignated	1,420,592	612,650	2,033,242
Permanently restricted -MYS Foundation	-	56,767	56,767
<b>TOTAL NET ASSETS</b>	<b>1,420,592</b>	<b>669,417</b>	<b>2,090,009</b>
<b>TOTAL LIABILITIES AND NET ASSETS</b>	<b>\$ 1,496,586</b>	<b>\$ 669,417</b>	<b>\$ 2,166,003</b>

The accompanying notes are an integral part of this statement.



Mesa Youth Services, Inc. and Mesa Youth Services Foundation  
Statement of Activities  
For the Year Ended December 31, 2016

	MYS	MYSF		Combined Total
	Unrestricted	Unrestricted	Permanently Restricted	
Public support and revenue				
Public support				
Grants	\$ 505,387	\$ -	\$ -	\$ 505,387
Contributions	562,939	13,150	3,000	579,089
Special events, net, Note F	41,850	-	-	41,850
Revenue				
Jobs revenue	67,981	-	-	67,981
Conservation corps revenue	1,284,658	-	-	1,284,658
Investment income	9	47,850	5,628	53,487
Gain on sale of fixed assets	725	-	-	725
Net assets released from restrictions:				
Satisfaction of program restrictions	-	2,007	(2,007)	-
Total public support and revenue	<u>2,463,549</u>	<u>63,007</u>	<u>6,621</u>	<u>2,533,177</u>
Expenses				
Program services				
Activities	81,613	-	-	81,613
Conservation & Counseling	2,088,281	-	-	2,088,281
Recruitment & Communications	37,309	-	-	37,309
Support services				
Management and general	193,701	2,499	-	196,200
Fundraising	104,929	-	-	104,929
Grants	-	22,000	-	22,000
Total expenses	<u>2,505,833</u>	<u>24,499</u>	<u>-</u>	<u>2,530,332</u>
Change in net assets	(42,284)	38,508	6,621	2,845
Net assets at beginning of year	1,462,876	574,142	50,146	2,087,164
Net assets at end of year	<u>\$ 1,420,592</u>	<u>\$ 612,650</u>	<u>\$ 56,767</u>	<u>\$ 2,090,009</u>

The accompanying notes are an integral part of this statement.

Mesa Youth Services, Inc. and Mesa Youth Services Foundation  
Statement of Cash Flows  
For the Year Ended December 31, 2016

	MYS	MYSF
<b>Cash Flows From Operating Activities:</b>		
Cash received from grants	\$ 466,526	\$ -
Cash received from contributions	540,939	16,150
Cash received from special events	41,850	-
Cash received from program activities	1,335,959	-
Investment income	9	25,950
Cash paid to or for employees	(1,276,562)	-
Cash transfer to Mesa Youth Services	22,000	(22,000)
Cash paid to suppliers	(1,173,173)	(2,499)
Net Cash Provided By Net Operating Activities	(42,452)	17,801
<b>Investing Activities:</b>		
Purchase of fixed assets	(39,044)	-
Net change in investments (increase) decrease	-	(22,331)
Net Cash Provided (Used) By Investing Activities	(39,044)	(22,331)
Net Increase (decrease) in Cash	(81,496)	(4,730)
Cash beginning of year	90,578	41,990
Cash end of year	\$ 9,082	\$ 37,260
 <b>Reconciliation of change in net assets to net cash provided by operating activities:</b>		
Change in net assets	\$ (42,284)	\$ 45,129
Change in market value of investments	-	(27,528)
Depreciation	78,527	-
(Increase) decrease in grant receivable	(38,861)	-
(Increase) decrease in other receivables	(16,680)	-
(Increase) decrease in prepaid expense	7,764	-
Increase (decrease) in accounts payable	(7,675)	-
Increase (decrease) in compensated absences	1,571	-
Increase (decrease) in accrued expenses	(24,814)	-
Net Cash Provided By Operating Activities	\$ (42,452)	\$ 17,601
 <b>Supplemental Information:</b>		
Interest paid	\$ 4,722	
Market value adjustment to investments		\$ 27,528

The accompanying notes are an integral part of this statement.

Mesa Youth Services, Inc. and Mesa Youth Services Foundation  
Notes to the Financial Statements  
December 31, 2016

**NOTE A – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

A summary of the Entity's significant accounting policies in the preparation of the accompanying financial statements follow:

1. Organization

Mesa Youth Services, Inc. (Partners) was incorporated on January 29, 1987, and is a member affiliate of the Partners Mentoring Association (PMA). PMA provides certain management, financial, and administrative services to member affiliates for a fee.

Mesa was established for the purpose of providing an instrument through which the community volunteers (Senior Partners) seek to establish one-on-one relationships with the youth, (Junior Partners), who have or potentially may have, contact with the juvenile court system. The Corporation provides services to youth with multiple risk factors in Mesa County, Colorado. The organization receives funding from federal and state governments as well as local agencies, corporations and individuals.

The financial statements of the Entity have been prepared on the accrual basis of accounting. The Corporation's year end is December 31, and the significant accounting policies are described below to enhance the usefulness of the financial statements.

2. Fund Accounting

The accounts of the Organization are maintained in accordance with the principles of fund accounting. Under fund accounting, resources for various purposes are classified for accounting and reporting purposes into funds established according to the nature and purpose. Separate accounts are maintained for each fund; however, in the accompanying financial statements, funds that have similar characteristics have been combined into fund groups. The fund balances are classified on the Statement of Financial Position as unrestricted, temporarily restricted, or permanently restricted net assets, based on the absence or existence and type of donor-imposed restriction

3. Functional Expenses

Expenses are charged to each program and support services based on the ultimate purpose of the expenditure. Partners allocated expenses into the following categories:

Program Services

Activities – recreational programs created to serve Junior Partners and aid in the relationship building process between Junior and Senior Partners.

Counseling – Providing supervision to Senior and Junior Partners by Partners case managers, and providing a restitution program for youthful offenders, and providing employment through Western Colorado Conservation Corps (WCCC).

Recruitment and Communications – Efforts to encourage volunteer participation by means of media exposure as well as brochure distribution, public presentations, and public displays.



Mesa Youth Services, Inc. and Mesa Youth Services Foundation  
Notes to the Financial Statements  
December 31, 2016

**NOTE A – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES-continued**

3. Functional Expenses-continued

Supporting services

Management and General - Direction of the overall affairs of Partners personnel and administration

Fund Raising - Activities to maintain and increase support for funding Partners' program services.

4. Cash and Cash Equivalents

For the purpose of the statement of cash flows, the Entity considers all liquid investment with a maturity of three months or less when purchased to be cash equivalents.

5. Investments

Investments are reported at fair value based on quoted prices in active markets (all Level 1 measurements). Investment income, which consists of interest and dividend income earned, realized gains or losses and unrealized appreciation (depreciation) on those investments, is included in the statement of changes in net assets. All investments shown as Other Assets on the face of the Statement of Financial Position belongs to the Mesa Youth Services Foundation. The Mesa Youth Services Foundation was created by the Mesa Youth Services, Inc. Board of Directors explicitly for the primary purpose of generating an endowment for the future expansion of Mesa Youth Services, Inc. In July 2006, the IRS approved the Mesa Youth Services Foundation as a separate tax exempt entity. The Foundation Board of Trustees includes members of Mesa Youth Services, Inc. as well as members elected at large. Investment income reported as operating revenue consists of interest income on money markets and CD and, dividends on the Mutual funds.

6. Property, Equipment, and Depreciation

Property and equipment are recorded at cost or at the estimated fair market value on the date of the donation. Property and equipment costing or valued at \$500 or more is capitalized.

Partners reports gifts of land, buildings, and equipment as unrestricted support unless explicit donor stipulations specify how the donated assets must be used. Gifts of long-lived assets with explicit restrictions that specify how the assets are to be used and gifts of cash or other assets that must be used to acquire long-lived assets are reported as restricted support. Absent explicit donor stipulations about how long those long-lived assets must be maintained, Partners reports expirations of donor restrictions when the donated or acquired long-lived assets are placed in service.

Depreciation of property and equipment is provided on a straight-line basis over the estimated useful lives of the assets. These range from three to five years for equipment and up to twenty years for buildings

Mesa Youth Services, Inc. and Mesa Youth Services Foundation  
Notes to the Financial Statements  
December 31, 2016

**NOTE A – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES-continued**

7. Support and Revenue

Partners receive support through various grants from government agencies, which are discussed in Note H. Other support is received in the form of contributions from corporations, foundations, and individuals.

Partners report gifts of cash and other assets as restricted support if they are received with donor stipulations that limit the use of the donated asset. When donor restrictions expire, that is, when a stipulated time restriction ends or the purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions. Contributions received with temporary restrictions that are met in the same reporting period are reported as unrestricted support and increase unrestricted net assets.

8. Contributed Services

The Corporation does not recognize any support or expense from services contributed by volunteers as the value of these services is not susceptible to objective measurement or valuation. However, a number of unpaid individuals have contributed approximately 17,240 hours of their time to Mesa Youth Services, Inc. during 2016.

9. Income Taxes

Both entities are exempt from income taxes under IRS Code Section 501(c)(3). During 2016, there was no unrelated business income to report.

10. Receivables

Accounts receivable are stated at unpaid balances, less an allowance for doubtful accounts. The Organization provides for losses on accounts receivable using the allowance method. The allowance is based on experience, third-party contracts, and other circumstances, which may affect the ability of customers to meet their obligations. Receivables are considered impaired if full principal payments are not received in accordance with the contractual terms. It is the Organization's policy to charge off uncollectible accounts receivable when management determines the receivable will not be collected. At December 31, 2016, there was no allowance for doubtful accounts as management considers all receivables collectible.

11. Contributions

Unconditional promises to give are recognized as revenues or gains in the period received and as assets, decreases in liabilities, or expenses depending on the form of the benefit received.

12. Use of Estimates

In preparing financial statements in conformity with generally accepted accounting principles, management is required to make estimates and assumption that affect the reporting amounts of assets and liabilities, the disclosure of contingent assets and liabilities at the date of the financial statements, and the reported amounts of revenue and expenses during the reporting period. Actual results could differ from those estimates.

13. Subsequent Events

The Organization's management has reviewed subsequent events through the date of the audit report

Mesa Youth Services, Inc. and Mesa Youth Services Foundation  
Notes to the Financial Statements  
December 31, 2016

**NOTE B – CASH**

The Entity maintains cash balances at several financial institutions located in Grand Junction, Colorado. Accounts at each institution are secured by the Federal Deposit Insurance Corporation up to \$250,000. At year end all cash was insured.

**NOTE C –PROPERTY AND EQUIPMENT**

	Balance 1/1/2016	Additions	Deletions	Balance 12/31/2016
Building & Improvements	\$1,420,261	\$ -	\$ -	\$1,420,261
Equipment	82,895	4,128	-	87,023
Vehicles	370,869	36,291	20,800	386,360
Total before Land	1,874,025	40,419	20,800	1,893,644
Accumulated Depreciation	(704,964)	(78,527)	19,425	(764,066)
Net Property & Equipment before Land	1,169,061	<u>\$(38,108)</u>	<u>\$ 1,375</u>	1,129,578
Land	172,600			172,600
Net Property & Equipment	<u>\$1,341,661</u>			<u>\$1,302,178</u>

**NOTE D – BENEFICIAL INTEREST IN ASSETS HELD BY OTHERS**

The Organization has an agency endowment agreement with the Western Colorado Community Foundation (WCCF) establishing a fund known as the Mesa County Partners Fund (the Fund). The Fund agreement gives the WCCF complete variance power over the principal subject to additional contributions and bequests of which will be a forming part of the corpus to the Fund. Distributions from the Fund are in accordance with current "spending policies" as set forth in the agreement. The Organization shall receive a distribution of the earnings of the Fund annually on a mutually agreed date. If the Organization does not request a distribution, those funds will be added to the principal.

In accordance with generally accepted accounting principles, the statement of financial position includes \$56,767, as of December 31, 2016, reflected as beneficial interest in assets held by others under agreement.

**NOTE E – LONG-TERM DEBT**

The Organization also has a Line-of-credit for \$125,000. The interest rate is 5% and this agreement expires on February 6, 2017. During 2016, \$164,332 was drawn against the line-of-credit and \$164,332 was repaid. The balance at December 31, 2016 was \$0.

Mesa Youth Services, Inc. and Mesa Youth Services Foundation  
Notes to the Financial Statements  
December 31, 2016

**NOTE F – SPECIAL EVENTS**

Partners sponsors an annual "Superstars Event", a "TV Auction", and Hazel Miller Band from which net proceeds are used to fund a portion of the annual program expenses.

The receipts and expenses for these events are as follows:

Receipts	\$ 80,245
Expenses	<u>38,395</u>
Net Profit	<u>\$ 41,850</u>

**NOTE G – ASSOCIATION FEES**

PMA provides certain management, financial, and administrative services to member affiliates for a fee. Partner's fee for 2016 was \$3,740 and for National Association of Services & Conservation Corp was \$6,300.

**NOTE H – GRANT REVENUE**

Partners receive several grants from various agencies to carry on certain activities and programs. Unrestricted grant revenue reflected in the Statement of Activities consist of the following:

<u>MESA YOUTH SERVICES:</u>	
Colorado Depart. Of Public Safety: Juvenile Accountability	\$ 121,226
Division of Youth Correction	46,225
Victims of Crime Acts	9,001
United Way	22,029
Mesa Cnty Depart. Of Human Services	60,000
Mesa Cnty Drug Free DOTC/ Meth Task Force	609
Mesa Cnty Grant for Work	57,000
Hilltop Community Resources WRAP/SB 94	67,311
House Bill 215	29,153
V.A.L.E. Board	75,000
Judicial	<u>17,833</u>
	<u>\$ 505,387</u>
 <u>WESTERN COLORADO CONSERVATION CORP:</u>	
United Way	\$ 6,089
RNC Americorp	<u>96,781</u>
	<u>\$ 102,870</u>



Mesa Youth Services, Inc. and Mesa Youth Services Foundation  
Notes to the Financial Statements  
December 31, 2016

**NOTE I – MEMORIAL**

The Board has set aside money received in memory of Susan Mumby, in a special investment account at Wells Fargo Bank. The principal is intended to remain intact and the revenue generated by the investment is to be used to fund activities for the Nexus Program. The Nexus Program is for kids referred to the Mentoring Program, who have not yet been matched with a senior partner. These funds are part of the Mesa Youth Services Foundation.

**NOTE J – MESA YOUTH SERVICES FOUNDATION AND MESA YOUTH SERVICES, INC.**

Partners and an affiliated foundation (Mesa Youth Services Foundation), have common board members serving both entities. The Foundation's assets are reported on Partner's financial statements reflecting this affiliation. The revenue shown on the Statement of Activities as temporarily and permanently restricted are the results of contribution and earnings on investments made and earned by those funds in the Foundation. In 2016, \$22,000, of dividends and interest, was transferred to Partners for use in paying general operating costs.

**NOTE K – INVESTMENTS**

Investment securities are carried at fair value based on quoted prices in active markets (all Level 1 Measurements) and consist of the following at December 31 2016.

	Market Value
Susan Mumby Memorial Fund:	
Money Market	\$ 1,314
Stock	36,005
Mutual Funds	145,791
Total	183,110
Genral Fund:	
Money Market	35,699
Stock	77,154
Mutual Funds	316,440
Total	429,293
Beneficial Interest:	
Assets held by others under agreement:	
WCCC Endowment	56,767
Total Investments	\$ 669,170

Following are the components of the investment income for the year ended December 31, 2016.

Interest and Dividends	\$ 21,984
Net unrealized income/ (loss)	27,528
Realized gains	3,966
Total	\$ 53,478

Mesa Youth Services, Inc. and Mesa Youth Services Foundation  
Notes to the Financial Statements  
December 31, 2016

**NOTE L – DONATED SERVICES**

In 2016, Mesa received donated services for painting the building. The value of the labor to paint the building was \$25,000 and the value of the paint was \$4,000.

☛ SUPPLEMENTAL INFORMATION

Mesa Youth Services, Inc.  
Statement of Functional Expenses  
For the Year Ended December 31, 2016

	<b>Program Services</b>			
	Activities	Conservation and Case Management	Recruitment and Communications	Total
Salaries	\$ 54,952	\$ 1,311,003	\$ 25,121	\$ 1,391,076
Employee benefits & payroll taxes	12,834	306,191	5,867	324,892
Activity expense	-	37,055	-	37,055
Contracted services	-	-	-	-
Restitution & stipend expenses	-	79,927	-	79,927
Transportation	798	19,036	365	20,199
Repairs & maintenance	1,112	39,971	508	41,591
Training and materials	-	9,746	-	9,746
Promotion	423	10,098	194	10,715
Association fees	749	17,863	342	18,954
Professional fees	-	1,060	-	1,060
Supplies	2,666	63,594	1,219	67,479
Telephone	413	9,862	189	10,464
Postage	90	2,148	41	2,279
Occupancy	810	19,326	370	20,506
Insurance	2,183	52,078	998	55,259
Financial Services	1,172	27,952	536	29,660
Interest	165	3,943	76	4,184
Miscellaneous	498	11,858	227	12,583
Total expense before depreciation	78,865	2,022,711	36,053	2,137,629
Depreciation	2,748	65,570	1,256	69,574
<b>TOTAL FUNCTIONAL EXPENSES</b>	<b>\$ 81,613</b>	<b>\$ 2,088,281</b>	<b>\$ 37,309</b>	<b>\$ 2,207,203</b>

The accompanying notes are an integral part of this statement.



Mesa Youth Services, Inc.  
Statement of Functional Expenses  
For the Year Ended December 31, 2016

<b>Supporting Services</b>			
Management General	Fund Raising	Total	2016 Total
\$ 108,334	\$ 70,653	\$ 178,987	\$ 1,570,063
25,302	18,501	41,803	366,695
-	-	-	37,055
28,568	-	28,568	28,568
-	-	-	79,927
1,573	1,026	2,599	22,798
2,191	1,428	3,619	45,210
-	-	-	9,746
834	544	1,378	12,093
1,476	963	2,439	21,393
4,240	-	4,240	5,300
5,255	3,427	8,682	76,161
815	531	1,346	11,810
178	116	294	2,573
1,597	1,042	2,639	23,145
4,303	2,807	7,110	62,369
2,310	1,506	3,816	33,476
326	212	538	4,722
980	639	1,619	14,202
188,282	101,395	289,677	2,427,306
5,419	3,534	8,953	78,527
<u>\$ 193,701</u>	<u>\$ 104,929</u>	<u>\$ 298,630</u>	<u>\$ 2,505,833</u>

Registration Status: Active



If your business is still pursuing new federal opportunities, the entry federal registration on or before:

**08-26-2018**

**Mesa Youth Services, Inc.**

DBA: Mesa County Partners

The final date payments can be received for previously awarded contracts is 10-25-2018.

Jill Derrieux  
1169 Colorado Ave  
Grand Junction, CO 81501-3522

Email: [jderrieux@mesapartners.org](mailto:jderrieux@mesapartners.org)  
Phone Number: (970) 245-5555  
Fax Number: (970) 245-7411

Mailing Address: 1169 Colorado Ave  
Grand Junction, CO 81501-3522

DUNS: 052876864  
CAGE: 3T9D7

Public Business Name: Yes  
Delinquent Federal Debt: No

**PSC Codes:**

**NAICS Codes:**

Board meets the fourth Wednesday each month at Noon at Partners, December which is the 3<sup>rd</sup> Wednesday.

<p><b>Mesa County Partners 2018 Board Member List</b> Term dates are listed</p>	<p>Rob Vavak Board Chair 12/31/18 Vectra Bank 2394 Patterson Road Grand Junction, CO 81505 (w) 970-683-5954 (c) 970-260-1086 <a href="mailto:Robert.vavak@vectrabank.com">Robert.vavak@vectrabank.com</a></p>	<p>Susana Wittrock Vice Chair 12/31/2018 Mesa County Valley School Dist. 51 Exec Dir, Community and Family Connections 596 N Westgate Dr. Grand Junction, CO 81505 (w) 970-254-5242 <a href="mailto:susana.wittrock@d51schools.org">susana.wittrock@d51schools.org</a></p>
<p>Erick Field, Treasurer 12/31/18 Fin. Consultant, Edward Jones 2139 North 12th Street, Suite #1 Grand Junction, CO 81501 (w) 970-245-2188 (c) 970-846-7945 <a href="mailto:erick.field@edwardjones.com">erick.field@edwardjones.com</a></p>	<p>Matt Sullivan 12/31/18 Mesa County Government P.O. Box 20,000-5018 Grand Jct., CO 81501 (w) 970-244-3335 <a href="mailto:Matthew.sullivan@mesacounty.us">Matthew.sullivan@mesacounty.us</a></p>	<p>Jennifer Springer 12/31/18 District Attorney's Office PO Box 20,000-5001 Grand Junction, CO 81502-5001 (970) 683-4391 <a href="mailto:jennifer.springer@mesacounty.us">jennifer.springer@mesacounty.us</a></p>
<p>Lori Carlston-Thompson 12/31/18 Allstate Insurance 2478 F Road, Ste. 15 Grand Junction, CO 81505 (w) 970-245-4567 <a href="mailto:LoriCarlston@allstate.com">LoriCarlston@allstate.com</a></p>	<p>Betty Fulton 12/31/17 Retired 634 North 5th, GJ 81501 Grand Jct., CO 81501 (c) 970-250-0890 <a href="mailto:pup634@hotmail.com">pup634@hotmail.com</a></p>	<p>Molly Jo Johnson 12/31/18 Crossroads Fitness 225 N 5<sup>th</sup> St. Suite 18 Grand Junction, CO 81501 (w) 970-241-7800 <a href="mailto:mjohnson@crossroadsfitness.com">mjohnson@crossroadsfitness.com</a></p>
<p>Todd Hildebrandt 12/31/17 Attorney 102 N. 4th St. #107 Grand Junction, CO, 81501 (w) 970-986-6529 <a href="mailto:tdhildebrandt@gmail.com">tdhildebrandt@gmail.com</a></p>	<p>George Holley 12/31/2018 District Attorney's Office PO Box 20,000-5001 Grand Junction, CO 81502-5001 (w) 970-244-1730 <a href="mailto:George.Holley@mesacounty.us">George.Holley@mesacounty.us</a></p>	<p>Melissa Calhoon 12/31/18 TRIO Director-CMU 535 ½ 23 Rd. Grand Junction, CO 81507 (w) 970-248-1492 <a href="mailto:mcalhoon@coloradomesa.edu">mcalhoon@coloradomesa.edu</a></p>
<p>Karen Dornburg Ryan, MSW 12/31/18 500 Pinyon Ave. Grand Junction, CO 81501 (h) 970-243-9357 <a href="mailto:markaren14@bresnan.net">markaren14@bresnan.net</a></p>	<p>Kari Daggett 12/31/18 Mesa County DHS Dir. of Child Welfare 510 29 1/2 Road Grand Junction, CO 81504 (c) 970-712-4348 <a href="mailto:kari.daggett@mesacounty.us">kari.daggett@mesacounty.us</a></p>	<p>Rusty Lloyd 12/31/18 Tamarisk Coalition Program Director P.O. Box 1907 Grand Junction, CO (w) 970-256-7400 (c) 970-216-3200 <a href="mailto:rlloyd@tamariskcoalition.org">rlloyd@tamariskcoalition.org</a></p>
<p>Whitney Smythe-Smith 12/31/18 Partners Foundation Board Chair Lake County Government P.O. Box 964 Leadville, CO 80461 (w) 719-486-7491(c) 719-293-0537 <a href="mailto:wsmith@co.lake.co.us">wsmith@co.lake.co.us</a></p>	<p>Jennifer Springer 12/31/18 District Attorney's Office PO Box 20,000-5001 Grand Junction, CO 81502-5001 (970) 683-4391 <a href="mailto:jennifer.springer@mesacounty.us">jennifer.springer@mesacounty.us</a></p>	



# WCCC Member Intake Questionnaire

The information gathered in this document will be helpful to gain and retain funding and allow more interns to serve with the BLM. Information will be held strictly confidential. Filling out this form is optional. None of the information gathered will affect your internship in any way.

## BACKGROUND INFORMATION

NAME: \_\_\_\_\_ DATE OF BIRTH: \_\_\_\_\_ AGE: \_\_\_\_\_

PERMANENT ADDRESS: \_\_\_\_\_

PHONE NUMBER: \_\_\_\_\_ EMAIL: \_\_\_\_\_

## DEMOGRAPHICS (Circle the appropriate answers and fill in the blanks)

SEX M F

RACE/ETHNICITY (circle only one)

A. Race

American Indian or Alaska Native

Native Hawaiian or Other Pacific Islander

Black or African American

White

Asian

Other

B. Ethnicity

Hispanic or Latina/o

Not Hispanic or Latina/o

ARE YOU A VETERAN? Y N

MILITARY AFFILIATION: ARMY NAVY AIR FORCE MARINES COAST GUARD

YEARS SERVED (ex. 2002-2012): \_\_\_\_\_

DO YOU CURRENTLY OR HAVE YOU EVER LIVED IN AN INNER-CITY NEIGHBORHOOD? Y N

## INCOME

<\$15,000	\$30,001-\$35,000	\$50,001-\$55,000	\$69,001-\$74,000
\$15,001-\$20,000	\$35,001-\$39,000	\$56,001-\$60,000	\$74,001-\$100,000
\$20,001-\$25,000	\$39,001-\$45,000	\$60,001-\$65,000	>\$100,000



\$25,001-\$30,000

\$45,001-\$50,000

\$65,001-\$69,000

**DO YOU RECEIVE ANY PUBLIC ASSISTANCE?** YES NO

(If yes, circle all that apply)

Food Stamps

WIC

SSI

Unemployment

Pell Grant

TANF

WIA

CCAP

Housing Program (specify): \_\_\_\_\_

**REGULAR FULL-TIME EMPLOYMENT IN LAST 30 DAYS:** YES NO

**REGULAR FULL-TIME EMPLOYMENT IN LAST 6 MONTHS:** YES NO

**PREVIOUSLY INCARCERATED OR COURT INVOLVED?** YES NO

**EDUCATION**

**HIGHEST EDUCATION LEVEL COMPLETED** (circle one)

Current High School Student

High School Graduate

Did Not Complete High School

Earned GED

Current College Student

College Graduate

Vo-Tech Student

Vo-Tech Graduate

Current Graduate Student

Earned Master's Degree or Higher

**NUMBER OF PREVIOUS JOBS** (circle one)

1<sup>st</sup>

1

1-3

4-6

6 or more

**HAVE YOU PREVIOUSLY SERVED IN ANY AMERCORPS TERMS?** (If so how many, and what time slots)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**FUTURE EDUCATION GOALS:** \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

**FUTURE CAREER GOALS:** \_\_\_\_\_

---

---

**DO YOU HAVE A DISABILITY OR IMPAIREMENT?** (check all that apply)

Physical Disability

Learning disability or impaired functioning in verbal language reasoning or academic skills

## 1. GENERAL POLICIES

### 5. Unlawful Discrimination and Harassment Prohibited

1.5.1 Equal Employment Opportunity. There shall be no unlawful discrimination or harassment against Employees or applications for employment because of race or color, religion or creed, sex or gender, sexual orientation, national origin or ancestry, age, physical or mental disability, genetic information, or military or veteran status. Equal employment opportunity, as required by law, shall apply to all personnel actions including, but not limited to recruitment, hiring, upgrading, promotion, demotion, layoff, job elimination, or termination.

1.5.2 "Age" as used above refers to the age group 40 and above.

**Genetic Information.** Genetic information includes: 1) information about a person's genetic tests; 2) information about the genetic tests of that person's family members; 3) a person's family medical history (i.e., the manifestation of a disease or disorder in a person's family members, often used to determine if a person has an increased risk of getting a disorder, disease or condition in the future); 4) a person's request for, and receipt of, genetic services; 5) a person's participation in clinical research that includes genetic services (or the person's family members participation); 6) the genetic information of a fetus carried by a person or a family member of the person; 7) the genetic information of an embryo legally held by a person or a family member using assisted reproductive technology.

"Sex" and "Gender" include unlawful discrimination on the basis of sex, gender, pregnancy and sexual harassment. Sex and Gender discrimination includes unlawful discrimination on the basis of sex, gender, pregnancy, and sexual harassment. Colorado law defines "sexual orientation" as "a person's orientation toward heterosexuality, homosexuality, bisexuality, or transgender status or an employer's perception thereof.

1.5.3 "Sexual Harassment" occurs when an Employee is subjected to unwelcome conduct based on sex or gender that is severe or pervasive and:

- a. Which conduct is either an explicit or implicit condition of an individual's employment;
- b. Submission to or rejection of such conduct by an individual is used as a basis for employment decisions affecting the individual, e.g., salary increases;
- c. Or such conduct has the purpose or effect of unreasonably interfering with an individual's work performance or creating an intimidating, hostile or offensive working environment.

Unprofessional conduct can be considered sexual harassment if it is unwelcome, based on sex, and severe or pervasive. Such unprofessional conduct includes, but is

not limited to, unwelcome sexual advances or propositioning, jokes of a sexual nature, unwelcome sexual comments about someone's clothing or appearance, intimate stories about one's sex life, sexually explicit photographs or drawings, unwelcome touching of a sexual nature, and adverse treatment based on gender. Unprofessional conduct may result in discipline or discharge regardless whether it rises to the level of unlawful sexual harassment.

- 1.5.4 "Harassment" on the basis of any protected status listed above is unlawful where it is unwelcome, severe or pervasive, and unreasonably interferes with an individual's work performance or creates an intimidating, hostile or offensive working environment. Slurs, derogatory statements or demeaning treatment, jokes and stereotyping based on protected status are unprofessional and may result in discipline or discharge regardless whether they rise to the level of unlawful harassment.
- 1.5.5 "Disability" includes physical and mental disabilities that substantially limit one or more major life activity. Disabled individuals must be otherwise qualified for the job and able to perform essential job functions with or without reasonable accommodations. Employer has a legal obligation to reasonably accommodate disabled Employees who are otherwise qualified to perform the essential job function, so long as the accommodation does not create an undue burden on the Employer. Requests for reasonable accommodation must be directed to the Executive Director of Programs or her designee.
- 1.5.6 Reporting Unlawful Discrimination. Any Employee who is subjected to or who observes conduct that the Employee honestly believes is in violation of this policy must report it to the Executive Director of Programs or her designee. This includes conduct by co-workers, supervisors, management, board members, volunteers or suppliers. It is the Employer's desire and intent to prevent any unlawful discrimination or harassment in employment. Employees are strongly advised to not quit employment because of such conduct without giving the Employer notice of the conduct and a reasonable opportunity to investigate and correct any problems. Employer will investigate the circumstances and take appropriate action. Employees must cooperate fully during an investigation and must provide the investigator with honest and complete responses. No Employee will be retaliated against for making a report of conduct that the Employee honestly believes is in violation of this policy or the law.
- 1.5.7 Discipline/Discharge. An Employee engaging in any unlawful discrimination or harassment against another Employee, as set forth above, shall be subject to disciplinary action that may include termination, demotion, or suspension, or whatever disciplinary action the Employer deems appropriate under the circumstances. Employees may be disciplined or discharged for unprofessional conduct in violation of this policy, even if the conduct does not rise to the level of unlawful conduct. Any Employee who is dishonest in reporting information that another person is engaging in conduct which violates this policy is similarly subject to discipline or discharge.



*Effective Date: 8/1/15*

*Last Revision date: 5/26/04*

*Approved by: Board of Directors / Executive Directors 8/1/15*

DATE 03/05/18

# PROPOSAL

## BRATTON WINDOW & DOOR

1921 I 70 BUSINESS LOOP  
GRAND JUNCTION, CO 81501  
Phone (970) 242-7224 \* Fax (970) 242-6744

PROPOSAL SUBMITTED TO

Western CO Conservation Corps

JOB NAME

STREET

2818 1/2 North Ave

CITY, STATE, ZIP CODE

Grand Jct, CO 81501

PHONE

970 241-1027

jroberts@mesapartners.org

- 1- 6070 Hollowmetal Double Door, LHA, Double Bore
- 1- 6070 Hollowmetal 7 3/4" Throat Frame
- 1- Commcerical Keyed Lever Lock - Mill
- 1- Deadbolt - Mill
- 1- Lock Protectant
- 1- Threshold
- 1- Sweep
- 1- Weatherstripping
- 1- Set Head and Foot Bolts

Material and Installation - \$ 3,832.00  
Tax Exempt

**Note: Customer responsibilities - any painting or staining, removing curtains/blinds, moving any furniture or decorative items blocking installation, removing pictures or wall hangings that may fall off due to vibrations from installation. Any stucco repair or building permits, if necessary, are the responsibility of the customer. We will due our best to reuse or not damage any materials to be put back on - window trim, glazing bead, window sills. If damage occurs to these products it will be at the cost of the customer to replace.**

PAYMENT TO BE MADE AS FOLLOWS: 50 % Down Prior To Ordering, Balance Upon Completion

ALL MATERIAL IS GUARANTEED TO BE AS SPECIFIED. ALL WORK TO BE COMPLETED IN A WORKMANLIKE MANNER ACCORDING TO STANDARD PRACTICES. ANY ALTERATION OR DEVIATION FROM ABOVE SPECIFICATIONS INVOLVING EXTRA COSTS WILL BE EXECUTED ONLY UPON WRITTEN ORDER, AND WILL BECOME AN EXTRA CHARGE OVER AND ABOVE THE ESTIMATE. ALL AGREEMENTS CONTINGENT UPON STRIKES, ACCIDENTS OR DELAYS BEYOND OUR CONTROL. OWNER WILL CARRY FIRE, THUNDER AND OTHER NECESSARY INSURANCE. OUR WORKERS ARE FULLY COVERED BY WORKMENS COMPENSATION.

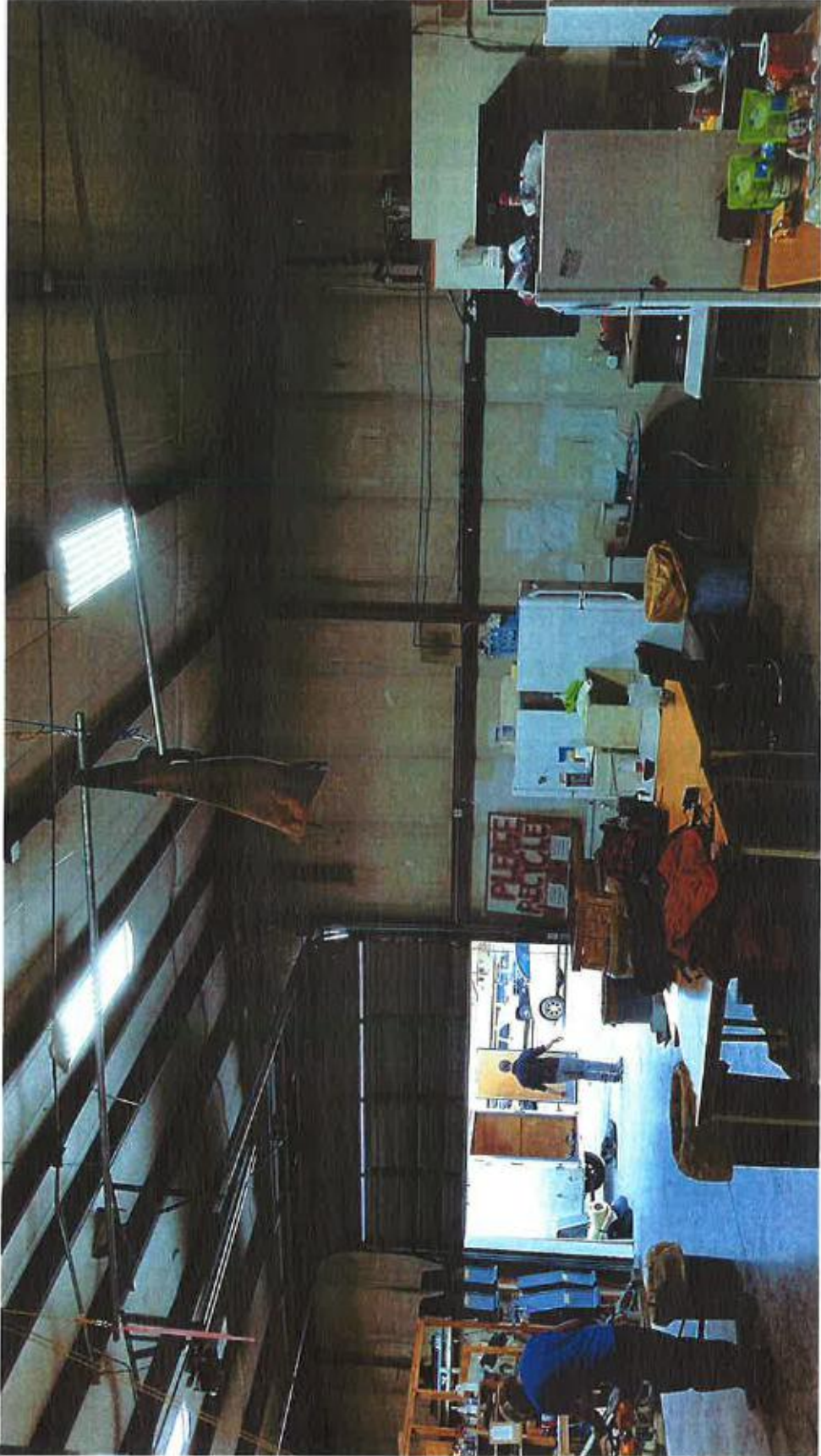
AUTHORIZED SIGNATURE Jake Hamm

NOTE: THIS PROPOSAL MAY BE WITHDRAWN BY US IF NOT ACCEPTED WITHIN 30 Days

THE ABOVE PRICES, SPECIFICATIONS AND CONDITIONS ARE SATISFACTORY AND HEREBY ACCEPTED. YOU ARE AUTHORIZED TO DO THE WORK AS SPECIFIED. PAYMENT WILL BE MADE AS OUTLINED ABOVE. 1.5% SERVICE CHARGE WILL BE ADDED TO ALL INVOICES OVER 30 DAYS PAST PURCHASE DATE. COLLECTION COSTS INCLUDING ATTORNEY FEES WILL BE ADDED TO SERVICE CHARGE INVOICES.

Signature

Date of Acceptance





# GRANT APPLICATION

## Community Development Block Grant (CDBG) Program

*The City of Grand Junction does not discriminate on the basis of race, color, religion, sex, national origin, age or disability in the admission, access or appointment to, or treatment or employment in, its programs or activities.*

Applications and all attachments must be submitted to the City of Grand Junction electronically no later than 4:00 pm on Monday, March 26, 2018 to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org)

### APPLICANT BACKGROUND

1. Organization Name:

Mesa Developmental Services, dba STRiVE

---

2. Organization Address:

950 Grand Avenue, Grand Junction, CO 81501

---

3. Organization DUNS Number (Data Universal Number System) (required):

*For more information visit [www.whitehouse.gov/omb/grants/duns\\_num\\_guide.pdf](http://www.whitehouse.gov/omb/grants/duns_num_guide.pdf):*

020273959

---

4. Organization or Parent Organization EIN/TIN Number (required):

846044845

---

5. **Primary** Contact Person:

Douglas A. Sorter

---

Phone: 970-250-1595 FAX: \_\_\_\_\_

Email: dsorter@strivecolorado.org



6. Do you or your parent organization file OMB A-133 (Single Audit)?

Yes  No

If yes, please attach a copy of the most recent A-133 and Form SF-SAC to your CDBG application.

7. **System for Award Management (SAM):** Your entity must be registered in the System for Award Management (SAM) prior to application. Provide a copy of the registration confirmation with your application. See attached example. For more information visit: [www.sam.gov/portal](http://www.sam.gov/portal)

8. Is this agency an equal employment opportunity employer?  Yes  No

Please attach a copy of the agency's affirmative action plan.

9. Provide a brief description of your organization - what do you do?

STRiVE is a local nonprofit human services organization that provides care and supports for disabled individuals of all ages and their families. Those served primarily are residents of Mesa County, and represent the full spectrum of developmental disabilities (e.g. Down Syndrome) and neurodevelopmental problems (e.g. autism), often presenting with co-occurring behavioral health disorders (e.g. anxiety, depression) and co-occurring physical disabilities (e.g. cerebral palsy).

In a typical year, STRiVE impacts more than 530 children and their families, and 930+ adults who rely on a wide variety of quality services and supports, including Residential Services (group homes, host homes and individual residential settings), Vocational and Day programs, Supported Living Services (for adults living on their own or with family members), Family Support Services and Infant/Toddler Early Intervention (for families with young children).

10. Which describes your organization (check all that apply)?

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> A non-profit with 501(c)3 status | <input type="checkbox"/> Housing Authority                                  |
| <input type="checkbox"/> Governmental Agency                         | <input type="checkbox"/> Quasi-Governmental Agency                          |
| <input type="checkbox"/> Faith based                                 | <input checked="" type="checkbox"/> Public Service /Human Services Provider |
| <input type="checkbox"/> Public Organization                         | <input type="checkbox"/> Other _____  |

11. Has the applicant received past CDBG funding from the City of Grand Junction? If so, please indicate the year received, the amount received and the amount of CDBG and/or funds remaining to be spent at this time.

2015 - HVAC system - \$27,210 - and Audyssey Autism Clinic program support - \$4,500  
2013 - Parenting Place Rehabilitation - \$20,000 - completed  
2012 - (MDS) Program Office Remodel - \$25,000 - completed  
2011 - (MDS) Group Home Remodel - \$9,924 - completed  
2009 - (MDS) Group Home Remodel - \$40,000 - completed

12. Does any employee, board member or client have any past or present ownership or financial investment in the agency, organization or proposed project? If so, please describe.

N/A.

## FUNDING REQUEST SUMMARY

1. Project Name: Audyssey Autism Clinic
2. Project Address/Location: 950 Grand Avenue, Grand Junction, CO 81501
3. Amount of CDBG funds requested for the project: \$ 6,000
4. Funding leveraged from other sources for this project: \$ 80,064
5. Total Project Budget: \$ 0.00
6. Minimum amount of CDBG funding to benefit your project: \$

## PROJECT ELIGIBILITY

1. Which CDBG national objective does this project meet?
- Benefits low/moderate income individuals/households
- Addresses the prevention or elimination of slums or blight
- Meets an urgent community need (usually a natural disaster)



2. Which City of Grand Junction CDBG Program Priority does this project meet?

- Need for Non-Housing Community Development Infrastructure
- Need for Affordable Housing
- Needs of the Homeless or Special Needs Populations
- Other Human Service Needs
- Economic Opportunities

## SPECIFIC PROJECT INFORMATION

1. **Project Description.** Give a brief description of the project for which you are requesting funds. How do you plan to spend the funds?

Many children and their families face the challenges of autism and can benefit greatly by the services and supports available through STRiVE and other service providers. However, these children must undergo a legitimate diagnostic process before they can receive these crucial services. STRiVE offers the only multi-disciplinary diagnostic clinic in Western Colorado.

The typical autism diagnostic evaluation involves the work of a team, including behavioral therapist, psychologist, Registered Nurse, educational specialist, speech and language pathologist and occupational therapist. Such evaluations are costly: \$1,500 per child. Requested funding will ensure assessments for a total of four Grand Junction children.

2. **Project Type.** Which of the following categories best describes your project? (select only one)

- Public or human service
- Capital construction, building rehabilitation or public facility improvement
- Home ownership activities
- Housing rehabilitation
- Economic development assistance
- Planning or predevelopment costs
- Acquisition or demolition of property

3. If this is a Public or Human Service Project, is  New or  On-going?  
the service:

4. If funding an existing service, describe how the CDBG funds will support a measurable increase in that service (e.g. more families served or longer service period as compared to current operations)

Quality diagnosis and early intervention have profound positive impact on children's short- and long-term health and well-being. STRiVE has found that there is much greater success in helping children and their families to address the issues of autism (and other neurological conditions) when the situation is "caught" before the age of six. Extensive research documents that children with Autism Spectrum Disorder who receive early intervention

5. **Demonstrated Need for Project.** Provide a brief summary of current statistical data documenting the need for the project. Include sources of information.

The U.S. Centers for Disease Control and Prevention (CDC) estimates that one in 68 American children is "on the autism spectrum." The prevalence of Autism Spectrum Disorder (ASD) has risen sharply in recent decades; CDC recorded a 123% rise in diagnoses of ASD in children under 8 between 2002 and 2010.

The vastly-increased recognition of ASD in children, against the backdrop of burgeoning population in Mesa County, indicate demand that far exceeds

6. **Project Budget.** Provide a line item budget for this project and the total budget cost, including the request for City of Grand Junction CDBG funds, other secured funding and other pending applications for funding. If this is a rehabilitation, remodeling or construction project, be sure to include Davis-Bacon wage regulations and architectural, engineering and/or environmental review fees in determining your project budget.

Budget Item	Amount	Funding Source	Award Date
Audyssey Clinic schola	\$6,000	CDBG	Pending
Audyssey Clinic	XXXXXX	Various	Various
<b>Total Budget</b>	<b>\$ 0.00</b>		



7. **Project Schedule.** What is the proposed project schedule if awarded the CDBG funds? Keep in mind funding will not be available until September.

Start Date: on-going Completion Date: on-going

If the project includes construction, are there plans/permits needed before the project can begin construction or has a construction schedule been identified?

Yes, plans/permits completed, project is ready to begin.

No, plans/permits still need to be completed.

8. **In-Kind Contributions.** What other resources (e.g. volunteers, in-kind donations, etc) will you be utilizing for this project? List all in-kind services, volunteer hours and goods you will bring to this project and determine a dollar value for all items listed.

None.

## BENEFICIARY INFORMATION

1. **Total Persons Served.** How many total persons did this organization serve during the past year and how many total people does your organization expect to serve in the coming year?

1152 people in service, which stays relatively consistent on a year-to-year basis.

2. **Persons Served by Project. What percentage of total persons served by your organization live within the City limits?** Provide an estimate of the number of persons who will benefit from the specific project that **live within the City limits of Grand Junction.**

Approximately 72 people per year benefit from the Audyssey Clinic. 93% of the people served by STRiVE live within Grand Junction city limits.

3. **Client Eligibility.** Will those served by this project be primarily (51% or more) of low and/or moderate income?  Yes  No

If you checked yes above, specify by checking all that apply to your project:

The income of each household/person receiving assistance will be individually verified for eligibility.

The types of households or persons served are of special need (presumed benefit) such as elderly, disabled, homeless, illiterate, or involve an abused spouse or child.

4. If the income will be verified, describe the organization's procedure for verification and how you will determine that a person is eligible for services.

All of the individuals STRiVE supports receive SSI or SSDI, which automatically qualifies them as eligible.

## COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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### REQUIRED ATTACHMENTS FOR CDBG APPLICATIONS

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Applications and all attachments must be submitted to the **City of Grand Junction electronically no later than 4:00 p.m. on Wednesday, March 26, 2018** to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org). The following attachments are required:

- A signed copy of the CDBG Application and Preliminary Agreement form (attached).
- A copy of your organization's original IRS determination letter indicating [501(c)(3) or tax-exempt status (if applicable)].
- Your agency's most recent audit, financial statement or, if applicable, A-133 (Single Audit). Please state your annual and cumulative fund balance. All agencies who receive \$750,000 or more, total for all Federal grants received this year, must submit an audit in compliance with the 1984 Single Audit Act.
- A copy of your organization's or parent organization's most recent Form SF-SAC pertaining to the A-133 audit, if applicable as above.
- A copy of System for Award Management (SAM) entity registration. See attached example.
- A list of current board members, including their employer and/or other pertinent affiliations.
- A copy of the project's client intake form, application form or other paperwork used to collect and certify income and racial data for each client.
- Copy of agency's Affirmative Action Plan.



## COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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### CDBG APPLICATION AND PRELIMINARY AGREEMENT

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By signing below I certify that all of the information above and included with this application is true, and I understand that application for funds does not in any way guarantee funding. Additionally, the applicant understands and agrees that in the event the applicant receives approval from the City Council for a block grant fund allocation:

1. The applicant will not receive the block grant funds until:
  - a. HUD has approved the project and the City receives a letter of credit from HUD.
  - b. An Environmental Review has been completed and approved.
  - c. If applicable, a Request for Release of Funds and a Finding of No Significant Impact have been published for community comment and HUD has released funds for the project.
  - d. A contract between the City of Grand Junction and the sub-recipient is fully executed.
  - e. All labor standards have been met.
2. The applicant will submit a sub-recipient report with each request for reimbursement. The report will describe the progress of the project, the project beneficiaries, and other information required by HUD or the City. Invoices will accompany the report when indicated. Funds will not be released without this report.
3. The applicant will provide the City any other information required by HUD or by the City.
4. The applicant will comply with all other requirements set forth by the City and the U.S. Department of Housing and Urban Development.

Applicant: Mesa Developmental Services, dba STRiVE

Title: Vice President, Development

Signature (typed acceptable): Douglas A. Sorter

Date: March 23, 2018



**SAM Search Results**  
**List of records matching your search for :**  
**Record Status: Active**  
**DUNS Number: 069715217**  
**Functional Area: Entity Management, Performance Information**

<b>ENTITY</b>	GRAND JUNCTION, CITY OF	Status:Active
DUNS: 069715217	+4:	CAGE Code: 4SK15 DoDAAC:
Expiration Date: Jun 7, 2017	Has Active Exclusion?: No	Delinquent Federal Debt?: No
Address: 250 N 5TH ST		
City: GRAND JUNCTION	State/Province: COLORADO	
ZIP Code: 81501-2628	Country: UNITED STATES	



P.O. Box 2508, Room 4010  
Cincinnati OH 45201

In reply refer to: 4055256534  
Apr. 13, 2017 LTR 4168C 0  
84-6044855 000000 00  
00031552  
BODC: TE

MESA DEVELOPMENTAL SERVICES  
STRIVE  
950 GRAND AVE  
GRAND JCT CO 81501-3451



040337

Employer ID Number: 84-6044855  
Form 990 required: Yes

Dear Taxpayer:

This is in response to your request dated Mar. 23, 2017, regarding your tax-exempt status.

We issued you a determination letter in June 1966, recognizing you as tax-exempt under Internal Revenue Code (IRC) Section 501(c)(3).

Our records also indicate you're not a private foundation as defined under IRC Section 509(a) because you're described in IRC Sections 509(a)(1) and 170(b)(1)(A)(ii).

Donors can deduct contributions they make to you as provided in IRC Section 170. You're also qualified to receive tax deductible bequests, legacies, devises, transfers, or gifts under IRC Sections 2055, 2106, and 2522.

In the heading of this letter, we indicated whether you must file an annual information return. If a return is required, you must file Form 990, 990-EZ, 990-N, or 990-PF by the 15th day of the fifth month after the end of your annual accounting period. IRC Section 6033(j) provides that, if you don't file a required annual information return or notice for three consecutive years, your exempt status will be automatically revoked on the filing due date of the third required return or notice.

For tax forms, instructions, and publications, visit [www.irs.gov](http://www.irs.gov) or call 1-800-TAX-FORM (1-800-829-3676).

If you have questions, call 1-877-829-5500 between 8 a.m. and 5 p.m., local time, Monday through Friday (Alaska and Hawaii follow Pacific Time).

4055256534  
Apr. 13, 2017 LTR 4168C 0  
84-6044855 000000 00  
00031553

MESA DEVELOPMENTAL SERVICES  
STRIVE  
950 GRAND AVE  
GRAND JCT CO 81501-3451

Sincerely yours,

*Stephen A. Martin*

Stephen A. Martin  
Director, EO Rulings & Agreements



Consolidated Financial Statements  
June 30, 2017 and 2016

**Mesa Developmental Services  
dba Strive Colorado**



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## Independent Auditor's Report

The Board of Directors  
Mesa Developmental Services, dba Strive Colorado  
Grand Junction, Colorado

### Report on the Financial Statements

We have audited the accompanying consolidated financial statements of Mesa Developmental Services, dba Strive Colorado, which comprise the consolidated statements of financial position as of June 30, 2017 and 2016, and the related consolidated statements of activities, functional expenses, and cash flows for the years then ended, and the related notes to the consolidated financial statements.

### Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these consolidated financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of consolidated financial statements that are free from material misstatement, whether due to fraud or error.

### Auditor's Responsibility

Our responsibility is to express an opinion on these consolidated financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the consolidated financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the consolidated financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the consolidated financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the consolidated financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the consolidated financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

### Opinion

In our opinion, the consolidated financial statements referred to above present fairly, in all material respects, the financial position of Mesa Developmental Services, dba Strive Colorado, as of June 30, 2017 and 2016, and the changes in its net assets, functional expenses and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

**Report on Supplementary Information**

Our audit was conducted for the purpose of forming an opinion on the consolidated financial statements as a whole. The schedules on pages 23 to 29 are presented for purposes of additional analysis and are not a required part of the consolidated financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the consolidated financial statements. The information on pages 24 to 29 has been subjected to the auditing procedures applied in our audit of the consolidated financial statements and certain other additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the consolidated financial statements or to the consolidated financial statements themselves, and other procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the consolidated financial statements taken as a whole.

The image shows a handwritten signature in cursive script that reads "Eide Bailly LLP".

Denver, Colorado  
September 26, 2017



Mesa Developmental Services  
dba Strive Colorado  
Consolidated Statements of Financial Position  
June 30, 2017 and 2016

	2017	2016
<b>Assets</b>		
<b>Current Assets</b>		
Cash and cash equivalents	\$ 3,360,242	\$ 2,148,731
Accounts receivable		
Fees and grants from governmental agencies, net	1,264,978	1,065,832
Other	282,772	263,971
Promises to give, net	-	9,900
Inventory	17,602	21,415
Prepaid expenses and other assets	86,786	138,985
Total current assets	5,012,380	3,648,834
Restricted cash	112,895	111,551
Assets held for sale, net	99,149	268,036
Construction in process	-	107,860
Property and equipment, net	5,251,855	5,536,062
Total assets	\$ 10,476,279	\$ 9,672,343
<b>Liabilities and Net Assets</b>		
<b>Current Liabilities</b>		
Accounts payable and accrued liabilities	\$ 955,642	\$ 935,205
Deferred revenue	221,590	-
Notes payable	110,053	76,859
Total current liabilities	1,287,285	1,012,064
<b>Long-Term Liabilities</b>		
Notes payable, net of current portion	1,303,134	1,506,366
Total liabilities	2,590,419	2,518,430
<b>Net Assets</b>		
<b>Unrestricted</b>		
Undesignated	3,719,915	3,238,865
Invested in property and equipment, net of related debt	3,838,668	3,576,941
Total unrestricted	7,558,583	6,815,806
Temporarily restricted	327,277	338,107
Total net assets	7,885,860	7,153,913
Total liabilities and net assets	\$ 10,476,279	\$ 9,672,343



Mesa Developmental Services  
 dba Strive Colorado  
 Consolidated Statement of Activities  
 Year Ended June 30, 2017

	Unrestricted	Temporarily Restricted	Total
Fees and Grants from Governmental Agencies			
Fees for services			
State of Colorado			
State General Fund			
Support services	\$ 832,064	\$ -	\$ 832,064
Case management	133,772	-	133,772
Management and general	148,427	-	148,427
Special purpose	57,991	-	57,991
Medicaid	13,140,918	-	13,140,918
Part C	213,459	-	213,459
QA/UR	255,954	-	255,954
Grants and other			
Mesa County	-	535,913	535,913
State of Colorado	723,531	-	723,531
	15,506,116	535,913	16,042,029
Residential room and board	1,014,796	-	1,014,796
Public support - contributions	118,863	87,092	205,955
Retail and service contract	167,971	-	167,971
Private pay and private health insurance	323,506	-	323,506
Other revenue	84,457	-	84,457
Gain on sale of property	115,273	-	115,273
Net assets released from restrictions			
Satisfaction of time restrictions	535,913	(535,913)	-
Satisfaction of purpose restrictions	97,922	(97,922)	-
Total support, revenue and gains	17,964,817	(10,830)	17,953,987
Expenses			
Program services	15,555,709	-	15,555,709
Supporting services			
Management and general	1,546,609	-	1,546,609
Public relations and fundraising	119,722	-	119,722
Total expenses	17,222,040	-	17,222,040
Change in Net Assets	742,777	(10,830)	731,947
Net Assets, Beginning of Year	6,815,806	338,107	7,153,913
Net Assets, End of Year	\$ 7,558,583	\$ 327,277	\$ 7,885,860

Mesa Developmental Services  
 dba Strive Colorado  
 Consolidated Statement of Activities  
 Year Ended June 30, 2016

	<u>Unrestricted</u>	<u>Temporarily Restricted</u>	<u>Total</u>
Fees and Grants from Governmental Agencies			
Fees for services			
State of Colorado			
State General Fund			
Support services	\$ 771,071	\$ -	\$ 771,071
Case management	128,117	-	128,117
Management and general	118,814	-	118,814
Special purpose	72,937	-	72,937
Medicaid	13,651,903	-	13,651,903
Part C	157,781	-	157,781
UA/UR	229,511	-	229,511
Grants and other			
Mesa County	269,456	267,957	537,413
U.S. Department of Housing and Urban Development	4,815	-	4,815
State of Colorado	129,076	-	129,076
Vocational rehabilitation grant	18,142	-	18,142
	<u>15,551,623</u>	<u>267,957</u>	<u>15,819,580</u>
Residential room and board	1,053,106	-	1,053,106
Public support - contributions	165,882	78,502	244,384
Retail and service contract	189,552	-	189,552
Private pay and private health insurance	351,659	-	351,659
Other revenue	164,428	-	164,428
Gain on sale of property	567,597	-	567,597
Net assets released from restrictions			
Satisfaction of time restrictions	267,956	(267,956)	-
Satisfaction of purpose restrictions	91,349	(91,349)	-
Total support, revenue and gains	<u>18,403,152</u>	<u>(12,846)</u>	<u>18,390,306</u>
Expenses and losses			
Program services	15,820,440	-	15,820,440
Supporting services			
Management and general	1,643,199	-	1,643,199
Public relations and fundraising	177,111	-	177,111
	<u>17,640,750</u>	<u>-</u>	<u>17,640,750</u>
Loss on uncollectable promises to give	-	20,000	20,000
Total expenses and losses	<u>17,640,750</u>	<u>20,000</u>	<u>17,660,750</u>
Change in Net Assets	762,402	(32,846)	729,556
Net Assets, Beginning of Year	<u>6,053,404</u>	<u>370,953</u>	<u>6,424,357</u>
Net Assets, End of Year	<u>\$ 6,815,806</u>	<u>\$ 338,107</u>	<u>\$ 7,153,913</u>



Mesa Developmental Services  
dba Strive Colorado  
Consolidated Statement of Functional Expenses  
Year Ended June 30, 2017

	Program Services										
	Residential services	Supported employment	Case management	Early Intervention	Early intervention case management	Transport services	Medicaid supported living services	Behavioral services	State supported living services	Crisis Center	Family support services
Salaries and wages	\$ 4,845,618	\$ 1,501,416	\$ 735,051	244,753	\$ 166,401	\$ 112,251	\$ 511,898	\$ 236,627	\$ 45,072	\$ 29,470	\$ 15,357
Payroll taxes	452,192	137,262	66,596	22,856	15,595	8,876	50,981	24,185	4,146	1,293	1,350
Employee benefits	319,859	87,323	74,133	19,023	13,554	6,110	40,432	12,454	5,935	775	1,438
Host home	2,376,095	-	-	-	-	-	-	-	-	-	-
Medical professional services	53,144	-	-	21,372	-	-	36,887	33,791	5,321	-	84,913
Professional services	69,464	37,042	7,484	5,248	-	-	9,610	5,164	3,938	-	77,000
Training and development	11,784	615	2,735	510	-	-	570	1,701	42	-	671
Travel	36,119	4,612	10,515	16,539	3,575	144	29,278	1,568	2,168	56	76
Vehicles	1,242	-	-	-	-	178,376	-	-	-	-	-
Occupancy	532,439	123,987	165,109	32,509	17,827	1,697	14,696	20,348	10,997	-	1,926
Supplies	152,817	157,025	19,541	6,353	4,043	992	17,821	7,352	1,319	-	688
Telephone	36,619	7,918	4,487	2,307	1,647	220	2,596	1,266	192	123	160
Dues and subscriptions	2,123	961	428	50	55	-	-	315	-	-	-
Food	269,037	-	-	-	-	-	44	-	3	-	-
Interest	-	-	-	-	-	-	-	-	-	-	-
Depreciation	119,735	53,594	-	552	-	53,140	-	-	-	-	-
Purchased services	36,174	-	-	-	-	-	-	-	11,364	-	-
Assistive tech and modifications	65	-	-	3,828	-	-	5,483	-	389	-	12,628
Insurance	11,631	4,385	-	-	-	-	-	-	-	-	-
Other	19,215	17,215	10,168	1,224	-	95,498	10,169	4,110	2,356	-	5,960
Inter-program allocations	-	(59,746)	-	-	-	(16,442)	-	(1,846)	78,034	-	-
<b>Total expenses included in the expense section on the statement of activities</b>	<b>\$ 9,345,373</b>	<b>\$ 2,073,610</b>	<b>\$ 1,096,248</b>	<b>\$ 377,122</b>	<b>\$ 222,697</b>	<b>\$ 440,861</b>	<b>\$ 730,465</b>	<b>\$ 347,034</b>	<b>\$ 171,275</b>	<b>\$ 31,717</b>	<b>\$ 202,166</b>

(continued on next page)

Mesa Developmental Services  
dba Strive Colorado  
Consolidated Statement of Functional Expenses  
Year Ended June 30, 2017

	Program Services					Total	Management and General	Public Relations and Fundraising	Total
	Autism services	Children's extensive support	Parenting place	Elderly, blind and disabled	Independent living arrangement services				
Salaries and wages	\$ 20,930	\$ 103,185	\$ 11,974	\$ -	\$ 79,182	\$ 8,659,184	\$ 782,607	\$ 52,719	\$ 9,494,510
Payroll taxes	1,790	10,927	1,044	-	7,941	807,035	143,677	4,719	955,431
Employee benefits	1,255	5,197	484	-	2,914	590,888	75,043	4,403	670,334
Host home	-	-	-	-	-	2,376,095	-	-	2,376,095
Medical professional services	30,518	66,164	-	-	-	332,108	-	-	332,108
Professional services	20,930	14,600	20,239	-	509	271,228	355,936	4,075	631,239
Training and development	372	-	-	-	-	18,999	48,339	334	67,672
Travel	371	1,336	49	-	14	106,418	9,942	-	116,360
Vehicles	-	-	-	-	-	179,618	-	-	179,618
Occupancy	50,354	3,915	10,603	-	15,497	1,001,903	(405,278)	10,356	606,981
Supplies	194	2,100	5,530	44	3,448	379,266	106,765	1,603	487,633
Telephone	60	1,456	1,870	-	275	61,196	20,780	129	82,106
Dues and subscriptions	1,477	-	-	-	-	5,409	32,553	436	38,398
Food	-	-	-	-	3,715	272,799	-	-	272,799
Interest	-	-	-	-	-	-	48,391	-	48,391
Depreciation	461	-	7,303	-	-	234,785	158,896	-	393,680
Purchased services	-	-	-	-	-	47,537	-	-	47,537
Assistive tech and modifications	-	4,225	-	-	-	26,618	-	-	26,618
Insurance	-	-	890	-	-	16,906	67,796	-	84,702
Other	-	115	1,559	-	128	167,716	101,163	40,948	309,827
Inter-program allocations	-	-	-	-	-	-	-	-	-
<b>Total expenses included in the expense section on the statement of activities</b>	<b>\$ 128,711</b>	<b>\$ 213,219</b>	<b>\$ 61,546</b>	<b>\$ 44</b>	<b>\$ 113,622</b>	<b>\$ 15,555,709</b>	<b>\$ 1,546,609</b>	<b>\$ 119,722</b>	<b>\$ 17,222,040</b>



Mesa Developmental Services  
 dba Strive Colorado  
 Consolidated Statement of Functional Expenses  
 Year Ended June 30, 2016

	Program Services										
	Residential services	Supported employment	Case management	Early intervention	Early intervention case management	Transport services	Medicaid supported living services	Behavioral services	State supported living services	Crisis Center	Family support services
Salaries and wages	\$ 4,405,612	\$ 1,512,162	\$ 711,028	\$ 229,940	\$ 168,469	\$ 101,564	\$ 519,778	\$ 275,818	\$ 62,406	\$ 3,790	\$ 22,264
Payroll taxes	441,962	153,708	67,157	24,276	15,156	9,275	49,554	29,185	9,630	25	3,329
Employee benefits	494,624	135,916	98,316	14,680	18,716	5,995	71,821	26,823	13,956	669	1,965
Host home	2,644,952	-	-	-	-	-	-	-	-	-	-
Medical professional services	56,934	-	1,795	24,112	-	-	35,812	24,306	7,160	-	86,222
Professional services	39,374	17,204	13,652	14,333	-	-	14,604	1,231	7,806	20,000	82,203
Training and development	1,602	25	4,481	525	-	-	468	119	91	-	185
Travel	33,034	8,048	13,710	16,430	2,357	31	36,696	4,101	7,130	631	860
Vehicles	8	-	65	-	-	203,635	41	-	8	-	-
Occupancy	397,364	128,226	105,836	21,984	32,476	13	34,876	4,826	6,777	-	-
Supplies	171,256	176,737	7,550	723	317	741	12,952	750	2,518	-	366
Telephone	35,165	10,368	4,520	2,832	1,221	56	3,361	1,173	653	205	87
Dues and subscriptions	2,217	497	-	50	-	-	-	99	-	-	-
Food	299,449	24	-	-	-	-	109	-	21	-	-
Interest	42,980	11,760	-	-	-	-	-	-	-	-	-
Depreciation	123,648	52,484	-	553	-	70,606	-	-	-	-	-
Purchased services	-	-	-	-	-	-	-	-	62,537	-	-
Assistive tech and modifications	195	-	-	2,686	-	-	11,380	-	1,178	-	24,073
Insurance	10,177	3,313	-	-	-	-	-	-	-	-	-
Other	8,112	14,609	1,268	443	-	92,760	17,054	1,440	16,644	-	5,499
Inter-program allocations	-	(100,122)	-	-	-	(8,250)	-	(9,602)	117,974	-	-
<b>Total expenses included in the expense section on the statement of activities</b>	<b>\$ 9,208,665</b>	<b>\$ 2,225,081</b>	<b>\$ 1,029,378</b>	<b>\$ 353,567</b>	<b>\$ 238,712</b>	<b>\$ 484,676</b>	<b>\$ 808,506</b>	<b>\$ 369,871</b>	<b>\$ 198,515</b>	<b>\$ 25,320</b>	<b>\$ 227,053</b>

(continued on next page)

Mesa Developmental Services  
dba Strive Colorado  
Consolidated Statement of Functional Expenses  
Year Ended June 30, 2016

Program Services

	Autism services	Children's extensive support	Parenting place	Elderly, blind, and disabled	Independent living arrangement services	Total	Management and General	Public Relations and Fundraising	Total
Salaries and wages	\$ 46,333	\$ 134,645	\$ 16,382	\$ 750	\$ 45,137	\$ 8,256,078	\$ 880,538	\$ 67,074	\$ 9,203,690
Payroll taxes	4,573	12,911	1,579	242	4,035	826,597	114,089	7,268	947,954
Employee benefits	8,911	16,777	944	968	3,655	914,736	124,806	8,478	1,048,020
Host home	-	-	-	-	-	2,644,952	-	-	2,644,952
Medical professional services	74,226	34,955	820	-	-	346,342	-	-	346,342
Professional services	28,811	19,624	16,859	35	339	276,075	245,644	12,026	533,745
Training and development	37,706	1,396	159	-	115	46,872	38,815	551	86,238
Travel	1,804	6,490	110	1,301	686	133,419	8,978	60	142,457
Vehicles	-	-	-	-	-	203,757	17	-	203,774
Occupancy	39,470	10,584	9,070	-	12,901	804,403	(286,794)	11,124	528,733
Supplies	8,272	2,363	5,023	-	1,695	391,263	106,308	23,361	520,932
Telephone	134	1,519	2,111	-	302	63,707	22,828	720	87,255
Dues and subscriptions	180	-	-	-	-	3,043	31,215	1,170	35,428
Food	-	716	-	55	3,090	303,464	-	-	303,464
Interest	-	-	-	-	-	54,740	25,086	-	79,826
Depreciation	462	-	7,168	-	-	254,921	164,821	-	419,742
Purchased services	-	-	-	-	-	62,537	-	-	62,537
Assistive tech and modifications	-	20,961	-	-	-	60,473	-	-	60,473
Insurance	-	-	667	-	-	14,157	60,009	-	74,166
Other	221	234	388	-	232	158,904	106,839	45,279	311,022
Inter-program allocations	-	-	-	-	-	-	-	-	-
<b>Total expenses included in the expense section on the statement of activities</b>	<b>\$ 251,103</b>	<b>\$ 263,175</b>	<b>\$ 61,280</b>	<b>\$ 3,351</b>	<b>\$ 72,187</b>	<b>\$ 15,820,440</b>	<b>\$ 1,643,199</b>	<b>\$ 177,111</b>	<b>\$ 17,640,750</b>



Mesa Developmental Services  
dba Strive Colorado  
Consolidated Statements of Cash Flows  
Years Ended June 30, 2017 and 2016

	2017	2016
<b>Operating Activities</b>		
Change in net assets	\$ 731,947	\$ 729,556
Adjustment to reconcile changes in net assets to net cash from (used for) operating activities		
Depreciation	393,680	419,742
(Gain) on sale of property	(115,273)	(567,597)
Change in allowance on promises to give	-	300
Changes in operating assets and liabilities		
Accounts receivable	(199,146)	(62,960)
Other receivables	(18,801)	(144,660)
Promises to give, net	9,900	20,700
Inventory	3,813	28
Prepaid expenses and other assets	51,383	(75,914)
Accounts payable and accrued liabilities	20,437	(220,530)
Deferred revenue	221,590	-
<b>Net Cash from (used for) Operating Activities</b>	<b>1,099,530</b>	<b>98,665</b>
<b>Investing Activities</b>		
Purchases of property and equipment	(103,063)	(333,032)
Proceeds from sale of property and equipment	386,426	258,233
Change in restricted cash	(1,344)	30,476
<b>Net Cash from (used for) Investing Activities</b>	<b>282,019</b>	<b>(44,323)</b>
<b>Financing Activities</b>		
Principal payments on notes payable	(150,771)	(69,866)
Payment of deferred financing charges	(19,267)	-
<b>Net Cash from (used for) Financing Activities</b>	<b>(170,038)</b>	<b>(69,866)</b>
<b>Net Change in Cash and Cash Equivalents</b>	1,211,511	(15,524)
<b>Cash and Cash Equivalents, Beginning of the Year</b>	<b>2,148,731</b>	<b>2,164,255</b>
<b>Cash and Cash Equivalents, End of the Year</b>	<b>\$ 3,360,242</b>	<b>\$ 2,148,731</b>
<b>Supplemental Disclosures</b>		
Cash paid for interest	\$ 48,391	\$ 79,826
Proceeds from sale of assets to repay outstanding debt	\$ -	\$ 496,833
Proceeds from debt used to repay outstanding loan	\$ 1,530,000	\$ -

## Note 1 - Principal Activity and Significant Accounting Policies

### Organization

Mesa Developmental Services, dba Strive Colorado (Strive) is a nonprofit organization, incorporated in 1966 for the purpose of providing a community center board to coordinate programs through interagency cooperation and local agencies to provide services to individuals with developmental disabilities in Mesa County.

DD Housing, Inc. (DDH) is a nonprofit organization, incorporated in 2000 for the purpose of holding assets purchased and constructed pursuant to grants received from the U.S. Department of Housing and Urban Development (HUD). Under HUD regulations, these assets are required to be held in a separate corporation for a period of not less than forty years.

### Principles of Consolidation

The consolidated financial statements include the accounts of Strive and DDH because Strive has both control and an economic interest in DDH. All significant intercompany accounts and transactions have been eliminated in consolidation. Unless otherwise noted, these consolidated entities are hereinafter referred to as "Strive."

### Program Services

Residential Services offers community-based living arrangements that are catered to the needs of each individual. Options include nine supervised group homes along with host homes and congregate apartments. Minimal support staff is also available for clients living independently in homes or apartments.

Supported Employment provides workers with comprehensive vocational assessment of both skills and interests, assistance in setting employment goals, help with the job search and ongoing support as necessary to ensure the client's success.

Case Management - each client served is assisted by a case manager in a client / family directed system of coordination and accountability regarding individual needs and preferences. Case managers are responsible for coordinating the development and implementation of individual plans, coordinating needed services, monitoring and reviewing a client's progress toward individual program goals and providing continuous support and guidance to client and family.

Early Intervention - program is for children from birth to age three who have a developmental delay or disability. Services are designed around the child and family needs, and concerns and priorities related to the development of the child. Services are provided in natural learning environments and routines. Parent participation is a very important component of the program.

Transportation services - activities refer to "Home to Day Program transportation" services relevant to an individual's work schedule as specified in the Individualized Plan. For these purposes, "work schedule" is defined broadly to include adult and retirement activities such as education, training, community integration and employment.

Medicaid and State Supported Living Services are for clients who are eighteen years and older, and living in their own homes or with their families. Services and support are tailored to meet the individual's needs and circumstances and to promote independence, integration and productivity.



Behavioral Services are available to support individuals whose behavior puts them at risk of exclusion from typical community settings, services and support, which presents a risk to the health and safety of the person or others, or inhibits the individual's ability to attain his or her personal goals and outcomes.

Crisis Center is a pilot program sponsored by the State of Colorado that began in June 2016. As participants in the program, Strive provides critical behavioral crisis care for those individuals that have a dual diagnosis of an intellectual and developmental disability and a mental or behavioral health diagnosis.

Family Support Services provides an array of supportive services to the person with a developmental disability and his/her family when the person remains within the family home, thereby preventing or delaying the need for out-of-home placement.

Autism Services – Children and adults facing the challenges of autism can benefit from individualized intervention and support services. Each individual is unique and the approach is customized to the client, offering guidance and support to individuals with autism and their families to understand the issues related to autism and to learn new skills for communicating, problem solving, social integration and coping.

Children's Extensive Support helps families to meet the challenges of caring for their child with developmental disabilities by providing targeted services and supports to those children. Services covered include personal support supervision, home modification, community connection services, professional care / behavior services and specialized equipment and supplies.

Parenting Place provides parenting classes and services to promote nurturing families through outreach support and education through a strong community support base of volunteers and donors.

Elderly Blind and Disabled is a service performed for eligible individuals including personal care such as grocery shopping, meal preparation, running errands, non-skilled hygiene, and accompaniment to appointments.

Independent Living Arrangement Services includes services and support tailored around the residential assistance of high needs individuals.

### **Supporting Services**

Management and General includes those activities necessary for planning, coordination and overall direction of Strive, financial administration, general board activities and other related activities indispensable to the organization's corporate existence.

Public Relations and Fundraising includes those activities necessary to promote the organization within the community and State in order to secure adequate resources to provide for the continued growth and stability of the Organization.

### **Cash and Cash Equivalents**

Strive considers all cash and highly liquid financial instruments with original maturities of three months or less, and which are neither held for nor restricted by donors for long-term purposes, to be cash and cash equivalents.



### **Receivables and Credit Policies**

Accounts receivable consist primarily of noninterest-bearing amounts due from the State of Colorado and Mesa County. Management determines the allowance for uncollectable accounts receivable based on historical experience, an assessment of economic conditions, and a review of subsequent collections. Accounts receivable are written off when deemed uncollectable. At June 30, 2017 and 2016, the allowance was \$24,045 and \$33,700, respectively.

### **Promises to Give**

Unconditional promises to give expected to be collected within one year are recorded at net realizable value. Unconditional promises to give expected to be collected in future years are initially recorded at fair value using present value techniques incorporating risk-adjusted discount rates designed to reflect the assumptions market participants would use in pricing the asset. In subsequent years, amortization of the discounts is included in contribution revenue in the statement of activities. Management determines the allowance for uncollectable promises to give based on historical experience, an assessment of economic conditions, and a review of subsequent collections. Promises to give are written off when deemed uncollectable. At June 30, 2017 and 2016, the allowance for doubtful accounts was \$0 and \$300, respectively.

### **Inventory**

Inventory is comprised of program-related merchandise held for sale in the thrift or gift shop, and is stated at the lower of cost or net realizable value determined by the first-in first-out method. Management has determined no allowance for inventory obsolescence to be necessary at June 30, 2017 and 2016.

### **Assets Held for Sale**

Assets held for sale are carried at net book value as the expected selling price exceeds this amount. If the expected selling price were lower than net book value, the carrying value would be reduced by an impairment charge.

### **Property and Equipment**

Property and equipment additions over \$1,500 are recorded at cost, or if donated, at fair value on the date of donation. Depreciation is computed using the straight-line method over the estimated useful lives of the assets ranging from three to thirty years. When assets are sold or otherwise disposed of, the cost and related depreciation are removed from the accounts, and any remaining gain or loss is included in the statement of activities. Costs of maintenance and repairs that do not improve or extend the useful lives of the respective assets are expensed currently.

The carrying values of property and equipment are reviewed for impairment whenever events or circumstances indicate that the carrying value of an asset may not be recoverable from the estimated future cash flows expected to result from its use and eventual disposition. When considered impaired, an impairment loss is recognized to the extent carrying value exceeds the fair value of the asset. There were no indicators of asset impairment during the years ended June 30, 2017 and 2016.



## **Net Assets**

Net assets, revenues, gains, and losses are classified based on the existence or absence of donor-imposed restrictions. Accordingly, net assets and changes therein are classified and reported as follows:

*Unrestricted Net Assets* – Net assets available for use in general operations.

*Temporarily Restricted Net Assets* – Net assets subject to donor restrictions that may or will be met by expenditures or actions of Strive and/or the passage of time.

Strive reports contributions as temporarily restricted support if they are received with donor stipulations that limit the use of the donated assets. When a donor restriction expires, that is, when a stipulated time restriction ends or purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions.

*Permanently Restricted Net Assets* – Net assets whose use is limited by donor-imposed restrictions that neither expire by the passage of time nor can be fulfilled or otherwise removed by action of Strive. As of June 30, 2017 and 2016, Strive had no permanently restricted net assets.

## **Revenue Recognition**

Revenue is recognized when earned. Amounts received in advance of performance of the underlying services are deferred to the period in which the services are performed. Revenue consists primarily of funds received from the State of Colorado for Medicaid and other services, proceeds from mill levies in Mesa County, miscellaneous smaller grants and awards from federal, state and municipal sources. Contributions are recognized when cash, securities or other assets, an unconditional promise to give, or notification of a beneficial interest is received. Conditional promises to give are not recognized until the conditions on which they depend have been substantially met.

## **Donated Services and In-Kind Contributions**

Volunteers contribute significant amounts of time to Strive's program services, administration, and public relations and fundraising activities; however, the financial statements do not reflect the value of these contributed services because they do not meet recognition criteria prescribed by generally accepted accounting principles. Contributed goods are recorded at fair value at the date of donation. Strive records donated professional services at the respective fair values of the services received. No significant contributions of such goods or services were received during the years ended June 30, 2017 and 2016.

## **Debt Issuance Costs**

Debt issuance costs are amortized over the period the related obligation is outstanding using the straight-line method, which is a reasonable estimate of the effective interest method. Debt issuance costs are included within long-term debt in the statement of financial position. Amortization of debt issuance costs is included in interest expense in the accompanying financial statements.



### **Advertising**

Advertising costs are expensed as incurred, and totaled \$52,763 and \$52,692 for the years ended June 30, 2017 and 2016, respectively.

### **Functional Allocation of Expense**

The costs of program and other supporting services activities have been summarized on a functional basis in the statement of activities. The statement of functional expenses presents the natural classification detail of expenses by function. Accordingly, certain costs have been allocated among the programs and supporting services benefited.

### **Income Taxes**

Mesa Developmental Services and DD Housing, Inc. are organized as Colorado nonprofit corporations and have been recognized by the Internal Revenue Services (IRS) as exempt from federal income tax under Section 501(c)(3) of the Internal Revenue Code as organizations described in Section 501(c)(3), qualify for the charitable contribution deduction under Section 170(b)(1)(A)(ii) and have been determined not to be private foundations under Sections 509(a)(1) and (3) respectively. Each entity is annually required to file a Return of Organization Exempt from Income Tax (Form 990) with the IRS. In addition, the entities are subject to income tax on net income that is derived from business activities that are unrelated to their exempt purpose. Management has determined that each entity is not subject to unrelated business income tax and has not filed an Exempt Organization Business Income Tax Return (Form 990-T) with the IRS.

### **Estimates**

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities at the date of the financial statements, and the reported amounts of revenue and expenses during the reporting period. Actual results could differ from those estimates, and those differences could be material.

### **Financial Instruments and Credit Risk**

Strive manages deposit concentration risk by placing cash, money market accounts, and certificates of deposit with financial institutions believed by management to be creditworthy. At times, amounts on deposit may exceed insured limits or include uninsured investments in money market mutual funds. To date, Strive has not experienced losses in any of these accounts. Credit risk associated with accounts receivable and promises to give is considered to be limited due to high historical collection rates and because substantial portions of the outstanding amounts are due from governmental agencies and individuals supportive of Strive's mission.



**Note 2 - Fees and Grants from Governmental Agencies**

Amounts due from governmental agencies are as follows at June 30, 2017 and 2016:

	<u>2017</u>	<u>2016</u>
State of Colorado		
General Fund	\$ 233,330	\$ 132,450
Medicaid	729,154	633,653
Other	34,537	31,772
	<u>997,021</u>	<u>797,875</u>
Mesa County	<u>267,957</u>	<u>267,957</u>
Total	<u>\$ 1,264,978</u>	<u>\$ 1,065,832</u>

**Note 3 - Restricted Cash**

Restricted cash for Mesa Developmental Services includes cash restricted as collateral for a note payable as well as cash held on behalf of clients and totaled \$74,087 and \$75,694 as of June 30, 2017 and 2016, respectively.

Restricted cash for DDH includes cash restricted for HUD operations and totaled \$38,808 and \$35,857 as of June 30, 2017 and 2016, respectively.

**Note 4 - Property and Equipment**

Property and equipment consists of the following at June 30, 2017 and 2016:

	<u>2017</u>	<u>2016</u>
Land	\$ 890,047	\$ 900,047
Building and improvements	7,439,528	7,505,259
Furniture and equipment	952,168	1,015,968
Vehicles	1,269,564	1,241,369
	<u>10,551,307</u>	<u>10,662,643</u>
Less accumulated depreciation	<u>(5,299,452)</u>	<u>(5,126,581)</u>
Total	<u>\$ 5,251,855</u>	<u>\$ 5,536,062</u>

Assets held for sale as of June 30, 2017 consist of real estate previously used as a group home. The property was sold subsequent to year-end; see details at Note 11.

**Note 5 - Notes Payable**

Notes payable consist of the following at June 30, 2017 and 2016:

	2017	2016
Promissory note requiring monthly payments of \$12,503, including interest at fixed rate of 2.75%, to August 2028. Secured by real estate.	\$ 1,432,454	\$ -
Promissory note requiring monthly payments of \$13,165, including interest, to June 2030. Interest is set at 4.98% until June 2020 at which time interest will adjust to LIBOR plus 6%. Secured by real estate. Debt was refinanced during the year ended June 30, 2017.	-	1,583,225
	1,432,454	1,583,225
Unamortized debt issuance costs	(19,267)	-
	1,413,187	1,583,225
Current portion of notes payable	110,053	76,859
Long-term portion of notes payable	\$ 1,303,134	\$ 1,506,366

Future maturities of notes payable are as follows:

Years Ending June 30,	Principal payments	Unamortized debt issuance costs	Total
2018	\$ 111,805	\$ (1,752)	\$ 110,053
2019	114,918	(1,752)	113,166
2020	118,068	(1,752)	116,316
2021	121,455	(1,752)	119,703
2022	124,789	(1,752)	123,037
Thereafter	841,419	(10,507)	830,912
Total	\$ 1,432,454	\$ (19,267)	\$ 1,413,187

**Note 6 - Leases**

Strive leases building space and residential facilities on a month-to-month basis and also has a non-cancelable operating lease for a retail store expiring in 2019.

Rent expense for property under operating leases was \$138,809 and \$81,414 for the years ended June 30, 2017 and 2016, respectively.



Future minimum lease payments for the non-cancelable operating lease are as follows:

Years ending June 30,		
2018		\$ 62,004
2019		5,284
		\$ 67,288

**Note 7 - Retirement Plan**

Strive sponsors a tax deferred annuity plan (the Plan) in which all employees are eligible to participate. The Plan includes mandatory contributions in which Strive matches employee contributions up to 3% of the employee's gross salary after two years of employment. The retirement plan expense totaled \$101,785 and \$72,425 for the years ended June 30, 2017 and 2016, respectively.

**Note 8 - Self-Insured Employee Health Plan**

Strive's employee health benefit coverage consists of a self-insured medical plan. As of June 30, 2017 and 2016 Strive has recorded a liability of approximately \$63,000 and \$76,000, respectively, which represents the estimated amount of medical claims incurred but not reported as of year-end. This liability is included in accounts payable and accrued liabilities in the statement of financial position. Claims are filed directly with a third-party administrator ("TPA") for processing. The TPA dispenses funds to and on behalf of participants for covered medical claims. Strive carries specific stop-loss insurance coverage for payment of eligible participant claims in excess of certain limits. The individual stop-loss claim deductible per participant is \$80,000. The stop-loss insurance has an aggregate stop-loss limit of approximately \$1,205,000 as of June 30, 2017.

**Note 9 - Restricted Net Assets**

Temporarily restricted net assets as of June 30, 2017 and 2016 consist of the following:

	2017	2016
Purpose restricted		
Residential services	\$ 10,455	\$ 24,392
Children's extensive support	500	500
Family support services	19,585	22,481
Parenting Place	15,745	12,213
Home health services	-	482
Supported employment	4,936	2,491
Early intervention	-	7,591
Autism services	8,100	-
Time restricted	267,956	267,957
	\$ 327,277	\$ 338,107

Net assets were released from restrictions as follows during the years ended June 30, 2017 and 2016:

	2017	2016
Satisfaction of purpose restrictions		
Family support services	\$ 19,338	\$ 13,340
Autism services	1,340	200
Residential services	23,345	27,844
Parenting Place	12,969	19,910
Early intervention	7,591	-
Supported employment	2,491	-
Home health services	482	2,529
Other	30,366	27,526
	97,922	91,349
 Expiration of time restrictions	 535,913	 267,956
	\$ 633,835	\$ 359,305

## Note 10 - Commitments and Contingencies

### U. S. Department of Housing and Urban Development Capital Advance

Strive received a capital advance of \$694,238 from HUD under agreements dated May 1, 2001. The terms of the agreements specify that the advance including any interest thereon is not required to be repaid so long as the housing remains available for a period of 40 years to eligible very low income persons with disabilities in accordance with Section 811 of the National Affordable Housing Act. The capital advance is subject to compliance with a Regulatory Agreement and other requirements and conditions identified in the agreements.

In the event of noncompliance under the provisions of the agreements before the maturity date of February 1, 2042, the capital advance would be payable. The advance is secured by a deed of trust on the property, which has a carrying value of \$668,117 and \$669,225 at June 30, 2017 and 2016, respectively. If payable, the advance would bear interest at the rate of 6.0% per annum. Accrued interest through June 30, 2017 and 2016 totaling approximately \$598,014 and \$562,317 respectively, is not recorded as a liability in the financial statements as it is only payable as a penalty in the event of default under the provisions of the agreements.

### Colorado Division of Housing Grant

Strive is contingently liable to the State of Colorado, Department of Local Affairs, Division of Housing, for the funding of construction in the amount of \$150,000 as of both June 30, 2017 and 2016. The grant contract provides that as long as the facilities are used to provide housing for low and moderate income persons at affordable rents for a period of not less than 30 years from the date of initial occupancy, Strive will not be required to repay any portion of the grant. If any default occurs, the grant becomes immediately payable in full, but bears no interest.



Colorado Department of Local Affairs Grant

Strive is contingently liable to the Colorado Department of Local Affairs for funding of an addition to one of the group homes in the amount of \$39,000 in Home Investment Partnerships Program (HOME) grant funds as of June 30, 2017 and 2016. The grant agreement provides that, as long as the facility is used to provide housing for people with very low and low incomes for 20 years from the date of contract execution, Strive will not be required to repay any portion of the grant. The usage restriction will end in 2027. If there is a change in use, Strive, its successors and assignees, grantees or lessees shall be required to repay the State the grant funds attributed to this property, unless the State authorizes the transfer of repaid funds to one or more public housing entities or private nonprofit corporations.

Strive is contingently liable to the Colorado Department of Local Affairs for funding of the construction of three group homes in the amount of \$307,171 in HOME grant funds as of June 30, 2017 and 2016. The grant agreement provides that, as long as the facility is used to provide housing for people with very low and low incomes for 30 years from the date of contract execution, Strive will not be required to repay any portion of the grant. The usage restriction will end in 2041. If there is a change in use, Strive, its successors and assignees, grantees or lessees shall be required to repay the State the grant funds attributed to this property, unless the State authorizes the transfer of repaid funds to one or more public housing entities or private nonprofit corporations.

Strive is contingently liable to the Colorado Department of Local Affairs for funding of the construction of three group homes in the amount of \$292,829 in Housing Development Grant (HDG) funds. The grant agreement provides that, as long as the facility is used to provide housing for people with very low, low and moderate income persons for 40 years from the date of project closeout which was April, 2010, Strive will not be required to repay any portion of the grant. The usage restriction will end in 2042. If there is a change in use, Strive, its successors and assignees, heirs, grantees or lessees shall be required to repay the State the grant funds attributed to this property. In lieu of repayment, the State may authorize Strive, its successors and assignees, heirs, grantees or lessees to retain such funds for other projects or repay the funds to one or more public housing entities or private nonprofit corporations.

Clear Energy Group

On October 31, 2011, Strive signed a contract with Clear Energy (a solar energy equipment company) who installed solar energy equipment on approximately 75% of Strive's facilities. Energy generated by Clear Energy equipment is required to be purchased by Strive at varying rates and management expects energy costs to decline as the result of this contract.

The equipment is owned and maintained by Clear Energy and installation was at no cost to Strive. Strive has been granted an option to purchase the equipment after the first five years of operation. If this option is exercised, the purchase price will be equal to the greater of the fair market value of the equipment or a buyout price as stated in the agreement, which declines over time.

The agreement expires 20 years from the date the equipment came online, which will be July 2032. If Strive terminates the agreement prior to the expiration date, Strive will owe an amount which is equal to the greater of the fair market value of the equipment or a termination cost as stated in the agreement, which declines over time and approximates the option price discussed above. As of June 30, 2017 the termination cost per the agreement is \$1,357,455.

**Note 11 - Subsequent Events**

On August 17, 2017, Strive sold real estate for \$315,000 that had a net book value of approximately \$99,000 as of June 30, 2017.

Strive has evaluated subsequent events through September 26, 2017, the date the financial statements were available to be issued.



Supplementary Information  
June 30, 2017 and 2016

# Mesa Developmental Services dba Strive Colorado



Mesa Developmental Services  
 dba Strive Colorado  
 Consolidating Schedule of Financial Position  
 June 30, 2017

	Mesa Developmental Services	DD Housing, Inc.	Eliminations	Consolidated
<b>Assets</b>				
<b>Current Assets</b>				
Cash and cash equivalents	\$ 3,352,340	\$ 7,902	\$ -	\$ 3,360,242
Accounts receivable				
Fees and grants from governmental agencies, net	1,264,978	-	-	1,264,978
Other	333,032	-	(50,260)	282,772
Inventory	17,602	-	-	17,602
Prepaid expenses and other assets	86,786	-	-	86,786
<b>Total current assets</b>	<b>5,054,738</b>	<b>7,902</b>	<b>(50,260)</b>	<b>5,012,380</b>
Restricted cash	74,087	38,808	-	112,895
Assets held for sale, net	99,149	-	-	99,149
Property and equipment, net	4,583,678	668,177	-	5,251,855
<b>Total assets</b>	<b>\$ 9,811,652</b>	<b>\$ 714,887</b>	<b>\$ (50,260)</b>	<b>\$ 10,476,279</b>
<b>Liabilities and Net Assets</b>				
<b>Current Liabilities</b>				
Accounts payable and accrued liabilities	\$ 955,642	\$ 50,260	\$ (50,260)	\$ 955,642
Deferred Revenue	221,590	-	-	221,590
Notes payable, net	110,053	-	-	110,053
<b>Total current liabilities</b>	<b>1,287,285</b>	<b>50,260</b>	<b>(50,260)</b>	<b>1,287,285</b>
<b>Long-Term Liabilities</b>				
Notes payable, net	1,303,134	-	-	1,303,134
<b>Total liabilities</b>	<b>2,590,419</b>	<b>50,260</b>	<b>(50,260)</b>	<b>2,590,419</b>
<b>Net Assets</b>				
<b>Unrestricted</b>				
Undesignated	3,723,465	(3,550)	-	3,719,915
Invested in property and equipment, net	3,170,491	668,177	-	3,838,668
<b>Total unrestricted</b>	<b>6,893,956</b>	<b>664,627</b>	<b>-</b>	<b>7,558,583</b>
Temporarily restricted	327,277	-	-	327,277
<b>Total net assets</b>	<b>7,221,233</b>	<b>664,627</b>	<b>-</b>	<b>7,885,860</b>
<b>Total liabilities and net assets</b>	<b>\$ 9,811,652</b>	<b>\$ 714,887</b>	<b>\$ (50,260)</b>	<b>\$ 10,476,279</b>

Mesa Developmental Services  
dba Strive Colorado  
Consolidating Schedule of Financial Position  
June 30, 2016

	Mesa Developmental Services	DD Housing, Inc.	Eliminations	Consolidated
<b>Assets</b>				
<b>Current Assets</b>				
Cash and cash equivalents	\$ 2,141,847	\$ 6,884	\$ -	\$ 2,148,731
Accounts receivable				
Fees and grants from governmental agencies, net	1,065,832	-	-	1,065,832
Other	299,019	-	(35,048)	263,971
Promises to give, net	9,900	-	-	9,900
Inventory	21,415	-	-	21,415
Prepaid expenses and other assets	138,985	-	-	138,985
Total current assets	<u>3,676,998</u>	<u>6,884</u>	<u>(35,048)</u>	<u>3,648,834</u>
Restricted cash	75,694	35,857	-	111,551
Assets held for sale, net	268,036	-	-	268,036
Construction in process	107,860	-	-	107,860
Property and equipment, net	4,866,837	669,225	-	5,536,062
Total assets	<u>\$ 8,995,425</u>	<u>\$ 711,966</u>	<u>\$ (35,048)</u>	<u>\$ 9,672,343</u>
<b>Liabilities and Net Assets</b>				
<b>Current Liabilities</b>				
Accounts payable and accrued liabilities	\$ 935,205	\$ 35,048	\$ (35,048)	\$ 935,205
Notes payable	76,859	-	-	76,859
Total current liabilities	<u>1,012,064</u>	<u>35,048</u>	<u>(35,048)</u>	<u>1,012,064</u>
<b>Long-Term Liabilities</b>				
Notes payable, net of current portion	1,506,366	-	-	1,506,366
Total liabilities	<u>2,518,430</u>	<u>35,048</u>	<u>(35,048)</u>	<u>2,518,430</u>
<b>Net Assets</b>				
<b>Unrestricted</b>				
Undesignated	3,231,172	7,693	-	3,238,865
Invested in property and equipment, net	2,907,716	669,225	-	3,576,941
Total unrestricted	<u>6,138,888</u>	<u>676,918</u>	<u>-</u>	<u>6,815,806</u>
Temporarily restricted	338,107	-	-	338,107
Total net assets	<u>6,476,995</u>	<u>676,918</u>	<u>-</u>	<u>7,153,913</u>
Total liabilities and net assets	<u>\$ 8,995,425</u>	<u>\$ 711,966</u>	<u>\$ (35,048)</u>	<u>\$ 9,672,343</u>

Mesa Developmental Services  
 dba Strive Colorado  
 Consolidating Schedule of Activities  
 Year Ended June 30, 2017

	Mesa Developmental Services	DD Housing	Eliminations	Consolidated
Fees and Grants from Governmental Agencies				
Fees for services				
State of Colorado				
State General Fund				
Support services	\$ 832,064	\$ -	\$ -	\$ 832,064
Case management	133,772	-	-	133,772
Management and general	148,427	-	-	148,427
Special purpose	57,991	-	-	57,991
Medicaid	13,140,918	-	-	13,140,918
Part C	213,459	-	-	213,459
QA/UR	255,954	-	-	255,954
Grants and other				
Mesa County	535,913	-	-	535,913
State of Colorado	723,531	-	-	723,531
	<u>16,042,029</u>	<u>-</u>	<u>-</u>	<u>16,042,029</u>
Residential room and board	940,837	73,959	-	1,014,796
Public support - contributions	205,955	-	-	205,955
Retail and service contract	167,971	-	-	167,971
Private pay and private health insurance	323,506	-	-	323,506
Other revenue	84,437	20	-	84,457
Gain on sale of property	115,273	-	-	115,273
Total revenue, support, and gains	<u>17,880,008</u>	<u>73,979</u>	<u>-</u>	<u>17,953,987</u>
Expenses				
Program services	15,494,380	61,329	-	15,555,709
Supporting services				
Management and general	1,521,668	24,941	-	1,546,609
Public relations and fundraising	119,722	-	-	119,722
Total expenses	<u>17,135,770</u>	<u>86,270</u>	<u>-</u>	<u>17,222,040</u>
Change in Net Assets	744,238	(12,291)	-	731,947
Net Assets, Beginning of Year	<u>6,476,995</u>	<u>676,918</u>	<u>-</u>	<u>7,153,913</u>
Net Assets, End of Year	<u>\$ 7,221,233</u>	<u>\$ 664,627</u>	<u>\$ -</u>	<u>\$ 7,885,860</u>



Mesa Developmental Services  
dba Strive Colorado  
Consolidating Schedule of Activities  
Year Ended June 30, 2016

	Mesa Developmental Services	DD Housing	Eliminations	Consolidated
Fees and Grants from Governmental Agencies				
Fees for services				
State of Colorado				
State General Fund				
Support services	\$ 771,071	\$ -	\$ -	\$ 771,071
Case management	128,117	-	-	128,117
Management and general	118,814	-	-	118,814
Special purpose	72,937	-	-	72,937
Medicaid	13,651,903	-	-	13,651,903
Part C	157,781	-	-	157,781
QA/UR	229,511	-	-	229,511
Grants and other				
Mesa County	537,413	-	-	537,413
U.S. Department of Housing and Urban Development	4,815	-	-	4,815
State of Colorado	129,076	-	-	129,076
Vocational rehabilitation grant	18,142	-	-	18,142
	<u>15,819,580</u>	<u>-</u>	<u>-</u>	<u>15,819,580</u>
Residential room and board	975,481	77,625	-	1,053,106
Public support - contributions	244,384	-	-	244,384
Retail and service contract	189,552	-	-	189,552
Private pay and private health insurance	351,659	-	-	351,659
Other revenue	164,410	18	-	164,428
Gain on sale of property	567,597	-	-	567,597
Total revenue, support, and gains	<u>18,312,663</u>	<u>77,643</u>	<u>-</u>	<u>18,390,306</u>
Expenses and losses				
Program services	15,745,573	74,867	-	15,820,440
Supporting services				
Management and general	1,618,918	24,281	-	1,643,199
Public relations and fundraising	177,111	-	-	177,111
	<u>17,541,602</u>	<u>99,148</u>	<u>-</u>	<u>17,640,750</u>
Loss on uncollectable promises to give	20,000	-	-	20,000
Total expenses and losses	<u>17,561,602</u>	<u>99,148</u>	<u>-</u>	<u>17,660,750</u>
Change in Net Assets	751,061	(21,505)	-	729,556
Net Assets, Beginning of Year	<u>5,725,934</u>	<u>698,423</u>	<u>-</u>	<u>6,424,357</u>
Net Assets, End of Year	<u>\$ 6,476,995</u>	<u>\$ 676,918</u>	<u>\$ -</u>	<u>\$ 7,153,913</u>

Mesa Developmental Services  
dba Strive Colorado  
Schedule of Case Management Services  
Year Ended June 30, 2017

	<u>Targeted Case Management</u>	<u>Other Case Management</u>	<u>Total</u>
Fees and Grants from Government Agencies			
State of Colorado			
State General Fund			
State PASARR and Special Purpose	\$ -	\$ 135,469	\$ 135,469
Adult supported living	-	56,291	56,291
Children and families	-	77,481	77,481
Medicaid			
Comprehensive	837,605	-	837,605
Adult supported living	324,109	-	324,109
Children Extensive Support	76,284	-	76,284
Children and families	-	99,184	99,184
Part C IDEA	-	74,987	74,987
Service and Contract (Private Pay and Misc)	-	20,008	20,008
Total fees, grants, and support	<u>1,237,998</u>	<u>463,420</u>	<u>1,701,418</u>
Expenses			
Salaries - direct care	711,790	204,285	916,075
Payroll taxes	63,862	18,329	82,191
Employee benefits	68,134	19,554	87,688
Total personnel services	<u>843,786</u>	<u>242,168</u>	<u>1,085,954</u>
Other professional services	44,971	12,907	57,878
Staff development	2,125	610	2,735
Staff retention	260	75	335
Staff travel	10,948	3,142	14,090
Occupancy			
Maintenance	8,697	2,496	11,193
Utilities	7,205	2,068	9,273
Supplies - other	30,800	8,839	39,639
Supplies - Advertising	558	160	718
Telephone	8,370	2,402	10,772
Dues and subscriptions	5,919	1,699	7,618
Taxes, licenses, fees	31	9	40
Insurance	12,887	3,698	16,585
Interest	8,314	2,386	10,700
Other	9,881	2,836	12,717
Total direct program expenses	994,752	285,495	1,280,247
Purchased services	-	-	-
Total program expenses before depreciation	<u>994,752</u>	<u>285,495</u>	<u>1,280,247</u>
Depreciation	<u>30,069</u>	<u>8,630</u>	<u>38,699</u>
Total expenses	<u>1,024,821</u>	<u>294,125</u>	<u>1,318,946</u>
Income (loss) before allocated management and general	<u>\$ 213,177</u>	<u>\$ 169,295</u>	<u>\$ 382,472</u>



Mesa Developmental Services  
 dba Strive Colorado  
 Schedule of Case Management Services  
 Year Ended June 30, 2016

	<u>Targeted Case Management</u>	<u>Other Case Management</u>	<u>Total</u>
<b>Fees and Grants from Government Agencies</b>			
State of Colorado			
State General Fund			
State PASARR and Special Purpose	\$ -	\$ 53,419	\$ 53,419
Adult supported living	-	50,036	50,036
Children and families	-	78,081	78,081
Medicaid			
Comprehensive	1,029,095	-	1,029,095
Adult supported living	337,976	-	337,976
Children Extensive Support	82,328	-	82,328
Children and families	-	101,744	101,744
Part C IDEA	-	19,750	19,750
Grants and other	-	2,307	2,307
Total fees and grants from governmental agencies	<u>1,449,399</u>	<u>305,337</u>	<u>1,754,736</u>
Public support	-	5,254	5,254
Total fees, grants, and support	<u>1,449,399</u>	<u>310,591</u>	<u>1,759,990</u>
<b>Expenses</b>			
Salaries - direct care	673,262	229,311	902,573
Payroll taxes	61,626	21,093	82,719
Employee benefits	87,190	29,843	117,033
Total personnel services	<u>822,078</u>	<u>280,247</u>	<u>1,102,325</u>
Other professional services	25,185	8,621	33,806
Staff development	3,338	1,143	4,481
Staff retention	915	313	1,228
Staff travel	11,970	4,097	16,067
Staff screening	25	9	34
Occupancy			
Maintenance	3,981	1,363	5,344
Utilities	6,381	2,184	8,565
Supplies - other	19,960	6,832	26,792
Telephone	7,674	2,626	10,300
Dues and subscriptions	4,632	1,586	6,218
Insurance	9,675	3,311	12,986
Interest	3,808	1,303	5,111
Other	1,764	605	2,369
Total direct program expenses	<u>921,386</u>	<u>314,240</u>	<u>1,235,626</u>
Depreciation	<u>24,186</u>	<u>8,278</u>	<u>32,464</u>
Total expenses	<u>945,572</u>	<u>322,518</u>	<u>1,268,090</u>
Income (loss) before allocated management and general	<u>\$ 503,827</u>	<u>\$ (11,927)</u>	<u>\$ 491,900</u>



Mesa Developmental Services  
 dba Strive Colorado  
 Schedule of Case Management Services  
 Years Ended June 30, 2017 and 2016

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For the Year Ended June 30, 2017:

	Targeted Case Management	Other Case Management	Total
Statistical Data (Unaudited)			
Unduplicated number of individuals	722	207	929
Cost per client served	\$ 1,419	\$ 1,421	\$ 1,420
Full-time equivalents	\$ 16.98	\$ 4.87	\$ 21.85

For the Year Ended June 30, 2016:

	Targeted Case Management	Other Case Management	Total
Statistical Data (Unaudited)			
Unduplicated number of individuals	669	229	898
Cost per client served	\$ 1,408	\$ 1,408	\$ 1,408
Full-time equivalents	\$ 17.42	\$ 5.96	\$ 23.38

[View assistance for Entity Registrations--Existing Entity Registrations](#)

**Search by CAGE/NCAGE Code**

Use this field to search entities with which you have roles by CAGE or NCAGE Code. The Commercial and Government Entity (CAGE) Code is a five-character ID used to support a variety of mechanized systems throughout the government and provides a standard method of locating a given facility at a specific location.

CAGE Codes that are used internationally as part of the NATO Codification System are also referred to as NCAGE codes. NCAGE is required for all foreign entities and must be obtained from a SAM

# MY SAM

## Entity Registrations

- [My User Roles](#)
- [Entity Users](#)
- [Entity Registrations](#)
  - [Existing Entity Registrations](#)
  - [Register New Entity](#)
  - [By Preferred Reporting](#)
- [My Account Settings](#)
- [My Data Access](#)
- [General](#)

### Existing Entity Registrations

**Page Description**

This page allows you to manage your existing entity registrations regardless of the record status. The Entity List contains all entities with which you have user roles. You can search for entities within this list or simply select the entity for which you want to view, update, delete, or deactivate a registration record.

Once you select an entity, your registration details will display in either the Registration Details for Complete Record section or the Registration Details for Incomplete Record section. If you started an update to either an active or inactive registration record, you will find registration details in both sections. Check the Registration Status, then select the action you wish to take.

For keyboard only users, please tab to the desired entity and then press the Enter key to expand the child entities. Press the space bar to select an entity from the Entity List and the details will display in the appropriate Registration Details table.

To register a new entity in SAM, select the Register New Entity link from the sub-navigation menu.

**IMPORTANT:** If you navigate away from a page during the registration process without selecting Save and Continue, the information entered on the page will NOT be saved, you must select Save and Continue on every page.

**Search for an Entity :**

Legal Business Name:	<input type="text" value="Mesa Developmental Services"/>
DUNS Number:	<input type="text" value="020273959"/>
CAGE/NCAGE Code:	<input type="text" value="4PF36"/>
	<input type="button" value="Search"/> <input type="button" value="Clear"/>

[Download Your Active SAM Registrations \(XML\)](#)  
[Download Your Expired SAM Registrations \(XML\)](#)

Entity List
<p><b>MESA DEVELOPMENTAL SERVICES (020273959)</b></p>

Registration Details for Complete Record	Registration Details for Incomplete Record
<p><b>Entity Name:</b> MESA DEVELOPMENTAL SERVICES</p> <p><b>DUNS Number:</b> 020273959</p> <p><b>CAGE:</b> 4PF36</p> <p><b>Address:</b></p> <p style="margin-left: 20px;">950 GRAND AVE GRAND JUNCTION, CO 81501-3451 UNITED STATES</p> <p><b>Purpose of Registration:</b> Federal Assistance Awards</p> <p><b>Registration Status:</b> Active</p> <p><b>Expiration Date:</b> 08/31/2018</p> <p><b>Address Update Required:</b> No</p>	<p>Your entity registration is Active. Please select Update Entity from Registration Details for Complete Record if you want to update or renew it.</p>

**STRiVE Board of Directors  
2017-2018**

<b>Chair</b>	<b>SCOTT COLEMAN</b> Physical Therapist, Retired	746 Continental Drive Grand Junction, CO 81506
<b>Vice Chair</b>	<b>STEVE AMMENTORP</b> Community Bank President American National Bank	2699 Patterson Road Grand Junction, CO 81506
<b>Secretary</b>	<b>JUDY THORNBURG</b> Educator, Retired	1354 Niblick Way Fruita, CO 81521
<b>Treasurer</b>	<b>JEFF PARKER</b> Banker, Retired	787 North Sedona Court Grand Junction, CO 81506
<b>President/CEO</b>	<b>SHARON JACKSI</b> STRiVE	950 Grand Avenue Grand Junction, CO 81501
	<b>TAWNY ESPINOZA</b> Vice President for Business Development Community Hospital	2609 Kelley Drive Grand Junction, CO 81506
	<b>JIM GRISIER</b> CPA, CVA	2135 North 7th Street Grand Junction, CO 81501
	<b>STEPHANIE KELLER</b> Parent	820 25 Road Grand Junction, CO 81505
	<b>MARNA LAKE</b> Attorney	319 Colorado Avenue Grand Junction, CO 81501
	<b>RACHELLE MILLER</b> Receptionist, Client STRiVE	915 Lakeside Court Grand Junction, CO 81506
	<b>TOM MOTZ, DO</b> Physician	513 Riverview Drive Grand Junction, CO 81507
	<b>MIKE NORDINE</b> Commander Grand Junction Police Department	3332 Star Court Grand Junction, CO 81506
	<b>VICKI WOODS</b> Principal Orchard Avenue Elementary School	1800 Orchard Avenue Grand Junction, CO 81501
<b>EX-OFFICIO</b>	<b>JOE WARNER</b> Marketing Consultant, Retired	2498 Interlochen Court #A Grand Junction, CO 81505



## Community Centered Board



### Mesa Developmental Services

950 Grand Avenue, Grand Junction, CO 81501

970-243-3742, fax 970-256-8697

### **Outline of Process for Determination for Developmental Disability**

We welcome your interest and look forward to assisting you in this process. In order to make a determination of developmental disability, specific types of documentation are required. Please keep this outline and use it as a guide.

- Request for Determination of Developmental Disability- 2 page application, completely filled in.  
If there is a guardian, please provide a copy of the court document.
- Documentation of an Intellectual Impairment (Note: for children testing must have occurred within 3 years of application date, for adults testing must have occurred within 10 years of application date).
  - Intelligence/IQ testing by a psychologist, using instruments that are comparable to a Wechsler or Stanford-Binet.
  - AND/OR--- (Note it is best to have both types of testing)
  - Documentation of Adaptive Behavior Impairments (assessment must have occurred within 3 years of application date)
  - Adaptive Behavior testing by a qualified professional, using instruments that are comparable to a Vineland-II or ABAS

If you do not already have testing and you are in need of having IQ or adaptive testing completed, a list of resources for this service has been provided in this packet. The applicant is responsible for the cost of testing.

- Documentation of a neurological condition (to rule out physical or sensory impairments or mental illness as sole contributors to a disability),
  - Neurological or neuropsychological evaluation
  - Medical records: Ask your primary care physician for a diagnosis list
- Documentation of treatment or evaluation that occurred between age 5 and age 22. This demonstrates that the disability occurred prior to age 22. Examples include:
  - School assessments and records
  - Records of specialized services
  - Medical records and evaluations
  - Therapy assessments and reports
  - Mental health services and assessments
  - Psychological evaluations or testing that include IQ scores completed between age 5 and 22.
  - Psychiatric reports
- Release of Information. **A separate form is needed for each source. The form must be completely filled out. A signed blank form cannot be used.**
- You have 90 days from the date you submit the completed Request for Determination of Developmental Disability to provide the required testing and documentation listed above. If you require more time, you may request a 90 day extension for the process.
- You will be notified in writing of the determination decision when the process is completed.

Please turn in the application and all requested documentation to:

Options Counselors with Aging and Disability Resources for Colorado (ADRC). They are located at Mesa County Department of Human Services, 510 29 ½ Rd. The Options Counselors are available to see applicants Monday-Friday 8:00 am-Noon and 1:00 pm to 5:00 pm. They can be reached by phone at (970) 248-2746.

REQUEST FOR DEVELOPMENTAL DISABILITY DETERMINATION

Community Centered Board



**Mesa Developmental Services**

950 Grand Avenue, Grand Junction, CO 81501  
970-243-3742, fax 970-256-8697

APPLICANT CONTACT INFORMATION

1. Name of Applicant : \_\_\_\_\_  
Address \_\_\_\_\_

County \_\_\_\_\_ Home Phone \_\_\_\_\_  
Cell Phone \_\_\_\_\_ Work Phone/Other \_\_\_\_\_  
Email Address \_\_\_\_\_ Preferred Mode of Communication \_\_\_\_\_  
DOB \_\_\_\_\_ Age \_\_\_\_\_ Gender \_\_\_\_\_  
Marital Status \_\_\_\_\_ Primary Language \_\_\_\_\_  
Current Living Arrangement \_\_\_\_\_ Ethnicity \_\_\_\_\_

2. Person Making Referral \_\_\_\_\_ Relationship \_\_\_\_\_  
Home Phone \_\_\_\_\_ Cell Phone \_\_\_\_\_

3. Name of Primary Contact \_\_\_\_\_ Relationship \_\_\_\_\_  
Address of Primary Contact \_\_\_\_\_

Home Phone \_\_\_\_\_ Work Phone \_\_\_\_\_  
Cell Phone \_\_\_\_\_ Email Address \_\_\_\_\_

4. Name of Additional Contact \_\_\_\_\_ Relationship \_\_\_\_\_  
Address of Contact \_\_\_\_\_

Home Phone \_\_\_\_\_ Work Phone \_\_\_\_\_  
Cell Phone \_\_\_\_\_ Email Address \_\_\_\_\_

5. Is There a Court Appointed Guardian? Yes  No   
If "Yes" please complete information below if not the primary contact and provide a copy of the court document.  
Name \_\_\_\_\_ Relationship \_\_\_\_\_  
Address \_\_\_\_\_

Home Phone \_\_\_\_\_ Work Phone \_\_\_\_\_  
Cell Phone \_\_\_\_\_ Email Address \_\_\_\_\_

5. Previous Community Centered Board (CCB) \_\_\_\_\_ Date \_\_\_\_\_

FINANCIAL AND MEDICAL BENEFITS INFORMATION

Social Security number \_\_\_\_\_  
Medicaid State ID number \_\_\_\_\_  
Medicare ID number \_\_\_\_\_



Supplemental Security Income (SSI) Amount \_\_\_\_\_  
Social Security (SSA/SSDI) Amount \_\_\_\_\_  
Other Benefits (e.g. EBD, Children's HCBS, Trusts) \_\_\_\_\_  
Private Medical Insurance (e.g. Life, Health) \_\_\_\_\_

**SCHOOL INFORMATION**

1. School District and School Attended \_\_\_\_\_  
City and State \_\_\_\_\_  
Dates of Attendance \_\_\_\_\_ Special Education Program Yes  No   
2. School District and School Attended \_\_\_\_\_  
City and State \_\_\_\_\_  
Dates of Attendance \_\_\_\_\_ Special Education Program Yes  No   
3. School District and School Attended \_\_\_\_\_  
City and State \_\_\_\_\_  
Dates of Attendance \_\_\_\_\_ Special Education Program Yes  No

**MEDICAL INFORMATION**

Please list medical and health needs \_\_\_\_\_  
Name of Medical Provider/Medical Facility \_\_\_\_\_  
City and State \_\_\_\_\_  
Phone \_\_\_\_\_

**SERVICES AND SUPPORTS INFORMATION**

Please list services and supports received by the applicant such as mental health services, therapies, or home health

**ACKNOWLEDGMENTS AND SIGNATURES**

*Included with the request form:*

1. Outline of Process and checklist for the Developmental Disability determination process
2. Confidentiality/Privacy Notice
3. MDS Policy 6-8 Applicant and Individual Appeals
4. Rights of Individuals
5. List of resources for testing for intellectual functioning and/or adaptive behavior.
6. The Colorado Department of Health Care Policy and Financing definition of Developmental Disability (10 CCR 2505-10 8.600.4)

*I understand that I have ninety (90) calendar days from the date of submission of my completed request for, to submit the documents and information required to make this determination of a Developmental Disability.*

Applicant signature if age 18 or older

\_\_\_\_\_ Date \_\_\_\_\_

Parent, Guardian or Authorized Representative signature

\_\_\_\_\_ Date \_\_\_\_\_

**For ADRC completion only**

Name & title of ADRC person receiving the request \_\_\_\_\_

Date completed and signed request received by ADRC (Request Date) \_\_\_\_\_

Date all documents needed for determination received (Determination Date) \_\_\_\_\_



# Release of Information

## Mesa Developmental Services (MDS)

Name: (Last, First, MI)	Birth date
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**I authorize Mesa Developmental Services to disclose the following information as follows:**

Disclosure of information from the following:  Phone: _____ Fax: _____	Disclosure of information to the following: <b>Mesa Developmental Services</b> 950 Grand Ave, Grand Junction, CO 81501  Phone: 970-243-3702 Fax: 970-256-8697
--	---

**The type and amount of information to be disclosed:**

- |  |  |
|--|--|
| <input type="checkbox"/> Medical records including diagnoses, prognoses, treatment plans, medical recommendations, current general health status; Limited to medical records from _____ to _____ .<br><input type="checkbox"/> Visual Examination<br><input type="checkbox"/> Audiological Evaluation<br><input type="checkbox"/> Psychiatric/Psychological testing/reports: including DSM IV-R diagnosis<br><input type="checkbox"/> OT/PT Assessments and reports<br><input type="checkbox"/> Vocational assessments, evaluations, recommendations and progress reports.<br><input type="checkbox"/> Residential assessments, evaluations, recommendations and progress notes. | <input type="checkbox"/> Drug/alcohol treatment records<br><input type="checkbox"/> Academic testing/transcripts<br><input type="checkbox"/> Educational Records (IEP/504/EDR/Triennial)<br><input type="checkbox"/> Social Security Administration Records<br><input type="checkbox"/> Therapy/Counseling Progress notes<br><input type="checkbox"/> Hospital Records<br><input type="checkbox"/> Dental care<br><input type="checkbox"/> Annual Service Plan<br><input type="checkbox"/> ULTC 100.2 Assessment<br><input type="checkbox"/> Incident Reports/General Event Reports<br><input type="checkbox"/> Other Information: |
|--|--|

**The information identified above is necessary for: Determination of developmental disability**

**The information identified above may be communicated in the following manner:**  Verbally  Electronic  In Writing

**Date upon which this authorization will expire (not to exceed one year from date of signature):**

Authorization for Disclosure: (A photocopy or fax of this release is as effective as the original):

- I understand the information released by this authorization may include personally identifying information concerning physical and mental disabilities, alcohol/drug abuse, HIV/AIDS, medical history, criminal history, and educational/vocational records.
- I understand that this authorization for disclosure is voluntary and that I can refuse to sign this authorization. I understand that MDS cannot condition eligibility for or the provision of services on the signing of this authorization, except as otherwise permitted by law.
- Parties to whom MDS provides information are prohibited under federal regulations (34 CFR 361) from further releasing the information without my express written consent. However, I understand that any disclosure of information carries with it the potential for an unauthorized re-disclosure by the party receiving it.
- I understand this authorization remains in effect for one year from the date of my signature, unless otherwise specified above.
- I understand that I may revoke this authorization at any time except to the extent that action has already been taken based on this authorization. I understand that revocation will not apply to information released prior to the revocation.

**By my signature, I authorize disclosure(s) of the above information.**

\_\_\_\_\_  
Signature of Individual Date

\_\_\_\_\_  
Signature of Parent, Guardian, or Authorized Representative Date

\_\_\_\_\_  
Signature of Case Manager Date

### Notice to Whomever Disclosure is Made Concerning MDS Records

This information is being disclosed to you from records whose confidentiality is protected by Federal law. Federal regulations (34-CFR Part 361) prohibit you from making any further disclosure of this information without the specific written consent of the person to whom it pertains, or as otherwise permitted by such regulations. A general authorization for release of information is NOT sufficient for this purpose.





## **HIPAA**

### **Health Information Portability & Accountability Act**

#### **Consent for the Use or Disclosure of Health Information for Treatment, Payment, Or Health Care Operations**

I understand that as part of my participation in MDS, or it's contracted programs, Mesa Developmental Services (MDS) may originate and maintain health records describing my health history, symptoms, examination and test results, diagnoses, treatment, and any plans for future care or treatment. I understand that this information serves as:

- A basis for planning my care and treatment.
- A means of communication among the many Health and Human Services professionals who contribute to my care.
- A source of information for applying my diagnosis and treatment information to my bill.
- A means by which a third-party payer, including Medicare and Medicaid, can verify that services billed were actually provided.
- A tool for routine health care operations.
- I understand that MDS will communicate with me by mail, telephone, and voice mail/answering machine regarding appointments, meetings, services or activities that you would be interested.

I understand that MDS' Notice of Privacy Practices provides a more complete description of information uses and disclosures. I understand that I have the right to review the Notice before signing the Notification Acknowledgement. I understand that MDS reserves the right to change its notices and practices and that a revised notice will be posted in the facility. I may request a copy of any revised notice at any time.

I understand that I have the right to:

- Object to the use of my health information for directory purposes.
- Request restrictions as to how my health information may be used or disclosed to carry out treatment, payment, or health care operations. I understand that MDS is not required to agree with my request, but that if MDS agrees to my request, MDS will be bound by that agreement.
- Revoke this consent in writing, except to the extent that MDS has already taken action in reliance thereon.

# RIGHTS OF INDIVIDUAL RECEIVING SERVICES

All persons receiving services have the same legal rights and responsibilities guaranteed to all other individuals under the Federal and State Constitutions and laws unless such rights are modified by a Court Order. An individual's rights under the provisions of C.R.S. 25.5-10-218 through and including C.R.S. 25.5-10.231, listed below, may be suspended only for purposes of habilitation, treatment, or to protect the individual from endangering himself/herself, or others.



Right to Medical Care and Treatment



Right To Notification



Right to an Annual Service Plan



Sterilization Rights



Right To Be Free From Discrimination



Right To Influence Policy



Right To Communication & Visits



Right To Vote



Right To Humane Care and Treatment



Right To Fair Employment



Right To Religious Belief, Practice and Worship



Right To Personal Property



Right To Confidentiality of Record and Other Information Pertaining to Eligible Person

Pursuant to C.R.S. 25.5-10-218, the rights of any person receiving services may be suspended to protect the person receiving services from endangering such person, others, or property. Such rights may be suspended only by the intellectual and developmental disabilities professional with subsequent review by the interdisciplinary team and by the human rights committee in order to provide specific services or supports to the person receiving services, which will promote the least restriction on the person's rights. Such person's legal rights may be removed by a court pursuant to C.R.S. 25.5-10-216.



"Developmental Disability" means a disability that:

A. Is manifested before the person reaches twenty-two (22) years of age;

B. Constitutes a substantial disability to the affected individual, as demonstrated by the criteria below at C, 1 and/or C, 2; and,

C. Is attributable to mental retardation or related conditions which include cerebral palsy, epilepsy, autism or other neurological conditions when such conditions result in either impairment of general intellectual functioning or adaptive behavior similar to that of a person with mental retardation.

1. "Impairment of general intellectual functioning" means that the person has been determined to have a full scale intellectual quotient equivalent which is two or more standard deviations below the mean (70 or less assuming a scale with a mean of 100 and a standard deviation of 15).

a. A secondary score comparable to the General Abilities Index for a Wechsler Intelligence Scale that is two or more standard deviations below the mean may be used only if a full scale score cannot be appropriately derived.

b. Score shall be determined using a norm-referenced, standardized test of general intellectual functioning comparable to a comprehensively administered Wechsler Intelligence Scale or Stanford-Binet Intelligence Scales, as revised or current to the date of administration. The test shall be administered by a licensed psychologist or a school psychologist.

Code of Colorado Regulations 5

c. When determining the intellectual quotient equivalent score, a maximum confidence level of ninety percent (90%) shall be applied to the full scale score to determine if the interval includes a score of 70 or less and shall be interpreted to the benefit of the applicant being determined to have a developmental disability.

2. "Adaptive behavior similar to that of a person with mental retardation" means that the person has an overall adaptive behavior composite or equivalent score that is two or more standard deviations below the mean.

a. Measurements shall be determined using a norm-referenced, standardized assessment of adaptive behaviors that is appropriate to the person's living environment and comparable to a comprehensively administered Vineland Scale of Adaptive Behavior, as revised or current to the date of administration. The assessment shall be administered and determined by a professional qualified to administer the assessment used.

b. When determining the overall adaptive behavior score, a maximum confidence level of ninety percent (90%) shall be applied to the overall adaptive behavior score to determine if the interval includes a score of 70 or less and shall be interpreted to the benefit of the applicant being determined to have a developmental disability.

D. A person shall not be determined to have a developmental disability if it can be demonstrated such conditions are attributable to only a physical or sensory impairment or a mental illness.



**PROGRAM:** Case Management

**BOARD #:** 6-8

**POLICY:** Applicant and Individual Appeals

**DATE APPROVED:** 3-23-82

**DATE REVISED:** 9-18-84, 7-2-85, 7-16-86, 12-4-86, 1-22-87, 4-24-01, 01-13-04, 09-14-04, 7-31-09, 08/25/09, 12/18/2012, 6/24/14

**DATE REVIEWED:** 08/25/09, 6/24/14

**PURPOSE**

This policy sets forth the procedure for applicants and individuals receiving services to use when they appeal eligibility, termination, and Individualized Family Support Plan (IFSP) or Service Plan (SP) service decisions. A copy of this policy will be available on MDS Intranet (which is available to all program/service sites and all employees) and will be furnished upon request. It will be provided, orally and in writing, to all applicants, persons receiving services, parent(s) of a minor, guardians, and authorized representatives of applicants/individuals:

- At the time an application is made for services.
- At the time the SP is developed.
- Any time changes in the plan are contemplated.
- Upon request by the above named persons.

Interpretation in native languages other than this English version, and through such modes of communication as may be necessary, shall be made available upon request.

The pursuit of an appeal shall not prejudice the future provision of services or supports to the individual in need of and/or receiving services. Nor shall the individual be coerced, intimidated, threatened or retaliated against because that individual has exercised his or her right to file an appeal or has participated in the dispute resolution process.

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## **POLICY**

### **1.0 NOTICE OF ACTION**

Written notice will be provided to applicants, individuals in service, to the parent(s) if the applicant or individual is a minor, and to guardian(s), or authorized representative(s) at least 15 calendar days prior to the date that any of the following actions are to become effective:

1. The applicant is not eligible for services or supports;
2. The person is no longer eligible for services or supports;
3. Services set forth in the SP are changed, reduced, or denied.

**The notice shall be in writing, shall be hand delivered or sent by first class mail and, in accordance with 24 CRS 1, 1-10, §16.120, shall contain at least the following information:**

1. The proposed action;
2. The reason or reasons for that action;
3. The effective date of that action;
4. The specific law, regulation, or policy supporting the action;
5. The responsible agency with whom a protest of the action may be filed including the name and address of the director;
6. The dispute resolution procedure, including deadlines, in conformity with §16.320 and procedures on accessing agency records;
7. Information on availability of advocacy assistance, including referral to publicly funded legal services corporation, and other publicly or privately funded advocacy organizations, including the protection and advocacy system required under 42 U.S.C. 6012, the Developmental Disabilities Assistance and Bill of Rights Act; and
8. An explanation of how MDS will provide services to the person who is currently enrolled during the dispute resolution period, including a statement that the services will not be termination during the appeal. Such explanation will include a description of services currently received.

### **2.0 RIGHT TO FILE AN APPEAL**

The applicant, individual in service, the parents of a minor, and any guardian or authorized representative may appeal the proposed action(s) by submitting a written appeal with MDS. The appeal shall be in writing and addressed to the MDS Case Management Director and must be filed before the date that the proposed action is to become effective. The assigned CCB Case Manager will provide assistance to applicants and individuals in service who request assistance in preparing the written appeal.

### **3.0 INFORMAL APPEAL PROCESS**

An opportunity will be provided for resolution of the appeal through informal negotiation or mediation, which process may be waived only by mutual consent of the parties and MDS.



The Case Management Director or designee will take the necessary steps to schedule and hold a meeting with all parties or their representatives within fifteen (15) calendar days of the receipt of the appeal and will notify the parties of the meeting. The parties will attempt to resolve the appeal at this meeting. The Case Management Director or designee will make recommendations at the conclusion or following this meeting. If these recommendations do not resolve the appeal, any party may initiate a formal appeal, as specified below. The Case Management Director or designee's recommendations will become effective and final within fifteen (15) calendar days following the parties' receipt of the recommendations, unless a request for formal appeal is filed within this period, as provided below.

#### **4.0 FORMAL APPEAL PROCESS**

Within fifteen (15) calendar days after the Case Management Director or designee advises the parties of the recommended action following the informal appeal meeting, a party can file a formal appeal of the proposed action by delivering a written notice of Formal Appeal to the Chief Executive Officer of MDS. The assigned CCB Case Manager will assist the applicant or individual in services with preparation of this Formal Appeal document if requested to do so within the period for appeal. The proposed action will become effective if no formal appeal is filed or request for assistance received within this period.

Within fifteen (15) calendar days following receipt of the written notice of Formal Appeal, the Chief Executive Officer of MDS or designee shall give notice to the parties of a meeting on the issues appealed. This meeting shall afford due process as follows:

1. The parties shall be given no less than ten (10) calendar days written notice of the meeting date, time and location;
2. The meeting shall be before an impartial decision maker who was not directly involved in the specific action at issue. This decision maker can be the Chief Executive Officer of MDS or another impartial person designated by the Chief Executive Officer of MDS;
3. The parties may be represented by counsel, authorized representative or another individual at the meeting, if desired;
4. The parties will have an opportunity to respond to or question the opposing position and to present information and evidence in support of their position;
5. There shall be a recording of the proceeding by electronic device or reporter;
6. A written decision shall be issued to the parties by the impartial decision maker within fifteen (15) calendar days of the meeting, setting forth the reasons for the decision including finding of fact and conclusions.

The Colorado Department of Human Services shall be notified by the Chief Executive Officer of MDS or designee of the Formal Appeal and the written decision of the impartial decision maker.

The decision of the impartial decision maker shall become effective and final unless a timely request is made to the Executive Director of the Colorado Department of Human Services or designee to review the written decision, as provided below.

#### **5.0 APPEAL TO THE EXECUTIVE DIRECTOR OF DEPARTMENT OF HUMAN SERVICES**

Any party who disputes the decision of the impartial decision maker may request that the Executive Director of the Colorado Department of Human Services ("the Department") review the written decision by submitting the request to the Department within fifteen (15) working days from the date the written decision by the MDS Chief Executive Officer or designee was postmarked or personally delivered, whichever occurs first. This request for review is governed by the rules of the Colorado Department of Human Services contained at 2 CCR 503-1, §16.322. A copy of this section shall be provided to the parties with the written decision by the impartial decision maker.

The decision of the Executive Director of the Department of Human Services shall constitute final agency action on the dispute. Any court action filed on the dispute before this procedure is completed shall be subject to dismissal based upon the affirmative defense of failure to Exhaust Administrative Remedies.

## **6.0 CHILDREN 0-3**

Children 0-3 may also exercise appeal rights as identified in the Procedural Safeguards provided by the State of Colorado, Department of Human Services, Early Intervention Colorado.



## Resources for Testing

MDS is required by regulation to provide information regarding where to obtain testing for the level of intellectual function or adaptive behavior. Several resources are listed below. However, you may choose any qualified psychologist that you wish. The responsibility for obtaining these assessments (including financial responsibility) rests with the individual or parent/guardian.

Dr. Bonnie Woods, PsyD.  
Psych Compass Evaluation Services  
PO Box 4716  
Grand Junction, Colorado 81501  
(970) 279-4826

Dr. Katrina Katen, PsyD.  
858 Grand Ave  
GJ, Co 81501  
970-628-5589

Psychological Associates  
John L Gustavson, PhD  
Dale E Bowen, PhD  
5230 N 8<sup>th</sup> St #204  
GJ, CO 81501  
970-245-3505

Alpha Center  
Phone: (970) 241-2948  
Fax: (970) 242-4219  
Email: [alphacentergi@aol.com](mailto:alphacentergi@aol.com)  
1170 Colorado Ave  
Grand Junction, CO 81501



## Equal Employment Opportunity (EEO)/Harassment Prohibition Policy

### Equal Employment Opportunity

There shall be no unlawful discrimination or harassment against Employees or applicants for employment because of race or color, religion or creed, sex or gender, sexual orientation, national origin or ancestry, age, physical or mental disability, military status, genetic information or other protected status. Equal employment opportunity, as required by law, shall apply to all personnel actions including, but not limited to, recruitment, hiring, upgrading, promotion, demotion, layoff, or termination.

### Age

As used above refers to the age group 40 and above.

### Sex, Gender and Sexual Orientation

Sex and Gender discrimination includes unlawful discrimination on the basis of sex, gender, pregnancy, and sexual harassment. Colorado law defines "sexual orientation" as "a person's orientation toward heterosexuality, homosexuality, bisexuality, or transgender status or an employer's perception thereof."

### Genetic Information

Genetic information includes: 1) information about a person's genetic tests; 2) information about the genetic tests of that person's family members; 3) a person's family medical history (i.e., the manifestation of a disease or disorder in a person's family members, often used to determine if a person has an increased risk of getting a disorder, disease or condition in the future); 4) a person's request for, and receipt of, genetic services; 5) a person's participation in clinical research that includes genetic services (or the person's family members' participation); 6) the genetic information of a fetus carried by a person or a family member of the person; 7) the genetic information of an embryo legally held by a person or a family member using assisted reproductive technology.

### Sexual Harassment

Sexual harassment occurs when an Employee is subjected to **unwelcome conduct based on sex or gender that is severe or pervasive** and: (1) which conduct is either an explicit or implicit condition of an individual's employment; (2) submission to, or rejection of, such conduct by an individual is used as a basis for employment decisions affecting the individual, e.g. salary increases; (3) or such conduct has the purpose or effect of unreasonably interfering with an individual's work performance or creating an intimidating, hostile or offensive working environment. Unprofessional conduct may rise to the level of sexual harassment if it is unwelcome, based on sex, and severe or pervasive. Unprofessional conduct includes, but is not limited to, unwelcome sexual advances or propositioning, jokes of a sexual nature, unwelcome sexual comments about someone's clothing or appearance, intimate stories about one's sex life, sexually explicit photographs or drawings, unwelcome touching of a sexual nature, and adverse treatment based on gender. Unprofessional conduct may result in discipline or discharge, regardless whether it rises to the level of unlawful sexual harassment.

### Harassment

Harassment on the basis of any protected status listed above is unlawful where it is unwelcome, severe or pervasive, and unreasonably interferes with an individual's work performance or creates an intimidating,

hostile or offensive working environment. Slurs, derogatory statements or demeaning treatment, jokes and stereotyping based on protected status are unprofessional and may result in discipline or discharge, regardless whether they rise to the level of unlawful harassment.

#### **Treat Unwelcome Conduct as Unwelcome**

If you find someone's conduct sexually harassing, or harassing on another protected basis, do not encourage that person to continue by indicating that you like or approve of the conduct. Do not reciprocate by engaging in similar conduct. For example, don't tell jokes that you wouldn't want someone to tell you; don't hug others if you don't want them to hug you.

#### **Disability and Religious Accommodation**

STRIVE will make reasonable accommodation for qualified individuals with known disabilities and Employees whose work requirements interfere with a religious belief, unless doing so would result in an undue hardship to STRIVE or create a direct threat to the Employee or others. Requests for reasonable accommodation must be directed to your supervisor, Human Resources or any other management personnel.

#### **Pregnancy and Pregnancy-Related Conditions**

STRIVE will provide, if requested, reasonable accommodations to an applicant for employment, or an employee, for health conditions related to pregnancy or physical recovery from childbirth, absent an undue hardship on STRIVE. And STRIVE will not deny employment opportunities because of its need to make pregnancy-related reasonable accommodations. STRIVE will not force an applicant or employee affected by pregnancy-related conditions to accept an accommodation that she has not requested or that is unnecessary to perform the essential function of her job. Similarly, STRIVE will not require a pregnant employee to take leave if there is another reasonable accommodation that may be provided.

#### **Non-Employees**

Employees shall not in the course or scope of their employment, unlawfully discriminate against, or harass, or engage in unprofessional conduct toward non-Employees based on the non-Employee's race or color, religion or creed, sex or gender, sexual orientation, national origin or ancestry, age of 40 or over, physical or mental disability, genetic information, military or other protected status. Such conduct may result in discipline or discharge.

#### **Reporting Discrimination or Harassment**

Any Employee who is subjected to or who observes conduct that the Employee honestly believes is in violation of this policy should report it to your supervisor, Human Resources or any other management personnel. This includes conduct by co-workers, supervisors, management, officers or directors, agents, clients, suppliers or others encountered during the course and scope of your employment. It is Employer's desire to maintain a professional working environment and to prevent any unlawful discrimination or harassment in employment. Employees are strongly advised that they should not quit employment because of conduct that violates this policy rather than reporting such conduct. Please give Employer a reasonable opportunity to investigate and correct any violations of this policy. Upon receiving a report of conduct that may violate this policy, Employer will investigate the circumstances and take appropriate action. Because of the need to investigate and take action, Employer cannot promise confidentiality regarding a reported violation of this policy.



## GRANT APPLICATION Community Development Block Grant (CDBG) Program

*The City of Grand Junction does not discriminate on the basis of race, color, religion, sex, national origin, age or disability in the admission, access or appointment to, or treatment or employment in, its programs or activities.*

Applications and all attachments must be submitted to the City of Grand Junction electronically no later than 4:00 pm on Monday, March 26, 2018 to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org)

### APPLICANT BACKGROUND

1. Organization Name:

CENTER FOR INDEPENDENCE

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2. Organization Address:

740 Gunnison Avenue

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Grand Junction, CO 81501

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3. Organization DUNS Number (Data Universal Number System) (required):

*For more information visit [www.whitehouse.gov/omb/grants/duns\\_num\\_guide.pdf](http://www.whitehouse.gov/omb/grants/duns_num_guide.pdf):*

14-925-4898

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4. Organization or Parent Organization EIN/TIN Number (required):

84-1090306

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5. **Primary** Contact Person:

Linda Taylor, Executive Director

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Phone: (970) 241-0315      FAX: (970) 245-3341

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Email: [ltaylor@cfigj.org](mailto:ltaylor@cfigj.org)

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6. Do you or your parent organization file OMB A-133 (Single Audit)?

Yes  No

If yes, please attach a copy of the most recent A-133 and Form SF-SAC to your CDBG application.

7. **System for Award Management (SAM):** Your entity must be registered in the System for Award Management (SAM) prior to application. Provide a copy of the registration confirmation with your application. See attached example. *For more information visit: [www.sam.gov/portal](http://www.sam.gov/portal)*

8. Is this agency an equal employment opportunity employer?  Yes  No

Please attach a copy of the agency's affirmative action plan.

9. Provide a brief description of your organization - what do you do?

Center for Independence works to promote community solutions and empower individuals with disabilities to live independently. We provide programs and supports along our 5 Core Services:

- 1) Information and Referral
- 2) Independent Living Skills Training
- 3) Advocacy for Individuals and Communities in System Change to accommodate people with disabilities
- 4) Peer Mentoring by people with disabilities
- 5) Transition Services for individuals with disabilities leaving nursing homes into community living; for youths with disabilities moving into adult programs and services; Intervention Services which divert individuals from entering institutional care.

The services CFI offers are free to consumers which makes us the defacto provider of last resort. The only qualifier for service is a verified disability. The primary request for for service/help these days is public disability benefits and affordable housing.

10. Which describes your organization (check all that apply)?

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> A non-profit with 501(c)3 status | <input type="checkbox"/> Housing Authority                       |
| <input type="checkbox"/> Governmental Agency                         | <input type="checkbox"/> Quasi-Governmental Agency               |
| <input type="checkbox"/> Faith based                                 | <input type="checkbox"/> Public Service /Human Services Provider |
| <input type="checkbox"/> Public Organization                         | <input type="checkbox"/> Other _____                             |

11. Has the applicant received past CDBG funding from the City of Grand Junction? If so, please indicate the year received, the amount received and the amount of CDBG and/or funds remaining to be spent at this time.

2008	Vocational Center Remodel	\$9,500
2010	Energy Improvements	\$34,100
2011	Kitchen Remodel	\$30,475
2016	Accessible Riser	\$18,750 (in process)

12. Does any employee, board member or client have any past or present ownership or financial investment in the agency, organization or proposed project? If so, please describe.

No

### FUNDING REQUEST SUMMARY

1. Project Name: TAYLOR ABILITY GARDEN
2. Project Address/Location: 740 Gunnison Avenue, Grand Junction, CO 81501
3. Amount of CDBG funds requested for the project: \$25,000
4. Funding leveraged from other sources for this project: \$9,575
5. Total Project Budget: \$
6. Minimum amount of CDBG funding to benefit your project: \$25,000

### PROJECT ELIGIBILITY

1. Which CDBG national objective does this project meet?
  - Benefits low/moderate income individuals/households
  - Addresses the prevention or elimination of slums or blight
  - Meets an urgent community need (usually a natural disaster)

2. Which City of Grand Junction CDBG Program Priority does this project meet?

- Need for Non-Housing Community Development Infrastructure
- Need for Affordable Housing
- Needs of the Homeless or Special Needs Populations
- Other Human Service Needs
- Economic Opportunities

## SPECIFIC PROJECT INFORMATION

1. **Project Description.** Give a brief description of the project for which you are requesting funds. How do you plan to spend the funds?

Utilizing the Center for Independence building's street-front landscape, the Project will fund a 1220sq ft demonstration garden to involve and support the disable community.

Funds will provide for the design and installation of a multi-zone irrigation system, security fencing, and City permits. This publically visible garden will feature handicap-accessible raised beds, elevated wheelchair stations, and recycled-carpeting pathways.

The Ability Garden will teach and support a cross section of "diverse-abilities": garden science, nutrition, food preparation, and self-sufficiency. In 2016 we added a 10x12' wheelchair accessible storage & garden shed for equipment storage.

The Collbran Job Corps has been approached to build a custom iron Garden fence to surround the property. As the Garden would be the last phase of their project, they would be more readily able to supply the welding expertise if materials are supplied in-kind. Collbran's concrete and carpentry trades have also been approached for Garden student training

2. **Project Type.** Which of the following categories best describes your project? (select only one)

- Public or human service
- Capital construction, building rehabilitation or public facility improvement
- Home ownership activities
- Housing rehabilitation
- Economic development assistance
- Planning or predevelopment costs
- Acquisition or demolition of property

3. If this is a Public or Human Service Project, is  New or  On-going?  
the service:



## PROJECT DESCRIPTION

Utilizing the Center for Independence building's street-front landscape, the Project will fund a disabled consumer & volunteer-supported 1162 Sq. ft. "Taylor Ability Garden".

Funds will provide for the design and installation of a multi-zone irrigation system, system materials, sub-sidewalk trenching, security fencing, and City permits. This publically visible garden will feature handicap-accessible raised beds, elevated wheelchair stations, and recycled-carpeting pathways.

The Ability Garden will meet a key CFI goal of supporting consumer independence by developing a demonstration garden to teach and support a cross section of "diverse-abilities": garden science, nutrition, food preparation, and self-sufficiency. Prior CDBG awards in 2010 & 2012, to remodel the CFI kitchen to commercial grade use, now enable us to expand to the next phase. In 2016 we added a 10x12' wheelchair accessible storage & garden shed for equipment storage.

The Collbran Job Corps has been approached to build a custom iron Garden fence to surround the property. As the Garden would be the last phase of their project, they would be more readily able to supply the welding expertise if materials are supplied in-kind. Collbran's concrete and carpentry trades have also been approached for Garden student training. Their maintenance training programs could work on Garden irrigation installation.

The long association CFI has with Collbran Job Corps make this a cost effective collaboration between government support entities.

4. If funding an existing service, describe how the CDBG funds will support a measurable increase in that service (e.g. more families served or longer service period as compared to current operations)

N/A

5. **Demonstrated Need for Project.** Provide a brief summary of current statistical data documenting the need for the project. Include sources of information.

No demonstration garden exists in Grand Junction specifically designed for use and training by persons with disabilities. Multiple CFI program - including homeless nutrition, vocational rehabilitation, low vision/low hearing, supports for youth transitioning from school to independent living - will incorporate the Ability garden into their consumer plans and services.

The Mesa County Health Department report: Healthy Mesa County 2016-2017 Community Health Needs Assessment states that the percentage of the total civilian non-institutionalized population with a disability in Mesa County is 13.1% (19, 109 persons). Mesa County's rate of individuals with disabilities is higher than Colorado (10.1%) and the U.S. (12.1%).

6. **Project Budget.** Provide a line item budget for this project and the total budget cost, including the request for City of Grand Junction CDBG funds, other secured funding and other pending applications for funding. If this is a rehabilitation, remodeling or construction project, be sure to include Davis-Bacon wage regulations and architectural, engineering and/or environmental review fees in determining your project budget.

Budget Item	Amount	Funding Source	Award Date
Excavation, irrigation in	15000	CDBG	
Gateing & Fencing, ma	8500	CDBG	
Security System, came	1500	CDBG	
Storage/Garden Shed,	6325	CFI	
Permits, plans; labor fo	750	CFI	
Start-Up garden materi	2500	Community donatic	
<b>Total Budget</b>			

7. **Project Schedule.** What is the proposed project schedule if awarded the CDBG funds? Keep in mind funding will not be available until September.

Start Date: 09/01/2018 Completion Date: 08/31/2019

If the project includes construction, are there plans/permits needed before the project can begin construction or has a construction schedule been identified?

Yes, plans/permits completed, project is ready to begin.

No, plans/permits still need to be completed.

8. **In-Kind Contributions.** What other resources (e.g. volunteers, in-kind donations, etc) will you be utilizing for this project? List all in-kind services, volunteer hours and goods you will bring to this project and determine a dollar value for all items listed.

CFI in-kind: Storage Shed and labor & materials to finish & construct interior \$6325;  
CFI labor mechanical systems, \$240; Collbran Job Corps student-training welding, carpentry, concrete; Volunteer hours; install, gardening.  
Donations, primarily local vendors, of Garden materials & supplies

## BENEFICIARY INFORMATION

1. **Total Persons Served.** How many total persons did this organization serve during the past year and how many total people does your organization expect to serve in the coming year?

In Fiscal Year 2016/2017 Center for Independence provided services to 769 consumers in 12 western slope counties. Additionally, 834 individuals received information and referrals services. 418 persons receiving services and supports from Center for Independence in Mesa County.

In FY 2017/2018 Center for Independence projects the number of overall consumers served to reach approximately 990 persons, an increase of 29% from 769 (2016/2017).

2. **Persons Served by Project.** What percentage of total persons served by your organization live within the City limits? Provide an estimate of the number of persons who will benefit from the specific project that live within the City limits of Grand Junction.

In the FFY 10/2014-9/2015, CFI provided 6253 services to 517 consumers with Independent Living Plans & Goals. Of this number 293 persons were Greater Mesa County and 224 of this number were Grand Jct residents: 43% of consumers served were Grand Junction residents.

3. **Client Eligibility.** Will those served by this project be primarily (51% or more) of low and/or moderate income?  Yes  No



If you checked yes above, specify by checking all that apply to your project:

The income of each household/person receiving assistance will be individually verified for eligibility.

The types of households or persons served are of special need (presumed benefit) such as elderly, disabled, homeless, illiterate, or involve an abused spouse or child.

4. If the income will be verified, describe the organization's procedure for verification and how you will determine that a person is eligible for services.

N/A

## COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)

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### REQUIRED ATTACHMENTS FOR CDBG APPLICATIONS

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Applications and all attachments must be submitted to the **City of Grand Junction electronically no later than 4:00 p.m. on Wednesday, March 26, 2018** to Kristen Ashbeck at [kristena@gjcity.org](mailto:kristena@gjcity.org). The following attachments are required:

- A signed copy of the CDBG Application and Preliminary Agreement form (attached).
- A copy of your organization's original IRS determination letter indicating [501(c)(3) or tax-exempt status (if applicable).
- Your agency's most recent audit, financial statement or, if applicable, A-133 (Single Audit). Please state your annual and cumulative fund balance. All agencies who receive \$750,000 or more, total for all Federal grants received this year, must submit an audit in compliance with the 1984 Single Audit Act.
- A copy of your organization's or parent organization's most recent Form SF-SAC pertaining to the A-133 audit, if applicable as above.
- A copy of System for Award Management (SAM) entity registration. See attached example.
- A list of current board members, including their employer and/or other pertinent affiliations.
- A copy of the project's client intake form, application form or other paperwork used to collect and certify income and racial data for each client.
- Copy of agency's Affirmative Action Plan.

**COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG)**

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**CDBG APPLICATION AND PRELIMINARY AGREEMENT**

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By signing below I certify that all of the information above and included with this application is true, and I understand that application for funds does not in any way guarantee funding. Additionally, the applicant understands and agrees that in the event the applicant receives approval from the City Council for a block grant fund allocation:

1. The applicant will not receive the block grant funds until:
  - a. HUD has approved the project and the City receives a letter of credit from HUD.
  - b. An Environmental Review has been completed and approved.
  - c. If applicable, a Request for Release of Funds and a Finding of No Significant Impact have been published for community comment and HUD has released funds for the project.
  - d. A contract between the City of Grand Junction and the sub-recipient is fully executed.
  - e. All labor standards have been met.
2. The applicant will submit a sub-recipient report with each request for reimbursement. The report will describe the progress of the project, the project beneficiaries, and other information required by HUD or the City. Invoices will accompany the report when indicated. Funds will not be released without this report.
3. The applicant will provide the City any other information required by HUD or by the City.
4. The applicant will comply with all other requirements set forth by the City and the U.S. Department of Housing and Urban Development.

Applicant: Linda Taylor      CENTER for INDEPENDENCE

Title: Executive Director

Signature (typed acceptable): Linda Taylor

Date: March 21, 2018



## Recreation Inspired by the Outdoors (RIO) Community Development Block Grant Funding Request

### *RIO (Recreation Inspired by the Outdoors)*

RIO is a local planning effort funded by the Great Outdoors Colorado (GOCO) Inspire Initiative aimed at nurturing a lifelong connection to the outdoors for underserved youth. The City received an initial \$75,000 planning grant to work with a coalition of organizations including Colorado West Land Trust, Colorado Parks and Wildlife, Riverside Educational Center, School District 51, Colorado Canyons Association, One Riverfront, Partners, and Mesa County Public Health. The RIO coalition did not receive implementation funds as part of the second and final funding round in 2017. The implementation of unfunded program and pathway components are currently being addressed by Riverside Educational Center and unfunded place improvements to James M. Robb River State Park – Connected Lakes Section are being addressed by Colorado Parks and Wildlife.

To address the unfunded place improvements at Riverside Park and for the bike playground, GOCO staff encouraged the City to pursue a future GOCO Local Park and Outdoor Recreation (LPOR) grant. These grants are typically due in early November of each year, and have been awarded for Las Colonias Phase I, Lincoln Park, and Rocket Park. The Las Colonias Park Boat Launch is currently under review for this year's cycle. A 25% total project match is required with 10% of that being in cash. Maximum grant awards are \$350,000. Staff has communicated with the LPOR program manager, and he is supportive of the grant application and feels it is a good fit given the level of community involvement, GOCO's ongoing support of the Riverfront Trail, and the project's connection to the recently awarded Lunch Loop Trail.

### *Proposed Improvements*

The RIO coalition worked closely with the Riverside neighborhood to develop a conceptual plan that includes the following major components: closure of Riverside Park Drive to allow realignment of the Riverfront Trail, a larger picnic shelter, a larger basketball court, parking in the alley, curb, gutter, and sidewalks around the park and along the trail, split rail fencing, and a bicycle playground located on the Riverfront at Dos Rios property. The bicycle playground concept was included in the Riverfront at Dos Rios property master planning discussions and is strongly supported by those involved. This amenity can easily be constructed in a linear fashion along the existing Riverfront Trail and can be relocated based on future development and the approval of the master plan.

### *CDBG Funding Request*

The estimated total project cost is currently \$725,000. Secured funding includes: \$73,686 2017 CDBG and \$25,000 One Riverfront for a total of \$98,686. In order to pursue the GOCO LPOR application, an additional \$276,000 is needed in matching funds. A 2018 CDBG request of **\$25,000** will be utilized as a portion of those additional funds and will be utilized to leverage additional funding. Fundraising efforts will continue in the spring and summer of 2018 and include options from Colorado Health Foundation, Rocky Mountain Health Foundation, and private funders. City of Grand Junction options include the Conservation Trust Fund and Parkland Expansion Fund for 2019 and/or 2020. If fundraising efforts are not successful in securing the full project estimate, the project can be re-scoped to still make major improvements to the area.

**Bid Summary**  
**Riverside Area Park Overall Concept**

By: Jerod Timothy, Project Manager

Item No.	CDOT, City Ref.	Description	Quantity	Units	Engineer's Estimate	
					Unit Price	Extended Price
1	201	Clearing and Grubbing	0.9	ACRE	\$ 2,500.00	\$ 2,250.00
2	202	Remove/Reset Light Pole	1.	EA	\$ 1,200.00	\$ 1,200.00
3	202	Tree Removal	7.	EA	\$ 600.00	\$ 4,200.00
4	202	Asphalt Removal (Planing)(Thickness Varies)	3,052.	SY	\$ 4.75	\$ 14,497.00
5	202	Concrete Removal	123.	SY	\$ 9.00	\$ 1,107.00
6	202	Remove Misc. Haul off Items	1.	LS	\$ 1,500.00	\$ 1,500.00
7	203	Unclassified Excavation (11' Deep)	246.	CY	\$ 15.00	\$ 3,690.00
8	203	Embankment Material (Dike Softening 4')	1,824.	CY	\$ 18.00	\$ 32,832.00
9	208	Erosion Control (Complete in Place)	1.	LS	\$ 1,500.00	\$ 1,500.00
10	212	Seeding	14,800.	SQ. FT.	\$ 0.15	\$ 2,220.00
11	212	Sod	3,700.	SQ. FT.	\$ 0.80	\$ 2,960.00
12	212	Tree - 2" Canopy Tree	8.	EA	\$ 350.00	\$ 2,800.00
13	304	Aggregate Base Course (Class 6)	1,134.	TON	\$ 25.00	\$ 28,350.00
14	401	Hot Mix Asphalt (4" thick) (Grading SX 75, Binder Grade 64-22)	205.	TON	\$ 120.00	\$ 24,600.00
15	504	MSE Retaining Wall	530.	VSF	\$ 35.00	\$ 18,550.00
16	606	Guardrail, Type 7		LF	\$ 55.00	
17	607	Fence (Split Rail)(Complete in Place)	200.	LF	\$ 8.00	\$ 1,600.00
18	607	Fence (Wooden Post and Cable)(Complete in Place)	300.	LF	\$ 15.00	\$ 4,500.00
19	608	Concrete Sidewalk (6" Thick)	2,220.	SY	\$ 64.00	\$ 142,080.00
20	608	Concrete Curb and Gutter (2' Wide)	1,600.	LF	\$ 28.00	\$ 44,800.00
21	625	Construction Surveying	1.	LS	\$ 5,500.00	\$ 5,500.00
22	626	Mobilization	1.	LS	\$ 20,000.00	\$ 20,000.00
23	630	Traffic Control (Complete in Place)	1.	LS	\$ 6,500.00	\$ 6,500.00
24	630	Traffic Control Plan	1.	EA	\$ 350.00	\$ 350.00
25	SP-1	Bicycle Park (Complete in Place)	1.	LS	\$ 132,000.00	\$ 132,000.00
26	SP-2	Park Signage	1.	LS	\$ 2,500.00	\$ 2,500.00
27	SP-3	Interpretive Signs	4.	EA	\$ 1,000.00	\$ 4,000.00
28	SP-4	Handicap Parking Sign	3.	EA	\$ 200.00	\$ 600.00
29	SP-5	Park Sign - Stone	2.	EA	\$ 2,500.00	\$ 5,000.00
30	SP-6	Lighting	1.	LS	\$ 30,000.00	\$ 30,000.00
31	SP-7	Security Camera System	1.	LS	\$ 3,000.00	\$ 3,000.00
32	SP-8	BB Court	3,400.	SQ. ST.	\$ 12.50	\$ 42,500.00
33						
34		<b>Site Furniture</b>				
35		Play Area Fibar and Subdrainage	1,500.	SQ. FT.	\$ 3.50	\$ 5,250.00
36		Benches	4.	EA	\$ 900.00	\$ 3,600.00
39		<b>Buildings</b>				
40		Picnic Shelter (40' x40')	1.	LS	\$ 75,000.00	\$ 75,000.00
41		Remove/reset Playground Shelter to Include New Conc. Foundation.	1.	LS	\$ 7,500.00	\$ 7,500.00
42		Picnic Tables	3.	EA	\$ 1,300.00	\$ 3,900.00
MCR		Minor Contract Revisions	---	---	\$ 42,000.00	\$ 42,000.00
<b>TOTAL BID:</b>						<b>\$ 725,036.00</b>



# Riverside Park Conceptual Design Improvements









# Safe Routes to School Bookcliff Middle School

## Areas of Concern:

- Students walking from the north, jaywalking across 29 1/4 Rd
- Student Dropoff/Pickup



- 800 feet of curb, gutter, sidewalk ———
- 6 access ramps ●
- 2 crosswalks ○
- ROW required – corner clips at Elm Ave
- Grading Required – minimal
- 4 irrigation structures
- Utilities – minimal
- Costs - \$100K



# Safe Routes to School

## Grand Ave Crossings at 9<sup>th</sup> & 10<sup>th</sup> Streets

### Project Summary:

- Heavily utilized on-street parking along Grand Avenue causes visibility challenges and an increased crossing distance for bicyclists and pedestrians.
- 9<sup>th</sup> Street is the preferred school walking corridor to East Middle and Chipeta Elementary schools.
- 10<sup>th</sup> Street is the preferred bicycle corridor connecting CMU and Downtown.
- Curb extensions (Bulb Outs) provide safety benefits by improving visibility and decreasing crossing distances.

475 SY concrete intersection corners —

16 access ramps ●

1 set bicycle crossing signs and markings

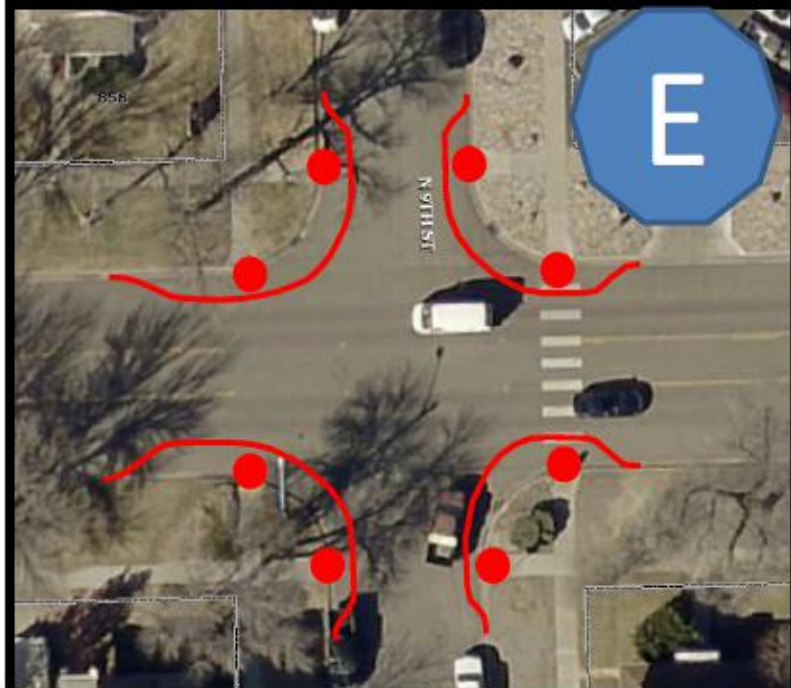
No ROW required

No Grading Required

Costs - \$29,000 9<sup>th</sup> Street

\$31,000 10<sup>th</sup> Street

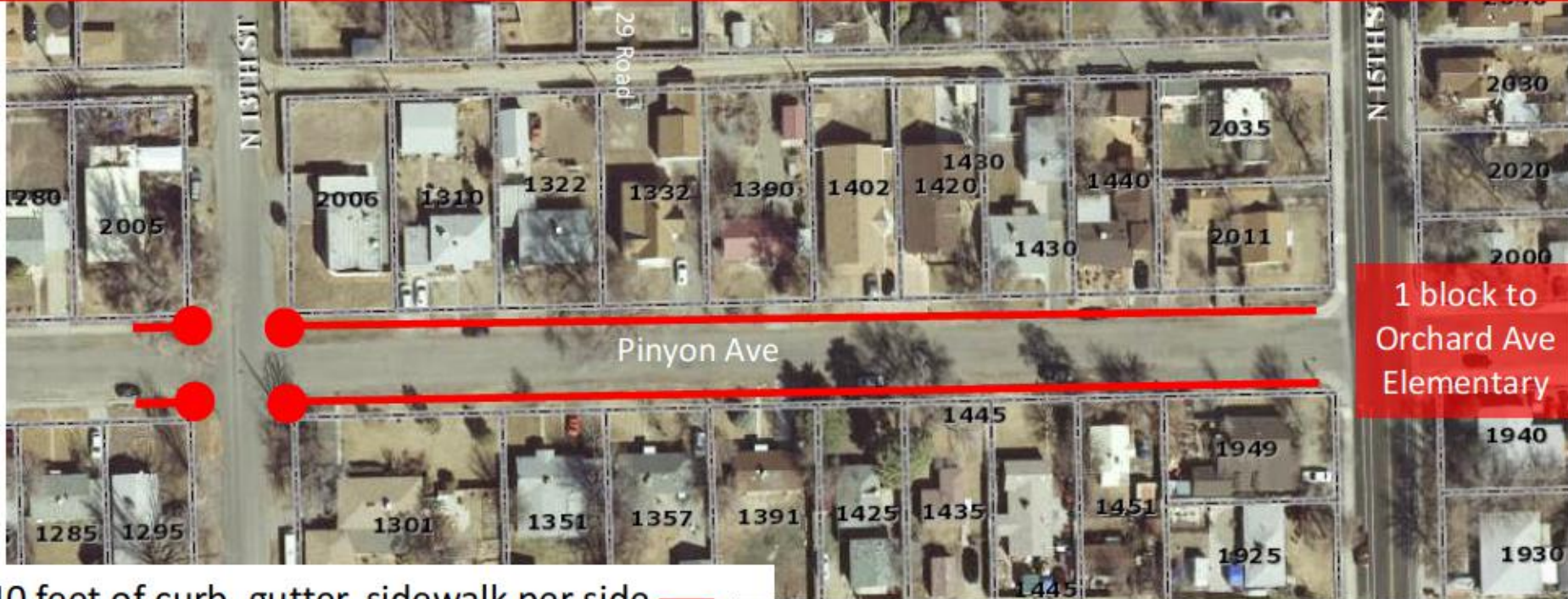
\$60,000 Total





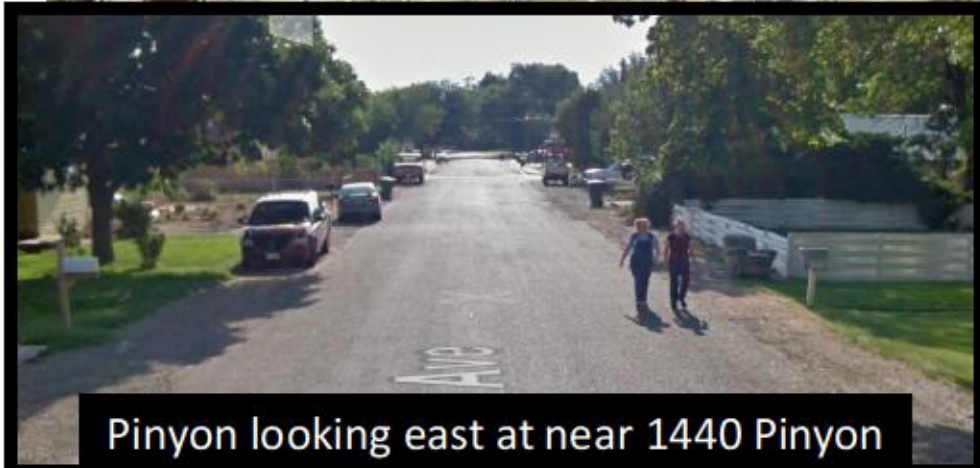
# Safe Routes to School

## Pinyon – 13<sup>th</sup> to 15<sup>th</sup>



1 block to Orchard Ave Elementary

- 640 feet of curb, gutter, sidewalk per side —
- 2 access ramps ●
- 0 crosswalks ○
- ROW required – None
- Grading Required – minimal
- Irrigation Structures: none
- Utilities – minimal
- Costs - \$60K per side



Pinyon looking east at near 1440 Pinyon

# Water Service Line Replacements

- ▶ In 1985, the Safe Drinking Water Act amendments banned the use of lead pipes and leaded solders.
- ▶ Legacy lead pipe sections that are still present represent the greatest potential source of lead in Drinking water.
- ▶ City of Grand Junction estimates about 200 lead service lines remain in the City's water district
- ▶ City of Grand Junction tap water does not exceed EPA action levels
- ▶ City of Grand Junction has developed a proactive replacement program for lead service lines as recommended by the National Drinking Water Advisory Council.
- ▶ Currently, property owner is responsible for replacement of private water service line





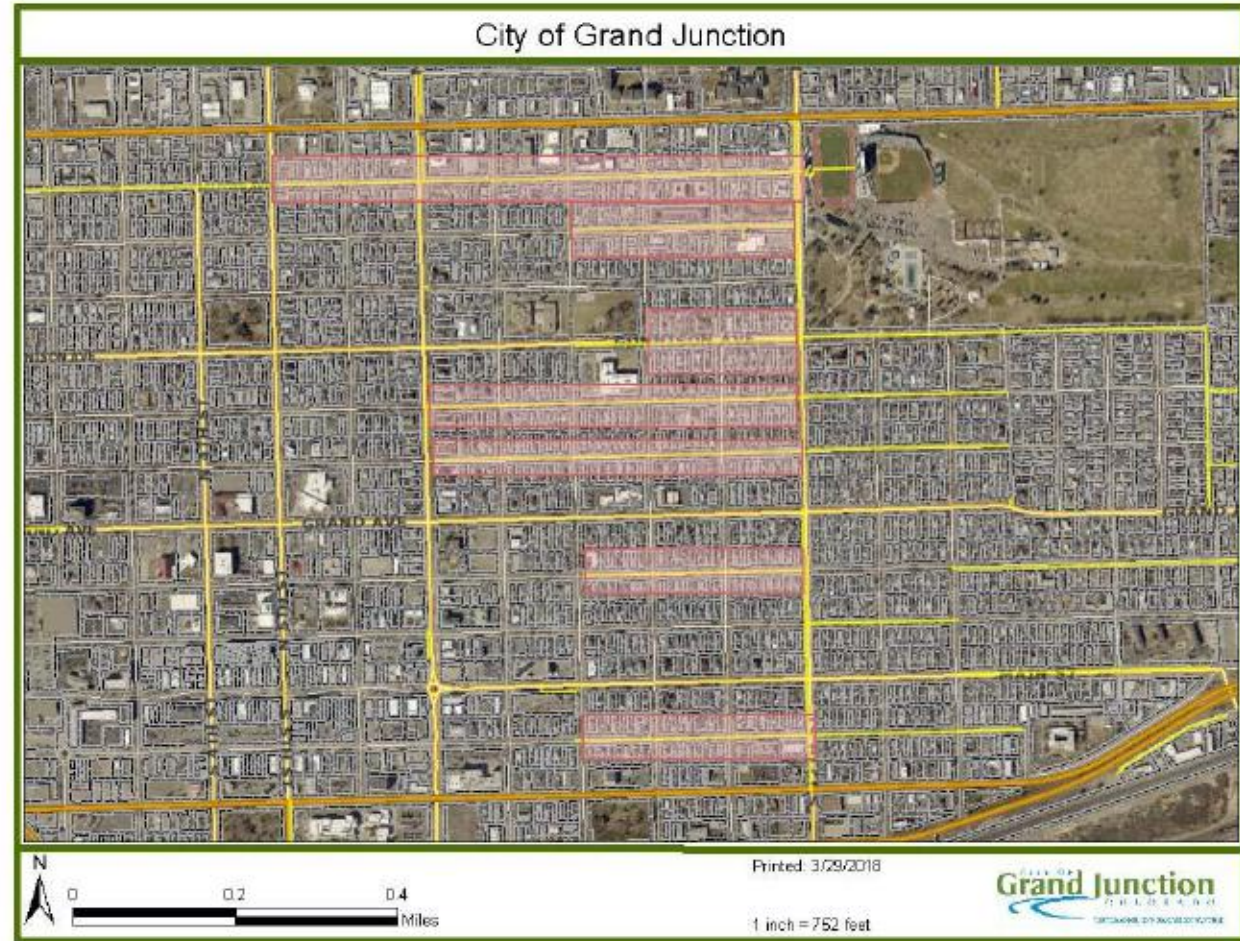
# Water Service Line Replacements

## ▶ Typical Cost

- ▶ \$2,500 for portion of the service line from main to the property line (Utility-owned)
- ▶ \$1,000 for portion of the service line from the property line to the building plumbing (Customer-owned)

## ▶ CDBG Grant request: \$50,000

- ▶ Pilot area: 50 properties within CDBG tract
- ▶ Property owner incentive program to replace customer-owned portion of the lead service line (\$1,000 per home)
- ▶ Future phases of program based on results of pilot





# 24 ½ Road pedestrian crossing at GVT's West Transfer Station

## Project Summary:

- GVT's West Transfer station has increased pedestrian activity in the area.
- Recent commercial development (O'Reilley's) included a trail connection between Patterson Road and 24 ½ Road.
- An improved pedestrian crossing treatment enhances safety with a median refuge that reduces the unprotected crossing distance, signs, and markings.

